

(August 17, 2014)

## Outline of basic complex analysis

Paul Garrett garrett@math.umn.edu http://www.math.umn.edu/~garrett/

Construction of complex numbers as quotient ring of polynomials with real coefficients:  $\mathbb{C} = \mathbb{R}[X]/\langle X^2 + 1 \rangle$

Elementary algebra and geometry of complex numbers: multiplication is  $(a+bi)(c+di) = (ac-bd) + i(bc+ad)$ , conjugation  $\overline{a+bi} = a-bi$  is the unique  $\mathbb{R}$ -linear field automorphism of  $\mathbb{C}$  other than the identity map.

Absolute value  $|a+bi| = \sqrt{a^2+b^2} = \sqrt{(a+bi)(a-bi)} = \sqrt{(a+bi)(\overline{a+bi})}$ . Conjugation preserves multiplication in the sense that  $\overline{\alpha\beta} = \overline{\alpha} \cdot \overline{\beta}$  so absolute value preserves multiplication.

Metric: for  $\alpha, \beta \in \mathbb{C}$ , distance from  $\alpha$  to  $\beta$  is  $|\alpha - \beta|$ . Matches Euclidean distance.

The exponential function  $e^z = \sum_{n \geq 0} z^n/n!$ , property  $e^{z+w} = e^z \cdot e^w$  from binomial theorem  $(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^j y^{n-j}$ , where  $\binom{n}{j} = \frac{n!}{j!(n-j)!}$

Under multiplication, lengths *multiply*, angles *add*

Euler's identity  $e^{i\theta} = \cos \theta + i \sin \theta$ , trig functions in terms of exponentials:  $\cos z = \frac{e^{iz} + e^{-iz}}{2}$ ,  $\sin z = \frac{e^{iz} - e^{-iz}}{2i}$ , trigonometric identities

Abel's theorem: *real-analytic* functions, that is, functions on open subsets of  $\mathbb{R}$  given by convergent power series, are *differentiable*, and the derivative is given by term-wise differentiation of the power series

Complex differentiation:  $f'(z) = \lim_{h \rightarrow 0} \frac{f(z+h) - f(z)}{h}$  with  $h$  complex

Abel's theorem for complex power series: convergent power series are *complex-differentiable*

Complex-differentiable functions  $f$  preserve angles at points  $z_o$  with  $f'(z_o) \neq 0$ , that is, are *conformal*

Examples of conformal mappings

Path integrals  $\int_{\gamma} f = \int_a^b f(\gamma(t)) \gamma'(t) dt$  for  $\gamma: [a, b] \rightarrow \mathbb{C}$ . Independence of parametrization

Winding number of  $\gamma$  about  $z_o$  is  $\frac{1}{2\pi i} \int_{\gamma} \frac{dz}{z-z_o}$ . Introduction to *homotopy* and *homology*

Cauchy-Goursat theorem: complex-differentiable implies vanishing of path integrals  $\int_{\gamma} f(z) dz$  around triangles  $\gamma$

Simplest case of Cauchy formulas: for  $\gamma$  a simple closed curve traced counter-clockwise, with  $z_o$  in its interior,  $f(z_o) = \frac{1}{2\pi i} \int_{\gamma} \frac{f(z) dz}{z-z_o}$ , and  $f^{(n)}(z_o) = \frac{n!}{2\pi i} \int_{\gamma} \frac{f(z) dz}{(z-z_o)^{n+1}}$ ,

Cauchy: complex-differentiable functions  $f$  have convergent power series expansions

$f(z) = \sum_{n \geq 0} c_n (z - z_o)^n$ , where as expected  $c_n = \frac{f^{(n)}(z_o)}{n!}$

Liouville's theorem: *bounded* complex-differentiable functions are *constants*. Corollary (sometimes called the *fundamental theorem of algebra*): any complex-coefficiented polynomial of degree  $n$  has  $n$  zeros in  $\mathbb{C}$ .

Complex differentiability of  $f$  implies Cauchy-Riemann equation  $\frac{\partial f}{\partial \bar{z}} = 0$ . Separating real and imaginary parts, with  $f(x+iy) = u(x, y) + iv(x, y)$ , the Cauchy-Riemann equation becomes

$$\frac{\partial f}{\partial x} = \frac{\partial f}{\partial iy} = -i \frac{\partial f}{\partial y} \quad \text{or} \quad \frac{\partial u}{\partial x} = \frac{\partial v}{\partial y} \quad \text{and} \quad \frac{\partial v}{\partial x} = -\frac{\partial u}{\partial y}$$

*Holomorphy* as synonym for complex-differentiability and for complex-analyticity

*Identity principle*: If two holomorphic functions on a connected open set agree at a sequence of points having a limit point in that open set, then the two functions are equal *everywhere*. Applications to proving identities.

Logarithms  $\log z = \int_1^z \frac{dz}{z}$ , multi-valued *argument* function. Failure of  $\log(zw) = \log z + \log w$  without additional hypotheses

*Argument principle*: the number of 0's of  $f$ , counting multiplicities, inside a simple closed curve  $\gamma$  is  $\frac{1}{2\pi i} \int_{\gamma} \frac{f'}{f}$ .

Isolated singularities, Laurent expansions  $f(z) = \sum_{n \in \mathbb{Z}} c_n (z - z_o)^n$  for  $r' < |z - z_o| < R'$ . Formulas for coefficients, for  $r' < r < R < R'$ , with  $\gamma_r = \{z : |z - z_o| = r\}$  and  $\gamma_R = \{z : |z - z_o| = R\}$ ,

$$c_n = \begin{cases} \frac{1}{2\pi i} \int_{\gamma_R} \frac{f(\zeta) d\zeta}{(\zeta - z_o)^{n+1}} & (\text{for } n \geq 0) \\ \frac{1}{2\pi i} \int_{\gamma_r} \frac{f(\zeta) d\zeta}{(\zeta - z_o)^{-n+1}} & (\text{for } n < 0) \end{cases}$$

The *residue*  $\text{Res}_{z=z_o} f(z)$  of  $f$  at isolated singularity  $z_o$  is the  $-1$  Laurent coefficient.

Simple case of *residue theorem*: for simple closed curve  $\gamma$ , summing over (isolated) singularities  $z_o$  of  $f$  inside  $\gamma$ ,  $\int_{\gamma} f(z) dz = 2\pi i \sum_{z_o} \text{Res}_{z=z_o} f(z)$ .

Evaluation of integrals by residues: examples

Basic ideas about *homotopy* and *homology*, and fancier versions of Cauchy's theorems

---

Maximum modulus principle: maximum absolute value occurs on the *boundary*, and is strictly greater than interior points except for *constant* functions.

Rouché's theorem counting zeros of nearby functions: for a simple closed curve  $\gamma$  in an open set  $U$ , and  $f, g$  holomorphic on  $U$  with  $|f - g| < |f|$  on  $\gamma$ , then  $f$  and  $g$  have the same number of zeros inside  $\gamma$ .

Corollaries of Rouché: open mapping theorem, analytic dependence of roots on parameters, ...

---

More on isolated singularities: poles (finitely-many negative-index Laurent terms) versus *essential* singularities (infinitely-many negative-index Laurent terms). *Meromorphic* functions have only *poles*.

Casorati-Weierstrass theorem: in every neighborhood of an essential singularity of a function, the function comes arbitrarily near every complex value.

---

Morera's theorem: vanishing of integrals along small closed paths implies holomorphy. Indeed, vanishing of integrals along all small *triangles* suffices.

Corollary: uniform pointwise limits of holomorphic functions are holomorphic

Corollary: Schwarz' reflection principle: Let  $U$  be a non-empty open set inside the upper half-plane, with the closure of  $U$  meeting  $\mathbb{R}$  in an interval  $I$ . Any function  $f$  holomorphic on  $U$  and extending continuously to  $U \cup I$  extends to a holomorphic function on  $U \cup I \cup U^{\text{ref}}$ , where  $U^{\text{ref}}$  is the copy of  $U$  *reflected* across the real axis, namely,  $U^{\text{ref}} = \{\bar{z} : z \in U\}$ , by the formula  $f(\bar{z}) = \overline{f(z)}$ .

Variant reflection principle: replace the real line with the unit circle, and complex conjugation  $z \rightarrow \bar{z}$  with  $z \rightarrow 1/\bar{z}$ .

Linear fractional (Möbius) transformations  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} : z \rightarrow \frac{az + b}{cz + d}$

Schwarz' lemma: For  $f$  holomorphic on the open unit disk in  $\mathbb{C}$  with  $|f(z)| < 1$  on that disk and  $f(0) = 0$ , then  $|f(z)| \leq |z|$  for all  $z$  in the disk, and  $|f'(0)| \leq 1$ . Further, if  $|f(z)| = |z|$  for some  $z$ , or if  $|f'(0)| = 1$ , then  $f(z) = c \cdot z$  for some  $|c| = 1$ .

Automorphisms of the Riemann sphere, of the disk, of the upper half-plane. Hyperbolic 2-space: Poincaré model, Beltrami model.

---

Riemann mapping theorem

Example: disks with concentric slits

---

Harmonic functions: mean value theorem, Poisson's integral formula for disks

Harmonic functions in punctured disks

---

Partial fraction expansions of functions with prescribed poles, such as

$$\frac{\pi^2}{\sin^2 \pi z} = \sum_{n \in \mathbb{Z}} \frac{1}{(z - n)^2}$$

Weierstrass product expansions of entire functions with given zeros. Example: Euler's factorization

$$\sin \pi z = \pi z \cdot \prod_{n=1}^{\infty} \left(1 - \frac{z^2}{n^2}\right)$$

Order and genus of entire functions

Hadamard product expansions of entire functions

---

Differential equations  $u'' + b(z)u' + c(z)u = 0$ , ordinary points, regular singular points, asymptotics

Algebraic functions and Riemann surfaces

---

Elliptic integrals, elliptic functions (doubly-periodic meromorphic functions). Weierstrass' equation  $\wp'(z)^2 = 4\wp(z)^3 - 60g_2\wp(z) - 140g_3$

Elliptic modular functions

---

Genus of a compact, connected surface is the number of *handles* (!?!?)

The uniformization theorem: every compact, connected Riemann surface of *genus*  $\geq 2$  is a quotient of the unit disk. (Genus 1 compact surfaces are quotients of  $\mathbb{C}$ , and genus 0 surfaces are the Riemann sphere  $\mathbb{P}^1$ .)

Riemann's existence theorem: every compact, connected Riemann surface admits a non-constant meromorphic function, so is a covering

Riemann-Hurwitz formula for genus of covering-space in terms of *ramification* over the base space.

Riemann-Roch theorem

---

Gamma function (Euler's integral)  $\Gamma(s) = \int_0^\infty e^{-t} t^s \frac{dt}{t}$ , Stirling's asymptotic formula

Riemann's zeta function  $\zeta(s) = \sum_{n=1}^\infty \frac{1}{n^s}$ , Euler's product  $\zeta(s) = \prod_{p \text{ prime}} \frac{1}{1-p^{-s}}$ ,

Jensen's formula counting zeros

Phragmén-Lindelöf theorem

Hadamard's three-circle theorem

Riemann's Explicit Formula

---

Topics from several complex variables...

---