

## 07. Distributions = generalized functions

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[This document is http://www.math.umn.edu/~garrett/m/real/notes\_2016-17/07-distributions\_on\_Rn.pdf]

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*Completeness* is a very desirable property for a topology on a space of functions, since it means exactly that we can take limits in that topology without falling outside that class of functions.

### 1. Fréchet space $C^\infty[a, b]$

The space  $C^\infty[a, b]$  of infinitely differentiable complex-valued functions on a (finite) interval  $[a, b]$  in  $\mathbb{R}$  is *not* a Banach space.<sup>[1]</sup> Nevertheless, the topology is *completely determined* by its relation to the Banach spaces  $C^k[a, b]$ , and is *complete metric*, although the metric is *not canonical*. Since

$$C^\infty[a, b] = \bigcap_{k \geq 0} C^k[a, b]$$

we reasonably require (at least) that every inclusion  $C^\infty[a, b] \longrightarrow C^k[a, b]$  is continuous. A natural way to do this is to give  $C^\infty[a, b]$  a *family* of (semi-) norms,<sup>[2]</sup> each of which gives a *pseudo-*<sup>[3]</sup> metric, which *altogether* give a metric (which we subsequently show is complete). Namely, let

$$\nu_k(f) = \sup_{x \in [a, b]} |f^{(k)}(x)| \quad (\text{for } f \in C^\infty[a, b], \text{ for } 0 \leq k \in \mathbb{Z})$$

Not all these are actual *norms*: for example, for non-zero constant  $f$ ,  $f'$  is identically 0 and  $\nu_1(f) = 0$  although  $f$  is not the 0 function. Still, these seminorms do retain the desirable *homogeneity* property  $\nu_k(t \cdot f) = |t| \nu_k(f)$  for constants  $g$ . This *family* of seminorms  $\nu_k$  is *separating* since  $\nu_k(f) = 0$  for all  $k$  implies  $f = 0$ . (In fact,  $\nu_0(f) = 0$  already implies  $f = 0$ , but that's an irrelevant piece of luck.)

The topology specified by a (separating) family of seminorms  $\{\nu_k\}$  has *local sub-basis*<sup>[4]</sup> at 0 consisting of sets

$$U_{k, \varepsilon} = \{f : \nu_k(f) < \varepsilon\} \quad (\text{for all indices } k \text{ and all } \varepsilon > 0)$$

[1] It is not essential to prove that there is no reasonable Banach space structure on  $C^\infty[a, b]$ , but this can be readily proven in a suitable context.

[2] A *semi-norm*  $\nu(\cdot)$  has the properties of a (genuine) norm, except that  $\nu(f) = 0$  need not imply  $f = 0$ .

[3] A *pseudo-metric*  $p(\cdot, \cdot)$  has the properties of a metric, except that  $p(x, y) = 0$  need not imply that  $x = y$ .

[4] Recall that a local sub-basis at a point  $x_o$  in a topological space is a set of opens whose *finite intersections* are a *local basis* at  $x_o$ .

and local sub-basis at  $g$  consisting of sets  $g + U_{k,\varepsilon}$ . This does not use the countability of the index set. Every such topology is *locally convex*, in the sense that every point has a local basis consisting of *convex* opens. [5] Normed spaces are immediately locally convex, because open balls are convex, and the argument applies to the sub-basis opens specified by seminorms  $\nu$ : for  $0 \leq t \leq 1$  and  $x, y$  in  $U_\varepsilon = \{z : \nu(z) < \varepsilon\}$ ,

$$\nu(tx + (1-t)y) \leq \nu(tx) + \nu(1-t)y \leq |t|\nu(x) + |1-t|\nu(y) < t \cdot \varepsilon + (1-t) \cdot \varepsilon = \varepsilon$$

Intersections of convex sets are convex, so there is a local basis consisting of opens. [6]

For each seminorm  $\nu_k$  make a corresponding *pseudo-metric*  $p_k(f, g) = \nu_k(f - g)$ . Recall that a *countable* product of *metric* spaces  $X_k$  with metrics  $d_k$  has no canonical single metric, but is *metrizable*, with a choice from many topologically equivalent metrics the usual

$$d(\{x_k\}, \{y_k\}) = \sum_{k=0}^{\infty} 2^{-k} \frac{d_k(x_k, y_k)}{d_k(x_k, y_k) + 1}$$

Further, when the metric spaces  $X_k$  are *complete*, the product with this metric is complete. Similarly, give  $C^\infty[a, b]$  the (genuine) metric made from the pseudo-metrics  $p_k$ :

$$d(f, g) = \sum_{k=0}^{\infty} 2^{-k} \frac{p_k(f, g)}{p_k(f, g) + 1}$$

[1.1] **Claim:** With this metric  $C^\infty[a, b]$  is complete metrizable.

*Proof: (Sketch)* Imbed  $C^\infty[a, b]$  on the diagonal in the countably infinite  $\prod_k C^k[a, b]$ , and show that it is a *closed* subset in the product topology. A closed subspace of a complete metrizable space is complete metrizable. ///

A vector space with a topology is a *Fréchet* space when it is complete metric with a *translation-invariant* metric, meaning that  $d(f + h, g + h) = d(f, g)$  as is the case here, and is locally convex. Again, local convexity is guaranteed for topologies described by (separating) families of seminorms, countable or not. Thus,  $C^\infty[a, b]$  is a Fréchet space.

By design,

[1.2] **Claim:**  $\frac{d}{dx} : C^\infty[a, b] \rightarrow C^\infty[a, b]$  is continuous.

[5] Although we only care about locally convex topological vectorspaces, there *do exist* complete-metric topological vectorspaces which *fail* to be locally convex. This underscores the need to specify that a Fréchet space should be locally convex. The usual example of a not-locally-convex complete-metric space is the sequence space

$$\ell^p = \{x = (x_1, x_2, \dots) : \sum_i |x_i|^p < \infty\}$$

for  $0 < p < 1$  with metric

$$d(x, y) = \sum_i |x_i - y_i|^p \quad (\text{note: no } p^{\text{th}} \text{ root, unlike the } p \geq 1 \text{ case})$$

This example's interest is mostly as a counterexample to a naive presumption that local convexity is automatic.

[6] All spaces of practical interest are locally convex for simple reasons, so demonstrating local convexity is rarely interesting.

*Proof:* [... iou ...]

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## 2. Fréchet space of smooth functions $\mathcal{E} = C^\infty(\mathbb{R}^n)$

For a *non-compact* topological space such as  $\mathbb{R}^n$ , the space  $C^o(\mathbb{R}^n)$  of continuous functions is *not* a Banach space with sup norm, because the sup of the absolute value of a continuous function may be  $+\infty$ . Nevertheless, sups of continuous functions on *compacts* are finite, which suggests a collection of *seminorms* giving a good topology, namely,

$$\nu_K(f) = \sup_{x \in K} |f(x)| \quad (\text{for compact } K \subset \mathbb{R}^n)$$

Further, since  $\mathbb{R}^n$  can easily be expressed as a *countable* union of compact subsets, for example, closed balls of integer radius, there is a *countable* (still separating) sub-collection of seminorms giving the same topology. Thus, this topology is given (non-canonically) by a *metric*. Since the topology is given by seminorms, it is locally convex.

[2.1] **Claim:**  $C^o(\mathbb{R}^n)$  is *complete*, so is a Fréchet space.

*Proof:* A sequence  $\{f_n\}$  is *Cauchy* if and only if  $\{f_n|_K\}$  is Cauchy for every compact  $K$ . We already know that  $C^o(K)$  is complete, so the uniform pointwise limit of  $\{f_n|_K\}$  is continuous on  $K$ . The pointwise limits for various  $K$  are compatible, so the uniform-on-compacts pointwise limit of  $f_n$  is in  $C^o(\mathbb{R}^n)$ . ///

A similar device applies to  $\mathcal{E} = C^\infty(\mathbb{R}^n)$ . For compact  $K$  and index  $k$ , let

$$\nu_{K,k}(f) = \sup_{x \in K} \sup_{|\alpha| \leq k} |f^{(\alpha)}(x)| \quad (\text{for } f \in C^\infty(\mathbb{R}^n))$$

where  $\alpha$  runs over multi-indices  $\alpha = (\alpha_1, \dots, \alpha_n)$ ,  $|\alpha| = \sum_i \alpha_i$ , and in the usual conventions partial derivatives are denoted

$$f^{(\alpha)} = \frac{\partial^\alpha f}{\partial x^\alpha} = \frac{\partial^{\alpha_1}}{\partial x_1^{\alpha_1}} \cdots \frac{\partial^{\alpha_n}}{\partial x_n^{\alpha_n}} f$$

These (separating) seminorms give a locally convex topology. Since  $\mathbb{R}^n$  is a countable union of compacts, there is a countable collection of seminorms giving the same topology, which is therefore metrizable.

[2.2] **Theorem:**  $\mathcal{E}$  is complete, so is Fréchet.

*Proof:* [... iou ...]

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[2.3] **Claim:**  $\frac{\partial}{\partial x_i} : \mathcal{E} \rightarrow \mathcal{E}$  is continuous.

*Proof:* [... iou ...]

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## 3. Fréchet space of Schwartz functions $\mathcal{S}$ on $\mathbb{R}^n$

The space of Schwartz functions turns out to be an astute choice of minimal collection of functions stable under Fourier transform, hence its importance.

A continuous function  $f$  on  $\mathbb{R}^n$  is *of rapid decay* when

$$\sup_{x \in \mathbb{R}^n} (1 + |x|^2)^n \cdot |f(x)| < +\infty \quad (\text{for every } n = 1, 2, \dots)$$

The space of *Schwartz functions* is

$$\mathcal{S}(\mathbb{R}) = \{\text{smooth functions } f \text{ all whose derivatives are of rapid decay}\}$$

A reasonable topology on  $\mathcal{S}(\mathbb{R})$  is given by seminorms

$$\nu_{k,N}(f) = \sup_{x \in \mathbb{R}^n} \sup_{|\alpha| \leq k} (1 + |x|^2)^N |f^\alpha(x)|$$

where  $\alpha$  runs over multi-indices. Being given by seminorms, the topology is locally convex. Since the family of seminorms is *countable*, the topology is metrizable.

[3.1] Claim:  $\mathcal{S}(\mathbb{R})$  is complete, hence Fréchet.

*Proof:* [... iou ...] ///

We have already shown

[3.2] Claim: Fourier transform is a continuous map  $\mathcal{S} \rightarrow \mathcal{S}$ . ///

[3.3] Claim:  $\frac{\partial}{\partial x_j} : \mathcal{S} \rightarrow \mathcal{S}$  is *continuous*.

*Proof:* It suffices, given  $N, k$ , and  $\varepsilon > 0$ , to find  $N', k', \delta > 0$  such that

$$\nu_{N',k'}(f) < \delta \implies \nu_{N,k}\left(\frac{\partial}{\partial x_i} f\right) < \varepsilon$$

To this end, it suffices to take  $N' = N, k' = k + 1$ , and  $\delta = \varepsilon$ , since

$$\nu_{N,k}\left(\frac{\partial}{\partial x_i} f\right) \leq \nu_{N,k+1}(f)$$

giving the desired continuity. ///

[3.4] Claim: Compactly-supported smooth functions are *dense* in  $\mathcal{S}$ .

*Proof:* [... iou ...] ///

## 4. LF-space of test functions $\mathcal{D} = C_c^\infty(\mathbb{R}^n)$

Here are examples of somewhat subtler types of topological vector spaces. Although they are provably locally convex, we do not give their topologies by seminorms.

First, consider a slightly simpler example, the space of compactly-supported *continuous* functions

$$C_c^o(\mathbb{R}^n) = \text{compactly-supported continuous functions on } \mathbb{R}^n$$

It is an *ascending union* of the subspaces

$$C_N^o = \{f \in C^o(\mathbb{R}^n) : \text{spt } f \subset \{x : |x| \leq N\}\}$$

Each space  $C_N^o$  is a Banach space, being a closed subspace of the Banach space  $C^o(N\text{-ball})$ , so is a Banach space. However, each  $C_N^o$  is closed and *nowhere dense* in  $C_{N+1}^o$ , meaning that  $C_N^o$  has empty interior  $C_{N+1}^o$ . Thus, it appears that  $C_c^o(\mathbb{R}^n)$  is probably a countable union of nowhere dense subsets, which is the negation

of the conclusion of Baire's theorem, which suggests that a reasonable topology on  $C_c^o(\mathbb{R}^n)$  *cannot be complete metric*. [7]

Yes, this is disquieting, but  $C_c^o(\mathbb{R})$  is not a pathological space.

Being a countable ascending union of the Banach spaces  $C_N^o$  (which are also Fréchet spaces), it is called an *LF-space* for (*strict inductive/co-*) *limit-of-Fréchet*. Such spaces are

Again, hopefully agreeing that  $C_c^o(\mathbb{R})$  is not a pathological space, we note some apparently unavoidable complications. For example, while in a metric space every Cauchy *net* actually has a Cauchy sub-*sequence* converging to the same limit, which makes consideration of sequences adequate in that context, for a non-metric topology there is no such guarantee that information about Cauchy *nets* can be captured by *sequences*. In particular, it might happen that some Cauchy nets might fail to converge while all Cauchy sequences *do* converge. That is, the strongest or fullest requirement of *completeness* is an unreasonably strong requirement outside the case of metric spaces.

Nevertheless, a weaker notion of completeness, *quasi-* or *local* completeness, does hold in all reasonable examples of interest, *and* is sufficient for nearly all applications. We will return to a more careful discussion of this later. [8] Noting that we have not quite given any clear description of the LF-space topology on  $C_c^o(\mathbb{R}^n)$ , nevertheless we state

[4.1] **Theorem:**  $C_c^o(\mathbb{R}^n)$  with its LF-space topology is *quasi-complete*. [... *iou* ...]

Similarly, the space of *test functions*

$$\mathcal{D} = C_c^\infty(\mathbb{R}^n) = \text{compactly-supported smooth functions on } \mathbb{R}^n$$

is an *ascending union* of the subspaces

$$C_N^\infty = \{f \in C^\infty(\mathbb{R}^n) : \text{spt} f \subset \{x : |x| \leq N\}\}$$

Each space  $C_N^\infty$  is a Fréchet space, being a closed subspace of the Fréchet space  $C^\infty(N\text{-ball})$ . Again, each  $C_N^\infty$  is closed and *nowhere dense* in  $C_{N+1}^\infty$ , so it appears that  $C_c^\infty(\mathbb{R}^n)$  is probably a countable union of nowhere dense subsets, which is the negation of the conclusion of Baire's theorem, which suggests that a reasonable topology on  $C_c^o(\mathbb{R}^n)$  *cannot be complete metric*.

[4.2] **Theorem:**  $\mathcal{D}(\mathbb{R}) = C_c^\infty(\mathbb{R})$  is an LF-space, and is *quasi-complete*. [... *iou* ...]

[4.3] **Claim:** The inclusion  $\mathcal{D} \rightarrow \mathcal{S}$  is *continuous*, and the image is *dense*. [... *iou* ...]

## 5. Generalized functions (distributions) $\mathcal{D}^*$ , $\mathcal{S}^*$ , $\mathcal{E}^*$ as linear functionals

The most immediate definition of the space  $\mathcal{D}^*$  of *distributions* or *generalized functions* on  $\mathbb{R}^n$  is as the dual  $\mathcal{D}^* = \mathcal{D}(\mathbb{R})^* = C_c^\infty(\mathbb{R})^*$  to the space  $\mathcal{D}$  of test functions, with the *weak dual topology* (see appendix) given by seminorms  $\nu_f(u) = |u(f)|$  for test functions  $f$  and distributions  $u$ .

[7] If we give  $C_c^o(\mathbb{R}^n)$  the sup norm, it is not complete. Its completion is  $C_c^o(\mathbb{R}^n)$ , the Banach space of continuous functions going to 0 at infinity. This is an eminently reasonable space, but if we want  $C_c^o(\mathbb{R}^n)$  to already be complete, it is not. Similarly, we could give  $C_c^o(\mathbb{R}^n)$  the  $L^2$  norm, but it would not be complete, and its completion would be  $L^2$ . Yes, locally countably-based spaces are *metrizable*, but not generally *complete* with that metric.

[8] The simplest example of definitive failure of full completeness is that the *weak dual* of  $\ell^2$  is *not* complete: there are Cauchy nets that do not converge. Nevertheless, that weak dual is *quasi-* complete.

Similarly, the space of *tempered* distributions is  $\mathcal{S}^*$  with weak dual topology. The space of *compactly-supported* distributions  $\mathcal{E}^*$  is  $\mathcal{E}^*$  with the weak dual topology. Naming  $\mathcal{E}^*$  *compactly-supported* will be justified later.

Because taking (continuous) duals is inclusion-reversing, the continuous containments  $\mathcal{D} \subset \mathcal{S} \subset \mathcal{E}$  give continuous maps  $\mathcal{E}^* \rightarrow \mathcal{S}^* \rightarrow \mathcal{D}^*$ .

When we know that  $\mathcal{D}$  is *dense* in  $\mathcal{S}$  and in  $\mathcal{E}$ , it will follow that these are *injections*. Without that density and injectivity, we could not claim that  $\mathcal{E}^*$  and  $\mathcal{S}^*$  are distributions, but only that they naturally *map* to distributions.

Despite not being complete in the strongest possible sense,  $\mathcal{D}^*$ ,  $\mathcal{S}^*$ , and  $\mathcal{E}^*$  are provably *quasi-complete*, which suffices for applications.

The description of spaces of distributions as the (weak) duals to various spaces of functions falls far short of explaining its utility. There is a natural imbedding  $\mathcal{D} \rightarrow \mathcal{D}^*$  of test functions into distributions, by

$$f \rightarrow u_f \quad \text{by} \quad u_f(g) = \int_{\mathbb{R}^n} f(x)g(x) dx \quad (\text{for } f, g \in \mathcal{D})$$

That is, via this imbedding we consider distributions to be *generalized functions*. Indeed, later we will see that test functions  $\mathcal{D}$  are *dense* in  $\mathcal{D}^*$  (of course in the topology of the latter).

The simplest example of a distribution not obtained by integration against a test function on  $\mathbb{R}$  is the *Dirac delta*, the evaluation map  $\delta(f) = f(0)$ , which is *continuous* for the LF-space topology on test functions.

The imbedding  $\mathcal{D} \rightarrow \mathcal{D}^*$  and insistence on compatibility with integration by parts, explain how to define  $\frac{\partial}{\partial x_i}$  on distributions in a form compatible with the imbedding  $\mathcal{D} \subset \mathcal{D}^*$ : noting the sign, due to integration by parts,

$$\left(\frac{\partial}{\partial x_i} u\right)(f) = -u\left(\frac{\partial}{\partial x_i} f\right) \quad (\text{for } u \in \mathcal{D}^* \text{ and } f \in \mathcal{D})$$

[5.1] **Claim:**  $\frac{d}{dx} : \mathcal{D}^* \rightarrow \mathcal{D}^*$  is continuous.

*Proof:* By the nature of the weak dual topology, it suffices to show that for each  $f \in \mathcal{D}$  and  $\varepsilon > 0$  there are  $g \in \mathcal{D}$  and  $\delta > 0$  such that  $|u(g)| < \delta$  implies  $\left|\left(\frac{\partial}{\partial x_i} u\right)(f)\right| < \varepsilon$ . Taking  $g = \frac{\partial}{\partial x_i} f$  and  $\delta = \varepsilon$  succeeds. ///

The *order* of a distribution  $u : \mathcal{D} \rightarrow \mathbb{C}$  is the integer  $k$ , if such exists, such that  $u$  is continuous when  $\mathcal{D}$  is given the weaker topology from  $\text{colim}_K C_K^k$ . Not every distribution has finite order, but there is a useful technical application of the previous discussion:

[5.2] **Corollary:** A distribution  $u \in \mathcal{D}^*$  with *compact support* has *finite order*.

*Proof:* Let  $\psi$  be a test function that is identically 1 on an open containing the support of  $u$ . Then

$$u(f) = u((1 - \psi) \cdot f) + u(\psi \cdot f) = 0 + u(\psi \cdot f)$$

since  $(1 - \psi) \cdot f$  is a test function with support not meeting the support of  $u$ . With  $K = \text{spt } \psi$ , this suggests that  $u$  factors through a subspace of  $\mathcal{D}_K$  via  $f \rightarrow \psi \cdot f \rightarrow u(\psi \cdot f)$ , but there is the issue of continuity.

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[5.3] **Claim:** In the inclusion  $\mathcal{E}^* \subset \mathcal{S}^* \subset \mathcal{D}^*$ , the image of  $\mathcal{E}^*$  really is the collection of distributions with compact support.

*Proof:* [... iou ...]

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## 6. Generalized functions $\mathcal{D}^*$ , $\mathcal{S}^*$ , $\mathcal{E}^*$ as completions of $\mathcal{D}$

[iou]

## 7. Fourier transforms of tempered distributions

Since  $\mathcal{S}$  is mapped to itself by Fourier transform [13.13], this gives a way to define Fourier transform on  $\mathcal{S}^*$ , by a duality extending the Plancherel theorem:

$$\widehat{u}(f) = u(\widehat{f}) \quad (\text{for } f \in \mathcal{S} \text{ and } u \in Schw^*)$$

[7.1] **Corollary:** Fourier transform is a homeomorphism of the space tempered distributions  $\mathcal{S}^*$  to itself, by

$$\widehat{u}(\varphi) = u(\widehat{\varphi}) \quad (\text{for all } \varphi \in \mathcal{S})$$

*Proof:* Fourier transform is a topological isomorphism of  $\mathcal{S}$  to itself. ///

## 8. Distributions supported at a point

Recall that the support of a *function* is the *closure* of the set on which it is non-zero, slightly complicating the notion of support for a *distribution*  $u$ : support of  $u$  is the *complement* of the *union* of all open sets  $U$  such that  $u(f) = 0$  for all test functions  $f$  with support inside  $U$ .

[8.1] **Theorem:** A distribution with *support*  $\{0\}$  is a finite linear combination of Dirac's  $\delta$  and its derivatives.

*Proof:* Since  $\mathcal{D}$  is a colimit of  $\mathcal{D}_K$  over  $K = [-n, n]$ , it suffices to classify  $u$  in  $\mathcal{D}_K^*$  with support  $\{0\}$ . We claim that a continuous linear functional on  $\mathcal{D}_K = \lim_k C_K^k$  factors through some limitand

$$C_K^k = \{f \in C^k(K) : f^{(i)} \text{ vanishes on } \partial K \text{ for } 0 \leq i \leq k\}$$

This is a special case of

[8.2] **Claim:** Let  $X = \lim_n B_n$  be a limit of Banach spaces, with the image of  $X$  *dense* in each  $B_n$ . A continuous linear map  $T : \lim_n B_n \rightarrow Z$  to a *normed* space  $Z$  factors through some limitand  $B_n$ . For  $Z = \mathbb{C}$ , the same conclusion holds without the density assumption.

*Proof:* Let  $X = \lim_i B_i$  with projections  $p_i : X \rightarrow B_i$ . Each  $B_i$  is the closure of the image of  $X$ . By the continuity of  $T$  at 0, there is an open neighborhood  $U$  of 0 in  $X$  such that  $TU$  is inside the open unit ball at 0 in  $Z$ . By the description of the limit topology as the product topology restricted to the diagonal, there are finitely-many indices  $i_1, \dots, i_n$  and open neighborhoods  $V_{i_t}$  of 0 in  $B_{i_t}$  such that

$$\bigcap_{t=1}^n p_{i_t}^{-1}(p_{i_t} X \cap V_{i_t}) \subset U$$

We can make a *smaller* open in  $X$  by a condition involving a single limitand, as follows. Let  $j$  be *any* index with  $j \geq i_t$  for all  $t$ , and

$$N = \bigcap_{t=1}^n p_{i_t, j}^{-1}(p_{i_t, j} B_j \cap V_{i_t}) \subset B_j$$

By the compatibility  $p_{i_t}^{-1} = p_j^{-1} \circ p_{i_t, j}^{-1}$ , we have  $p_{i_t, j} N \subset V_{i_t}$  for  $i_1, \dots, i_n$ , and  $p_j^{-1}(p_j X \cap N) \subset U$ . By the linearity of  $T$ , for any  $\varepsilon > 0$ ,

$$T(\varepsilon \cdot p_j^{-1}(p_j X \cap N)) = \varepsilon \cdot T(p_j^{-1}(p_j X \cap N)) \subset \varepsilon\text{-ball in } Z$$

We claim that  $T$  factors through  $p_j X$  with the subspace topology from  $B_j$ . One potential issue in general is that  $p_j : X \rightarrow B_j$  can have a non-trivial kernel, and we must check that  $\ker p_j \subset \ker T$ . By the linearity of  $T$ ,

$$T\left(\frac{1}{n} \cdot p_j^{-1}(p_j \cap N)\right) \subset \frac{1}{n}\text{-ball in } Z$$

so

$$T\left(\bigcap_n \frac{1}{n} \cdot p_j^{-1}(p_j X \cap N)\right) \subset \frac{1}{m}\text{-ball in } Z \quad (\text{for all } m)$$

and then

$$T\left(\bigcap_n \frac{1}{n} \cdot p_j^{-1}(p_j \cap N)\right) \subset \bigcap_m \frac{1}{m}\text{-ball in } Z = \{0\}$$

Thus,

$$\bigcap_n p_j^{-1}(p_j X \cap \frac{1}{n} \cdot N) = \bigcap_n \frac{1}{n} \cdot p^{-1}(p_j X \cap N) \subset \ker T$$

Thus, for  $x \in X$  with  $p_j x = 0$ , certainly  $p_j x \in \frac{1}{n} N$  for all  $n = 1, 2, \dots$ , and

$$x \in \bigcap_n p_j^{-1}(p_j X \cap \frac{1}{n} N) \subset \ker T$$

This proves the subordinate claim that  $T$  factors through  $p_j : X \rightarrow B_j$  via a (not necessarily continuous) linear map  $T' : p_j X \rightarrow Z$ . The continuity follows from continuity at 0, which is

$$T(\varepsilon \cdot p_j^{-1}(p_j X \cap N)) = \varepsilon \cdot T(p_j^{-1}(p_j X \cap N)) \subset \varepsilon\text{-ball in } Z$$

Then  $T' : p_j X \rightarrow Z$  extends to a map  $B_j \rightarrow Z$  by continuity: given  $\varepsilon > 0$ , take symmetric convex neighborhood  $U$  of 0 in  $B_j$  such that  $|T'y|_Z < \varepsilon$  for  $y \in p_j X \cap U$ . Let  $y_i$  be a Cauchy net in  $p_j X$  approaching  $b \in B_j$ . For  $y_i$  and  $y_j$  inside  $b + \frac{1}{2}U$ ,  $|T'y_i - T'y_j| = |T'(y_i - y_j)| < \varepsilon$ , since  $y_i - y_j \in \frac{1}{2} \cdot 2U = U$ . Then unambiguously define  $T'b$  to be the  $Z$ -limit of the  $T'y_i$ . The closure of  $p_j X$  in  $B_j$  is  $B_j$ , giving the desired map.

When  $u$  is a *functional*, that is, a map to  $\mathbb{C}$ , we can extend it by Hahn-Banach. ///

Returning to the proof of the theorem: thus, there is  $k \geq 0$  such that  $u$  factors through a limitand  $C_K^k$ . In particular,  $u$  is continuous for the  $C^k$  topology on  $\mathcal{D}_K$ .

We need an auxiliary gadget. Fix a test function  $\psi$  identically 1 on a neighborhood of 0, bounded between 0 and 1, and (necessarily) identically 0 outside some (larger) neighborhood of 0. For  $\varepsilon > 0$  let

$$\psi_\varepsilon(x) = \psi(\varepsilon^{-1}x)$$

Since the support of  $u$  is just  $\{0\}$ , for all  $\varepsilon > 0$  and for all  $f \in \mathcal{D}(\mathbb{R}^n)$  the support of  $f - \psi_\varepsilon \cdot f$  does not include 0, so

$$u(\psi_\varepsilon \cdot f) = u(f)$$

Thus, for implied constant depending on  $k$  and  $K$ , but not on  $f$ ,

$$|\psi_\varepsilon f|_k = \sup_{x \in K} \sum_{0 \leq i \leq k} |(\psi_\varepsilon f)^{(i)}(x)| \ll \sum_{i \leq k} \sum_{0 \leq j \leq i} \sup_x \varepsilon^{-j} |\psi^{(j)}(\varepsilon^{-1}x) f^{(i-j)}(x)|$$



For test function  $f$  vanishing to order  $k$  at 0, that is,  $f^{(i)}(0) = 0$  for all  $0 \leq i \leq k$ , on a fixed neighborhood of 0, by a Taylor-Maclaurin expansion,  $|f(x)| \ll |x|^{k+1}$ , and, generally, for  $i^{\text{th}}$  derivatives with  $0 \leq i \leq k$ ,  $|f^{(i)}(x)| \ll |x|^{k+1-i}$ . By design, all derivatives  $\psi', \psi'', \dots$  are identically 0 in a neighborhood of 0, so, for suitable implied constants independent of  $\varepsilon$ ,

$$\begin{aligned} |\psi_\varepsilon f|_k &\ll \sum_{0 \leq i \leq k} \sum_{0 \leq j \leq i} \varepsilon^{-j} \cdot \left| \psi^{(j)}(\varepsilon^{-1}x) f^{(i-j)}(x) \right| \ll \sum_{0 \leq i \leq k} \sum_{j=0} \varepsilon^{-j} \cdot 1 \cdot \varepsilon^{k+1-i} \\ &= \sum_{0 \leq i \leq k} \varepsilon^{k+1-i} \ll \varepsilon^{k+1-k} = \varepsilon \end{aligned}$$

Thus, for sufficiently small  $\varepsilon > 0$ , for smooth  $f$  vanishing to order  $k$  at 0,  $|u(f)| = |u(\psi_\varepsilon f)| \ll \varepsilon$ , and  $u(f) = 0$ . That is,

$$\ker u \supset \bigcap_{0 \leq i \leq k} \ker \delta^{(i)}$$

The conclusion, that  $u$  is a linear combination of the distributions  $\delta, \delta', \delta^{(2)}, \dots, \delta^{(k)}$ , follows from

**[8.3] Claim:** A linear functional  $\lambda \in V^*$  vanishing on the intersection  $\bigcap_i \ker \lambda_i$  of kernels of a finite collection  $\lambda_1, \dots, \lambda_n \in V^*$  is a *linear combination* of the  $\lambda_i$ .

*Proof:* The linear map

$$q: V \longrightarrow \mathbb{C}^n \quad \text{by} \quad v \longrightarrow (\lambda_1 v, \dots, \lambda_n v)$$

is *continuous* since each  $\lambda_i$  is continuous, and  $\lambda$  factors through  $q$ , as  $\lambda = L \circ q$  for some linear functional  $L$  on  $\mathbb{C}^n$ . We know all the linear functionals on  $\mathbb{C}^n$ , namely,  $L$  is of the form

$$L(z_1, \dots, z_n) = c_1 z_1 + \dots + c_n z_n \quad (\text{for some } c_i \in \mathbb{C})$$

Thus,

$$\lambda(v) = (L \circ q)(v) = L(\lambda_1 v, \dots, \lambda_n v) = c_1 \lambda_1(v) + \dots + c_n \lambda_n(v)$$

expressing  $\lambda$  as a linear combination of the  $\lambda_i$ . ///

## 9. Appendix: weak dual topologies

For a topological vectorspace  $V$ , with (continuous) *dual*

$$V^* = \{\text{continuous linear maps } V \rightarrow \mathbb{C}\}$$

the *weak dual topology*<sup>[9]</sup> on  $V^*$  has a local sub-basis at 0 consisting of sets

$$U = U_{v,\varepsilon} = \{\lambda \in V^* : |\lambda(v)| < \varepsilon\} \quad (\text{for fixed } v \in V \text{ and } \varepsilon > 0)$$

That is, the topology is given by seminorms  $\nu_v(\lambda) = |\lambda(v)|$ . Unless  $V$  is finite-dimensional, this topology on  $V^*$  is much coarser than a Banach, Fréchet, or LF-topology. The map  $\lambda \rightarrow |\lambda(v)|$  is a natural example of a *seminorm*: it is not a norm, because  $\lambda(v) = 0$  can easily happen without  $v = 0$ .

<sup>[9]</sup> The weak dual topology is traditionally called the *weak\*-topology*, but replacing  $*$  by *dual* is more explanatory.