

*Recap:*

**Theorem:** Two norms  $|\ast|_1$  and  $|\ast|_2$  on  $k$  give the same *non-discrete* topology on a field  $k$  if and only if  $|\ast|_1 = |\ast|_2^t$  for some  $0 < t \in \mathbb{R}$ . [Last time]

**Theorem:** Over a complete, non-discrete normed field  $k$ ,

- A *finite-dimensional*  $k$ -vectorspace  $V$  has just one Hausdorff topology so that vector addition and scalar multiplication are continuous (a *topological vectorspace* topology). All linear endomorphisms are *continuous*.
- A finite-dimensional  $k$ -subspace  $V$  of a topological  $k$ -vectorspace  $W$  is necessarily a *closed* subspace of  $W$ .
- A  $k$ -linear map  $\phi : X \rightarrow V$  to a finite-dimensional space  $V$  is continuous if and only if the kernel is closed.

**Corollary:** Finite field extensions  $K$  of complete, non-discrete  $k$  have unique Hausdorff topologies making addition and multiplication continuous.

**Constructions/existence:** For any Dedekind domain  $\mathfrak{o}$ , and for a non-zero prime  $\mathfrak{p}$  in  $\mathfrak{o}$ , the  $\mathfrak{p}$ -adic norm is

$$|x|_{\mathfrak{p}} = C^{-\text{ord}_{\mathfrak{p}} x} \quad (\text{where } x \cdot \mathfrak{o} = \mathfrak{p}^{\text{ord}_{\mathfrak{p}} x} \cdot \text{prime-to-}\mathfrak{p})$$

and  $C > 1$  is a constant. Since this norm is ultrametric/non-archimedean, the choice of  $C$  does not immediately matter, but it *can* matter in interactions of norms for varying  $\mathfrak{p}$ , as in the **product formula** for number fields and function fields. Recall the product formula for  $\mathbb{Q}$ :

$$\prod_{v \leq \infty} |x|_v = 1 \quad (\text{for } x \in \mathbb{Q}^{\times})$$

That is, with  $|\cdot|_{\infty}$  the ‘usual’ absolute value on  $\mathbb{R}$ ,

$$|x|_{\infty} \cdot \prod_{p \text{ prime}} |x|_p = 1 \quad (\text{for } x \in \mathbb{Q}^{\times})$$

Recall the *Proof*: Both sides are *multiplicative* in  $x$ , so it suffices to consider  $x = \pm 1$  and  $x = q$  prime. For units  $\pm 1$ , both sides are 1. For  $x = q$  prime,  $|q|_\infty = q$ , while  $|q|_q = 1/q$ , and for  $p \neq q$ ,  $p < \infty$ ,  $|q|_p = 1$ . Thus, both sides are 1. ///

One normalization to have the product formula hold for *number fields*  $k$ : for  $\mathfrak{p}$  lying over  $p$ , letting  $k_{\mathfrak{p}}$  be the  $\mathfrak{p}$ -adic completion of  $k$  and  $Q_p$  the usual  $p$ -adic completion of  $\mathbb{Q}$ ,

$$|x|_{\mathfrak{p}} = |N_{Q_p}^{k_{\mathfrak{p}}} x|_p$$

For *archimedean* completion  $k_v$  of  $k$ , define (or renormalize)

$$|x|_v = |N_{\mathbb{R}}^{k_v} x|_\infty$$

The latter entails a normalization which (harmlessly) fails to satisfy the triangle inequality:

$$|x|_{\mathbb{C}} = |N_{\mathbb{R}}^{\mathbb{C}} x|_\infty = x \cdot \bar{x} = \textit{square of usual complex abs value}$$

This normalization is used only in a multiplicative context, so failure of the triangle inequality is harmless. The metric topology is given by the *usual* norm.

In other words, for primes  $\mathfrak{p}$  in  $\mathfrak{o}$ , in the formula above take  $C = N\mathfrak{p} = |\mathfrak{o}/\mathfrak{p}|$ , so

$$|x|_{\mathfrak{p}} = N\mathfrak{p}^{-\text{ord}_{\mathfrak{p}} x}$$

**Theorem:** (*Product formula for number fields*)

$$\prod_{\text{places } w \text{ of } k} |x|_w = \prod_{\text{places } v \text{ of } \mathbb{Q}} \prod_{w|v} |N_{\mathbb{Q}_v}^{k_w}(x)|_v = 1 \quad (\text{for } x \in k^\times)$$

Indeed, reduce to the product formula for  $\mathbb{Q}$  by showing

$$\prod_{w|v} N_{\mathbb{Q}_v}^{k_w}(x) = N_{\mathbb{Q}}^k(x) \quad (\text{for } x \in k, \text{ abs value } v \text{ of } \mathbb{Q})$$

*Proof:* Recall that one way to define Galois norm is, for an algebraically closed field  $\Omega$  containing  $\mathbb{Q}$ ,

$$N_{\mathbb{Q}}^k(x) = \prod_{\mathbb{Q}\text{-algebra maps } \sigma:k \rightarrow \Omega} \sigma(x)$$

**Claim:** Let  $\Omega$  be an algebraic closure of  $\mathbb{Q}_v$ . There is a natural isomorphism of sets

$$\mathrm{Hom}_{\mathbb{Q}\text{-alg}}(k, \Omega) \approx \mathrm{Hom}_{\mathbb{Q}_v\text{-alg}}(\mathbb{Q}_v \otimes_{\mathbb{Q}} k, \Omega)$$

by

$$\left( x \rightarrow \sigma(x) \right) \longrightarrow \left( \alpha \otimes x \rightarrow \alpha \cdot \sigma(x) \right)$$

*Proof:* Recall that a map from the tensor product is specified by its values on monomials  $\alpha \otimes x$ , and that these values can indeed be arbitrary, as long as the image of  $\alpha a \otimes x$  is the same as that of  $\alpha \otimes ax$ , for  $a \in \mathbb{Q}$ .

Then the inverse set-map is

$$\left( \alpha \otimes x \rightarrow \tau(\alpha \otimes x) \right) \longrightarrow \left( x \rightarrow \tau(1 \otimes x) \right) \quad ///$$

**Remark:** This is an example of *extension of scalars*, an example of a *left adjoint* to a forgetful functor. Then the isomorphism is an example of an *adjunction*.

Next, for finite *separable*  $k/\mathbb{Q}$ , invoke the theorem of the primitive element to choose  $\alpha$  such that  $k = \mathbb{Q}(\alpha)$ , and let  $P \in \mathbb{Q}[x]$  be the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ . Since  $k/\mathbb{Q}$  is separable,  $P$  has no repeated roots in an algebraic closure, etc. Then

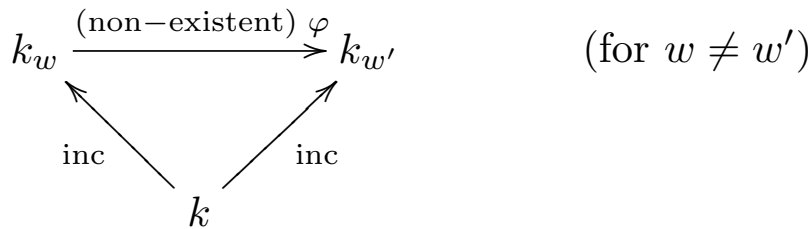
$$\begin{aligned} \mathbb{Q}_v \otimes_{\mathbb{Q}} k &\approx \mathbb{Q}_v \otimes_{\mathbb{Q}} \mathbb{Q}[x]/P \approx \mathbb{Q}_v[x]/P \\ &\approx \coprod_j \mathbb{Q}_v[x]/P_j \approx \text{coproduct of finite field extensions of } \mathbb{Q}_v \end{aligned}$$

by Sun-Ze's theorem, where the  $P_j$  are the irreducible factors of  $P$  in  $\mathbb{Q}_v[x]$ , and we use the separability of  $k/\mathbb{Q}$  to know that no repeated factors appear. By the defining property of coproducts

$$\text{Hom}_{\mathbb{Q}_v\text{-alg}}\left(\coprod_j \mathbb{Q}_v[x]/P_j, \Omega\right) \approx \prod_j \text{Hom}_{\mathbb{Q}_v\text{-alg}}(\mathbb{Q}_v[x]/P_j, \Omega)$$

Because  $\Omega$  is a field, the  $\mathbb{Q}_v$ -algebra homs  $\mathbb{Q}_v \otimes_{\mathbb{Q}} k \rightarrow \Omega$  biject with the maximal ideals of the  $\mathbb{Q}_v \otimes_{\mathbb{Q}} k$ . The maximal ideals in a product  $K_1 \times \dots \times K_n$  of fields  $K_j$  are  $M_j = K_1 \times \dots \times \widehat{K_j} \times \dots \times K_n$ . Thus, the homs to  $\Omega$ , with kernel  $M_j$ , are identified with homs  $K_j \rightarrow \Omega$ . That is, the set of  $\mathbb{Q}$ -homs  $k \rightarrow \Omega$  is *partitioned* by the  $\mathbb{Q}_v$ -homs of the direct summands  $\mathbb{Q}_v[x]/P_j$  to  $\Omega$ .

It remains to show that the direct summands  $\mathbb{Q}_v[x]/P_j$  are exactly the completions  $k_w$  of  $k$  extending the completion  $\mathbb{Q}_v$  of  $\mathbb{Q}$ , *distinct* in the sense that there is *no* topological isomorphism  $\varphi$  fitting into a diagram



First,  $\Omega$  has a unique topological  $\mathbb{Q}_v$ -vectorspace topology, because it is an ascending union ((filtered) *colimit!*) of finite-dimensional  $\mathbb{Q}_v$ -vectorspaces, which have unique topological vector space topologies. Colimits are unique, up to unique isomorphism.

On one hand,  $\sigma : k \rightarrow \Omega$  (over  $\mathbb{Q}$ ) gives  $k$  a Hausdorff topology with continuous addition, multiplication, and non-zero inversion. The compositum  $\mathbb{Q}_v \cdot \sigma(k)$  is finite-dimensional over  $\mathbb{Q}_v$ , so the closure of  $\sigma(k)$  in  $\Omega$  is a *complete*  $\mathbb{Q}_v$  topological vector space. Thus,  $\sigma : k \rightarrow \Omega$  gives a completion of  $k$  extending  $\mathbb{Q}_v$ .

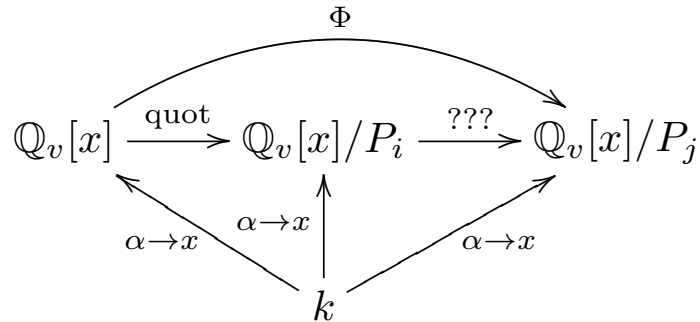
On the other hand, a completion  $k_w$  is really an inclusion  $k \rightarrow k_w$  with  $k_w$  complete. Again, there is the adjunction

$$\mathrm{Hom}_{\mathbb{Q}\text{-alg}}(k, k_w) \approx \mathrm{Hom}_{\mathbb{Q}_v\text{-alg}}(\mathbb{Q}_v \otimes_{\mathbb{Q}} k, k_w)$$

Thus, in fact,  $\mathbb{Q}_v[x]/P_j \approx k_w$  for some  $P_j$ .



By the separability of  $k/\mathbb{Q}$ , the  $P_j$ 's have no common factors, so the inclusions  $k \rightarrow \mathbb{Q}_v[x]/P_j$  by  $\alpha \rightarrow x \bmod P_j$  are incompatible with every non-zero  $\mathbb{Q}_v$ -hom  $\mathbb{Q}_v[x]/P_i \rightarrow \mathbb{Q}_v[x]/P_j$  for  $i \neq j$ . Indeed, the requirement  $\alpha \rightarrow x \bmod P_j$  limits the candidates to situations



which forces  $\ker \Phi = \langle P_j \rangle$ . This cannot factor through the quotient. Thus, there are no isomorphisms among the  $\mathbb{Q}_v[x]/P_j$  compatible with the inclusions of  $k$ .

In summary, we have proven that the *global* (Galois) norm  $N_{\mathbb{Q}}^k$  is the product of the *local* norms, reducing the product formula for number fields to that for  $\mathbb{Q}$ . ///

**Remark:** The argument did not depend on the specifics, so applies to extensions  $K/k$  and completions  $k_v$  of the base field. In the course of the proof, some useful auxiliary points were demonstrated, stated now in general:

**Corollary:** Let  $k$  be a field with completion  $k_v$ . Let  $K$  be a finite separable extension of  $k$ . Let  $w$  index the topological isomorphism classes of completions of  $K$  extending  $k_v$ . The sum of the *local* degrees is the *global* degree:

$$\sum_{w|v} [K_w : k_v] = [K : k]$$

**Corollary:** For  $K/k$  finite separable, the topological isomorphism classes of completions  $K_w$  of  $K$  extending  $k_v$  arise from inclusions of  $K$  to the algebraic closure of  $k_v$ . (This does not address automorphisms.)

**Corollary:** The global trace  $K \rightarrow k$  is the sum of the local traces  $K_w \rightarrow k_v$ .

The following generalizes to number fields and functions fields over finite fields. Traditionally, this result (and its generalizations) are called *Ostrowski's theorem*, but there are some issues surrounding this attribution.

**Classification of completions:** The topologically (via the associated metrics) inequivalent (non-discrete) norms on  $\mathbb{Q}$  are the usual  $\mathbb{R}$  norm and the  $p$ -adic  $\mathbb{Q}_p$ 's.

*Proof:* Let  $|*|$  be a norm on  $\mathbb{Q}$ . It turns out (intelligibly, if we guess the answer) that the watershed is whether  $|*|$  is *bounded* or *unbounded* on  $\mathbb{Z}$ . That is, the statement of the theorem could be sharpened to say: norms on  $\mathbb{Q}$  bounded on  $\mathbb{Z}$  are topologically equivalent to  $p$ -adic norms, and norms unbounded on  $\mathbb{Z}$  are topologically equivalent to the norm from  $\mathbb{R}$ .

To say that  $|*|$  is *bounded* on  $\mathbb{Z}$ , but *not discrete*, implies that  $|p| < 1$  for some prime number  $p$ , by unique factorization. Suppose that there were a second prime  $q$  with  $|q| < 1$ . Then...

... with  $a, b \in \mathbb{Z}$  such that  $ap^m + bq^n = 1$  for positive integers  $m, n$ ,

$$1 = |1| = |ap^m + bq^n| \leq |a| \cdot |p|^m + |b| \cdot |q|^n \leq |p|^m + |q|^n$$

This is impossible if *both*  $|p| < 1$  and  $|q| < 1$ , by taking  $m, n$  large. Thus, for  $|*|$  bounded on  $\mathbb{Z}$ , there is a unique prime  $p$  such that  $|p| < 1$ . Up to normalization, such a norm is the  $p$ -adic norm.

Next, claim that if  $|a| \leq 1$  for some  $1 < a \in \mathbb{Z}$ , then  $|*|$  is *bounded* on  $\mathbb{Z}$ . Given  $1 < b \in \mathbb{Z}$ , write  $b^n$  in an  $a$ -ary expansion

$$b^n = c_0 + c_1a + c_2a^2 + \dots + c_\ell a^\ell \quad (\text{with } 0 \leq c_i < a)$$

and apply the triangle inequality,

$$|b|^n \leq (\ell + 1) \cdot \underbrace{(1 + \dots + 1)}_a \leq (n \log_a b + 1) \cdot a$$

Taking  $n^{\text{th}}$  roots and letting  $n \rightarrow +\infty$  gives  $|b| \leq 1$ , and  $|*|$  is bounded on  $\mathbb{Z}$ .

The remaining scenario is  $|a| \geq 1$  for  $a \in \mathbb{Z}$ . For  $a > 1$ ,  $b > 1$ , the  $a$ -ary expansion

$$b^n = c_0 + c_1 a + c_2 a^2 + \dots + c_\ell a^\ell \quad (\text{with } 0 \leq c_i < a)$$

with  $|a| \geq 1$  gives

$$|b|^n \leq (\ell + 1) \cdot \underbrace{(1 + \dots + 1)}_a \cdot |a|^\ell \leq (n \log_a b + 1) \cdot a \cdot |a|^{n \log_a b + 1}$$

Taking  $n^{\text{th}}$  roots and letting  $n \rightarrow +\infty$  gives  $|b| \leq |a|^{\log_a b}$ . Similarly,  $|a| \leq |b|^{\log_b a}$ . Since  $|\ast|$  is not bounded on  $\mathbb{Z}$ , there is  $C > 1$  such that  $|a| = C^{\log |a|}$  for all  $0 \neq a \in \mathbb{Z}$ . Up to normalization, this is the usual absolute value for  $\mathbb{R}$ . ///

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