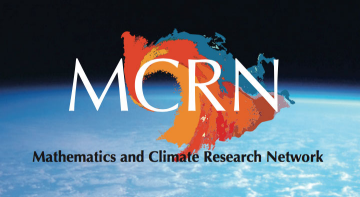



### An Introduction to Planetary Energy Balance

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University of Minnesota  
Mathematics of Climate Seminar  
September 24, 2019



<https://mcrn.hubzero.org/>



### Energy Balance


**Conservation of Energy**

**temperature change ~ energy in - energy out**

↗ ↖  
 short wave energy from the Sun      long wave energy from the Earth

*Everything else is detail.*

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### Energy Balance

#### Stefan-Boltzmann Law


$$F = \sigma T^4$$

↗ ↖  
 power flux (W/m<sup>2</sup>)      temperature (K)

Stefan-Boltzmann constant  
 $\sigma \approx 5.67 \times 10^{-8} \text{ W/m}^2 \text{ K}^4$

Reasonable approximation:  
Every body in the solar system radiates energy according to this law.

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### Energy Balance

#### Stefan-Boltzmann Law

$$F = \sigma T^4$$


↗ ↖  
 power flux (W/m<sup>2</sup>)      temperature (K)

Stefan-Boltzmann constant  
 $\sigma \approx 5.67 \times 10^{-8} \text{ W/m}^2 \text{ K}^4$

**Example**  
surface temperature of the Sun: 5780K  
power flux:  $5.67 \times 10^{-8} \times (5780)^4 =$   
 **$6.33 \times 10^7 \text{ W/m}^2$**

total solar power output:  $6.33 \times 10^7 \times 4\pi(r_s)^2$ ,  
where  $r_s =$  radius of the sun =  $6.96 \times 10^8 \text{ m}$   
total solar output:  $3.85 \times 10^{26} \text{ W}$

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### Energy Balance

#### Insolation

Solar flux at a distance  $r$  from the sun:

$$F = \frac{6.33 \times 10^7 \cdot 4\pi r_s^2}{4\pi r^2} = 6.33 \times 10^7 \left(\frac{r_s}{r}\right)^2 \text{ W/m}^2$$

$r_s = 6.96 \times 10^8 \text{ m}$   
 $r = 1.5 \times 10^{11} \text{ m}$


$F = 1368 \text{ W/m}^2$  ← solar flux at Earth's orbit

Power intercepted by the Earth:  $F \times \pi r_e^2 \text{ W}$

Earth's surface area:  $4\pi r_e^2 \text{ m}^2$

Average surface flux:  $\frac{F \times \pi r_e^2}{4\pi r_e^2} = \frac{F}{4} = \boxed{342 \text{ W/m}^2}$

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### Energy Balance

#### Insolation

**Global Average Insolation**  
(Incoming solar radiation)

intercepted flux:  $F = 1368 \text{ W/m}^2$   
Earth cross-section:  $\pi r_e^2$   
surface area:  $4\pi r_e^2$   
average flux:  $1368/4 = 342 \text{ W/m}^2 = Q$

**Simple Model**  
Assume that Earth is a perfectly thermally conducting black body.

$$Q = \sigma T^4$$

$$T = (Q/\sigma)^{1/4} = (342/5.67 \times 10^{-8})^{1/4}$$


$$= \boxed{279 \text{ K} = 6^\circ \text{ C} = 43^\circ \text{ F}}$$

**Dynamics**

$$R \frac{dT}{dt} = Q - \sigma T^4$$

heat capacity ↗      ↖ stable equilibrium

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### Energy Balance

#### Goldilocks Zone

Solar flux at a distance  $r$  from the Sun:


$$F = \frac{6.33 \times 10^7 4\pi r_s^2}{4\pi r^2} = 6.33 \times 10^7 \left(\frac{r_s}{r}\right)^2 \text{ W/m}^2$$

$$r_s = 6.96 \times 10^8 \text{ m}$$

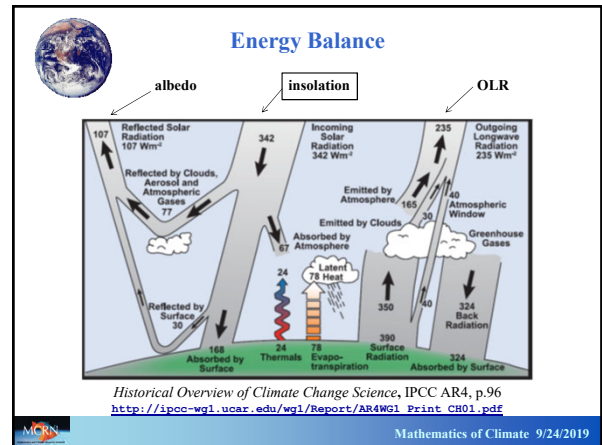
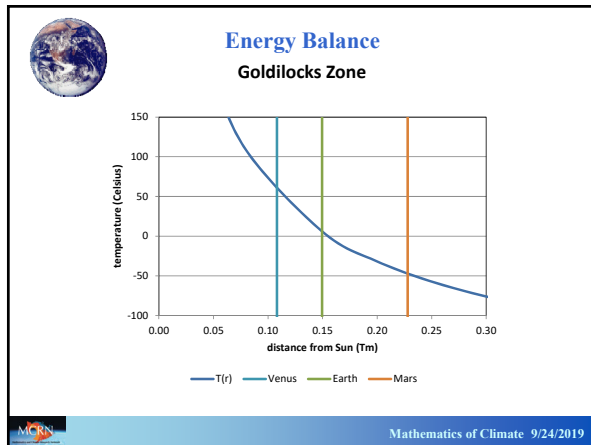
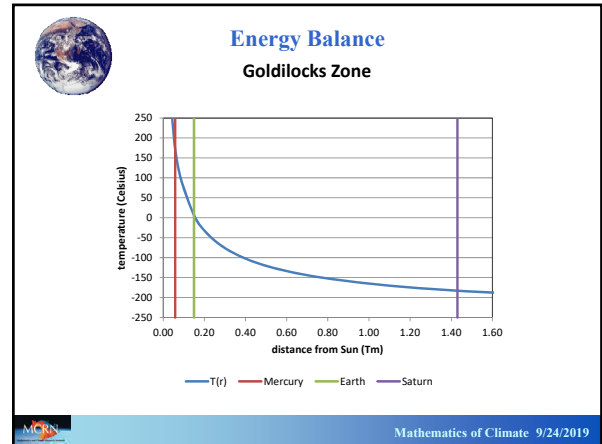

$$F = \frac{3.07 \times 10^{25}}{r^2} \text{ W/m}^2$$

Average surface flux:  $\frac{3.07 \times 10^{25}}{4r^2} = \frac{7.67 \times 10^{24}}{r^2} \text{ W/m}^2$

Black body temperature:  $\sigma T^4 = \frac{7.67 \times 10^{24}}{r^2} \text{ W/m}^2$

$$T = \left(\frac{7.67 \times 10^{24}}{\sigma r^2}\right)^{1/4} = \frac{1.078 \times 10^8}{\sqrt{r}}$$


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### Energy Balance

#### Insolation

**Global Average Insolation**  
 (Incoming solar radiation)

intercepted flux:  $F = 1368 \text{ W/m}^2$

Earth cross-section:  $\pi r_e^2$

surface area:  $4\pi r_e^2$

average flux:  $1368/4 = 342 \text{ W/m}^2 = Q$

**Simple Model**

Assume that Earth is a perfectly thermally conducting black body.

$$Q = \sigma T^4$$


$$T = (Q/\sigma)^{1/4} = (342/5.67 \times 10^{-8})^{1/4}$$

$$= 279\text{K} = 6\text{C} = 43\text{F}$$

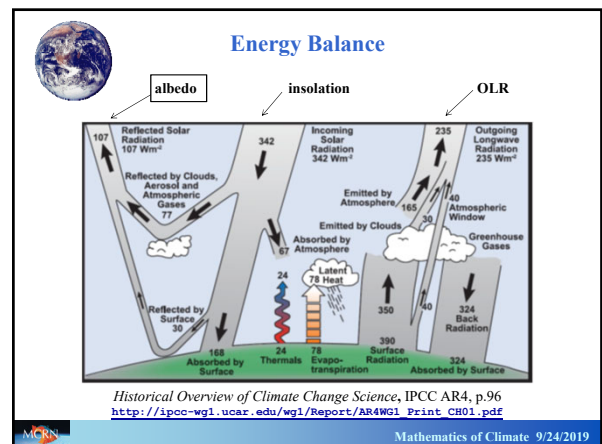
**Dynamics**

$$R \frac{dT}{dt} = Q - \sigma T^4$$

heat capacity  $\rightarrow$   $R \frac{dT}{dt}$   $\leftarrow$  stable equilibrium



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### Energy Balance

#### Albedo

Not all the insolation reaches the surface. Some is reflected back into space.  
The proportion reflected is called the albedo, denoted  $\alpha$ .  
For Earth,  $\alpha \approx 0.3$ .

#### Simple Model

Assume that Earth is a perfectly thermally conducting black body, but only 70% of the insolation is absorbed.



$$T = (0.7 \cdot F / \sigma)^{1/4} = (0.7 \cdot 342 / 5.67 \times 10^{-8})^{1/4}$$

$$= 255\text{K} = -18^\circ\text{C} = 0^\circ\text{F}$$

#### Dynamics

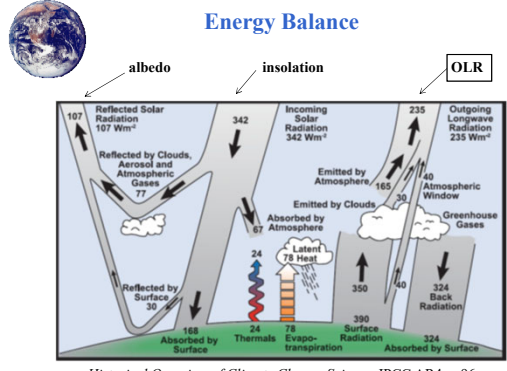
$$R \frac{dT}{dt} = Q(1 - \alpha) - \sigma T^4$$

stable equilibrium






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### Energy Balance



Historical Overview of Climate Change Science, IPCC AR4, p.96  
[http://ipcc-wg1.ucar.edu/wg1/Report/AR4WG1\\_Print\\_CH01.pdf](http://ipcc-wg1.ucar.edu/wg1/Report/AR4WG1_Print_CH01.pdf)

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### Energy Balance

#### OLR as a Function of Surface Temperature (Outgoing Longwave Radiation)

$$\text{OLR} \approx A + BT$$

$A$  and  $B$  are determined from satellite observations.  
 $T$  is surface temperature (in Celsius).



$$A = 202 \text{ W/m}^2$$

$$B = 1.90 \text{ W/m}^2\text{K}$$

#### Dynamics

Kelvin  $\rightarrow$   $R \frac{dT}{dt} = Q(1 - \alpha) - \sigma T^4$  **photosphere temperature**

Celsius  $\rightarrow$  becomes  $R \frac{dT}{dt} = Q(1 - \alpha) - (A + BT)$  **global mean surface temperature**

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### Energy Balance

#### OLR as a Function of Surface Temperature

$$\text{OLR} \approx A + BT$$



Important:  
 $A + BT$  is **not** a linear approximation to the Stefan-Boltzmann equation.

#### Dynamics

Kelvin  $\rightarrow$   $R \frac{dT}{dt} = Q(1 - \alpha) - \sigma T^4$  **photosphere temperature**

Celsius  $\rightarrow$  becomes  $R \frac{dT}{dt} = Q(1 - \alpha) - (A + BT)$  **global mean surface temperature**

different

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### Energy Balance

#### Homogeneous Earth

$$R \frac{dT}{dt} = Q(1 - \alpha) - (A + BT)$$



Equilibrium Temperature:  $Q(1 - \alpha) - A - BT_{eq} = 0$

$$T_{eq} = \frac{Q(1 - \alpha) - A}{B}$$

Stable, since  $B > 0$ .

Ice-free planet:  $\alpha = 0.32$ ,  $T_{eq} = 16^\circ\text{C}$   
Snowball planet:  $\alpha = 0.62$ ,  $T_{eq} = -38^\circ\text{C}$   
No glacier would form on an ice-free Earth.  
No glacier would melt on a snowball Earth.

Easy question:  
**Why do we have ice caps?**  
Hard question:  
**If Earth was ever a snowball, how did we get out?**

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### Energy Balance

#### Latitude Dependence

Make  $T$  depend on  $y = \sin(\text{latitude})$

$$R \frac{\partial T(y,t)}{\partial t} = Qs(y)(1 - \alpha) - (A + BT(y,t))$$

insolation distribution



$Q$  = global annual average insolation =  $342 \text{ W/m}^2$   
 $s(y)$  = distribution across latitudes ( $\int_0^1 s(y) dy = 1$ )

One can show that

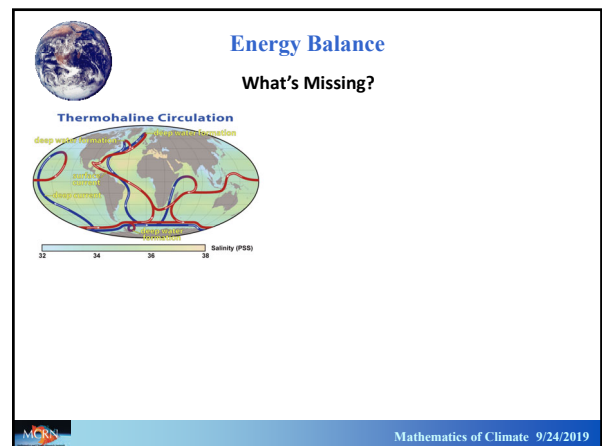
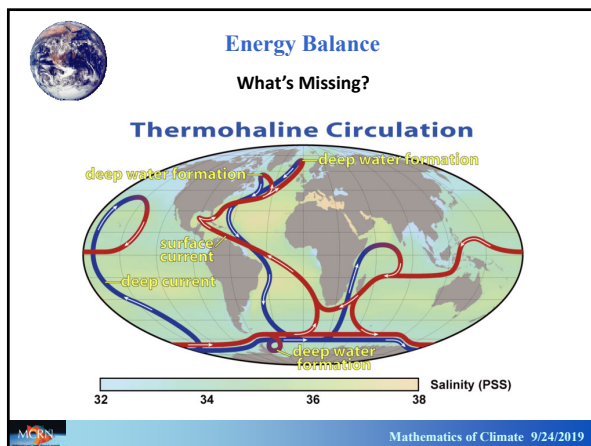
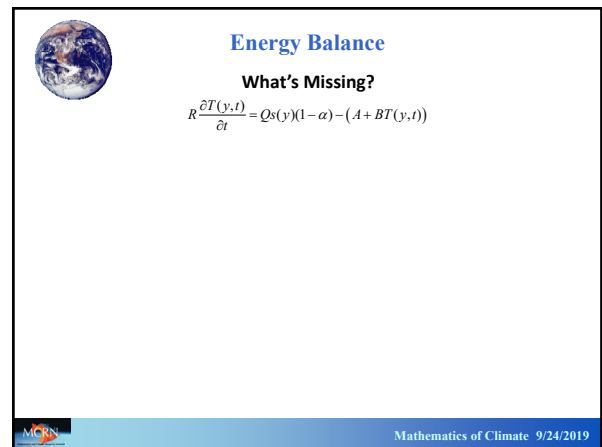
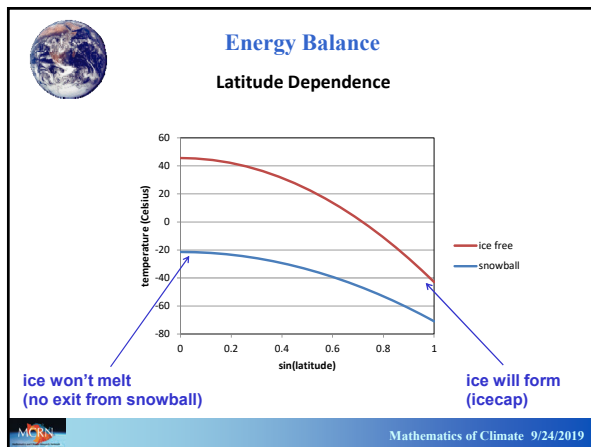
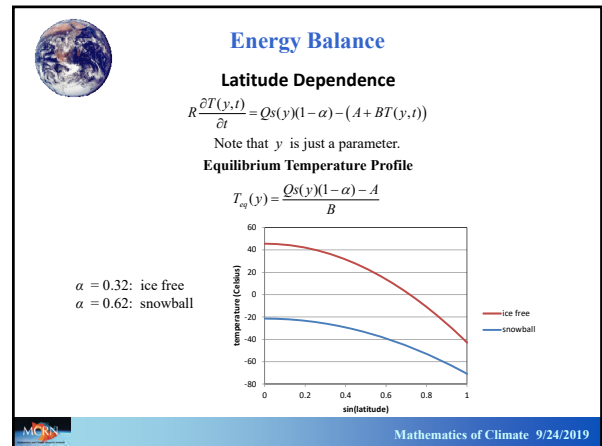
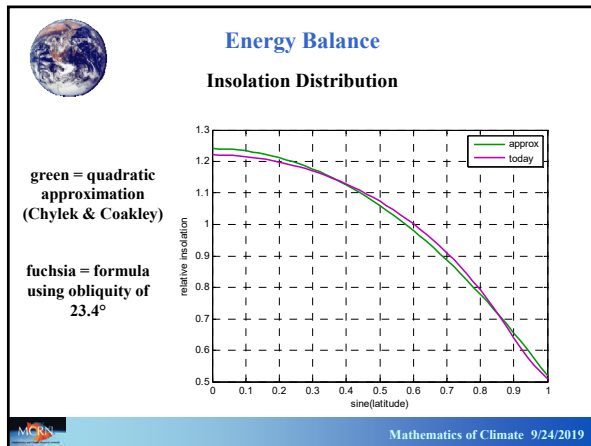
$$s(y) = \frac{2}{\pi} \int_0^{2\pi} \sqrt{1 - (1 - y^2) \sin^2 \beta \cos \theta - y \cos \beta} d\theta$$

$\beta$  = obliquity =  $23.4^\circ$

Chylek and Coakley's quadratic approximation:

$$s(y) \approx 1 - 0.241(3y^2 - 1)$$



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### Energy Balance

What's Missing?

A. Tropopause in arctic zone  
B. Tropopause in temperate zone

Altitude (km) vs Latitude (°N/S)

90° N, 60° N, 30° N, 0° (Equator), 30° S, 60° S, 90° S

High Pressure (HGH), Low Pressure (LGH), Westerlies, Trade Winds, Polar cell, Mid-latitude cell, Hadley cell, Inter-tropical convergence zone, Subtropical convergence zone

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### Energy Balance

What's Missing?

#### Thermohaline Circulation

deep water formation, salinity, salinity (PSS)

32, 34, 36, 38

A. Tropopause in arctic zone  
B. Tropopause in temperate zone

90° N, 60° N, 30° N, 0° (Equator), 30° S, 60° S, 90° S

HGH, LGH, Westerlies, Trade Winds, Polar cell, Mid-latitude cell, Hadley cell, Inter-tropical convergence zone, Subtropical convergence zone

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### Energy Balance

What's Missing?

<https://www.nytimes.com/2017/09/04/us/hurricane-irma.html>

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### Energy Balance

What's Missing?

#### Thermohaline Circulation

deep water formation, salinity, salinity (PSS)

32, 34, 36, 38

**Weather!**

A. Tropopause in arctic zone  
B. Tropopause in temperate zone

90° N, 60° N, 30° N, 0° (Equator), 30° S, 60° S, 90° S

HGH, LGH, Westerlies, Trade Winds, Polar cell, Mid-latitude cell, Hadley cell, Inter-tropical convergence zone, Subtropical convergence zone

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### Energy Balance

#### Budyko's Model

$$R \frac{\partial T}{\partial t} = Qs(y)(1 - \alpha) - (A + BT) + C(T - T_0)$$

global mean temperature  $\bar{T}(t) = \int_0^{\pi} T(y, t) dy$  *Weather*

Second Law of Thermodynamics:  
Energy travels from hot places to cold places.

Budyko's equation as a dynamical system:  
 $T$  lives in a function space (temperature as a function of latitude).

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### Budyko's Model

Why  $y$ ?

$$R \frac{\partial T(y, t)}{\partial t} = Qs(y)(1 - \alpha) - (A + BT(y, t)) + C(\bar{T}(t) - T(y, t))$$

global mean temperature:  $\bar{T}(t) = \int_0^{\pi} T(y, t) dy$

Why do we use  $y = \text{sine}(\text{latitude})$  instead of just latitude?

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**Budyko's Model**



Why  $y$  ?

$$R \frac{\partial T(y,t)}{\partial t} = Qs(y)(1-\alpha) - (A+BT(y,t)) + C(\bar{T}(t) - T(y,t))$$

global mean temperature  $\bar{T}(t) = \int_0^1 T(y,t) dy$

Why do we use  $y = \text{sine}(\text{latitude})$  instead of just latitude?

*Because  $y$  is directly proportional to surface area.*

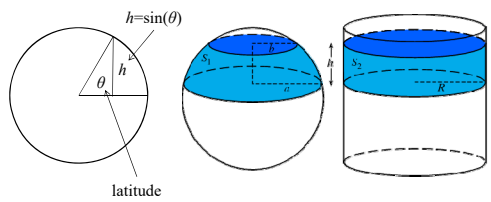



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**Budyko's Model**

Why  $y = \text{sine}(\text{latitude})$ ?

**Archimedes**



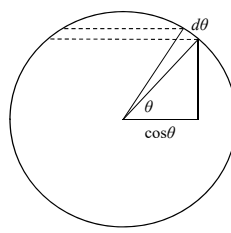
<http://mathworld.wolfram.com/ArchimedesHat-BoxTheorem.html>

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**Budyko's Model**

Why  $y = \text{sine}(\text{latitude})$ ?



surface area of a unit sphere  $\int_{-\pi/2}^{\pi/2} 2\pi \cos \theta d\theta = 2\pi \sin \theta \Big|_{-\pi/2}^{\pi/2} = 4\pi$

average over the sphere of a function of latitude  $f(\theta)$



$$\frac{1}{4\pi} \int_{-\pi/2}^{\pi/2} f(\theta) 2\pi \cos \theta d\theta = \frac{1}{2} \int_{-1}^1 f(\theta) \cos \theta d\theta$$

(substitute  $y = \sin(\theta)$ )  $= \frac{1}{2} \int_{-1}^1 f(\arcsin y) dy$

average over the sphere of a function  $T(y)$

$$\bar{T} = \frac{1}{2} \int_{-1}^1 T(y) dy$$

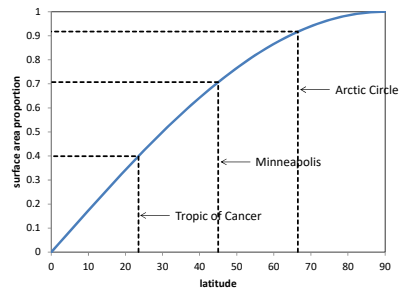
if  $T$  is symmetric across the equator:  $\bar{T} = \int_0^1 T(y) dy$

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**Budyko's Model**

Why  $y = \text{sine}(\text{latitude})$ ?





surface area proportion

latitude

Arctic Circle

Minneapolis

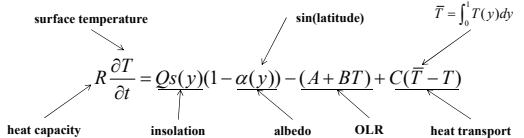
Tropic of Cancer

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**Budyko's Model**



**Budyko's Equation**



$$R \frac{\partial T}{\partial t} = Qs(y)(1-\alpha(y)) - (A+BT) + C(\bar{T} - T)$$

Symmetry assumption:  $0 \leq y = \text{sine}(\text{latitude}) \leq 1$

Chylek and Coakley's quadratic approximation:

$$s(y) \approx 1 - 0.241(3y^2 - 1)$$



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