

CALCULUS

Optimization

STEPS IN SOLVING OPTIMIZATION PROBLEMS:

1. Understand the problem. 😊

(Identify the important quantities.)

→ 2. Draw a diagram. 😊

→ 3. Introduce notation. 😊

→ 4. Express the quantity to be extremized as a function (of possibly more than one variable). 😊

→ Express the constraints. 😊

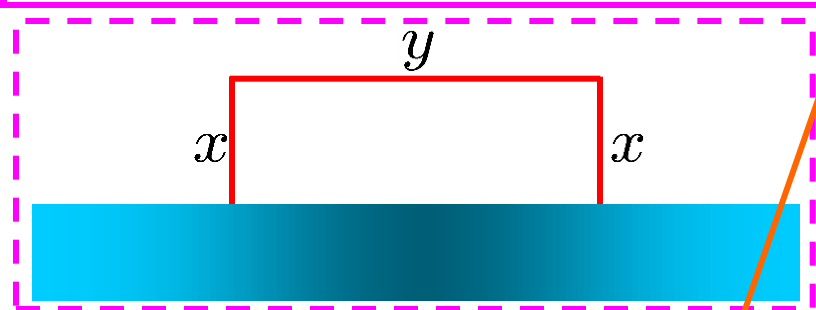
→ 5. Express the quantity to be extremized as a function of one variable. 😊

→ 6. Use methods of Chapter 5 to maximize or minimize the function.

EXAMPLE: A farmer has 1,600 ft of fencing and wants to fence a rectangular field next to a straight river. He requires no fence along the river. What are the dimensions of the

field that with largest area?

Maximize $A := xy = x(1600 - 2x)$



Constraint: $2x + y = 1600$

$$y = 1600 - 2x$$

$$A = 1600x - 2x^2, x > 0$$

$$dA/dx = 1600 - 4x, x > 0$$

no sign change on $0 < x < 400$
 no sign change on $x > 400$
 pos on $0 < x < 400$
 zero only at $x = 400$
 neg on $x > 400$

200 \mapsto 800

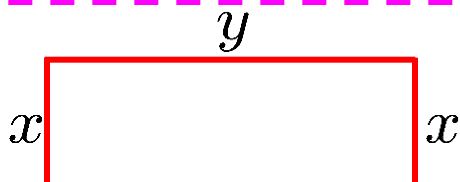
500 \mapsto -400

→ 6. Use methods of Chapter 5 to maximize or minimize the function.

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incr on $0 < x \leq 400$

global max at $x = 400$

decr on $x \geq 400$

$$dA/dx = 1600 - 4x, x > 0$$

pos on $0 < x < 400$

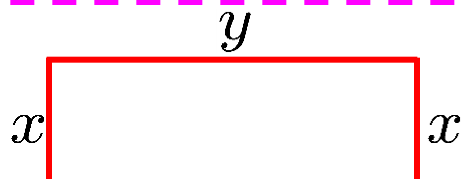
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Maximize $A := xy = x(1600 - 2x)$

global max at $x = 400$ **SKILL**

$[y]_{x \rightarrow 400} = 800$ **max-min**

$[A]_{x \rightarrow 400} = 320000$

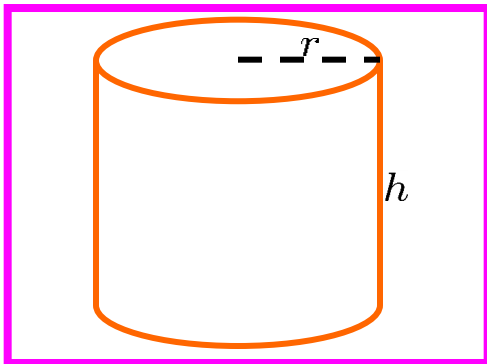
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EXAMPLE: We are asked to design a cylindrical can to hold $5,000 \text{ cm}^3$ of liquid. Find the dimensions that will minimize the amount of metal needed to make the can.



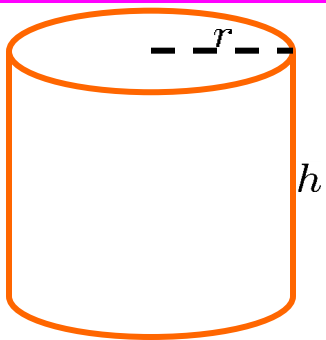
Minimize $A = \underbrace{\pi r^2}_{\text{TOP}} + \underbrace{\pi r^2}_{\text{BOTTOM}} + \underbrace{2\pi r h}_{\text{SIDE}}$

§6.1 Constraint: $\pi r^2 h = 5000$, i.e., $h = 5000/(\pi r^2)$

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EXAMPLE: We are asked to design a cylindrical can to hold $5,000 \text{ cm}^3$ of liquid. Find the dimensions that will minimize the amount of metal needed to make the can.



Minimize $A = \pi r^2 + \pi r^2 + 2\pi r h$
 $= 2\pi r^2 + 2\pi r (5000 / (\pi r^2))$
 $= 2\pi r^2 + (10000 / r)$ on $r > 0$

§6.1 Constraint: $\pi r^2 h = 5000$, i.e., $h = 5000 / (\pi r^2)$

$$A = 2\pi r^2 + \frac{10000}{r}, \quad r > 0$$

decr on $0 < r \leq \sqrt[3]{2500/\pi}$
 incr on $r \geq \sqrt[3]{2500/\pi}$

$$\frac{dA}{dr} = 4\pi r - \frac{10000}{r^2}, \quad r > 0$$

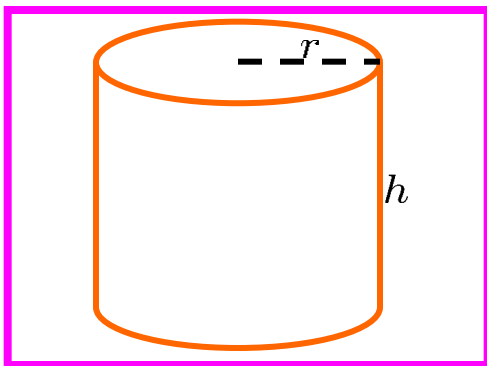
neg on $0 < r < \sqrt[3]{2500/\pi}$
 pos on $r > \sqrt[3]{2500/\pi}$

$r \rightarrow 0^+ \rightarrow -\infty$
 $r \rightarrow \infty \rightarrow \infty$

no sign change on $0 < r < \sqrt[3]{2500/\pi}$
 no sign change on $r > \sqrt[3]{2500/\pi}$

$$\frac{dA}{dr} = 0 \text{ only at } r = \sqrt[3]{2500/\pi}$$

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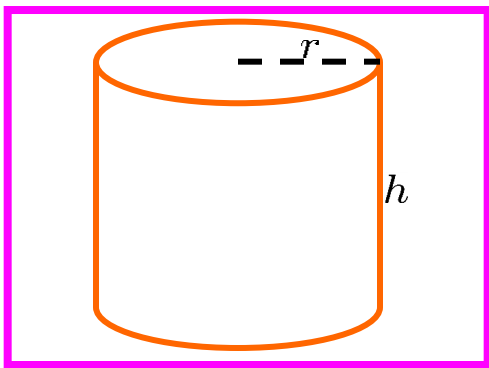
decr on $0 < r \leq \sqrt[3]{2500/\pi}$
 incr on $r \geq \sqrt[3]{2500/\pi}$

A takes its global min at $r = \sqrt[3]{2500/\pi}$

$$[h]_{r \rightarrow \sqrt[3]{2500/\pi}} = \frac{5000}{\pi \left(\sqrt[3]{2500/\pi}\right)^2}$$

For you: $[A]_{r \rightarrow \sqrt[3]{2500/\pi}} = ??$ SKILL max-min

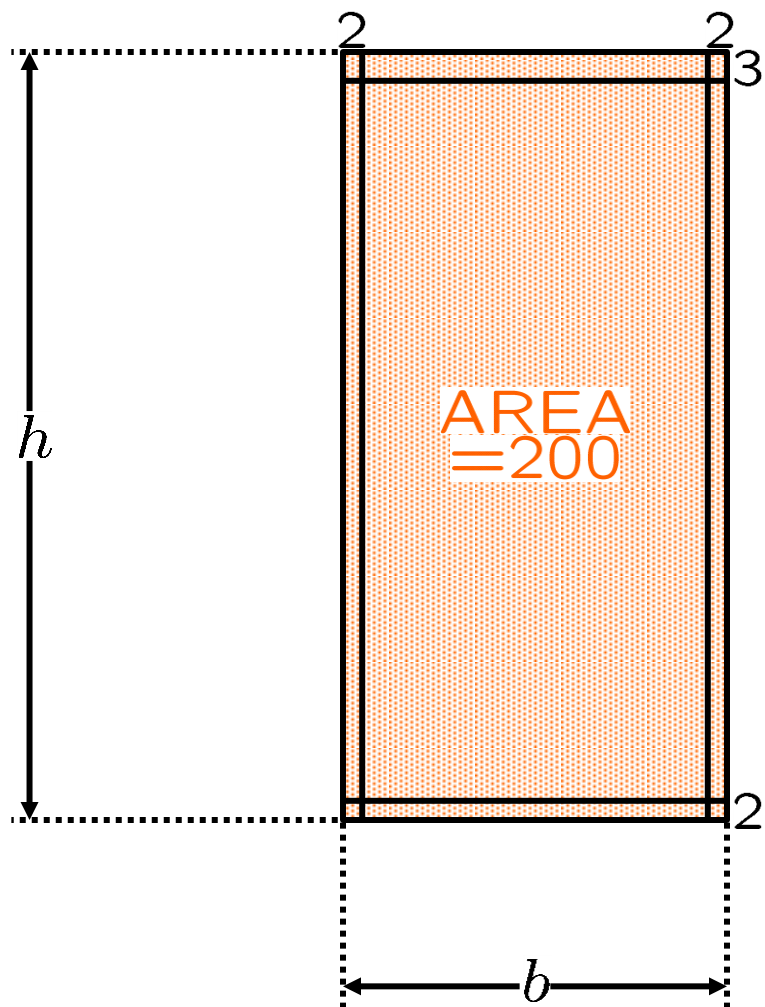
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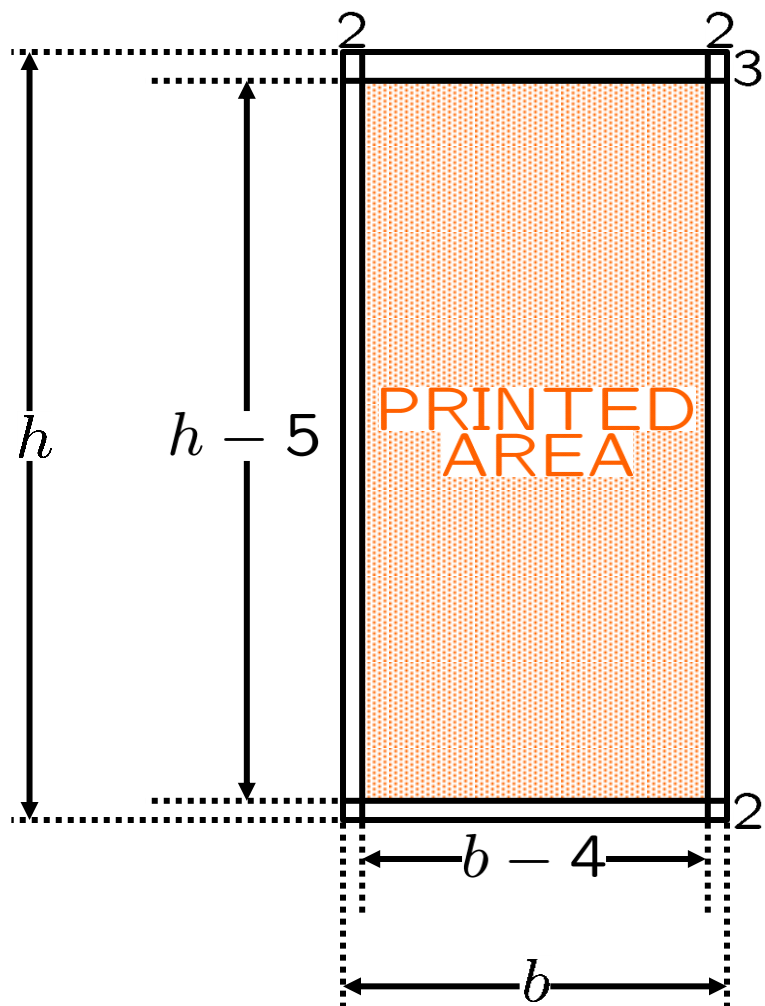
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§6.1 Constraint: $\pi r^2 h = 5000$, i.e., $h = 5000/(\pi r^2)$

EXERCISE: A poster is to have an area of 200 in^2 with 2-inch margins at the bottom and sides and a 3-inch margin at the top. **What** dimensions will give the largest printed area?

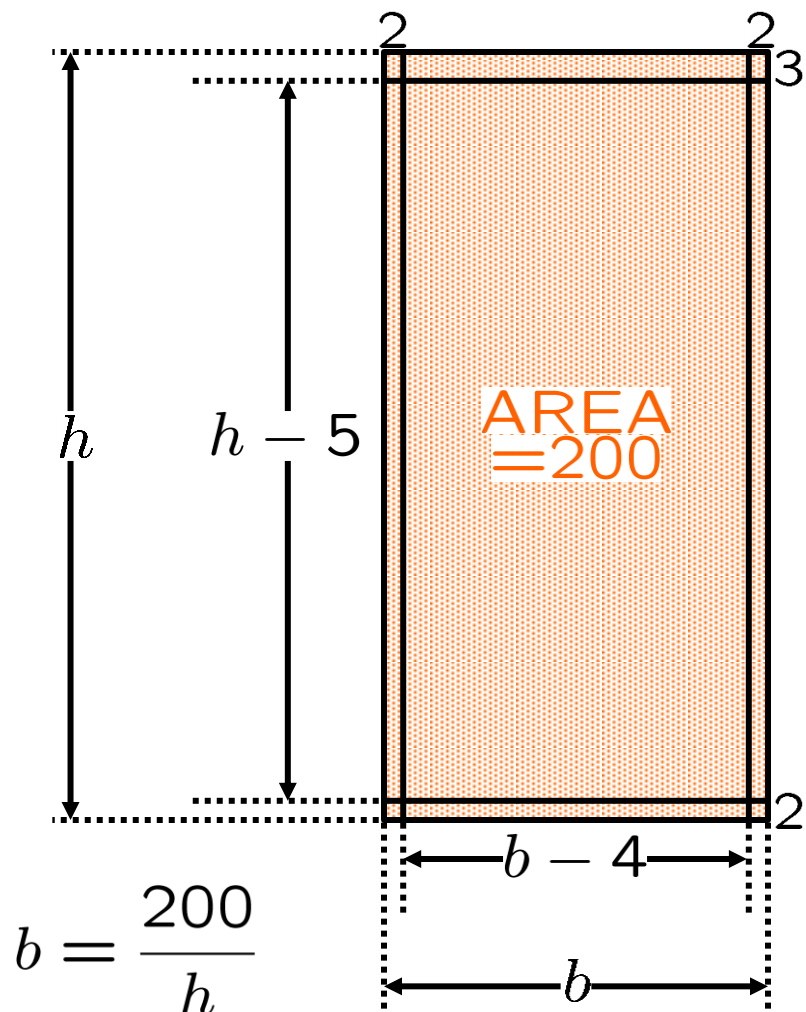


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Maximize: $P := (h - 5)(b - 4)$

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Maximize: $P := (h - 5)(b - 4)$

$b = \frac{200}{h}$

Constraint: $hb = 200$

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Maximize: $P := (h - 5)(b - 4) = (h - 5) \left(\frac{200}{h} - 4 \right)$ on $h > 0$

$$0 = \frac{dP}{dh} = \left(\frac{200}{h} - 4 \right) + (h - 5) \left(-\frac{200}{h^2} \right) \text{ on } h > 0$$

$$h^2 \times \left[\left(\frac{200}{h} - 4 \right) = (h - 5) \left(\frac{200}{h^2} \right) \right]$$

$$(1/4) \times \left[\cancel{200h} + 4h^2 = \cancel{200h} + 1000 \right]$$

$$h^2 = 250$$

$$b = \frac{200}{h} \quad \frac{dP}{dh} = 0 \text{ only at } h = \sqrt{250}$$

Constraint: $hb = 200$

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$$\frac{dP}{dh} = 0 \text{ only at } h = \sqrt{250} \quad \begin{array}{l} \rightarrow \infty, \text{ as } h \rightarrow 0^+ \\ \rightarrow -4, \text{ as } h \rightarrow \infty \end{array}$$

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Constraint: $hb = 200$

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Max value: $(\sqrt{250} - 5) \left(\frac{200}{\sqrt{250}} - 4 \right)$

Maximize: $P := (h - 5)(b - 4) = (h - 5) \left(\frac{200}{h} - 4 \right)$ on $h > 0$

$$\frac{dP}{dh} = \left(\frac{200}{h} - 4 \right) + (h - 5) \left(-\frac{200}{h^2} \right) \text{ on } h > 0$$

$\frac{dP}{dh} = 0$ only at $h = \sqrt{250}$

$\rightarrow \infty$, as $h \rightarrow 0^+$
 $\rightarrow -4$, as $h \rightarrow \infty$

pos on $0 < h < \sqrt{250}$
 neg on $\sqrt{250} < h$

P incr on $0 < h \leq \sqrt{250}$
 P decr on $\sqrt{250} \leq h$

P takes its global max at $h = \sqrt{250}$

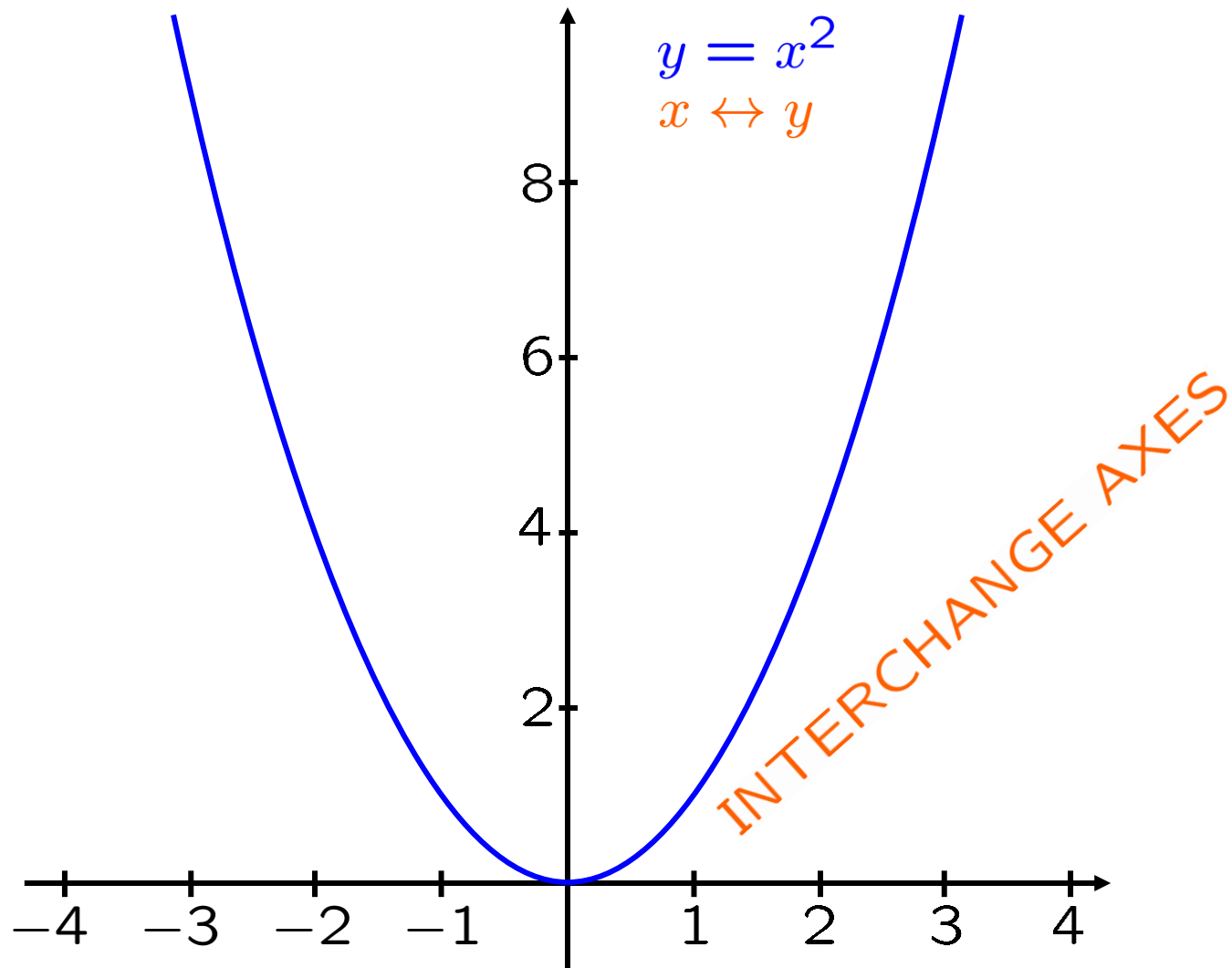
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Constraint: $hb = 200$

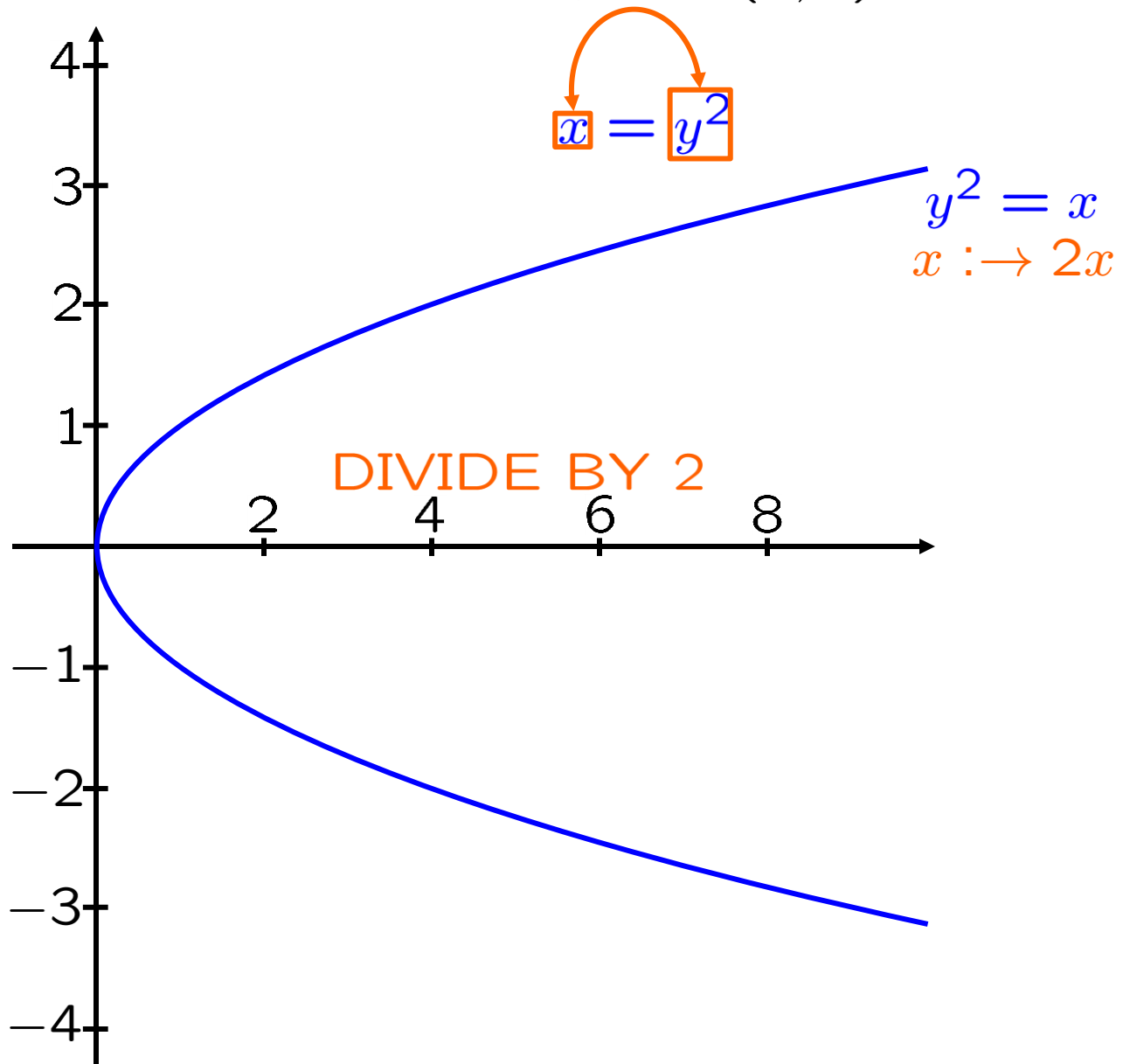
$$[b]_{h: \rightarrow \sqrt{250}} = \frac{200}{\sqrt{250}}$$

SKILL
max-min

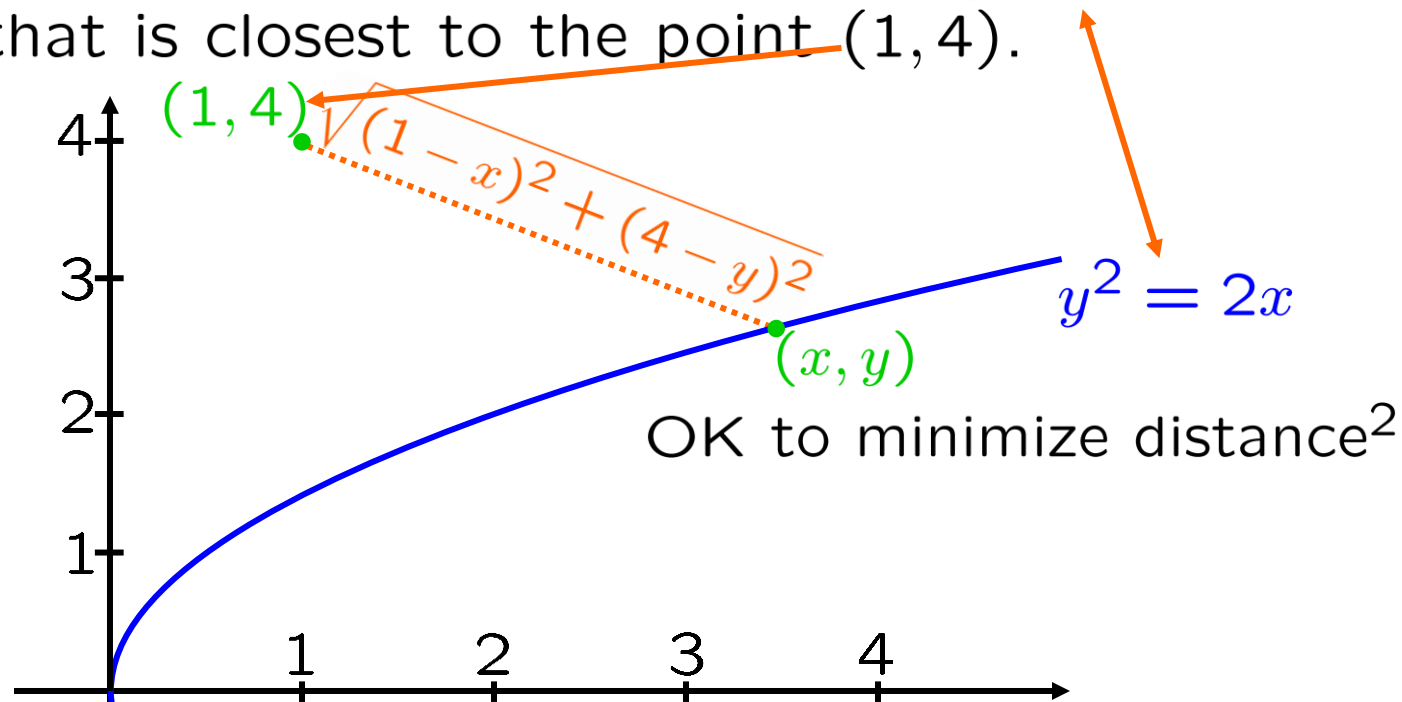
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minimize: $S := (1 - x)^2 + (4 - y)^2$

minimize: $\sqrt{(1 - x)^2 + (4 - y)^2}$

constraint: $2x = y^2$

$f \geq 0$, f^2 attains global maximum at a
 $\Rightarrow f$ attains global maximum at a

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$$x = y^2/2$$

$$\text{minimize: } S := (1 - x)^2 + (4 - y)^2 = (1 - (y^2/2))^2 + (4 - y)^2$$

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constraint: $2x = y^2$

S has global min at $y = 2$

S decr on $y \leq 2$

S incr on $y \geq 2$

$$\frac{dS}{dy} = \frac{d}{dy} [(1 - (y^2/2))^2 + (4 - y)^2]$$

$$= 2(1 - (y^2/2))(-y/2) + 2(4 - y)(-1)$$

$$= -(2 - y^2)y - 2(4 - y)$$

$$= -(2y - y^3) - (8 - 2y)$$

$$= y^3 - 8$$

dS/dy neg on $y < 2$

dS/dy pos on $y > 2$

CRITICAL

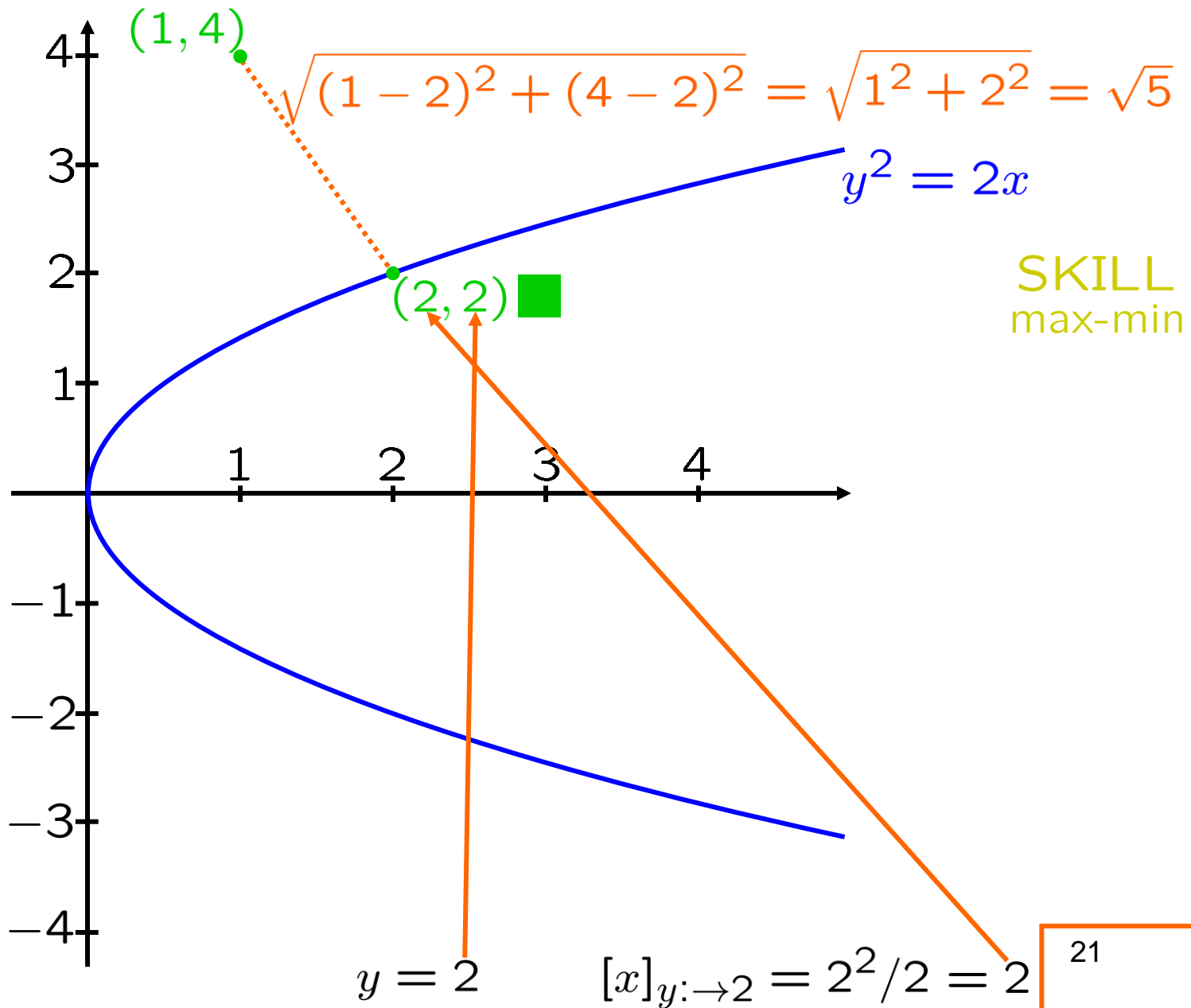
FOR S :

$$y^3 = 8$$

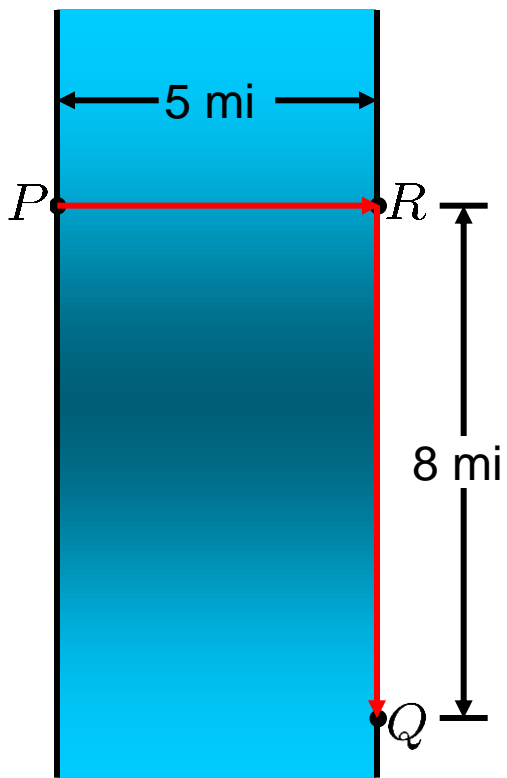
$$y = 2$$

$$[x]_{y \rightarrow 2} = 2^2/2 = 2$$

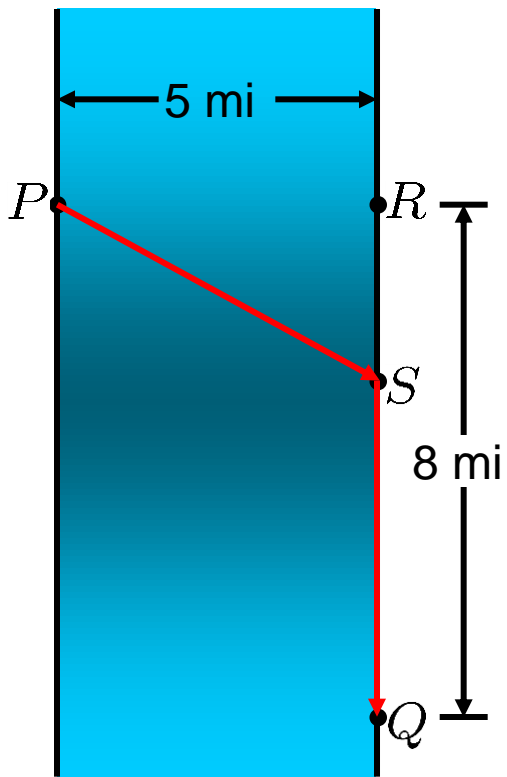
EXAMPLE: Find the point on the parabola $y^2 = 2x$ that is closest to the point $(1, 4)$. distance = ??



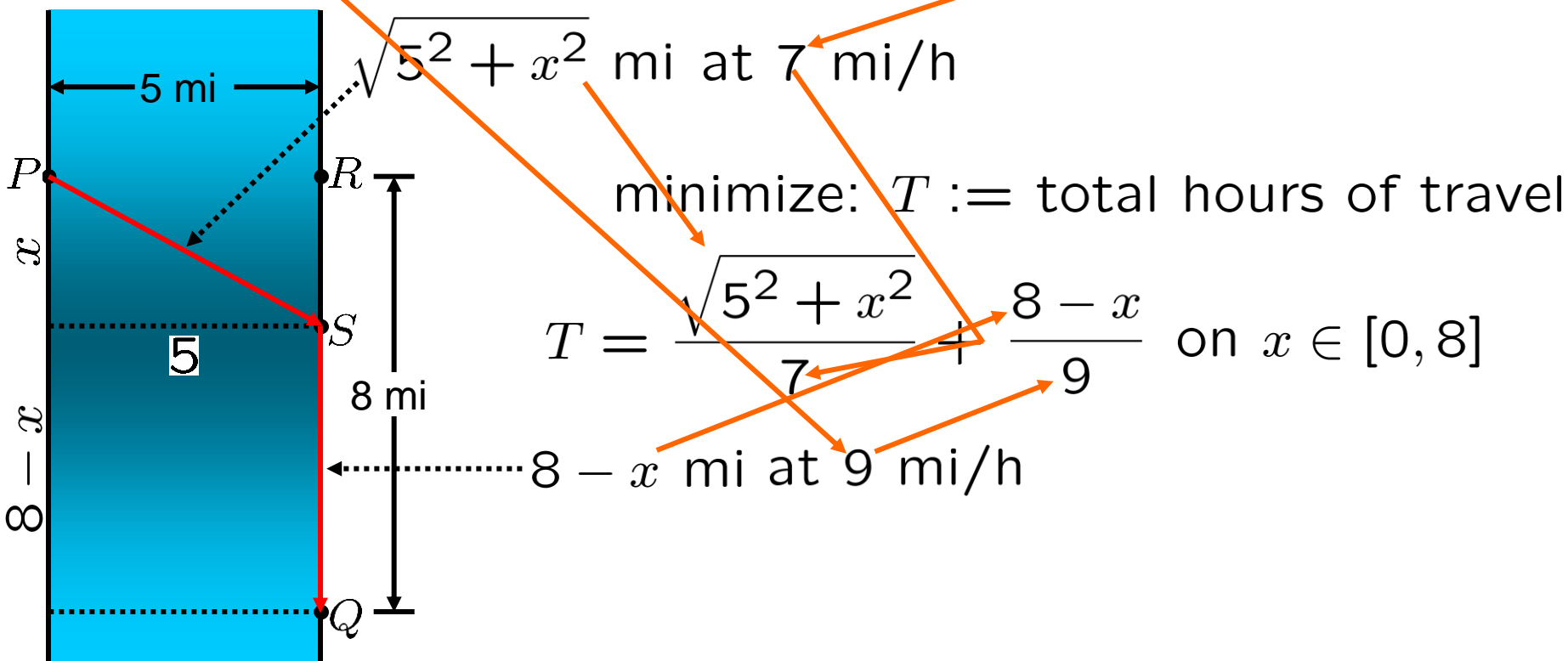
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minimize: $T :=$ total hours of travel

$$T = \frac{\sqrt{5^2 + x^2}}{7} + \frac{8 - x}{9} \text{ on } x \in [0, 8]$$

minimize: $T :=$ total hours of travel

cf. §6.1, p. 105 TH'M 6.2 (EXTREMUM VALUE TH'M):
If D is a compact (i.e., closed, bounded) interval,
and if $f : D \rightarrow \mathbb{R}$ is continuous on D ,
then f has a global max and a global min.

FINDING GLOBAL EXTREMA:

If you know a function has a global max (resp. min),
then you can find it:

compute the values at critical points
and find the largest (resp. the smallest).

minimize: $T :=$ total hours of travel

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CRITICAL FOR T : $x = 0$, $x = 8$ and ...

$$0 = \frac{dT}{dx} = \frac{1}{7} \left[\frac{\cancel{2}x}{\cancel{2}\sqrt{5^2 + x^2}} \right] + \frac{1}{9}(-1)$$

$$7 \times \left(\frac{1}{7} \left[\frac{x}{\sqrt{5^2 + x^2}} \right] = \frac{1}{9} \right)$$

cf. §6.1, p. ... (EXTREME VALUE TH'M):

If D is a compact (i.e., closed, bounded) interval, and if $f : D \rightarrow \mathbb{R}$ is continuous on D , then f has a global max and a global min.

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$$\frac{x}{5} = \frac{7}{4\sqrt{2}}$$

$$\frac{1}{7} \left[\frac{x}{\sqrt{5^2 + x^2}} \right] = \frac{1}{9}$$

$$\frac{5}{x} = \frac{\sqrt{32}}{\sqrt{49}} = \frac{4\sqrt{2}}{7}$$

$$\frac{x}{\sqrt{5^2 + x^2}} = \frac{7}{9}$$

$$\sqrt{\frac{5^2}{x^2} = \frac{81}{49} - 1 = \frac{32}{49}}$$

$$\frac{5^2}{x^2}$$

+ 1

||

$$\frac{5^2 + x^2}{x^2} = \frac{81}{49}$$

27

$$\left(\frac{\sqrt{5^2 + x^2}}{x} = \frac{9}{7} \right)^2$$

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CRITICAL FOR T : $x = 0$, $x = 8$ and $x = \frac{35}{4\sqrt{2}} \doteq 6.19$

$$0 = \frac{dT}{dx} = \frac{1}{7} \left[\frac{\cancel{2}x}{\cancel{2}\sqrt{5^2 + x^2}} \right] + \frac{1}{9}(-1) \quad 5 \times \left(\frac{x}{5} = \frac{7}{4\sqrt{2}} \right)$$

$$\frac{1}{7} \left[\frac{x}{\sqrt{5^2 + x^2}} \right] = \frac{1}{9}$$

$$\frac{x}{\sqrt{5^2 + x^2}} = \frac{7}{9}$$

$$\frac{\sqrt{5^2 + x^2}}{x} = \frac{9}{7}$$

$$\frac{5}{x} = \frac{\sqrt{32}}{\sqrt{49}} = \frac{4\sqrt{2}}{7}$$

$$\frac{5^2}{x^2} = \frac{81}{49} - 1 = \frac{32}{49}$$

$$\frac{5^2}{x^2} + 1$$

\parallel

$$\frac{5^2 + x^2}{x^2} = \frac{81}{49}$$

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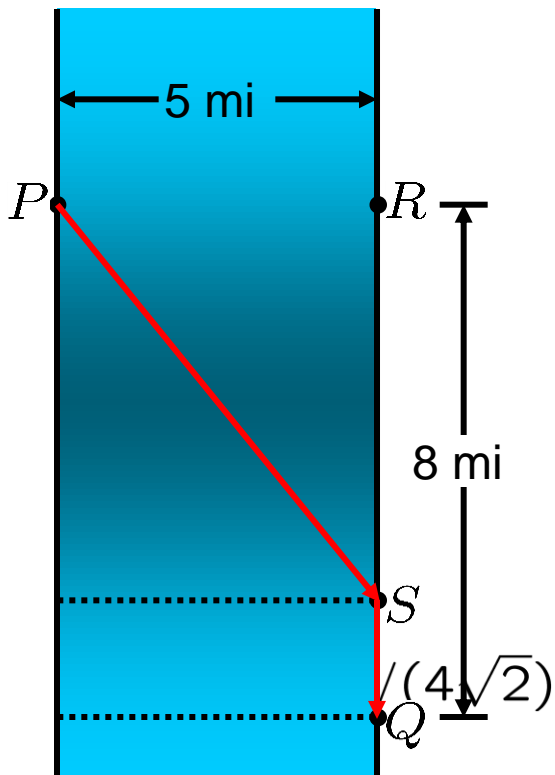
$$[T]_{x \rightarrow 0} = \frac{5}{7} + \frac{8}{9} \doteq 1.60$$

$$[T]_{x \rightarrow 8} = \frac{\sqrt{5^2 + 8^2}}{7} \doteq 1.35$$

$$\begin{aligned} [T]_{x \rightarrow 35/(4\sqrt{2})} &= \frac{\sqrt{5^2 + (35^2/32)}}{7} + \frac{8 - (35/(4\sqrt{2}))}{9} \\ &\doteq \frac{7.9550}{7} + \frac{1.8128}{9} \doteq 1.34 \end{aligned}$$

global
minimum
value

EXAMPLE: A man launches a boat from a point P on a bank of a straight river that is 5 miles wide. He wants to get to a point Q that is on the opposite bank and is 8 miles downstream. He could row directly across the river to point R and then run to Q or he could row to some point S between R and Q and then run to Q . **If** he can row 7 mi/h and run 9 mi/h, **where** should he land to reach Q as soon as possible? (**Assume** that the water speed is negligible.)



$$x = \frac{35}{4\sqrt{2}} \doteq 6.19$$

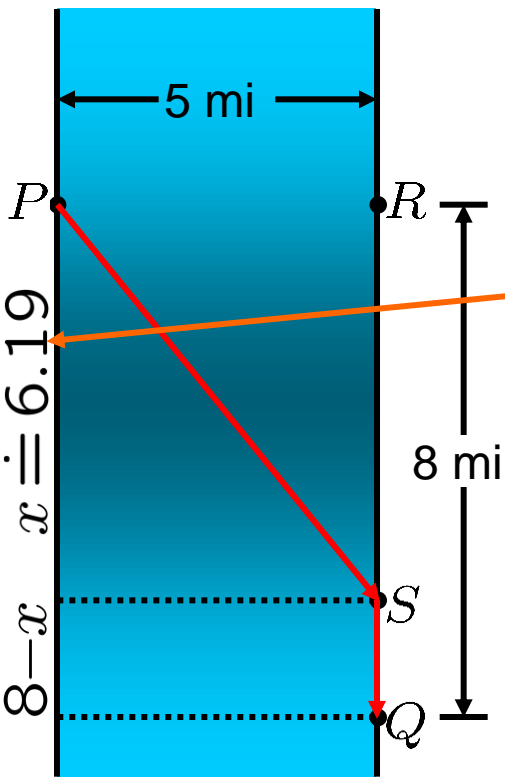
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Total time $\doteq 1.34$ hrs

SKILL
max-min

$$x = \frac{35}{4\sqrt{2}} \doteq 6.19$$



$$[T]_{x \rightarrow 35/(4\sqrt{2})} \doteq 1.34$$

EXAMPLE: A store has been selling 200 laptops per week at \$800 each. A market survey indicates that, for each \$1 reduction in the price, the average number of laptops sold per week will increase by $\frac{1}{2}$.

How large a reduction should the store offer to maximize its revenue?

$x :=$ the price reduction
 $800 - x :=$ the price of a laptop, after reduction
 $R :=$ revenue per week
 $=$ (number laptops sold)(price per laptop)
 $= (200 + \frac{1}{2}x)(800 - x), x \geq 0$

incr on $0 \leq x \leq 200$
 global max at $x = 200$ ■
 decr on $x \geq 200$

$$\frac{dR}{dx} = \frac{1}{2}(800 - x) + (200 + \frac{1}{2}x)(-1)$$

$$= 200 - x, x > 0$$

pos on $0 < x < 200$
 zero only at $x = 200$
 neg on $x > 200$

SKILL max-min
 For you:
 $[R]_{x \rightarrow 200} = ??$

x and y , $x \leq y$

EXERCISE: Find two numbers whose difference is 10 and whose product is a minimum.

Minimum value?

SKILL
max-min

Minimum value: $(-5)(5) = -25$

P takes its global min at $x = -5$

$$[y]_{x \rightarrow -5} = [10 + x]_{x \rightarrow -5} = 10 - 5 = 5 \quad \blacksquare$$

P decreasing on $x \leq -5$

P increasing on $x \geq -5$

negative on $x < -5$
zero only at $x = -5$
positive on $x > -5$

$$\frac{dP}{dx} = 10 + 2x$$

Minimize: $P := xy = x(10 + x) = 10x + x^2$

Constraint: $y - x = 10$, i.e., $y = 10 + x$

EXERCISE: Find the dimensions of a rectangle with area 2,000 ft² whose perimeter is minimal.

Minimum value?

Minimum value: $2\sqrt{2000} + 2\sqrt{2000} = 4\sqrt{2000}$

P takes its global min at $x = \sqrt{2000}$

$[y]_{x \rightarrow \sqrt{2000}} = [2000/x]_{x \rightarrow \sqrt{2000}} = \sqrt{2000}$ ■

P decreasing on $0 < x \leq \sqrt{2000}$

P increasing on $x \geq \sqrt{2000}$

$\frac{dP}{dx} = 0$ only at $x = \sqrt{2000}$

$\frac{dP}{dx} = 2 - \frac{4000}{x^2}$ on $x > 0$
 neg on $0 < x < \sqrt{2000}$
 pos on $x > \sqrt{2000}$

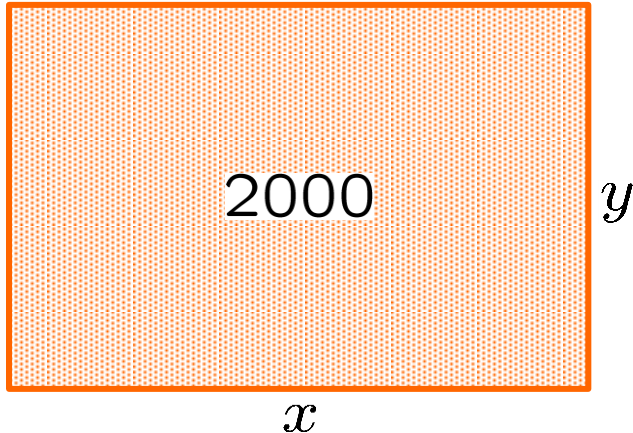
$2x + \frac{4000}{x}$ on $x > 0$

||

Minimize: $P := 2x + 2y = 2x + 2(2000/x)$

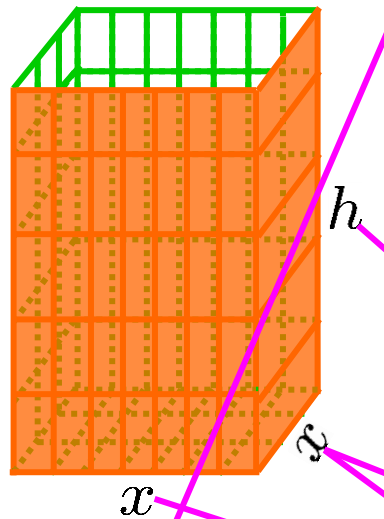
Constraint: $xy = 2000$, i.e., $y = 2000/x$

SKILL
max-min



EXERCISE: A box with a square base and open top must have a volume of $16,000 \text{ ft}^3$. Find the dimensions of the box that minimizes the amount of material used.

vol = 16000



Minimize: $M := x^2 + 4xh$

BOTTOM OF BOX

A SIDE OF BOX

ALL FOUR SIDES

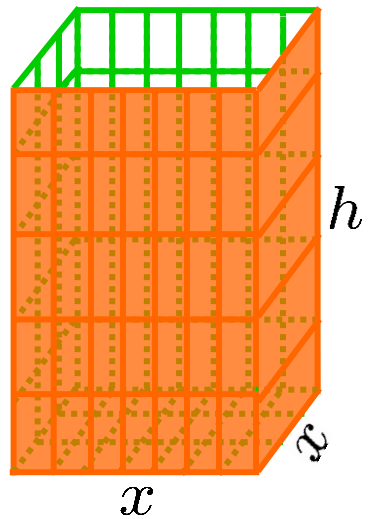
EXERCISE: A box with a square base and open top must have a volume of $16,000 \text{ ft}^3$. Find the dimensions of the box that minimizes the amount of material used.

Minimum value: $(\sqrt[3]{32000})^2 + (64000 / \sqrt[3]{32000})$

SKILL
max-min

M takes its global min at $x = \sqrt[3]{32000}$
 $[h]_{x \rightarrow \sqrt[3]{32000}} = 16000 / (\sqrt[3]{32000})^2$ ■

vol = 16000



M decreasing on $0 < x \leq \sqrt[3]{32000}$
 M increasing on $x \geq \sqrt[3]{32000}$

$\frac{dM}{dx} = 0$ only at $x = \sqrt[3]{32000}$
 neg on $0 < x < \sqrt[3]{32000}$
 pos on $x > \sqrt[3]{32000}$

$\frac{dM}{dx} = 2x - \frac{64000}{x^2}$ on $x > 0$

$x^2 + (64000/x)$ on $x > 0$

Minimize: $M := x^2 + 4xh = x^2 + 4x(16000/x^2)$

$h = 16000/x^2$

Constraint: $x^2 h = 16000$

EXERCISE: Find the point on the line $5x + y = 3$ that is closest to the point $(-4, 4)$.

For you: Graph $5x + y = 3$ and plot $(-4, 4)$.

Minimize: $\text{dist}((x, y), (-4, 4)) = \sqrt{(x - (-4))^2 + (y - 4)^2}$

Constraint: $5x + y = 3$, i.e., $y = 3 - 5x$

Minimize: $s = (x - (-4))^2 + (y - 4)^2$

$f \geq 0$, f^2 attains global minimum at a
 $\Rightarrow f$ attains global minimum at a

EXERCISE: Find the point on the line $5x + y = 3$ that is closest to the point $(-4, 4)$. min distance?

For you: Graph $5x + y = 3$ and plot $(-4, 4)$.

Minimize: $\text{dist}((x, y), (-4, 4)) = \sqrt{(x - (-4))^2 + (y - 4)^2}$

Constraint: $5x + y = 3$, i.e., $y = 3 - 5x$

Minimize: $s = (x - (-4))^2 + (y - 4)^2$
 $= (x + 4)^2 + (3 - 5x - 4)^2$
 $= (x + 4)^2 + (-5x - 1)^2$

decr on $x \leq -9/26$
 incr on $x \geq -9/26$

$ds/dx = 2(x + 4)(1) + 2(-5x - 1)(-5)$
 $= (2x + 8) + 2(25x + 5) = 52x + 18$

neg on $x < -9/26$
 pos on $x > -9/26$

$ds/dx = 0$ only at $x = -18/52 = -9/26$

s takes its global min at $x = -9/26$

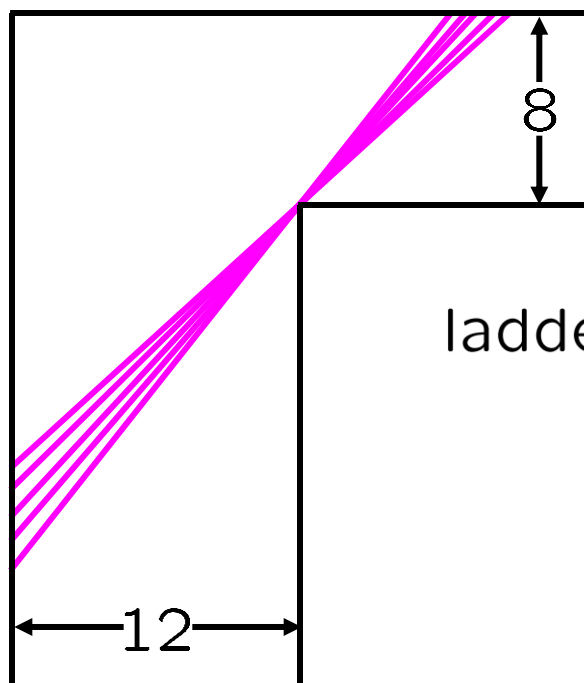
$[y]_{x \rightarrow -9/26} = 3 - 5(-9/26) = 4 + (19/26)$

Closest point: $(-9/26, 4 + (19/26))$ ■

For you: $\text{dist}((-9/26, 4 + (19/26)), (-4, 4))$

EXERCISE: A ladder is being carried down a hallway 12 ft wide. At the end of the hall there is a right-angle turn into a narrower hallway 8 ft wide. **What** is the length of the longest ladder that can be carried horizontally around the corner?

Focus on one purple line segment . . .

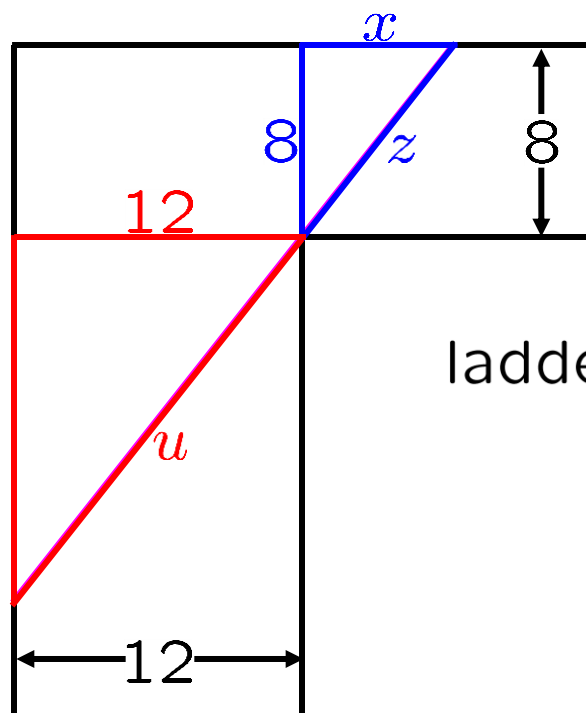


ladder length \leq length purple line segments

max ladder length = min length of purple line segments

EXERCISE: A ladder is being carried down a hallway 12 ft wide. At the end of the hall there is a right-angle turn into a narrower hallway 8 ft wide. **What** is the length of the longest ladder that can be carried horizontally around the corner?

$$x > 0$$



$$\text{Constraint: } z = \sqrt{x^2 + 8^2}$$

$$\frac{z}{x} = \frac{u}{12}$$

ladder length \leq length purple line segments

max ladder length = min length of purple line segments

$$\text{Minimize: } L = z + u$$

$$\text{Minimize: } L = z + u$$
$$x > 0$$

$$x > 0$$

$$\text{Constraint: } z = \sqrt{x^2 + 8^2}$$

$$12 \times \left(\frac{z}{x} = \frac{u}{12} \right)$$

$$\frac{12z}{x} = u$$

Minimize: $L = z + u$
 $x > 0$

$$= z + \frac{12z}{x}$$

$$= \left[1 + \frac{12}{x}\right] z$$

Constraint: $z = \sqrt{x^2 + 8^2}$

Minimize: $S := L^2$

$$S = \left[1 + \frac{12}{x}\right]^2 z^2$$

$$= \left[1 + \frac{12}{x}\right]^2 [x^2 + 8^2]$$

$$\frac{z}{x} = \frac{u}{12}$$

$$\frac{12z}{x} = u$$

$f \geq 0$, f^2 attains global minimum at a
 $\Rightarrow f$ attains global minimum at a

Minimize: L
Minimize: I
Minimize: $S := L^2$
 $x > 0$

$$S = \left[1 + \frac{12}{x}\right]^2 [x^2 + 8^2]$$
$$x > 0$$

$\forall x > 0,$

Minimize: $S := I^2$

$$\frac{dS}{dx} = \left[1 + \frac{12}{x}\right]^2 [2x] + 2 \left[1 + \frac{12}{x}\right] \left[-\frac{12}{x^2}\right] [x^2 + 8^2]$$

$$= \left[1 + \frac{12}{x}\right]^2 [x^2 + 8^2]$$

Minimize: L

Minimize: $S := L^2$

$$S = \left[1 + \frac{12}{x}\right]^2 [x^2 + 8^2]$$

$x > 0$

decr on $0 < x \leq \sqrt[3]{12 \cdot 8^2}$
global min at $x = \sqrt[3]{12 \cdot 8^2}$
incr on $x \geq \sqrt[3]{12 \cdot 8^2}$

$\forall x > 0,$

$$\frac{dS}{dx} = \left[1 + \frac{12}{x}\right]^2 [2x] + 2 \left[1 + \frac{12}{x}\right] \left[-\frac{12}{x^2}\right] [x^2 + 8^2]$$

expand expand

$$= 2 \left[1 + \frac{12}{x}\right] \left(\left[1 + \frac{12}{x}\right] x + \left[-\frac{12}{x^2}\right] [x^2 + 8^2] \right)$$

$$= 2 \left[1 + \frac{12}{x}\right] \left(x + \cancel{12} - \cancel{12} - \frac{12 \cdot 8^2}{x^2} \right)$$

$$= 2 \left[1 + \frac{12}{x}\right] \left(x - \frac{12 \cdot 8^2}{x^2} \right)$$

neg on $0 < x < \sqrt[3]{12 \cdot 8^2}$
zero only at $x = \sqrt[3]{12 \cdot 8^2}$
pos on $x > \sqrt[3]{12 \cdot 8^2}$

Minimize: L 😊

Minimize: $S := L^2$ 😊 $L = S^{1/2}$

$$S = \left[1 + \frac{12}{x}\right]^2 [x^2 + 8^2]$$

$x > 0$

decr on $0 < x \leq \sqrt[3]{12 \cdot 8^2}$
global min at $x = \sqrt[3]{12 \cdot 8^2}$
incr on $x \geq \sqrt[3]{12 \cdot 8^2}$

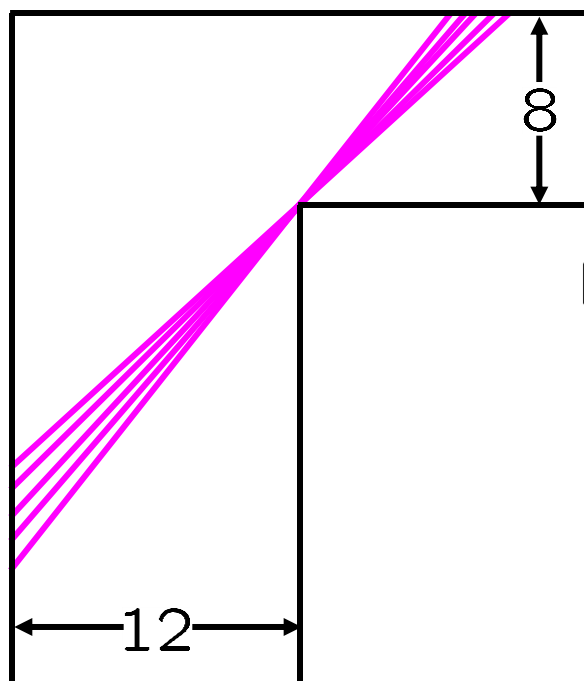
$$\begin{aligned} [S]_{x \rightarrow \sqrt[3]{12 \cdot 8^2}} &= [S]_{x \rightarrow 12^{1/3} \cdot 8^{2/3}} \\ &= \left[1 + \frac{12}{12^{1/3} \cdot 8^{2/3}}\right]^2 \left[(12^{1/3} \cdot 8^{2/3})^2 + 8^2\right] \end{aligned}$$

minimum value of S

$$\begin{aligned} [L]_{x \rightarrow \sqrt[3]{12 \cdot 8^2}} &= [S^{1/2}]_{x \rightarrow \sqrt[3]{12 \cdot 8^2}} \\ &= \left[1 + \frac{12}{12^{1/3} \cdot 8^{2/3}}\right] \left[(12^{1/3} \cdot 8^{2/3})^2 + 8^2\right]^{1/2} \end{aligned}$$

minimum value of L

EXERCISE: A ladder is being carried down a hallway 12 ft wide. At the end of the hall there is a right-angle turn into a narrower hallway 8 ft wide. **What** is the length of the longest ladder that can be carried horizontally around the corner?



SKILL
max-min

longest ladder: $\left[8^{2/3} + 12^{2/3}\right]^{3/2}$ ft ■

$$\left[8^{2/3} + 12^{2/3}\right]^{3/2}$$

||exercise

$$\left[1 + \frac{12}{12^{1/3} \cdot 8^{2/3}}\right] \left[(12^{1/3} \cdot 8^{2/3})^2 + 8^2\right]^{1/2}$$

minimum length of a purple line segment

SKILL
max-min

Whitman problems

§6.1, p. 115–118, #2-34

