

CSCI 2041: Deep and Shallow Equality

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Logistics

Goals Today

- ▶ Finish Higher-order Funcs
- ▶ Deep/Shallow Equality

Later this Week

- ▶ Wed: Scope and Functions
- ▶ Fri: Curried Funcs/Return Vals

Next Week

- ▶ Mon: Review
- ▶ Wed: **Exam 2**
- ▶ Fri: Lecture

Exam 1

Regrade requests via Gradescope,
Due Mon 10/15

Assignment 3 `multimanager`

- ▶ Manage multiple lists
- ▶ Records to track lists/undo
- ▶ `option` to deal with editing
- ▶ Higher-order funcs for easy bulk operations
- ▶ Due Mon 10/22
- ▶ Test cases over the weekend

Exercise: Deep and Shallow Equality

- ▶ Some folks have noticed OCaml has two means of comparing values
 - ▶ `a = b` : structural or **deep** equality
 - ▶ `a == b` : physical or **shallow** equality
- ▶ Code in `equality.ml` to the right uses both
- ▶ **What gets printed** for the examples shown?

```
1 let a = 5 in
2 let b = 5 in
3 let c = a in
4 printf "----ints---\n";
5 printf "a=b : %b\n" (a=b);
6 printf "a==b : %b\n" (a==b);
7 printf "a=c : %b\n" (a=c);
8 printf "a==c : %b\n" (a==c);
9
10 let x = ref 5 in
11 let y = ref 5 in
12 let z = x in
13 printf "----int Refs---\n";
14 printf "x=y : %b\n" (x=y);
15 printf "x==y : %b\n" (x==y);
16 printf "x=z : %b\n" (x=z);
17 printf "x==z : %b\n" (x==z);
```

Answers: Deep and Shallow Equality

```
1   let a = 5 in                               (* box with 5 *)
2   let b = 5 in                               (* box with 5 *)
3   let c = a in                               (* box with copy a's contents *)
4   printf "---ints---\n";
5   printf "a=b : %b\n" (a=b);                (* a=b : true *)
6   printf "a==b : %b\n" (a==b);             (* a==b : true *)
7   printf "a=c : %b\n" (a=c);                (* a=c : true *)
8   printf "a==c : %b\n" (a==c);             (* a==c : true *)
9
10  let x = ref 5 in                           (* pointer to box w/ 5 *)
11  let y = ref 5 in                           (* pointer to new box w/ 5 *)
12  let z = x in                               (* copy x's pointer *)
13  printf "---int Refs---\n";
14  printf "x=y : %b\n" (x=y);                 (* x=y : true : same contents *)
15  printf "x==y : %b\n" (x==y);              (* x==y : false : different locations*)
16  printf "x=z : %b\n" (x=z);                 (* x=z : true : same contents *)
17  printf "x==z : %b\n" (x==z);              (* x==z : true : same location *)
```

Answers: Deep and Shallow Equality

- ▶ Deep equality checks entire structure for corresponding equal values
- ▶ Shallow equality checks only if memory box contains the same value
- ▶ Pointers are stored as integers (notated in figure as #2048)
- ▶ Both work the same for boxed values like `int`
- ▶ Return different answers for unboxed values like `refs`

let a = 5 in

let b = 5 in

let c = a in

let x = ref 5 in

let y = ref 5 in

let z = x in

STACK

#512: a 5

#520: b 5

#528: c 5

#536: x #2048

#544: y #3032

#552: z #2048

HEAP

...
#2048 5

...
#3032 5



Deep vs Shallow Equality is in Every Language

C/C++

- ▶ == and != operators compare single blocks of memory, mostly shallow equality
- ▶ Typically write an equality function to compare deep/recursive data

Java

- ▶ == and != identical to C
- ▶ a.equals(b): create methods to define meaning of deep equality for a class

Scheme

- ▶ equal? : deep equality
- ▶ eq? : shallow equality

```
; create two distinct lists, same elems
guile-scheme> (define x (list 1 2 3))
guile-scheme> (define y (list 1 2 3))
```

```
; check deep and shallow equality
guile-scheme> (equal? x y)      ; deep
#t                               ; true
guile-scheme> (eq? x y)        ; shallow
#f                               ; false
```

Python

Like Scheme, different op names

- ▶ x == y : deep equality
- ▶ x is y : shallow equality

Convenient Deep Equality in OCaml

Equal	Unequal	
=	<>	Deep
==	!=	Shallow

- ▶ Data defined via standard mechanisms in OCaml gets automatically has deep equality defined for it
- ▶ Arrays, Strings, Tuples, Records, Algebraic, all "just work"

```
1 let s = "hi" in (* pointer to string of chars *)
2 let t = "hi" in (* pointer to different string of chars *)
3 let u = s in (* pointer to same place as s *)
4 printf "---Strings---\n";
5 printf "s=t : %b\n" (s=t); (* s=t : true : same contents *)
6 printf "s==t : %b\n" (s==t); (* s==t : false : different locations*)
7 printf "s=u : %b\n" (s=u); (* s=u : true : same contents *)
8 printf "s==u : %b\n" (s==u); (* s==u : true : same location *)
9
10 let f = {s="yo"; i=2} in (* pointer to new record *)
11 let g = {s="yo"; i=2} in (* pointer to new record *)
12 let h = f in (* pointer to existing record *)
13 printf "---Records---\n";
14 printf "f=g : %b\n" (f=g); (* f=g : true : same contents *)
15 printf "f==g : %b\n" (f==g); (* f==g : false : different locations*)
16 printf "f=h : %b\n" (f=h); (* f=h : true : same contents *)
17 printf "f==h : %b\n" (f==h); (* f==h : true : same location *)
```

Choosing Deep vs Shallow Equality

- ▶ Generally use Deep equality, usually what is "intended"
Are these two things equal to one another?
- ▶ Keep in mind Deep equality may visit whole data structure
 - ▶ All chars of a `string`
 - ▶ All elements of a `list` or `array`
 - ▶ All fields of a record, etc.
- ▶ $O(N)$ operation where N is the size of the data structure
- ▶ This may have performance implications:
- ▶ In some special cases, may be reasonable to use Shallow equality which is an $O(1)$ operation

Library Functions and Deep/Shallow Equality

- ▶ Some Library function distinguish between use of Deep/Shallow equality
- ▶ q suffix in function name indicates Shallow Equality is used
- ▶ Examples from the List module

```
val mem : 'a -> 'a list -> bool
  'mem elem list' is true if and only if elem is equal to an element
  of list.
```

```
val memq : 'a -> 'a list -> bool
  Same as List.mem, but uses physical (shallow) equality instead of
  structural (deep) equality to compare list elements.
```

```
val assoc : 'a -> ('a * 'b) list -> 'b
  'assoc key alist' returns the value associated with key in the list
  of pairs alist.
```

```
val assq : 'a -> ('a * 'b) list -> 'b
  Same as List.assoc, but uses physical (shallow) equality instead
  of structural (deep) equality to compare keys.
```

Exercise: Deep / Shallow Differences

- ▶ Code below searches a list for an element using
 - ▶ `mem` : deep equality
 - ▶ `memq` : shallow equality
- ▶ Determine values for results of searches
- ▶ **Draw a picture** of `x,y,z`, `listA`, `listB` to justify answers

```
1  let x = "yikes" in
2  let y = "boo!"  in
3  let z = "gulp"  in
4
5  let listA = [      x;      y;      z] in
6  let listB = ["yikes"; "boo!"; "gulp"] in
7
8  let d_yA = List.mem  y listA in
9  let s_yA = List.memq y listA in
10
11 let d_yB = List.mem  y listB in
12 let s_yB = List.memq y listB in
```

Answers: Deep / Shallow Differences

```
1 let x = "yikes" in
2 let y = "boo!" in
3 let z = "gulp" in
4
5 let listA = [ x; y; z ] in
6 let listB = ["yikes"; "boo!"; "gulp"] in
7
8 let d_yA = List.mem y listA in (* deep equals: true *)
9 let s_yA = List.memq y listA in (* shallow equals: true *)
10
11 let d_yB = List.mem y listB in (* deep equals : true *)
12 let s_yB = List.memq y listB in (* shallow equals: false *)
```

let x = "yikes" in

let y = "boo!" in

let z = "gulp" in

let listA = [x; y; z] in

let listB = ["yikes"; "boo!"; "gulp"] in

