

CSCI 2011: Induction Proofs and Recursion

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Logistics

Reading: Rosen

- ▶ Now: 5.1 - 5.5
- ▶ Next: 6.1 - 6.5

Assignments

- ▶ A06: Post Thursday
- ▶ Due Tuesday

Quiz Thursday

- ▶ Big-O Algorithm Analysis
- ▶ Number Theory and Modulo
- ▶ Encryption
 - ▶ Caesar, Vigenere
 - ▶ Maybe some RSA
- ▶ Basic Induction Proofs

Goals

- ▶ Induction
- ▶ Recursive Structures
- ▶ Recursive Code

Principles of Mathematical Induction

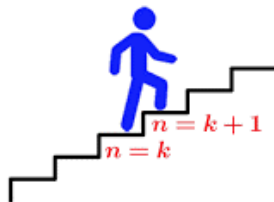
- **Induction** is a proof technique based on the following principle

$$(P(1) \wedge \forall k P(k) \rightarrow P(k+1)) \rightarrow \forall n P(n)$$

- In English
 1. Show that $P(1)$ is true (**base case**)
 2. Show that if $P(k)$ is true for some value k , then $P(k+1)$ is also true (**inductive step**)
 3. Conclude that $P(n)$ is true for all positive integers n
- We will study applications induction to integers and also to **structures** such as trees which arise in CS



Part 1



Part 2

An Old Friend: Sum of 1 to n

Recall that we proved the following relation which has applications in algorithm analysis via a term pairing argument.

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

Will now show this via induction instead

Proof by Mathematical Induction

Base Case

- ▶ $n = 1$, have $\sum_{i=1}^1 i = 1$ and $\frac{1(1+1)}{2} = 1$.
- ▶ So, both expressions equal 1, property holds at $n = 1$.

Induction Case

1. Assume for k that $\sum_{i=1}^k i = \frac{k(k+1)}{2}$
2. Show $\sum_{i=1}^{k+1} i = \frac{(k+1)((k+1)+1)}{2}$
3. Start with right side of equality and show equivalent to left

$$\begin{aligned}\frac{(k+1)((k+1)+1)}{2} &= \frac{(k+1)(k+2)}{2} && \text{Expand} \\ &= \frac{(k+1) \cdot k + (k+1) \cdot 2}{2} && \text{Distribute} \\ &= \frac{k(k+1)}{2} + (k+1) && \text{Divide} \\ &= \left(\sum_{i=1}^k i\right) + (k+1) && \text{Inductive Hypothesis (1)} \\ &= \sum_{i=1}^{k+1} i && \text{Def. of Summation}\end{aligned}$$

By Base/Inductive Cases, true for all positive integers. ■

Exercise: Inductive Proof for Sums of Odds

Notice that sums first n odd integers seem to follow a pattern

$$\begin{array}{rclcl} 1 & = & 1 & & 1+3+5+7 & = & 16 \\ 1+3 & = & 4 & & 1+3+5+7+9 & = & 25 \\ 1+3+5 & = & 9 & & 1+3+\dots+(2n-1) & = & n^2 \end{array}$$

Use a **Proof by Induction** to show that

$$\sum_{i=1}^n (2i-1) = n^2$$

Clearly show both

- ▶ **Base Case**

- ▶ Show property holds for $n = 1$

- ▶ **Inductive Step**

- ▶ Assume fact $\sum_{i=1}^k (2i-1) = k^2$

- ▶ Show that $\sum_{i=1}^{k+1} (2i-1) = (k+1)^2$

Answers: Inductive Proof for Sums of Odds

Base Case

- ▶ $n = 1$, have $\sum_{i=1}^1 (2i - 1) = 1$ and $1^2 = 1$.
- ▶ So, both expressions equal 1, property holds at $n = 1$.

Induction Case

1. Assume fact $\sum_{i=1}^k (2i - 1) = k^2$
2. Show that $\sum_{i=1}^{k+1} (2i - 1) = (k + 1)^2$
3. Start with right side of equality and show equivalent to left

$$\begin{aligned}(k + 1)^2 &= k^2 + 2k + 1 && \text{Expand} \\ &= (\sum_{i=1}^k (2i - 1)) + 2k + 1 && \text{IH (1)} \\ &= (\sum_{i=1}^k (2i - 1)) + 2(k + 1) - 1 && \text{Rearrange} \\ &= (\sum_{i=1}^{k+1} (2i - 1)) && \text{Def. of Summation}\end{aligned}$$

By Base/Inductive Cases, true for all positive integers. ■

Exercise: Size of Power Set

- ▶ Recall the **power set** of A , $\mathcal{P}(A)$ is defined to be the set of all subsets of A
- ▶ For a finite set like $A = \{1, 2, 3\}$

$$\mathcal{P}(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$$

- ▶ The size of the power set seems to follow a pattern:

$ A = 0$	$ \mathcal{P}(A) = 1$	$ A = 4$	$ \mathcal{P}(A) = 16$
$ A = 1$	$ \mathcal{P}(A) = 2$	$ A = 5$	$ \mathcal{P}(A) = 32$
$ A = 2$	$ \mathcal{P}(A) = 4$	...	...
$ A = 3$	$ \mathcal{P}(A) = 8$	$ A = N$	$ \mathcal{P}(A) = 2^N$

- ▶ Prove this relation with proof by induction.
- ▶ Note the base case is at $N = 0$ this time
- ▶ **Hint:** for A with $|A| = N$, add a new element a to get $|A \cup a| = N + 1$, consider all subsets of A with and without a

Answers: Size of Power Set (Base Case)

Show that for finite set A , IF $|A| = N$ THEN $|\mathcal{P}(A)| = 2^N$

Base Case

1. For $|A| = 0$, A must be the empty set $\emptyset$.
2. The only subset of $\emptyset$ is itself so $\mathcal{P}(\emptyset) = \{\emptyset\}$ which has 1 element.
3. So $|\mathcal{P}(A)| = 1$ and $2^0 = 1$ so the property holds at $N = 0$

Answers: Size of Power Set (Inductive Case)

Show that for finite set A , IF $|A| = N$ THEN $|\mathcal{P}(A)| = 2^N$

Inductive Case

1. Assume fact IF $|A| = k$ THEN $|\mathcal{P}(A)| = 2^k$
 2. Show IF $|A| = k + 1$ THEN $|\mathcal{P}(A)| = 2^{k+1}$
 3. Let $A = S \cup \{a\}$ so that $|S| = k$
 - ▶ S is one element smaller than A
 4. From (3), know that $\mathcal{P}(A) = \mathcal{P}(S \cup \{a\})$
 5. To form $\mathcal{P}(S \cup \{a\})$, use $\mathcal{P}(S)$: If set $X \subseteq \mathcal{P}(S)$ then
 - ▶ $X \subseteq \mathcal{P}(S \cup \{a\})$
 - ▶ $X \cup \{a\} \subseteq \mathcal{P}(S \cup \{a\})$
- So, 2 subsets in $\mathcal{P}(A)$ for every 1 in $\mathcal{P}(S)$
6. By 5, $|\mathcal{P}(A)| = |\mathcal{P}(S)| \cdot 2$.
 7. By **IH** (1), know that $|\mathcal{P}(S)| = 2^k$.
 8. Combine (6)/(7) to get $|\mathcal{P}(A)| = 2^k \cdot 2 = 2^{k+1}$.

By Base/Inductive Cases, true for all positive integers. ■

Stronger Induction Assumptions

- ▶ Standard induction assumes $P(k)$ and shows $P(k+1)$ in the Inductive Step
- ▶ **Strong Induction** makes a stronger assumption
 - ▶ Assume $P(1) \wedge P(2) \wedge \cdots \wedge P(k)$
 - ▶ Show $P(k+1)$
- ▶ Comes in handy when one needs to "look back" farther

Fibonacci Growth and Strong Induction

- ▶ The **Fibonacci Numbers** are defined recursively as

$$\text{fib}(0) = 0, \text{fib}(1) = 1, \text{fib}(N) = \text{fib}(N-1) + \text{fib}(N-2)$$

- ▶ Show that $\text{fib}(N)$ is $O(2^N)$

Base Cases

1. $\text{fib}(0) = 0$ and $2^0 = 1$, dominated
2. $\text{fib}(1) = 1$ and $2^1 = 2$, dominated

Inductive Case

1. Strong Inductive Hypothesis: Assume **both** $\text{fib}(k)$ is $O(2^k)$ and $\text{fib}(k-1)$ is $O(2^{k-1})$
2. Show $\text{fib}(k+1)$ is $O(2^{k+1})$
3. By definition $\text{fib}(k+1) = \text{fib}(k) + \text{fib}(k-1)$
4. By **IH** (1) $\text{fib}(k+1)$ is then $O(2^k + 2^{k-1})$
5. Rearranging gets $O(2^k + 2 \cdot 2^{k-1} - 2^{k-1}) = O(2^{k+1} - 2^{k-1})$ which is $O(2^{k+1})$

Exercise: Warm-up

1. What is Mathematical Induction? What parts appear in a proof involving induction?
2. What is the difference between Standard Induction and **Strong** Induction?
3. What kind of object is particularly well-suited for Proofs by Induction?

Answers: Warm-up

1. What is Mathematical Induction? What parts appear in a proof involving induction?
 - ▶ Induction is a proof technique that allows a properties be proved for all objects of a certain kind
 - ▶ Has a **Base Case** where the "smallest" objects are shown to have the property
 - ▶ Has an **Induction Case** where it is assumed that a smaller object has the property and this leads to a slightly larger object having the property
2. What is the difference between Standard Induction and **Strong** Induction?
 - ▶ Standard Induction assumes only $P(k)$ and shows $P(k+1)$ holds
 - ▶ Strong Induction assumes $P(1) \wedge P(2) \wedge P(3) \wedge \dots \wedge P(k)$ and shows $P(k+1)$ holds
 - ▶ Stronger because more is assumed but Standard/Strong are actually identical
3. What kind of object is particularly well-suited for Proofs by Induction?
 - ▶ Objects with recursive definitions often have induction proofs

Exercise: Fibonacci Lower Bound

Show that for $N \geq 3$,

$$\text{fib}(N) > \alpha^{N-2}$$

with $\alpha = \frac{1+\sqrt{5}}{2}$

- ▶ Use a proof by induction, strong hypothesis
- ▶ Multiple Base Cases to support strong induction
- ▶ Inductive Step exploits looking back by 2 fib numbers
- ▶ Use the fact that

$$\alpha^2 = \alpha + 1$$

Answer: Fibonacci Lower Bound

Show for $N \geq 3$ and $\alpha = \frac{1+\sqrt{5}}{2}$ with $\alpha^2 = \alpha + 1$, that

$$\text{fib}(N) > \alpha^{N-2}$$

Base Case

1. $N = 3$, $\text{fib}(3) = 2$ and $\alpha^{3-2} = \alpha^1 = 1.618\dots$, check
2. $N = 4$, $\text{fib}(4) = 3$ and $\alpha^{4-2} = \alpha^2 = 2.618\dots$, check

Inductive Case

1. Strong IH: Assume facts

$$\begin{aligned}\text{fib}(k) &> \alpha^{k-2} \\ \text{fib}(k-1) &> \alpha^{k-3}\end{aligned}$$

2. Show $\text{fib}(k+1) > \alpha^{k-1}$
3. By def of $\text{fib}(N)$ and IH (1)

$$\begin{aligned}\text{fib}(k+1) &= \text{fib}(k) + \text{fib}(k-1) \\ &> \alpha^{k-2} + \alpha^{k-3}\end{aligned}$$

4. Fact: $\alpha^2 = \alpha + 1$

5. RHS of (3) becomes

$$\begin{aligned}\alpha^{k-2} + \alpha^{k-3} &= (\alpha + 1)\alpha^{k-3} \\ &= \alpha^2 \cdot \alpha^{k-3} \\ &= \alpha^{k-1}\end{aligned}$$

6. So $\text{fib}(k+1) > \alpha^{k-1}$ ■

Exercise: Classes Scheduling

- ▶ A Classes Hall is open 09:00 (9am) to 17:00 (5pm)
- ▶ Professors have submitted classes they want to schedule
- ▶ Each submission has start/end times (s_i, e_i)
- ▶ Classroom management wants to **maximize** the number of classes offered
- ▶ **Determine** Max number of classess that can be scheduled sample data
- ▶ **What algorithm** works for this? *Hint: Try sorting...*

Class#	Start	End
1	15	17
2	9	12
3	11	14
4	13	17
5	14	17
6	9	10
7	11	12
8	12	14
9	12	15
10	9	11
11	11	13
12	16	17
13	14	16
14	10	14

Greedy Approaches to Class Scheduling

- ▶ Sort by a start or end time
- ▶ Greedy selection: earliest non-conflicting class

Sort by Start Time

Class#	Start	End	
6	9	10	1
10	9	11	
2	9	12	
14	10	14	2
7	11	12	
11	11	13	
3	11	14	
8	12	14	
9	12	15	
4	13	17	
13	14	16	3
5	14	17	
1	15	17	
12	16	17	4

4 classes scheduled

Sort by End Time

Class#	Start	End	
6	9	10	1
10	9	11	
2	9	12	
7	11	12	2
11	11	13	
14	10	14	
3	11	14	
8	12	14	3
9	12	15	
13	14	16	4
4	13	17	
5	14	17	
1	15	17	
12	16	17	5

5 classes scheduled

Exercise: Greedy Algorithm for Class Scheduling

- ▶ Previous example suggests the following algorithm
- ▶ **Analyze complexity** and give worst case Big-O runtime
- ▶ Speculate: Is this algorithm **correct**?
 - ▶ YES: will always schedule the maximum # classes
 - ▶ NO: Some array T will result in fewer than maximum classes scheduled
- ▶ How would one **prove** correctness

```
select_classes(T[] : int pair array){  
    # T are (start,end) time pairs  
    sort(L) by end times      # ????  
    S = empty list  
    (prev_start, prev_end) = (-1,-1)  
    append(S, L[0])          # ????  
    for(i=1; i<length(L); i++){  
        (cur_start,cur_end) = T[i]  
        if(T[i] COMPATIBLE){ # ????  
            append(S, L[i])   # ????  
            (prev_start,prev_end) = T[i]  
        }  
    }  
    return S : list of scheduled classes  
}
```

Answers: Greedy Algorithm for Class Scheduling

- ▶ Fastest general purpose sorting algorithms are $O(N \log N)$
 - ▶ Quicksort, Mergesort, Heapsort, Timsort
- ▶ Appending to a list should be $O(1)$
- ▶ Compatibility check: one numerical comparison, constant time $O(1)$
- ▶ Total complexity: $O(N \log N)$
- ▶ Algorithm **is correct**, use a Proof by Induction

```
select_classes(T[] : int pair array){  
    # T are (start,end) time pairs  
    sort(L) by end times      #  $O(N \log N)$   
    S = empty list  
    (prev_start, prev_end) = (-1,-1)  
    append(S, L[0])           #  $O(1)$   
    for(i=0; i<length(L); i++){  
        (cur_start, cur_end) = T[i]  
        if(cur_start >= prev_end){ #  $O(1)$   
            append(S, L[i])         #  $O(1)$   
            (prev_start, prev_end) = T[i]  
        } #  $O(1)$  work per iteration  
    } #  $O(N)$  work for loop  
    return S : list of scheduled classes  
} #  $N \log N + N = O(N \log N)$ 
```

Correctness of `select_classes()`: Base Cases

Prove `select_classes()` algorithm

- ▶ Sort classes by end time
- ▶ Select earliest compatible classes

always schedules the maximum number of classes possible.

Proved by induction on the **maximum possible # classes** that can be scheduled.

Base Cases: Max Classes = 0 or 1

If there are no classes possible, the loop will not add any to the set and returns empty.

If there is only 1 talk possible, `select_classes()` picks the one which ends the earliest and adds it.

Correctness of `select_classes()`: Induction Case

1. Assume if k classes is the max possible, `select_classes()` schedules k classes (works correctly)
2. Show if $k + 1$ classes is the max possible, `select_classes()` will schedule $k + 1$ classes.
3. `select_classes()` sorts classes by end time:
$$e_1 \leq e_2 \leq \dots \leq e_N$$
4. Suppose classes with end time e_i is the earliest ending classes among the $k + 1$ max classes possible.
5. Replace e_i with classes with end time e_1 which is what `select_classes()` picks first.
6. e_1 has an earlier or equal end time to e_i so still possible to schedule remaining k talks.
7. By IH (1), `select_classes()` works correctly when k classes is the max.

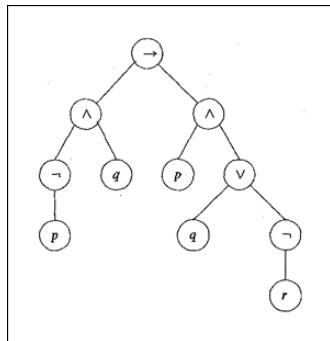
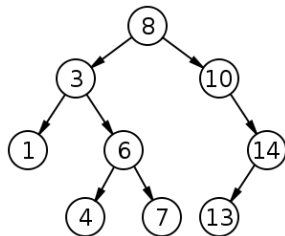
By proof of Base and Induction Cases, `select_classes()` is correct for all possible #'s of maximum classes. ■

Recursively Defined Structures

- ▶ Can define a variety of objects recursively
- ▶ Some of these are numeric such as sets of integers
 - ▶ 3 is in S , if x and y are in S , $x + y$ is in S
- ▶ Others lack numerical description
 - ▶ Binary Trees
 - ▶ Logical Formulas like

$((\neg p) \wedge q) \rightarrow (p \wedge (q \vee (\neg r)))$

- ▶ Note the **parse tree** for the above logic formula to the right



Structural Induction

Structural Induction is used on these objects to prove properties about them.

- ▶ Base Case deals with initial set of objects, shows property holds for all of them
- ▶ Induction Case deals with recursive definition to build up objects, shows that combining smaller objects maintains the property

Exercise: Even Parentheses

Define **well-formed logical formulas** recursively:

Base Cases

- ▶ The symbols **T**, **F** are well-formed
- ▶ Any single variables such as p or q is well-formed

Recursive Cases

If E and F are well-formed, then the following combinations are also well-formed, all of which are parenthesized

- ▶ Negation: $(\neg E)$
- ▶ And: $(E \wedge F)$
- ▶ Or: $(E \vee F)$
- ▶ Implies: $(E \rightarrow F)$

Prove that well-formed logic formulas have an **even number of parentheses**

Base Cases

1. ???
2. ???

Induction Cases

1. Assume E, F are **smaller** formulas which have k logical connectives and have an even number of parentheses
2. Show **larger** formulas with $k + 1$ connective symbols created from E, F have an even number of parentheses
3. ???

Answers: Even Parentheses Base Cases

Prove that well-formed logic formulas have an **even number of parentheses**

Base Cases

1. **T** and **F** have 0 parentheses, even
2. Single variables like p have 0 parentheses, even

Answers: Even Parentheses Induction Cases

Prove that well-formed logic formulas have an **even number of parentheses**

Induction Cases

1. Assume E, F are **smaller** formulas which have k logical connectives and have an even number of parentheses
2. Show **larger** formulas with $k + 1$ connective symbols created from E, F have an even number of parentheses
3. Each way of combining symbols introduces 2 parentheses
 - ▶ 2 for Negation: $(\neg E)$
 - ▶ 2 for And: $(E \wedge F)$
 - ▶ 2 for Or: $(E \vee F)$
 - ▶ 2 for Implies: $(E \rightarrow F)$
4. By IH (1), E, F both have even # parentheses so adding them and 2 more keeps the total even.

By combination of Base and Induction Cases, all well-formed formulas have an even # of parenthesis. ■

Full Binary Trees

- ▶ Binary trees are special kinds of graphs where each vertex (node) has at most two edges (connections) to other vertices (nodes) and no cycles are formed
- ▶ **Full Binary Trees** are binary trees in which each node has a 0 or 2 children
- ▶ The set of full binary trees can be recursively defined as follows

Base Case

A single node r is a full binary tree

Basis step



Step 1



Step 2



Recursive Case

If T_L and T_R are both full binary trees, a new full binary tree is formed by creating a root r with left child T_L and right child T_R .

FIGURE 4 Building Up Full Binary Trees.

Exercise: Height of Binary Trees

The **Height** of a binary tree is defined recursively as

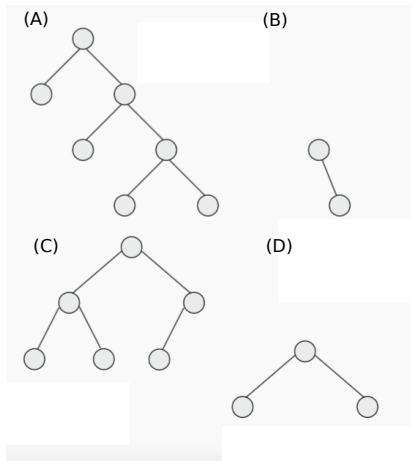
- ▶ **Base Cases:** The height of the empty tree $h(\emptyset) = 0$, height of a single node/root $h(r) = 1$

- ▶ **Recursive Case:** The height of tree T with root r , left child tree T_L and right child tree T_R is

$$h(T) = 1 + \max(h(T_L), h(T_R))$$

Give a non-recursive description of the meaning of height involving the root of the tree and its leaves.

- ▶ What are the **heights** of the following binary trees?
- ▶ Which are **full** binary trees?



Exercise: Number of Nodes in a Tree

Give a recursive definition for the number of nodes in a tree called $n(T)$

- ▶ Base Case(s)
- ▶ Recursive Case(s)

Exercise: Number of Nodes in a Tree

Give a recursive definition for the number of nodes in a tree called $n(T)$

Base Cases

The empty tree has $n(\emptyset) = 0$ nodes

(Maybe) the single node tree r has $n(r) = 1$ nodes

Recursive Case

If T_L and T_R are binary trees, then the larger tree T with root r and T_L, T_R as left/right child trees has number of nodes

$$n(T) = 1 + n(T_L) + n(T_R)$$

Exercise: Height of Full Binary Trees

Prove that the number of nodes $n(T)$ is bounded by the height $h(T)$ for **full binary trees** according to the following formula.

$$n(T) \leq 2^{h(T)} - 1$$

Use a **Proof by Induction** on the structure of Full Binary Trees.

- ▶ **Base Case:** Single node tree is full.
- ▶ **Induction Case:** Full tree formed from two other full trees.

Answers: Height of Full Binary Trees Base Case

Prove that the number of nodes $n(T)$ is bounded by the height $h(T)$ for **full binary trees** according to the following formula.

$$n(T) \leq 2^{h(T)} - 1$$

Base Case

For the single node full binary tree we have

- ▶ $n(T) = 1$ (single node)
- ▶ $h(T) = 1$ (one node on path from root to leaf)

Plugging into the formula gives

$$1 \leq 2^1 - 1$$

$$1 \leq 2 - 1$$

$$1 \leq 1$$

Answers: Height of Full Binary Trees Induction Case

Prove that the number of nodes $n(T)$ is bounded by the height $h(T)$ for **full binary trees** according to the following formula.

$$n(T) \leq 2^{h(T)} - 1$$

Induction Case

1. Assume bound holds for smaller trees T_L and T_R

▶ $n(T_L) \leq 2^{h(T_L)} - 1$

▶ $n(T_R) \leq 2^{h(T_R)} - 1$

2. Show bound holds for larger tree T formed by joining root r to left/right child trees T_L, T_R , that

$$n(T) \leq 2^{h(T)} - 1$$

3. Start with number of nodes and derive the following

$$\begin{aligned} n(T) &= 1 + n(T_L) + n(T_R) && \text{Def of } n(T) \\ &\leq 1 + 2^{h(T_L)} - 1 + 2^{h(T_R)} - 1 && \text{by IH (1)} \\ &\leq 2^{h(T_L)} + 2^{h(T_R)} - 1 && \text{simplify} \\ &\leq 2 \cdot \max(2^{h(T_L)}, 2^{h(T_R)}) - 1 && \text{Def of Max} \\ &= 2 \cdot 2^{\max(h(T_L), h(T_R))} - 1 && \text{Def of Exp.} \\ &= 2 \cdot 2^{(1 + \max(h(T_L), h(T_R))) - 1} - 1 && +1 \text{ and } -1 \\ &= 2 \cdot 2^{h(T) - 1} - 1 && \text{Def of } h(T) \\ &= 2^{h(T)} - 1 && \text{Simplify} \end{aligned}$$

By combination of Base and Induction Cases, node count holds. ■

Exercise: Iterative and Recursive Fibonacci

- ▶ Recall the Fibonacci numbers
- ▶ Below are two code implementations of them
- ▶ Which is Recursive and which is Iterative?
- ▶ Which has better big-O runtime?
- ▶ Which is easier to prove **correct**?
 - ▶ How would one prove correctness...)

```
1  int fib(int n){
2      if(n==0){
3          return 0;
4      }
5      if(n==1){
6          return 1;
7      }
8      else{
9          int tmp1 = fib(n-1);
10         int tmp2 = fib(n-2);
11         return tmp1+tmp2;
12     }
13 }
```

```
1  int fib(int n){
2      int fi = 0;
3      int f2 = 0;
4      int f1 = 1;
5      for(int i=0; i < n; i++){
6          f2 = f1;
7          f1 = fi;
8          fi = f1 + f2;
9      }
10     return fi;
11 }
```

Answers: Iterative and Recursive Fibonacci

- ▶ **Which** is Recursive and which is Iterative?
 - ▶ Left Recursive, Right Iterative
- ▶ **Which** has better big-O runtime?
 - ▶ Iterative **much** more efficient as it avoids redundant computations
- ▶ **Which** is easier to prove **correct** - via Induction
 - ▶ Recursive: easy follows the definition of Fibonacci very closely
 - ▶ Iterative: harder, perhaps proof by induction on iteration count

```
1 int fib_recursive(int n){
2     if(n==0){
3         return 0;
4     }
5     if(n==1){
6         return 1;
7     }
8     else{
9         int tmp1 = fib(n-1);
10        int tmp2 = fib(n-2);
11        return tmp1+tmp2;
12    }
13 }
```

```
1 int fib_iterative(int n){
2     int fi = 0;
3     int f2 = 0;
4     int f1 = 1;
5     for(int i=0; i < n; i++){
6         f2 = f1;
7         f1 = fi;
8         fi = f1 + f2;
9     }
10    return fi;
11 }
```

Proof of Correctness for Recursive Fibonacci

Base Case

- ▶ For `fib(0)` returns 0 - check
- ▶ For `fib(1)` returns 1 - check

Induction Case

1. Assume `fib(n-1)` and `fib(n-2)` are correct
2. Show `fib(n)` is correct
3. Lines 9 and 10 return correct answers
4. Combined correctly according to definition of `fib(n)` in line 11.

```
1  int fib_recursive(int n){
2      if(n==0){
3          return 0;
4      }
5      if(n==1){
6          return 1;
7      }
8      else{
9          int tmp1 = fib(n-1);
10         int tmp2 = fib(n-2);
11         return tmp1+tmp2;
12     }
13 }
```

By combination of Base and Induction cases, implementation works correctly. ■

Proof of Correctness of Iterative Fibonacci

Much trickier as only partly follows definition of sequence. **General strategy** is along the following lines

- ▶ Show correct for cases of $n=0$, $n=1$
- ▶ For $n \geq 2$, prove at the end of loop iteration i at line 9, variables hold specific values
 - ▶ $f2$ is $\text{fib}(i-2)$
 - ▶ $f1$ is $\text{fib}(i-1)$
 - ▶ fi is $\text{fib}(i)$
- ▶ Induction on i with base case $i=2$ and induction shows updates to vars $f2, f1, fi$

```
1  int fib_iterative(int n){
2      int fi = 0;
3      int f2 = 0;
4      int f1 = 1;
5      for(int i=0; i < n; i++){
6          f2 = f1;
7          f1 = fi;
8          fi = f1 + f2;
9      }
10     return fi;
11 }
```

Formal Methods is the study of automatically proving correctness of code. UMN has very strong researchers in this area.