

NATURAL BOUNDARIES AND A CORRECT NOTION OF INTEGRAL MOMENTS OF L -FUNCTIONS

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ABSTRACT. It is shown that a large class of multiple Dirichlet series which arise naturally in the study of moments of L -functions have natural boundaries. As a remedy we consider a new class of multiple Dirichlet series whose elements have nice properties: a functional equation and meromorphic continuation. This class suggests the correct notion of integral moments of L -functions.

§1. Introduction

The problem of obtaining asymptotic formulae (as $T \rightarrow \infty$) for the integral moments

$$(1.1) \quad \int_0^T |\zeta(\tfrac{1}{2} + it)|^{2r} dt \quad (\text{for } r = 1, 2, 3, \dots)$$

is approximately 100 years old and very well known.. See [CFKRS] for a good exposition of this problem and its history. Following [Be-Bu], it was proved by Carlson that for $\sigma > 1 - \frac{1}{r}$

$$\int_0^T |\zeta(\sigma + it)|^{2r} dt \sim \left[\sum_{n=1}^{\infty} d_r(n)^2 n^{-2\sigma} \right] \cdot T, \quad (T \rightarrow \infty).$$

Furthermore

$$\sum_{n=1}^{\infty} d_r(n)^2 n^{-s} = \zeta(s)^{r^2} \prod_p P_r(p^{-s}),$$

where

$$P_r(x) = (1-x)^{2r-1} \sum_{n=0}^{r-1} \binom{r-1}{n}^2 x^n.$$

Now Estermann [E] showed that the Euler product $\prod_p P_r(s)$ is absolutely convergent for $\Re(s) > \frac{1}{2}$, and that it has meromorphic continuation to $\Re(s) > 0$. He also proved the disconcerting theorem that for $r \geq 3$ the Euler product $\prod_p P_r(s)$ has the line $\Re(s) = 0$ as natural boundary. Estermann's result was generalized by Kurokawa (see [K1, K2]) to a much larger class of Euler products. This situation, where an innocuous looking L -function has a natural boundary, is now called the

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Estermann phenomenon. A very interesting instance of the Estermann phenomenon is for L -functions formed with the arithmetic Fourier coefficients $a(n)$, $n = 1, 2, 3, \dots$ of an automorphic form on $GL(2)$, say. The L -functions

$$\sum_{n=1}^{\infty} a(n)n^{-s}, \quad \sum_{n=1}^{\infty} |a(n)|^2 n^{-s},$$

both have good properties: meromorphic continuation and functional equation, but for $r \geq 3$ the Dirichlet series

$$(1.2) \quad \sum_{n=1}^{\infty} |a(n)|^r n^{-s}$$

has a natural boundary. Thus the L -function defined in (1.2) does not have the correct structure when $r \geq 3$. It is now generally believed that the *correct* notion of (1.2) is the r^{th} symmetric power L -function as in [S].

Another approach to obtain asymptotics for (1.1) is to study the meromorphic continuation in the complex variable w of the zeta integral

$$(1.3) \quad \mathcal{Z}_r(w) = \int_1^{\infty} |\zeta(\tfrac{1}{2} + it)|^{2r} t^{-w} dt,$$

for r a positive rational integer. This integral is easily shown to be absolutely convergent for $\Re(w)$ sufficiently large. Such an approach was pioneered by Ivić, Jutila and Motohashi [I, J, IJM, M3] and somewhat later in [DGH].

One aim of this paper is to give evidence that for $r \geq 3$ the function $\mathcal{Z}_r(w)$ has a natural boundary along $\Re(w) = \frac{1}{2}$. For simplicity of exposition, we shall consider (1.3) only in the special case $r = 3$. There is an infinite class of other examples of this phenomenon to which this method should generalize. For instance,

$$\int_1^{\infty} |\zeta_{\mathbb{Q}(i)}(\tfrac{1}{2} + it)|^4 t^{-w} dt = \int_1^{\infty} |\zeta(\tfrac{1}{2} + it)L(\tfrac{1}{2} + it, \chi_{-4})|^4 t^{-w} dt,$$

which is compatible with $\mathcal{Z}_4(w)$, should also have a natural boundary.

The fact that the Estermann phenomenon occurs for the integrals (1.1), (1.3) suggests that for $r \geq 3$ the *classical* $2r$ -th integral moment of zeta

$$(1.4) \quad \int_0^T |\zeta(\tfrac{1}{2} + it)|^{2r} dt$$

does not have the *correct structure*. It is therefore doubtful that *substantial* advances in the theory of the Riemann zeta-function will come from further investigations of (1.4).

The final goal of this paper is to provide an alternative to (1.4) in the same spirit that the symmetric power L -function is an alternative to (1.2). Accordingly, in §3, we introduce what we believe to be the *correct notion* of higher integral moment of L -functions.

§2. Multiple Dirichlet series with natural boundaries

For s_1, \dots, s_r , and $w \in \mathbb{C}$ with sufficiently large real parts, let

$$(2.1) \quad Z(s_1, \dots, s_r, w) = \int_1^\infty \zeta(s_1 + it)\zeta(s_1 - it) \cdots \zeta(s_r + it)\zeta(s_r - it) t^{-w} dt.$$

This multiple Dirichlet series was considered in [DGH], and is more convenient than $\mathcal{Z}_r(w)$. Specializing $r = 3$, we can write

$$Z(s_1, s_2, s_3, w) = \sum_{m,n} \frac{1}{(mn)^{\Re(s_1)}} \int_1^\infty \left(\frac{m}{n}\right)^{it} \zeta(s_2 + it)\zeta(s_2 - it)\zeta(s_3 + it)\zeta(s_3 - it) t^{-w} dt.$$

The reason $\mathcal{Z}_3(w)$ should have a natural boundary is simple. The inner integral admits meromorphic continuation to \mathbb{C}^3 . For $s_2 = s_3 = \frac{1}{2}$, this function should have infinitely many poles on the line $\Re(w) = \frac{1}{2}$, the positions depending on m, n . As $m, n \rightarrow \infty$ the number of poles in any fixed interval will tend to infinity. Summing over m, n all these poles form a natural boundary. Accordingly, the main difficulty is to meromorphically continue the integral

$$(2.2) \quad \int_1^\infty \left(\frac{m}{n}\right)^{it} \zeta(s_2 + it)\zeta(s_2 - it)\zeta(s_3 + it)\zeta(s_3 - it) t^{-w} dt,$$

as a function of s_2, s_3, w to \mathbb{C}^3 (see also Motohashi [M2] and [M3], where in the integral (2.2) t^{-w} is replaced by a Gaussian weight). When $m = n = 1$, the meromorphic continuation of (2.2) was already established by Motohashi in [M1]. Although this integral can certainly be studied by his method, the approach we follow is based on the more general ideas developed in [G], [Di-Go1], [Di-Go2], [Di-Ga1] and [Di-Ga-Go]. Using our techniques, it is possible to study in a *unified* way very general integrals attached to integral moments.

One can establish the meromorphic continuation of the slightly more general integral

$$(2.3) \quad \int_1^\infty \left(\frac{m}{n}\right)^{it} L(s_1 + it, f) L(s_2 - it, f) t^{-w} dt,$$

where f is an automorphic form on $GL_2(\mathbb{Q})$ and $L(s, f)$ is the L -function attached to f . This implies the meromorphic continuation of an integral of type

$$\int_1^\infty L(s_1 + it, f) L(s_2 - it, f) \left| \sum_{n \leq N} a_n n^{it} \right|^2 t^{-w} dt \quad (\text{with } a_n \in \mathbb{C} \text{ for } 1 \leq n \leq N).$$

In fact, it is technically easier to study the integral (2.3) when f is a cuspform on $SL_2(\mathbb{Z})$ than the corresponding analysis of (2.2). Accordingly, to illustrate our point, for simplicity we shall discuss the case when f is a holomorphic cuspform of even weight κ for $SL_2(\mathbb{Z})$. Then f has a Fourier expansion

$$f(z) = \sum_{\ell=1}^{\infty} a_\ell e^{2\pi i \ell z}, \quad (z = x + iy, y > 0).$$

For m, n two coprime positive integers, consider the congruence subgroup

$$\Gamma_{m,n} = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \mid b \equiv 0 \pmod{m}, c \equiv 0 \pmod{n} \right\}.$$

Then, the function $F_{\frac{n}{m}}(z) := y^\kappa \overline{f\left(\frac{n}{m}z\right)} f(z)$ is $\Gamma_{m,n}$ -invariant. For $v \in \mathbb{C}$, let $\varphi(z)$ be a function satisfying

$$\varphi(\rho z) = \rho^v \varphi(z) \quad (\text{for } \rho > 0 \text{ and } z = x + iy, y > 0),$$

and (formally) define the Poincaré series

$$(2.4) \quad P(z; \varphi) = \sum_{\gamma \in Z \backslash \Gamma_{m,n}} \varphi(\gamma z),$$

where Z is the center of $\Gamma_{m,n}$. To ensure convergence, one can choose for instance

$$(2.5) \quad \varphi(z) = y^v \left(\frac{y}{\sqrt{x^2 + y^2}} \right)^w$$

where $v, w \in \mathbb{C}$ with sufficiently large real parts. These Poincaré series were introduced by Anton Good in [G].

Let $\langle \cdot, \cdot \rangle$ denote the Petersson scalar product for automorphic forms for the group $\Gamma_{m,n}$. As in [Di-Go1], we have the following.

Proposition 2.6. *Let m and n be two coprime positive integers, and let $P(z; \varphi)$, $F_{\frac{n}{m}}$ and $\Gamma_{m,n}$ be as defined above. For $\sigma > 0$ sufficiently large and φ defined by (2.5), we have*

$$\begin{aligned} \left\langle P(\cdot, \varphi), F_{\frac{n}{m}} \right\rangle &= \frac{\pi(2\pi)^{-(v+\kappa+1)} \Gamma(w+v+\kappa-1)}{2^{w+v+\kappa-2}} \cdot \left(\frac{m}{n}\right)^\sigma \\ &\cdot \int_{-\infty}^{\infty} \left(\frac{m}{n}\right)^{it} L(\sigma+it, f) L(v+\kappa-\sigma-it, f) \cdot \frac{\Gamma(\sigma+it) \Gamma(v+\kappa-\sigma-it)}{\Gamma\left(\frac{w}{2}+\sigma+it\right) \Gamma\left(\frac{w}{2}+v+\kappa-\sigma-it\right)} dt. \end{aligned}$$

As we already pointed out, the above proposition (with appropriate modifications) remains valid if the cuspform f is replaced by a *truncation* of the usual Eisenstein series $E(z, s)$ (for instance, on the line $\Re(s) = \frac{1}{2}$), or a Maass form. On the other hand, using Stirling's formula, it can be shown that the kernel in the above integral is (essentially) asymptotic to t^{-w} , as $t \rightarrow \infty$. This fact holds whether f is holomorphic or not. It follows that the meromorphic continuation of (2.3) can be obtained from the meromorphic continuation (in $w \in \mathbb{C}$) of the Poincaré series (2.4).

The meromorphic continuation of the Poincaré series (2.4) can be obtained by spectral theory¹, as in [Di-Go1]. To describe the contribution from the discrete part of the spectrum, let

$$\eta(z) = y^{\frac{1}{2}} \sum_{\ell \neq 0} \rho(\ell) K_{i\mu}(2\pi|\ell|y) e^{2\pi i \ell x}$$

($K_\mu(y)$ is the K -Bessel function) be a Maass cuspform (for the group $\Gamma_{m,n}$) which is an eigenfunction of the Laplacian with eigenvalue $\frac{1}{4} + \mu^2$. We shall need the well known transforms

$$\int_{-\infty}^{\infty} (x^2 + 1)^{-w} e^{-2\pi i \ell x y} dx = \frac{2\pi^w}{\Gamma(w)} (|\ell|y)^{w-\frac{1}{2}} K_{\frac{1}{2}-w}(2\pi|\ell|y), \quad \left(\Re(w) > \frac{1}{2}\right),$$

¹The Poincaré series $P(z, \varphi)$ is *not* square-integrable. Just after an obvious Eisenstein series is subtracted, the remaining part is not only in L^2 but also has sufficient decay so that its integrals against Eisenstein series converge absolutely (see [Di-Go1], [Di-Go2] and [Di-Ga1]).

and

$$\int_0^\infty y^v K_{i\mu}(y) K_{\frac{1}{2}-w}(y) \frac{dy}{y} = \frac{2^{v-3} \Gamma\left(\frac{\frac{1}{2}-i\mu+v-w}{2}\right) \Gamma\left(\frac{\frac{1}{2}+i\mu+v-w}{2}\right) \Gamma\left(\frac{-\frac{1}{2}-i\mu+v+w}{2}\right) \Gamma\left(\frac{-\frac{1}{2}+i\mu+v+w}{2}\right)}{\Gamma(v)},$$

which is valid provided $\Re(v+w) > \frac{1}{2}$, $\Re(w-v) < \frac{1}{2}$, and μ is real, i.e., we assume the Selberg $\frac{1}{4}$ -conjecture. Unfolding the integral, and applying the above transforms, one obtains

(2.7)

$$\begin{aligned} \frac{\langle P(\cdot, \varphi), \eta \rangle}{\langle \eta, \eta \rangle} &= \frac{1}{\langle \eta, \eta \rangle} \int_0^\infty \int_{-\infty}^\infty y^{v+\frac{1}{2}} \left(\frac{y}{\sqrt{x^2+y^2}} \right)^w \sum_{\ell \neq 0} \overline{\rho(\ell)} K_{i\mu}(2\pi|\ell|y) e^{-2\pi i \ell x} \frac{dx dy}{y^2} \\ &= \frac{1}{\langle \eta, \eta \rangle} \sum_{\ell \neq 0} \overline{\rho(\ell)} \int_0^\infty \int_{-\infty}^\infty y^{v+\frac{1}{2}} (1+x^2)^{-\frac{w}{2}} K_{i\mu}(2\pi|\ell|y) e^{-2\pi i \ell xy} \frac{dx dy}{y} \\ &= \frac{2\pi^{\frac{w}{2}}}{\langle \eta, \eta \rangle \cdot \Gamma\left(\frac{w}{2}\right)} \sum_{\ell \neq 0} \overline{\rho(\ell)} |\ell|^{\frac{w-1}{2}} \int_0^\infty y^{v+\frac{w}{2}} K_{i\mu}(2\pi|\ell|y) K_{\frac{1}{2}-w}(2\pi|\ell|y) \frac{dy}{y} \\ &= \frac{\pi^{-v}}{2\langle \eta, \eta \rangle} L\left(v + \frac{1}{2}, \bar{\eta}\right) \cdot \frac{\Gamma\left(\frac{\frac{1}{2}-i\mu+v}{2}\right) \Gamma\left(\frac{\frac{1}{2}+i\mu+v}{2}\right) \Gamma\left(\frac{-\frac{1}{2}-i\mu+v+w}{2}\right) \Gamma\left(\frac{-\frac{1}{2}+i\mu+v+w}{2}\right)}{\Gamma\left(v + \frac{w}{2}\right) \Gamma\left(\frac{w}{2}\right)}. \end{aligned}$$

Here $L(s, \eta)$ is the L -function associated to η . Note that the above computation is valid (all integrals and infinite sums converge absolutely) provided v, w have large real parts. The identity (2.7) then extends by analytic continuation. The ratio of products of gamma functions in the right hand side of (2.7) has simple poles at $v+w = \frac{1}{2} \pm i\mu$ with corresponding residues

$$\frac{\pi^{-v}}{\langle \eta, \eta \rangle} \cdot \frac{\Gamma(\pm i\mu) \Gamma\left(\frac{\frac{1}{2} \mp i\mu + v}{2}\right)}{\Gamma\left(\frac{\frac{1}{2} \pm i\mu - v}{2}\right)} \cdot L\left(v + \frac{1}{2}, \bar{\eta}\right).$$

For $v = 0$, and $\Re(w) \geq \frac{1}{2}$, it is expected that the above residues are almost always non-zero and that $\langle \eta, F_{\frac{n}{m}} \rangle \neq 0$ for almost all η ranging over a basis of Maass cuspforms for $\Gamma_{m,n}$. It also follows from Weyl's law that the number of such poles with imaginary part in the interval $[-T, T]$ is $\approx T^2$ as $T \rightarrow \infty$. Summing over m, n , we see from the above argument that the function

$$\sum_{m,n} m^{-2\Re(s_1)} \left\langle P(\cdot, \varphi), F_{\frac{n}{m}} \right\rangle,$$

with the choices $\sigma = \kappa/2$ and $v = 0$ is expected to have a natural boundary at $\Re(w) = \frac{1}{2}$. In a similar manner one may show that the function $Z(s_1, 1/2, 1/2, w)$, in particular, should have meromorphic continuation to at most $\Re(s_1) \geq \frac{1}{2}$ and $\Re(w) > \frac{1}{2}$.

§3. The correct notion of integral moment

In [Di-Ga-Go], we propose a mechanism to obtain asymptotics for integral moments of GL_r ($r \geq 2$) automorphic L -functions over an arbitrary number field. In particular, it reveals what we believe

should be the *correct* notion of integral moments. Our treatment follows the viewpoint of [Di-Ga1], where second integral moments for GL_2 are presented in a form enabling application of the structure of adèle groups and their representation theory. We establish relations of the form

$$\text{moment expansion} = \int_{Z_{\mathbb{A}}GL_r(k)\backslash GL_r(\mathbb{A})} \text{Pé} \cdot |f|^2 = \text{spectral expansion},$$

where Pé is a Poincaré series on GL_r over number field k , for cuspform f on $GL_r(\mathbb{A})$. Roughly, the *moment expansion* is a sum of weighted moments of convolution L -functions $L(s, f \otimes F)$, where F runs over a basis of cuspforms on GL_{r-1} , as well as further continuous-spectrum terms. Indeed, the moment-expansion side itself does involve a spectral decomposition on GL_{r-1} . The *spectral expansion* side follows immediately from the spectral decomposition of the Poincaré series, and (surprisingly) consists of only three parts: a leading term, a sum arising from cuspforms on GL_2 , and a continuous part from GL_2 . That is, no cuspforms on GL_ℓ with $2 < \ell \leq r$ contribute.

In the case of GL_2 over \mathbb{Q} , the above expression gives (for f spherical) the spectral decomposition of the classical integral moment

$$\int_{-\infty}^{\infty} |L(\frac{1}{2} + it, f)|^2 g(t) dt$$

for suitable smooth weights $g(t)$.

In the simplest case beyond GL_2 , take f a spherical cuspform on GL_3 over \mathbb{Q} . We construct a weight function $\Gamma(s, v, w, f_\infty, F_\infty)$ depending upon complex parameters s, v , and w , and upon the *archimedean* data for both f and cuspforms F on GL_2 , such that $\Gamma(s, v, w, f_\infty, F_\infty)$ has explicit asymptotic behavior, and such that the *moment expansion* arises as an integral

$$\begin{aligned} \int_{Z_{\mathbb{A}}GL_3(\mathbb{Q})\backslash GL_3(\mathbb{A})} \text{Pé}(g) |f(g)|^2 dg &= \sum_{F \text{ on } GL_2} \frac{1}{2\pi i} \int_{\Re(s)=\frac{1}{2}} |L(s, f \otimes F)|^2 \cdot \Gamma(s, 0, w, f_\infty, F_\infty) ds \\ &+ \frac{1}{4\pi i} \frac{1}{2\pi i} \sum_{k \in \mathbb{Z}} \int_{\Re(s_1)=\frac{1}{2}} \int_{\Re(s_2)=\frac{1}{2}} |L(s_1, f \otimes E_{1-s_2}^{(k)})|^2 \cdot \Gamma(s_1, 0, w, f_\infty, E_{1-s_2, \infty}^{(k)}) ds_2 ds_1. \end{aligned}$$

Here, for $\Re(s_2) = 1/2$, write $1 - s_2$ in place of \bar{s}_2 , to maintain holomorphy in complex-conjugated parameters. In this vein, over \mathbb{Q} , it is reasonable to put

$$L(s_1, f \otimes \bar{E}_{s_2}^{(k)}) = L(s_1, f \otimes E_{1-s_2}^{(k)}) = \frac{L(s_1 - s_2 + \frac{1}{2}, f) \cdot L(s_1 + s_2 - \frac{1}{2}, f)}{\zeta(2 - 2s_2)} \quad (\text{finite-prime part})$$

since the natural normalization of the Eisenstein series $E_{s_2}^{(k)}$ on GL_2 contributes the denominator $\zeta(2s_2)$. In the above expression, F runs over an orthonormal basis for all level-one cuspforms on GL_2 , with *no* restriction on the right K_∞ -type. The Eisenstein series $E_s^{(k)}$ run over all level-one Eisenstein series for $GL_2(\mathbb{Q})$ with no restriction on K_∞ -type denoted here by k . The weight function $\Gamma(s, v, w, f_\infty, F_\infty)$ can be described as follows. Let $U(\mathbb{R})$ denote the subgroup of $GL_3(\mathbb{R})$ of matrices of the form $\begin{pmatrix} I_2 & * \\ & 1 \end{pmatrix}$. For $w \in \mathbb{C}$, define φ on $U(\mathbb{R})$ by

$$\varphi \begin{pmatrix} I_2 & x \\ & 1 \end{pmatrix} = (1 + \|x\|^2)^{-\frac{w}{2}}$$

and set

$$\psi \begin{pmatrix} 1 & x_1 & x_3 \\ & 1 & x_2 \\ & & 1 \end{pmatrix} = e^{2\pi i(x_1+x_2)}$$

Then, the weight function is (essentially)

$$\begin{aligned} \Gamma(s, v, w, f_\infty, F_\infty) &= |\rho_F(1)|^2 \cdot \int_0^\infty \int_0^\infty \int_{O_2(\mathbb{R})} \int_0^\infty \int_0^\infty \int_{O_2(\mathbb{R})} (t^2 y)^{v-s+\frac{1}{2}} \cdot (t'^2 y')^{s-\frac{1}{2}} \mathcal{K}(h, m) \\ &\quad \cdot W_{f, \mathbb{R}} \begin{pmatrix} ty & & \\ & t & \\ & & 1 \end{pmatrix} W_{F, \mathbb{R}} \left(\begin{pmatrix} y & \\ & 1 \end{pmatrix} \cdot k \right) \\ &\quad \cdot \overline{W}_{f, \mathbb{R}} \begin{pmatrix} t'y' & & \\ & t' & \\ & & 1 \end{pmatrix} \overline{W}_{F, \mathbb{R}} \left(\begin{pmatrix} y' & \\ & 1 \end{pmatrix} \cdot k' \right) \\ &\quad \cdot dk \frac{dy}{y^2} \frac{dt}{t} dk' \frac{dy'}{y'^2} \frac{dt'}{t'}, \end{aligned}$$

where: $\rho_F(1)$ is the first Fourier coefficient of F ,

$$h = \begin{pmatrix} ty & & \\ & t & \\ & & 1 \end{pmatrix} \begin{pmatrix} k & \\ & 1 \end{pmatrix}, \quad m = \begin{pmatrix} t'y' & & \\ & t' & \\ & & 1 \end{pmatrix} \begin{pmatrix} k' & \\ & 1 \end{pmatrix},$$

and

$$\mathcal{K}(h, m) = \int_{U(\mathbb{R})} \varphi(u) \psi(huh^{-1}) \overline{\psi}(mum^{-1}) du.$$

Here $W_{f, \mathbb{R}}$ and $W_{F, \mathbb{R}}$ denote the Whittaker functions at ∞ attached to f and F , respectively.

To obtain higher moments of automorphic L -functions such as ζ , we replace the cuspform f by a truncated Eisenstein series or wavepacket of Eisenstein series. For example, for GL_3 , the continuous part of the above moment expansion gives the following natural integral

$$\int_{\Re(s)=\frac{1}{2}} \int_{-\infty}^{\infty} \left| \frac{\zeta(s+it)^3 \cdot \zeta(s-it)^3}{\zeta(1-2it)} \right|^2 M(s, t, w) dt ds$$

where M is the smooth weight obtained by summing over the K_∞ -types k the function Γ above.

For applications to Analytic Number Theory, one finds it useful to present, in classical language, the derivation of the *explicit* moment identity, when $r = 3$ over \mathbb{Q} . To do so, let $G = GL_3(\mathbb{R})$, and define the standard subgroups:

$$P = \left\{ \begin{pmatrix} 2 \times 2 & * \\ & 1 \times 1 \end{pmatrix} \right\}, \quad U = \left\{ \begin{pmatrix} I_2 & * \\ & 1 \end{pmatrix} \right\}, \quad H = \left\{ \begin{pmatrix} 2 \times 2 & \\ & 1 \end{pmatrix} \right\}, \quad Z = \text{center of } G.$$

Let N be the unipotent radical of standard minimal parabolic in H , that is, the subgroup of upper-triangular unipotent elements in H , and set $K = O_3(\mathbb{R})$.

For $w \in \mathbb{C}$, define φ on U by

$$\varphi \begin{pmatrix} I_2 & x \\ & 1 \end{pmatrix} = (1 + \|x\|^2)^{-\frac{w}{2}}.$$

We extend φ to G by requiring right K -invariance and left equivariance

$$\varphi(mg) = \left| \frac{\det A}{d^2} \right|^v \cdot \varphi(g) \quad \left(v \in \mathbb{C}, g \in G, m = \begin{pmatrix} A & \\ & d \end{pmatrix} \in ZH \right).$$

More generally, we can take *suitable* functions (see [Di-Ga1], [Di-Ga2]) φ on U , and extend them to G by right K -invariance and the same left equivariance.

For $\Re(v)$ and $\Re(w)$ sufficiently large, define the Poincaré series

$$(3.1) \quad \text{Pé}(g) = \text{Pé}(g; v, w) = \sum_{\gamma \in H(\mathbb{Z}) \backslash SL_3(\mathbb{Z})} \varphi(\gamma g) \quad (g \in G)$$

where $H(\mathbb{Z})$ is the subgroup of $SL_3(\mathbb{Z})$ whose elements belong to H . Note that $H(\mathbb{Z}) \approx SL_2(\mathbb{Z})$. To see that the series defining $\text{Pé}(g)$ converges absolutely and uniformly on compact subsets of G/ZK , one can use the Iwasawa decomposition to make a simple comparison with the maximal parabolic Eisenstein series.

For a cuspform f of type $\mu = (\mu_1, \mu_2)$ on $SL_3(\mathbb{Z})$ (right ZK -invariant), consider the integral

$$(3.2) \quad I = I(v, w) = \int_{ZSL_3(\mathbb{Z}) \backslash G} \text{Pé}(g) |f(g)|^2 dg.$$

Unwinding the Poincaré series, we write

$$I = \int_{ZH(\mathbb{Z}) \backslash G} \varphi(g) |f(g)|^2 dg.$$

Next, we will use the Fourier expansion (see [Go])

$$(3.3) \quad f(g) = \sum_{\gamma \in N(\mathbb{Z}) \backslash H(\mathbb{Z})} \sum_{\ell_1=1}^{\infty} \sum_{\ell_2 \neq 0} \frac{a(\ell_1, \ell_2)}{|\ell_1 \ell_2|} \cdot W_{\mu}(L\gamma g) \quad (\text{with } a(\ell_1, \ell_2) = a(\ell_1, -\ell_2))$$

where $N(\mathbb{Z})$ is the subgroup of upper-triangular unipotent elements in $H(\mathbb{Z})$, $L = \text{diag}(\ell_1 \ell_2, \ell_1, 1)$, and W_{μ} is the Whittaker function. Then the integral I further unwinds to

$$(3.4) \quad I = \sum_{\ell_1, \ell_2} \frac{a(\ell_1, \ell_2)}{|\ell_1 \ell_2|} \int_{ZN(\mathbb{Z}) \backslash G} \varphi(g) W_{\mu}(Lg) \bar{f}(g) dg.$$

Now, let P_1 be the (minimal) parabolic subgroup of G of upper-triangular matrices, and let K_1 be the subgroup of K fixing the row vector $(0, 0, 1)$. Using the Iwasawa decomposition

$$G = P_1 \cdot K, \quad P = (HZ) \cdot U = P_1 \cdot K_1,$$

we can write (up to a constant) the right hand side of (3.4) as

$$(3.5) \quad I = \sum_{\ell_1, \ell_2} \frac{a(\ell_1, \ell_2)}{|\ell_1 \ell_2|} \int_{(N(\mathbb{Z}) \backslash H) \times U} \varphi(hu) W_\mu(Lhu) \bar{f}(hu) dh du.$$

The constant involved is $\left(\int_{K_1} 1 dk\right)^{-1}$.

One of the key ideas is to decompose the left $H(\mathbb{Z})$ -invariant function $\bar{f}(hu)$ along $H(\mathbb{Z}) \backslash H$. Accordingly, we have the spectral decomposition

$$(3.6) \quad \begin{aligned} \bar{f}(hu) &= \int_{(\eta)} \eta(h) \int_{H(\mathbb{Z}) \backslash H} \bar{\eta}(m) \bar{f}(mu) dm d\eta \\ &= \sum_{\ell'_1, \ell'_2} \frac{a(\ell'_1, \ell'_2)}{|\ell'_1 \ell'_2|} \int_{(\eta)} \eta(h) \int_{N(\mathbb{Z}) \backslash H} \bar{\eta}(m) \bar{W}_\mu(L'mu) dm d\eta. \end{aligned}$$

Plugging (3.6) into (3.5), we can decompose

$$(3.7) \quad I = \sum_{\ell_1, \ell_2} \sum_{\ell'_1, \ell'_2} \frac{a(\ell_1, \ell_2)}{|\ell_1 \ell_2|} \frac{\overline{a(\ell'_1, \ell'_2)}}{|\ell'_1 \ell'_2|} I_{\ell_1, \ell_2, \ell'_1, \ell'_2},$$

where, for fixed $\ell_1, \ell_2, \ell'_1, \ell'_2$,

$$(3.8) \quad I_{\ell_1, \ell_2, \ell'_1, \ell'_2} = \int_{(\eta)} \int_{(N(\mathbb{Z}) \backslash H) \times U} \int_{N(\mathbb{Z}) \backslash H} \varphi(hu) W_\mu(Lhu) \eta(h) \bar{W}_\mu(L'mu) \bar{\eta}(m) dh dm du d\eta.$$

The integral over U in (3.8) is

$$\begin{aligned} &\int_U \varphi(u) W_\mu(Lhu) \bar{W}_\mu(L'mu) du \\ &= W_\mu(Lh) \bar{W}_\mu(L'm) \int_U \varphi(u) \psi(Lhuh^{-1}L^{-1}) \bar{\psi}(L'mum^{-1}L'^{-1}) du \\ &= W_\mu(Lh) \bar{W}_\mu(L'm) \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \cdots dx_2 dx_3 \\ &= W_\mu(Lh) \bar{W}_\mu(L'm) \mathcal{K}(Lh, L'm), \end{aligned}$$

where

$$\psi \begin{pmatrix} 1 & x_1 & x_2 \\ & 1 & x_3 \\ & & 1 \end{pmatrix} = e^{2\pi i(x_1 + x_3)}.$$

Therefore,

$$(3.9) \quad I_{\ell_1, \ell_2, \ell'_1, \ell'_2} = \int_{(\eta)} \int_{N(\mathbb{Z}) \backslash H} \int_{N(\mathbb{Z}) \backslash H} \varphi(h) \mathcal{K}(Lh, L'm) W_\mu(Lh) \eta(h) \bar{W}_\mu(L'm) \bar{\eta}(m) dh dm d\eta.$$

For $n \in N$ and $h \in H$, we have:

$$\begin{aligned}\varphi(nh) &= \varphi(h), \\ \mathcal{K}(Lnh, L'm) &= \mathcal{K}(Lh, L'm), \\ W_\mu(Lnh) &= \psi(LnL^{-1})W_\mu(Lh).\end{aligned}$$

Hence,

$$\begin{aligned}(3.10) \quad & \int_{N(\mathbb{Z})\backslash H} \int_{N(\mathbb{Z})\backslash H} \varphi(h) \mathcal{K}(Lh, L'm) W_\mu(Lh) \eta(h) \overline{W}_\mu(L'm) \overline{\eta}(m) dh dm \\ &= \int_{N\backslash H} \int_{N\backslash H} \varphi(h) \mathcal{K}(Lh, L'm) W_\mu(Lh) \overline{W}_\mu(L'm) \\ & \quad \cdot \int_{N(\mathbb{Z})\backslash N} \psi(LnL^{-1}) \eta(nh) dn \cdot \int_{N(\mathbb{Z})\backslash N} \overline{\psi}(L'n'L'^{-1}) \overline{\eta}(n'm) dn' dh dm.\end{aligned}$$

To simplify (3.10), let

$$h = \begin{pmatrix} ty & & \\ & t & \\ & & 1 \end{pmatrix} \begin{pmatrix} k & \\ & 1 \end{pmatrix}, \quad m = \begin{pmatrix} t'y' & & \\ & t' & \\ & & 1 \end{pmatrix} \begin{pmatrix} k' & \\ & 1 \end{pmatrix}, \quad (k, k' \in O_2(\mathbb{R})).$$

The functions η above are of the form $|\det|^{-s} \otimes F$ with $s \in i\mathbb{R}$. In what follows, for convergence purposes, the real part of the parameter s will necessarily be shifted to a fixed (large) $\sigma = \Re(s)$. The shifting occurs in (3.6) (there is a hidden vertical integral in the integral over η).

Remark. For every K -type κ , we choose F in an orthonormal basis consisting of common eigenfunctions for all Hecke operators T_n . Furthermore, this basis is normalized as in Corollary 4.4 and (4.69) [DFI] with respect to Maass operators.

Note that

$$(3.11) \quad \int_{N(\mathbb{Z})\backslash N} \psi(LnL^{-1}) F(nh) dn = \frac{\rho_F(-\ell_2)}{\sqrt{|\ell_2|}} W_{F,\mathbb{R}}^\pm \left(\begin{pmatrix} |\ell_2|y & \\ & 1 \end{pmatrix} \cdot k \right),$$

$$(3.12) \quad \int_{N(\mathbb{Z})\backslash N} \overline{\psi}(L'n'L'^{-1}) \overline{F}(n'm) dn' = \frac{\overline{\rho_F(-\ell'_2)}}{\sqrt{|\ell'_2|}} \overline{W}_{F,\mathbb{R}}^\pm \left(\begin{pmatrix} |\ell'_2|y' & \\ & 1 \end{pmatrix} \cdot k' \right),$$

where $W_{F,\mathbb{R}}^\pm$ are the GL_2 Whittaker functions attached to F . These functions can be expressed in terms of the *classical* Whittaker function

$$W_{\alpha,\beta}(y) = \frac{y^\alpha e^{-\frac{y}{2}}}{2\pi i} \int_{-i\infty}^{i\infty} \frac{\Gamma(u) \Gamma(-u - \alpha - \beta + \frac{1}{2}) \Gamma(-u - \alpha + \beta + \frac{1}{2})}{\Gamma(-\alpha - \beta + \frac{1}{2}) \Gamma(-\alpha + \beta + \frac{1}{2})} y^u du,$$

where the contour has loops, if necessary, so that the poles of $\Gamma(u)$ and the poles of the function $\Gamma(-u-\alpha-\beta+\frac{1}{2})\Gamma(-u-\alpha+\beta+\frac{1}{2})$ are on opposite sides of it. For $k = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \in SO_2(\mathbb{R})$, we have (see [DFI])

$$W_{F,\mathbb{R}}^\pm \left(\begin{pmatrix} y & \\ & 1 \end{pmatrix} \cdot k \right) = e^{i\kappa\theta} W_{F,\mathbb{R}}^\pm \begin{pmatrix} y & \\ & 1 \end{pmatrix} = e^{i\kappa\theta} W_{\pm\frac{\kappa}{2}, i\mu_F}(4\pi y) \quad (y > 0)$$

if F is an eigenfunction of

$$\Delta_\kappa = y^2 \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) - i\kappa y \frac{\partial}{\partial x}$$

with eigenvalue $\frac{1}{4} + \mu_F^2$. In (3.11) and (3.12), the Whittaker functions are determined by the signs of $-\ell_2$ and $-\ell'_2$, respectively. If F corresponds to a holomorphic, or anti-holomorphic, cuspform, there are no negative, or positive, respectively, terms in its Fourier expansion. We have

$$W_{F,\mathbb{R}}^+ \left(\begin{pmatrix} y & \\ & 1 \end{pmatrix} \cdot k \right) = e^{i\kappa\theta} W_{F,\mathbb{R}}^+ \begin{pmatrix} y & \\ & 1 \end{pmatrix} = e^{i\kappa\theta} W_{\frac{\kappa}{2}, \frac{\kappa_0-1}{2}}(4\pi y) \quad (\text{for } \kappa \geq \kappa_0 \geq 12, y > 0)$$

for F corresponding to a holomorphic cuspform of weight κ_0 .

Then, making the substitutions

$$t \rightarrow \frac{t}{\ell_1}, \quad y \rightarrow \frac{y}{|\ell_2|}, \quad t' \rightarrow \frac{t'}{\ell'_1}, \quad y' \rightarrow \frac{y'}{|\ell'_2|},$$

we can write (3.10) as

$$(3.13) \quad \frac{\sqrt{|\ell_2|} \rho_F(-\ell_2)}{(\ell_1^2 |\ell_2|)^{v-s}} \frac{\sqrt{|\ell'_2|} \overline{\rho_F(-\ell'_2)}}{(\ell_1'^2 |\ell'_2|)^s} \int_0^\infty \int_0^\infty \int_{H \cap K} \int_0^\infty \int_0^\infty \int_{H \cap K} (t^2 y)^{v-s} \cdot (t'^2 y')^s \mathcal{K}(h, m) \\ \cdot W_\mu \begin{pmatrix} ty & & \\ & t & \\ & & 1 \end{pmatrix} W_{F,\mathbb{R}}^\pm \left(\begin{pmatrix} y & \\ & 1 \end{pmatrix} \cdot k \right) \\ \cdot \overline{W}_\mu \begin{pmatrix} t'y' & & \\ & t' & \\ & & 1 \end{pmatrix} \overline{W}_{F,\mathbb{R}}^\pm \left(\begin{pmatrix} y' & \\ & 1 \end{pmatrix} \cdot k' \right) \\ \cdot dk \frac{dy}{y^2} \frac{dt}{t} dk' \frac{dy'}{y'^2} \frac{dt'}{t'},$$

where

$$\mathcal{K}(h, m) = \int_U \varphi(u) \psi(huh^{-1}) \overline{\psi}(mum^{-1}) du.$$

Recall that the Rankin-Selberg convolution $L(s, f \otimes F)$ is given by

$$L(s, f \otimes F) = L(s, f \otimes F_0) = \sum_{\ell_1, \ell_2=1}^\infty \frac{a(\ell_1, \ell_2) \lambda_{F_0}(\ell_2)}{(\ell_1^2 \ell_2)^s},$$

where F_0 is the basic ancestor of F , and $\lambda_{F_0}(\ell)$ is the corresponding eigenvalue of the Hecke operator T_ℓ . Since $a(\ell_1, \ell_2) = a(\ell_1, -\ell_2)$, it follows from (3.7), (3.9) and (3.13) that

$$\begin{aligned} I &= \int_{ZSL_3(\mathbb{Z}) \backslash G} \text{Pé}(g) |f(g)|^2 dg \\ &= \sum_{F \text{ in } GL_2} \frac{1}{2\pi i} \int_{\Re(s)=\sigma} L(v+1-s, f \otimes F) L(s, \bar{f} \otimes \bar{F}) \Gamma_\varphi(s) ds, \end{aligned}$$

where

$$\begin{aligned} (3.14) \quad \Gamma_\varphi(s) &= \Gamma_\varphi(s, v, w, f, F) \\ &= \sum_{\pm} \rho_F(\pm 1) \overline{\rho_F(\pm 1)} \cdot \int_0^\infty \int_0^\infty \int_{H \cap K} \int_0^\infty \int_0^\infty \int_{H \cap K} (t^2 y)^{v-s+\frac{1}{2}} \cdot (t'^2 y')^{s-\frac{1}{2}} \mathcal{K}(h, m) \\ &\quad \cdot W_\mu \begin{pmatrix} ty & & & \\ & t & & \\ & & & 1 \end{pmatrix} W_{F, \mathbb{R}}^\pm \left(\begin{pmatrix} y & \\ & 1 \end{pmatrix} \cdot k \right) \\ &\quad \cdot \overline{W}_\mu \begin{pmatrix} t'y' & & & \\ & t' & & \\ & & & 1 \end{pmatrix} \overline{W}_{F, \mathbb{R}}^\pm \left(\begin{pmatrix} y' & \\ & 1 \end{pmatrix} \cdot k' \right) \\ &\quad \cdot dk \frac{dy}{y^2} \frac{dt}{t} dk' \frac{dy'}{y'^2} \frac{dt'}{t'}, \end{aligned}$$

with all four possible sign choices in the sum. Note that we have also replaced s by $s - \frac{1}{2}$.

The kernel $\Gamma_\varphi(s)$ can be expressed as a Barnes type (multiple) integral. To see this, note that

$$\psi(huh^{-1}) = e^{2\pi i t(u_1 \sin \theta + u_2 \cos \theta)}, \quad \overline{\psi}(mum^{-1}) = e^{-2\pi i t'(u_1 \sin \theta' + u_2 \cos \theta')},$$

with $0 \leq \theta, \theta' \leq 2\pi$. Changing the variables $u_1 = r \cos \phi$, $u_2 = r \sin \phi$ ($r \geq 0$ and $0 \leq \phi \leq 2\pi$), one can write

$$(3.15) \quad \mathcal{K}(h, m) = \int_0^\infty \int_0^{2\pi} r^2 \varphi(r) e^{2\pi i r t \sin(\theta+\phi)} e^{-2\pi i r t' \sin(\theta'+\phi)} d\phi \frac{dr}{r}.$$

In (3.15), express the two exponentials using the Fourier expansion

$$e^{iu \sin \theta} = \sum_{\ell=-\infty}^{\infty} J_\ell(u) e^{i\ell\theta}.$$

Recalling that

$$W_{F, \mathbb{R}}^\pm \left(\begin{pmatrix} y & \\ & 1 \end{pmatrix} \cdot k \right) = e^{i\kappa\theta} W_{F, \mathbb{R}}^\pm \begin{pmatrix} y & \\ & 1 \end{pmatrix},$$

it follows that, up to a positive constant, $\Gamma_\varphi(s)$ is represented by

$$(3.16) \quad \sum_{\pm} \rho_F(\pm 1) \overline{\rho_F(\pm 1)} \cdot \int_0^\infty \int_0^\infty \int_0^\infty \int_0^\infty (t^2 y)^{v-s+\frac{1}{2}} (t'^2 y')^{s-\frac{1}{2}} \cdot \int_0^\infty r^2 \varphi(r) J_\kappa(2\pi r t) J_\kappa(2\pi r t') \frac{dr}{r} \\ \cdot W_\mu \begin{pmatrix} ty & & & \\ & t & & \\ & & & 1 \end{pmatrix} W_{F, \mathbb{R}}^\pm \begin{pmatrix} y & & & \\ & & & 1 \end{pmatrix} \overline{W}_\mu \begin{pmatrix} t'y' & & & \\ & t' & & \\ & & & 1 \end{pmatrix} \overline{W}_{F, \mathbb{R}}^\pm \begin{pmatrix} y' & & & \\ & & & 1 \end{pmatrix} \frac{dy}{y^2} \frac{dt}{t} \frac{dy'}{y'^2} \frac{dt'}{t'}.$$

Here we have also used the well-known identity $J_{-\kappa}(z) = (-1)^\kappa J_\kappa(z)$.

To continue the computation, express both $GL_3(\mathbb{R})$ Whittaker functions in (3.16) as (see [Bu])

$$W_\mu \begin{pmatrix} ty & & & \\ & t & & \\ & & & 1 \end{pmatrix} = \frac{1}{(2\pi i)^2} \int_{(\delta_1)} \int_{(\delta_2)} \pi^{-\xi_1 - \xi_2} V(\xi_1, \xi_2) t^{1-\xi_1} y^{1-\xi_2} d\xi_1 d\xi_2,$$

where

$$V(\xi_1, \xi_2) = \frac{1}{4} \frac{\Gamma(\frac{\xi_1 + \alpha}{2}) \Gamma(\frac{\xi_1 + \beta}{2}) \Gamma(\frac{\xi_1 + \gamma}{2}) \Gamma(\frac{\xi_2 - \alpha}{2}) \Gamma(\frac{\xi_2 - \beta}{2}) \Gamma(\frac{\xi_2 - \gamma}{2})}{\Gamma(\frac{\xi_1 + \xi_2}{2})},$$

the vertical lines of integration being taken to the right of all poles of the integrand. We shall consider only the $(+, +)$ part of (3.16), assuming $\kappa \geq 0$ and

$$W_{F, \mathbb{R}}^+ \begin{pmatrix} y & & & \\ & & & 1 \end{pmatrix} = W_{\frac{\kappa}{2}, i\mu_{F_0}}(4\pi y).$$

Interchanging the order of integration and applying standard integral formulas (see [GR]), we write the integrals of the $(+, +)$ part of (3.16) corresponding to the above choice of $W_{F, \mathbb{R}}^+$ as

$$(3.17) \quad \frac{\pi^{-3(1+v)}}{128} \frac{1}{(2\pi i)^4} \int_{(\delta_1)} \int_{(\delta_2)} \int_{(\delta'_1)} \int_{(\delta'_2)} V(\xi_1, \xi_2) \overline{V}(\xi'_1, \xi'_2) \frac{\Gamma(1 + \frac{\kappa}{2} - s - \frac{\xi_1}{2} + v) \Gamma(\frac{\kappa}{2} + s - \frac{\xi'_1}{2})}{\Gamma(\frac{\kappa}{2} + s + \frac{\xi_1}{2} - v) \Gamma(\frac{\kappa}{2} + 1 - s + \frac{\xi'_1}{2})} \\ \cdot \Gamma\left(\frac{1 - s - \xi_2 + v - i\mu_{F_0}}{2}\right) \Gamma\left(\frac{1 - s - \xi_2 + v + i\mu_{F_0}}{2}\right) \\ \cdot \Gamma\left(\frac{s - \xi'_2 - i\mu_{F_0}}{2}\right) \Gamma\left(\frac{s - \xi'_2 + i\mu_{F_0}}{2}\right) \\ \cdot \frac{\Gamma(\frac{\xi_1 + \xi'_1 - 2v}{2}) \Gamma(\frac{-\xi_1 - \xi'_1 + 2v + w}{2})}{\Gamma(\frac{w}{2})} d\xi'_2 d\xi'_1 d\xi_2 d\xi_1.$$

This representation holds provided

$$\begin{aligned} & \delta_1, \delta_2, \delta'_1, \delta'_2 > 0; \\ & \Re(v) - \Re(s) - \delta_2 > -1; \quad \Re(s) - \delta'_2 > 0; \\ & \frac{3}{2} > 2\Re(s) - \delta'_1 > 0; \quad -\frac{1}{2} > 2\Re(v) - 2\Re(s) - \delta_1 > -2; \\ & \Re(w) > \delta_1 + \delta'_1 - 2\Re(v) > 0. \end{aligned}$$

We remark that for all the other choices of $W_{F, \mathbb{R}}^{\pm}$, one obtains similar expressions.

For fixed F_0 a Maass cuspform of weight zero, or a classical holomorphic (or anti-holomorphic) cuspform of weight κ_0 , the corresponding *archimedean* sum over the K -types κ in the moment expansion can be evaluated using the effect of the Maass operators on F_0 given explicitly in [DFI] (see especially (4.70), (4.77), (4.78) and (4.83)).

We summarize the main result of this section in the following

Theorem 3.18. *Let $P\acute{e}(g)$ defined in (3.1) be the Poincaré series associated to φ . Then, for $s, v, w \in \mathbb{C}$ with sufficiently large real parts, and f a cuspform on $SL_3(\mathbb{Z})$, we have*

$$\int_{ZSL_3(\mathbb{Z}) \backslash G} P\acute{e}(g) |f(g)|^2 dg = \sum_{F \text{ in } GL_2} \frac{1}{2\pi i} \int_{\Re(s)=\sigma} L(v+1-s, f \otimes F) L(s, \bar{f} \otimes \bar{F}) \Gamma_{\varphi}(s) ds$$

where F runs over an orthonormal basis for all level-one cuspforms together with vertical integrals of all level-one Eisenstein series on $GL_2(\mathbb{Q})$, with no restriction on the right K -types. The weight function $\Gamma_{\varphi}(s)$ is given by

$$\begin{aligned} \Gamma_{\varphi}(s) = & \sum_{\pm} \rho_F(\pm 1) \overline{\rho_F(\pm 1)} \cdot \int_0^{\infty} \int_0^{\infty} \int_0^{\infty} \int_0^{\infty} (t^2 y)^{v-s+\frac{1}{2}} (t'{}^2 y')^{s-\frac{1}{2}} \cdot \int_0^{\infty} r^2 \varphi(r) J_{\kappa}(2\pi r t) J_{\kappa}(2\pi r t') \frac{dr}{r} \\ & \cdot W_{\mu} \begin{pmatrix} ty & & & \\ & t & & \\ & & 1 & \\ & & & 1 \end{pmatrix} W_{F, \mathbb{R}}^{\pm} \begin{pmatrix} y & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{pmatrix} \bar{W}_{\mu} \begin{pmatrix} t'y' & & & \\ & t' & & \\ & & 1 & \\ & & & 1 \end{pmatrix} \bar{W}_{F, \mathbb{R}}^{\pm} \begin{pmatrix} y' & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{pmatrix} \frac{dy}{y^2} \frac{dt}{t} \frac{dy'}{y'^2} \frac{dt'}{t'}, \end{aligned}$$

with all four possible sign choices in the sum.

§4. Spectral decomposition of Poincaré series

We begin by showing that our Poincaré series $P\acute{e}(g)$ is a degenerate GL_3 object (i.e., the cuspforms on $SL_3(\mathbb{Z})$ **do not** contribute to its spectral decomposition). We have the following

Proposition 4.1. *The Poincaré series $P\acute{e}(g)$ is orthogonal to the space of cuspforms on $SL_3(\mathbb{Z})$.*

Proof: Let f be a cuspform on $SL_3(\mathbb{Z})$ with Fourier expansion

$$f(g) = \sum_{\gamma \in N(\mathbb{Z}) \backslash H(\mathbb{Z})} \sum_{\ell_1=1}^{\infty} \sum_{\ell_2 \neq 0} \frac{a(\ell_1, \ell_2)}{|\ell_1 \ell_2|} \cdot W(L\gamma g).$$

Unwinding twice, it follows, as before, that

$$(4.2) \quad \int_{ZSL_3(\mathbb{Z}) \backslash G} P\acute{e}(g) \bar{f}(g) dg = \sum_{\ell_1, \ell_2} \frac{\overline{a(\ell_1, \ell_2)}}{|\ell_1 \ell_2|} \int_{ZN(\mathbb{Z}) \backslash G/K} \varphi(g) \bar{W}(Lg) dg.$$

Now, write $g \in G$ in Iwasawa form,

$$\begin{aligned} g &= \begin{pmatrix} 1 & x_1 & x_2 \\ & 1 & x_3 \\ & & 1 \end{pmatrix} \begin{pmatrix} y_1 y_2 & & \\ & y_1 & \\ & & 1 \end{pmatrix} \begin{pmatrix} d & & \\ & d & \\ & & d \end{pmatrix} k \quad (y_1, y_2 > 0, k \in K) \\ &= \begin{pmatrix} y_1 y_2 d & & \\ & y_1 d & \\ & & d \end{pmatrix} \begin{pmatrix} 1 & x_1/y_2 & \\ & 1 & \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & (x_2 - x_1 x_3)/y_1 y_2 \\ 0 & 1 & x_3/y_1 \\ 0 & 0 & 1 \end{pmatrix} k. \end{aligned}$$

Then,

$$(4.3) \quad \varphi(g) = (y_1^2 y_2)^v \varphi \begin{pmatrix} 1 & 0 & (x_2 - x_1 x_3)/y_1 y_2 \\ 0 & 1 & x_3/y_1 \\ 0 & 0 & 1 \end{pmatrix}$$

and

$$(4.4) \quad W(Lg) = e^{2\pi i(\ell_2 x_1 + \ell_1 x_3)} \cdot W \begin{pmatrix} \ell_1 y_1 | \ell_2 | y_2 & & \\ & \ell_1 y_1 & \\ & & 1 \end{pmatrix}.$$

Also, the integral in the right hand side of (4.2) can be written explicitly as

$$\int_{ZN(\mathbb{Z}) \backslash G/K} \cdots dg = \int_{y_2=0}^{\infty} \int_{y_1=0}^{\infty} \int_{x_3=-\infty}^{\infty} \int_{x_2=-\infty}^{\infty} \int_{x_1=0}^1 \cdots dx_1 dx_2 dx_3 \frac{dy_1}{y_1^3} \frac{dy_2}{y_2^3}.$$

Letting

$$x_1 = t_1, \quad x_2 = t_2 + t_1 t_3, \quad x_3 = t_3,$$

the inner integral over t_1 is

$$\int_0^1 e^{-2\pi i \ell_2 t_1} dt_1 = 0$$

(since $\ell_2 \neq 0$). Thus,

$$\int_{ZSL_3(\mathbb{Z}) \backslash G} \text{Pé}(g) \bar{f}(g) dg = 0. \quad \square$$

Now write the Poincaré series as

$$\text{Pé}(g) = \sum_{\gamma \in H(\mathbb{Z}) \backslash SL_3(\mathbb{Z})} \varphi(\gamma g) = \sum_{\gamma \in P(\mathbb{Z}) \backslash SL_3(\mathbb{Z})} \sum_{\beta \in U(\mathbb{Z})} \varphi(\beta \gamma g)$$

where $P(\mathbb{Z})$ denotes the subgroup of $SL_3(\mathbb{Z})$ with the bottom row $(0, 0, 1)$. By the Poisson summation formula, we have

$$\begin{aligned} \sum_{\beta \in U(\mathbb{Z})} \varphi(\beta g) &= \sum_{m_2, m_3 = -\infty}^{\infty} \varphi \left(\begin{pmatrix} 1 & m_2 & \\ & 1 & m_3 \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & x_1 & x_2 \\ & 1 & x_3 \\ & & 1 \end{pmatrix} \begin{pmatrix} y_1 y_2 & & \\ & y_1 & \\ & & 1 \end{pmatrix} \right) \\ &= \sum_{m_2, m_3 = -\infty}^{\infty} \varphi \left(\begin{pmatrix} 1 & x_1 & x_2 + m_2 \\ & 1 & x_3 + m_3 \\ & & 1 \end{pmatrix} \begin{pmatrix} y_1 y_2 & & \\ & y_1 & \\ & & 1 \end{pmatrix} \right) \\ &= \sum_{m_2, m_3 = -\infty}^{\infty} C_{\varphi}^{(m_2, m_3)}(x_1, y_1, y_2) e^{2\pi i(m_2 x_2 + m_3 x_3)}, \end{aligned}$$

where $C_{\varphi}^{(m_2, m_3)}(x_1, y_1, y_2)$ is given by

$$\begin{aligned} C_{\varphi}^{(m_2, m_3)}(x_1, y_1, y_2) &= (y_1^2 y_2)^v \int_{\mathbb{R}^2} \varphi \left(\begin{pmatrix} 1 & 0 & (u_2 - x_1 u_3)/y_1 y_2 \\ 0 & 1 & u_3/y_1 \\ 0 & 0 & 1 \end{pmatrix} \right) e^{-2\pi i(m_2 u_2 + m_3 u_3)} du_2 du_3 \\ (4.5) \quad &= (y_1^2 y_2)^{v+1} \int_{\mathbb{R}^2} \varphi \left(\begin{pmatrix} 1 & t_2 \\ & 1 & t_3 \\ & & 1 \end{pmatrix} \right) e^{-2\pi i[m_2 y_1 y_2 t_2 + (m_2 x_1 + m_3) y_1 t_3]} dt_2 dt_3. \end{aligned}$$

Therefore, denoting $C_{\varphi}^{(m_2, m_3)}(x_1, y_1, y_2) e^{2\pi i(m_2 x_2 + m_3 x_3)}$ by $\widehat{\varphi}_g(m_2, m_3)$, we can write

$$\text{Pé}(g) = \sum_{\gamma \in P(\mathbb{Z}) \backslash SL_3(\mathbb{Z})} \sum_{m_2, m_3 = -\infty}^{\infty} \widehat{\varphi}_{\gamma g}(m_2, m_3).$$

Thus, by (4.5) we can decompose the Poincaré series $\text{Pé}(g)$ as

$$(4.6) \quad \text{Pé}(g) = C(\varphi) \cdot E^{2,1}(g, v+1) + \text{Pé}^*(g)$$

where $E^{2,1}(g, v+1)$ is the maximal parabolic Eisenstein series on $SL_3(\mathbb{Z})$ and

$$(4.7) \quad C(\varphi) = \int_{\mathbb{R}^2} \varphi \left(\begin{pmatrix} 1 & t_2 \\ & 1 & t_3 \\ & & 1 \end{pmatrix} \right) dt_2 dt_3.$$

To obtain a spectral decomposition, we need to present the Poincaré series $\text{Pé}(g)$ with the maximal parabolic Eisenstein series on $SL_3(\mathbb{Z})$ removed in a more useful way. To do so, we first write

$$\begin{aligned} \text{Pé}^*(g) &= \sum_{\gamma \in P(\mathbb{Z}) \backslash SL_3(\mathbb{Z})} \sum_{\substack{m_2, m_3 = -\infty \\ (m_2, m_3) \neq (0, 0)}}^{\infty} \widehat{\varphi}_{\gamma g}(m_2, m_3) \\ &= \sum_{\gamma \in P(\mathbb{Z}) \backslash SL_3(\mathbb{Z})} \sum_{\substack{\psi \in (U(\mathbb{Z}) \backslash U(\mathbb{R}))^{\sim} \\ \psi \neq 1}} \widehat{\varphi}_{\gamma g}(\psi), \end{aligned}$$

where

$$\widehat{\varphi}_g(\psi) = \int_U \varphi(ug) \overline{\psi(u)} du.$$

For $\beta \in H(\mathbb{Z})$, we observe that

$$\begin{aligned} \widehat{\varphi}_{\beta g}(\psi) &= \int_U \varphi(u\beta g) \overline{\psi(u)} du = \int_U \varphi(\beta\beta^{-1}u\beta g) \overline{\psi(u)} du = \int_U \varphi(\beta^{-1}u\beta g) \overline{\psi(u)} du \\ (4.8) \qquad \qquad \qquad &= \int_U \varphi(ug) \overline{\psi(\beta u\beta^{-1})} du, \end{aligned}$$

as $\varphi(\beta g) = \varphi(g)$ for $\beta \in H(\mathbb{Z})$ and $g \in G$. Setting $\psi^\beta(u) = \psi(\beta u\beta^{-1})$, the last integral in (4.8) is $\widehat{\varphi}_g(\psi^\beta)$.

Consider the characters on $U(\mathbb{Z}) \backslash U(\mathbb{R})$

$$\psi^m(u) = e^{2\pi i m u_3} \quad \left(m \in \mathbb{Z}^\times \text{ and } u = \begin{pmatrix} 1 & & & \\ & 1 & u_2 & \\ & & 1 & u_3 \\ & & & 1 \end{pmatrix} \right).$$

Since every non-trivial character on $U(\mathbb{Z}) \backslash U(\mathbb{R})$ is obtained as $(\psi^m)^\beta$, for unique $m \in \mathbb{Z}^\times$ and $\beta \in P^{1,1}(\mathbb{Z}) \backslash H(\mathbb{Z})$, where $P^{1,1}(\mathbb{Z})$ is the parabolic subgroup of $H(\mathbb{Z})$, it follows from (4.8) that

$$\begin{aligned} \text{Pé}^*(g) &= \sum_{\gamma \in P(\mathbb{Z}) \backslash SL_3(\mathbb{Z})} \sum_{\beta \in P^{1,1}(\mathbb{Z}) \backslash H(\mathbb{Z})} \sum_{m \in \mathbb{Z}^\times} \widehat{\varphi}_{\beta\gamma g}(\psi^m) \\ &= \sum_{\gamma \in P^{1,1,1}(\mathbb{Z}) \backslash SL_3(\mathbb{Z})} \sum_{m \in \mathbb{Z}^\times} \widehat{\varphi}_{\gamma g}(\psi^m). \end{aligned}$$

Let

$$\Theta = \left\{ \begin{pmatrix} 1 & & & \\ & * & * & \\ & & * & * \end{pmatrix} \right\}, \quad U' = \left\{ \begin{pmatrix} 1 & & * & \\ & 1 & & \\ & & & 1 \end{pmatrix} \right\}, \quad U'' = \left\{ \begin{pmatrix} 1 & & & \\ & 1 & * & \\ & & & 1 \end{pmatrix} \right\}.$$

Then

$$\text{Pé}^*(g) = \sum_{\gamma \in P^{1,2}(\mathbb{Z}) \backslash SL_3(\mathbb{Z})} \sum_{\beta \in P^{1,1}(\mathbb{Z}) \backslash \Theta(\mathbb{Z})} \sum_{m \in \mathbb{Z}^\times} \int_{U''} \overline{\psi}^m(u'') \cdot \left(\int_{U'} \varphi(u' u'' \beta \gamma g) du' \right) du''.$$

Setting

$$\widetilde{\varphi}(g) = \int_{U'} \varphi(u' g) du',$$

the last expression of $\text{Pé}^*(g)$ becomes

$$(4.9) \quad \text{Pé}^*(g) = \sum_{\gamma \in P^{1,2}(\mathbb{Z}) \backslash SL_3(\mathbb{Z})} \sum_{\beta \in P^{1,1}(\mathbb{Z}) \backslash \Theta(\mathbb{Z})} \sum_{m \in \mathbb{Z}^\times} \int_{U''} \overline{\psi}^m(u'') \widetilde{\varphi}(u'' \beta \gamma g) du''.$$

Let

$$(4.10) \quad \Phi(g) = \sum_{\beta \in P^{1,1}(\mathbb{Z}) \backslash \Theta(\mathbb{Z})} \sum_{m \in \mathbb{Z}^\times} \int_{U''} \overline{\psi}^m(u'') \widetilde{\varphi}(u'' \beta g) du''.$$

We need the following simple observation.

Lemma 4.11. *We have the equivariance*

$$\tilde{\varphi}(pg) = |q|^{v+1} \cdot |a|^v \cdot |d|^{-2v-1} \cdot \tilde{\varphi}(g), \quad \left(\text{for } p = \begin{pmatrix} q & b & c \\ & a & \\ & & d \end{pmatrix} \in GL_3(\mathbb{R}) \right).$$

Proof: Indeed, since

$$\begin{pmatrix} 1 & & t \\ & 1 & \\ & & 1 \end{pmatrix} \begin{pmatrix} q & b & c \\ & a & \\ & & d \end{pmatrix} = \begin{pmatrix} q & b & td+c \\ & a & \\ & & d \end{pmatrix} = \begin{pmatrix} q & b & \\ & a & \\ & & d \end{pmatrix} \begin{pmatrix} 1 & & (td+c)/q \\ & 1 & \\ & & 1 \end{pmatrix},$$

we have

$$\tilde{\varphi}(pg) = \int_{U'} \varphi(u'pg) du' = \left| \frac{qa}{d^2} \right|^v \cdot \int_{\mathbb{R}} \varphi \left(\begin{pmatrix} 1 & & (td+c)/q \\ & 1 & \\ & & 1 \end{pmatrix} g \right) dt = |q|^{v+1} \cdot |a|^v \cdot |d|^{-2v-1} \tilde{\varphi}(g). \quad \square$$

Assuming g of the form

$$g = \begin{pmatrix} a & * \\ & g' \end{pmatrix} \quad (a \in \mathbb{R}^\times \text{ and } g' \in GL_2(\mathbb{R})),$$

(we can always do using the Iwasawa decomposition), and decomposing it as

$$g = \begin{pmatrix} a & * \\ & I_2 \end{pmatrix} \begin{pmatrix} 1 & \\ & g' \end{pmatrix},$$

we have

$$\tilde{\varphi}(g) = |a|^{v+1} \cdot \tilde{\varphi} \begin{pmatrix} 1 & \\ & g' \end{pmatrix}.$$

Since

$$\begin{pmatrix} 1 & \\ & D \end{pmatrix} g = \begin{pmatrix} a & * \\ & Dg' \end{pmatrix} \quad (\text{for } D \in GL_2(\mathbb{R})),$$

it follows that $\Phi(g)$ defined in (4.10) descends to a GL_2 Poincaré series, with the corresponding Eisenstein series removed, of the type studied in [Di-Gal], [Di-Go1], [Di-Go2]. Setting

$$\varphi^{(2)} \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} = \tilde{\varphi} \begin{pmatrix} 1 & \\ & 1 \ x \\ & & 1 \end{pmatrix} \quad (x \in \mathbb{R})$$

and extending it to $GL_2(\mathbb{R})$ by

$$\varphi^{(2)} \left(\begin{pmatrix} a & \\ & d \end{pmatrix} gk \right) = \left| \frac{a}{d} \right|^{\frac{3v+1}{2}} \cdot \varphi^{(2)}(g) \quad (g \in GL_2(\mathbb{R}), k \in O_2(\mathbb{R})),$$

we can write

$$(4.12) \quad \Phi \begin{pmatrix} a & * \\ & g' \end{pmatrix} = |a|^{v+1} \cdot |\det g'|^{-\frac{v+1}{2}} \cdot \sum_{\beta \in P^{1,1}(\mathbb{Z}) \setminus SL_2(\mathbb{Z})} \sum_{m \in \mathbb{Z}^\times} \int_N \bar{\psi}^m(n) \varphi^{(2)}(n\beta g') dn,$$

with N the subgroup of upper-triangular unipotent elements in $GL_2(\mathbb{R})$. Note that, for

$$\varphi\left(\begin{array}{cc} I_2 & u \\ & 1 \end{array}\right) = (1 + \|u\|^2)^{-\frac{w}{2}},$$

we have

$$\begin{aligned} (4.13) \quad \varphi^{(2)}\left(\begin{array}{cc} 1 & x \\ & 1 \end{array}\right) &= \tilde{\varphi}\left(\begin{array}{ccc} 1 & & \\ & 1 & x \\ & & 1 \end{array}\right) = \int_{U'} \varphi\left(u' \left(\begin{array}{ccc} 1 & & \\ & 1 & x \\ & & 1 \end{array}\right)\right) du' \\ &= \int_{-\infty}^{\infty} (1 + u^2 + x^2)^{-\frac{w}{2}} du = \sqrt{\pi} \frac{\Gamma(\frac{w-1}{2})}{\Gamma(\frac{w}{2})} \cdot (1 + x^2)^{\frac{1-w}{2}}. \end{aligned}$$

Then, by (2.2), (2.3) and (5.8) in [Di-Go1], it follows that, for an orthonormal basis of Maass cuspforms which are simultaneous eigenfunctions of all the Hecke operators, we have the spectral decomposition

$$\begin{aligned} \Phi\left(\begin{array}{cc} a & * \\ & g' \end{array}\right) &= \frac{1}{2} \sum_{F\text{-even}} \overline{\rho_F(1)} L\left(\frac{3v}{2} + 1, F\right) \mathcal{G}\left(\frac{1}{2} + i\mu_F; \frac{3v+1}{2}, w-1\right) |a|^{v+1} |\det g'|^{-\frac{v+1}{2}} F(g') \\ &+ \frac{1}{4\pi i} \int_{\Re(s)=\frac{1}{2}} \frac{\zeta(\frac{3v}{2} + \frac{1}{2} + s) \zeta(\frac{3v}{2} + \frac{3}{2} - s)}{\pi^{-1+s} \Gamma(1-s) \zeta(2-2s)} \mathcal{G}\left(1-s; \frac{3v+1}{2}, w-1\right) |a|^{v+1} |\det g'|^{-\frac{v+1}{2}} E(g', s) ds, \end{aligned}$$

where

$$\mathcal{G}(s; v, w) = \pi^{-v+\frac{1}{2}} \frac{\Gamma(-s+v+1) \Gamma(\frac{s+v}{2}) \Gamma(\frac{-s+v+w}{2}) \Gamma(\frac{s+v+w-1}{2})}{\Gamma(\frac{w+1}{2}) \Gamma(v + \frac{w}{2})}.$$

This decomposition holds provided $\Re(v)$ and $\Re(w)$ are sufficiently large. Hence, by (4.9) and (4.10), $\text{Pé}^*(g)$ has the induced spectral decomposition from GL_2 ,

$$\begin{aligned} \text{Pé}^*(g) &= \frac{1}{2} \sum_{F\text{-even}} \overline{\rho_F(1)} L\left(\frac{3v}{2} + 1, F\right) \mathcal{G}\left(\frac{1}{2} + i\mu_F; \frac{3v+1}{2}, w-1\right) E_F^{1,2}(g, v+1) \\ &+ \frac{1}{4\pi i} \int_{\Re(s)=\frac{1}{2}} \frac{\zeta(\frac{3v}{2} + \frac{1}{2} + s) \zeta(\frac{3v}{2} + \frac{3}{2} - s)}{\pi^{-1+s} \Gamma(1-s) \zeta(2-2s)} \mathcal{G}\left(1-s; \frac{3v+1}{2}, w-1\right) E^{1,1,1}\left(g, \frac{v+1}{2} - \frac{s}{3}, \frac{2s}{3}\right) ds. \end{aligned}$$

By Godement's criterion (see [Bo]), the minimal parabolic Eisenstein series $E^{1,1,1}$ inside the integral converges absolutely and uniformly on compact subsets of G/ZK for $\Re(v)$ sufficiently large. The meromorphic continuation of the Poincaré series $\text{Pé}(g)$ in $(v, w) \in \mathbb{C}^2$ follows by shifting the contour similarly to Section 5 of [Di-Go1], or Theorem 4.17 in [Di-Ga1].

We summarize the main result of this section in the following theorem.

Theorem 4.14. *For $\Re(v)$ and $\Re(w)$ sufficiently large, the Poincaré series $\text{Pé}(g)$ associated to*

$$\varphi\left(\begin{array}{cc} I_2 & u \\ & 1 \end{array}\right) = (1 + \|u\|^2)^{-\frac{w}{2}}$$

has the spectral decomposition

$$\begin{aligned} \text{Pé}(g) &= \frac{2\pi}{w-2} \cdot E^{2,1}(g, v+1) \\ &+ \frac{1}{2} \sum_{F\text{-even}} \overline{\rho_F(1)} L\left(\frac{3v}{2} + 1, F\right) \mathcal{G}\left(\frac{1}{2} + i\mu_F; \frac{3v+1}{2}, w-1\right) E_F^{1,2}(g, v+1) \\ &+ \frac{1}{4\pi i} \int_{\Re(s)=\frac{1}{2}} \frac{\zeta\left(\frac{3v}{2} + \frac{1}{2} + s\right) \zeta\left(\frac{3v}{2} + \frac{3}{2} - s\right)}{\pi^{-1+s} \Gamma(1-s) \zeta(2-2s)} \mathcal{G}\left(1-s; \frac{3v+1}{2}, w-1\right) E^{1,1,1}\left(g, \frac{v+1}{2} - \frac{s}{3}, \frac{2s}{3}\right) ds. \end{aligned}$$

Final Remark. Let φ on U be defined by

$$\varphi\left(\begin{array}{c|c} I_2 & u \\ \hline & 1 \end{array}\right) = 2^{1-w} \sqrt{\pi} \frac{\Gamma\left(\frac{w}{2}\right) (1 + \|u\|^2)^{-\frac{w}{2}} F\left(\frac{w}{2}, \frac{w}{2}; w; \frac{1}{1+\|u\|^2}\right)}{\Gamma\left(\frac{w-1}{2}\right)},$$

and consider the Poincaré series $\text{Pé}(g)$ attached to this choice of φ . Representing the hypergeometric function by its power series,

$$F(\alpha, \beta; \gamma; z) = \frac{\Gamma(\gamma)}{\Gamma(\alpha)\Gamma(\beta)} \cdot \sum_{m=0}^{\infty} \frac{1}{m!} \frac{\Gamma(\alpha+m)\Gamma(\beta+m)}{\Gamma(\gamma+m)} z^m \quad (|z| < 1),$$

and using the last identity in (4.13), it follows, as in [Di-Ga2], Section 3, that the Poincaré series $\text{Pé}(g)$ with $v=0$ satisfies a shifted functional equation (involving an Eisenstein series) as $w \rightarrow 2-w$ (see also [G] and [Di-Go1]).

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