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## Review/Outline

### Recall: Looking for good codes

High info rate vs. high min distance

Hamming bound for arbitrary codes

Gilbert-Varshamov bound for linear codes

### Linear algebra, row reduction

to detect linear dependence

to determine linear dependence relations

Computing dimensions

... of row spaces of matrices

### Specifying linear codes

(...as task apart from error correction)

Generating matrix

Check matrix

### Cyclic codes

Naive idea for generating matrix

Reinterpret as polynomial algebra

Shifting as mult by  $x$

Wrap-around as  $\% (x^n - 1)$

Unique factorization ...

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## How to make check matrices?

**Definition:** One **standard form** for an  $m$ -by- $n$  generating matrix  $G$  for a code  $C$  is a matrix presented in **blocks**, of the form

$$G = ( I_m \quad A )$$

where as usual  $I_m$  is the  $m$ -by- $m$  **identity matrix** and  $A$  is an arbitrary  $m$ -by- $(n - m)$  matrix.

**The code given by such a generating matrix has dimension  $m$** , because the generating matrix is row-reduced already, and its rows are linearly independent.

Then a check matrix is

$$H = ( -A^t \quad I_{n-m} ) = (n - m)\text{-by-}n$$

**Example:** With generating matrix, over  $\mathbf{F}_2$ ,

$$G = (I_4 \quad A) = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 \end{pmatrix}$$

a check matrix is

$$H = (-A^t \quad I_{7-4}) = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

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## Cyclic codes

**Cyclic codes** still allow the conclusion of Shannon's theorem, so we're not searching for something that's not there when we look for good codes among the cyclic ones.

**Definition:** A (binary) **cyclic code**  $C$  is specified by a vector

$$v = (v_1, v_2, v_3, \dots, v_n)$$

the **generator** (of some length  $n$ , which will be the block length). A generator matrix is obtained by taking rows to be  $v$  and all other length  $n$  vectors obtained by *cycling forward* the entries of  $v$  (with wrap-around):

$$G = \begin{pmatrix} v_1 & v_2 & v_3 & \dots & v_n \\ v_n & v_1 & v_2 & \dots & v_{n-1} \\ v_{n-1} & v_n & v_1 & \dots & v_{n-2} \\ \dots & & & & \\ v_2 & v_3 & \dots & v_n & v_1 \end{pmatrix}$$

**Example:** With generator  $v = 11100$ , the associated cyclic code has generator matrix

$$G = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 \end{pmatrix}$$

**Remark:** Very often the cycled vectors are *not* linearly independent, the *dimension* of the code is not obvious.

**Remark:** How to make a check matrix for a cyclic code?

## The underlying mechanism:

The shift-with-wraparound has a useful interpretation in terms of polynomial algebra.

Interpret a length  $n$  vector  $v = (v_0, v_1, \dots, v_{n-1})$  as a polynomial

$$p(x) = v_0 + v_1x + v_2x^2 + \dots + v_{n-2}x^{n-2} + v_{n-1}x^{n-1}$$

with coefficients in *ascending* order.

- Shifting to the right *without* wrap-around is *multiplication by  $x$* .
- *Wrap-around* is  $\% (x^n - 1)$

**Remark:** We believe that reduction modulo a polynomial interacts well with addition and multiplication.

A linear dependence relation among the shifts would be an equation

$$\begin{aligned} (c_0 p(x) + c_1 x p(x) + \dots + c_{n-1} x^{n-1} p(x)) \% (x^n - 1) \\ = 0 \end{aligned}$$

Make a polynomial  $q(x)$  from the coefficients of the alleged relation,

$$q(x) = c_0 + c_1 x + \dots + c_{n-1} x^{n-1}$$

Then the alleged relation is

$$(q(x) \cdot p(x)) \% (x^n - 1) = 0$$

That is,  $\boxed{(x^n - 1) \text{ divides } q(x) \cdot p(x)}$

In terms of *unique factorization into irreducible polynomials*, any factors of  $p(x)$  or of  $q(x)$  that are not shared by  $x^n - 1$  cannot help  $x^n - 1$  divide the product  $q \cdot p$ .

Also, the whole code  $C$  is the set of polynomial multiples of  $p(x)$  reduced modulo  $x^n - 1$

$$C = \{(r(x) \cdot p(x)) \% (x^n - 1) : r(x) \text{ arbitrary} \}$$

In terms of unique factorization, the cyclic code generated by vector  $v$  is determined by the shared factors of  $x^n - 1$  and  $v$ :

**Proposition:** Let  $v$  be a length  $n$  vector. Let  $v = a(x) \cdot b(x)$  where  $a(x)$  has no common factor with  $x^n - 1$ . Then

$$\begin{aligned} & \text{cyclic code } C \text{ gen'd by } v \\ & = \text{cyclic code gen'd by } b \end{aligned}$$

The **dimension** of the code is

$$\dim C = n - \deg \gcd(x^n - 1, v)$$

The **dual code**  $C^\perp$  to  $C$  is also *cyclic*, generated by

$$h(x) = \frac{x^n - 1}{\gcd(x^n - 1, v)} \text{ with coefficients reversed}$$

For example,

$$\begin{aligned} & x^5 + 2x^3 + 5x + 3 \text{ with coefs reversed} \\ & = 1 + 2x^2 + 5x^4 + 3x^5 = 3x^5 + 5x^4 + 2x^2 + 1 \end{aligned}$$



**Further**, a generator matrix with **linearly independent rows** is made from

$$g = \gcd(x^n - 1, v)$$

by padding  $g$  with 0's on the right until it has the same size as the block length, then right shifting (with wrap-around) **only** until the original  $g$  touches the right edge of the matrix.

**Further**, a check matrix with **linearly independent rows** is made from

$$h = (x^n - 1) / \gcd(x^n - 1, v)$$

by padding  $h(x)$  with 0's on the right until it has the same size as the block length, then right shifting (with wrap-around) **only** until the original  $h(x)$  touches the right edge of the matrix.

**Remark:** Depending on one's frame of mind, it may be more attractive to say, more simply,

$$h(x) = \frac{x^n - 1}{\gcd(x^n - 1, g)}$$

**but** then the check matrix is **not** made from  $h(x)$  in the same way that the generator matrix was made from  $g(x)$ . That is, the coefficients of  $g(x)$  are put into the matrix in *ascending* degree. If we don't reverse  $h(x)$  when we *make* it in the first place, then we *must* reverse it when we put it into the check matrix, thus putting its coefficients in in *descending* order rather than *ascending*. Confusing...

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**Example:** Let  $C$  be a cyclic binary code of length 7 with generator polynomial 100011. Find the dimension of  $C$  and find a check matrix for  $C$ .

**Remark:** True, we can write out a generator matrix  $G$  for  $C$ , specified by the very definition of *cyclic code*: its top row is 100011 padded by 0's on the right to make it length 7, namely 1000110. Then cycle until it repeats:

$$G = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}$$

But this does not give much idea about dimension, nor of a check matrix.

First, compute

$$\begin{aligned}\gcd(x^{\text{length}} - 1, v) &= \gcd(x^7 - 1, 1 + x^4 + x^5) \\ &= 1 + x + x^3\end{aligned}$$

by Euclid. Arrange in ascending degree and padded by 0's on the right, this gives generator matrix

$$G = \begin{pmatrix} 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}$$

(stopping when the coefficients of the *gcd* bump into the right-hand edge), with *linearly independent rows*. So the dimension of this code is 4.

The generator for the dual code and/or check matrix is

$$h(x) = \frac{x^{\text{length}} - 1}{\gcd(x^{\text{length}} - 1, v)} \text{with coefs reversed}$$

$$\begin{aligned} \frac{x^{\text{length}} - 1}{\gcd(x^{\text{length}} - 1, v)} &= \frac{x^7 - 1}{x^3 + x + 1} \\ &= x^4 + x^2 + x + 1 \end{aligned}$$

So, reversing the coefficients,  $h(x) = 1 + x^2 + x^3 + x^4$ . Arranged in ascending degree, padded, and shifted until it touches the right edge, gives check matrix

$$H \begin{pmatrix} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{pmatrix}$$

(Just checking, in case you don't believe that this works,

$$GH^t = \begin{pmatrix} 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}$$
$$= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Ha!

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