

# Graph Theoretical Cluster Expansion Formulas

Gregg Musiker

MIT

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<http://math.mit.edu/~musiker/GraphTalk.pdf>

# Outline.

- 1 Introduction
- 2 Snake Graphs for Surfaces without Punctures
- 3 Graphs for the Classical Types (Bipartite Seeds)
- 4 Other Examples of Graph Theoretic Interpretations

# Cluster Expansions

**Definition** (Sergey Fomin and Andrei Zelevinsky 2001) A **cluster algebra**  $\mathcal{A}$  is a certain subalgebra of  $k(x_1, \dots, x_m)$ , the field of rational functions over  $\{x_1, \dots, x_m\}$ . Generators constructed by a series of exchange relations, which in turn induce all relations satisfied by the generators.

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**Theorem.** ([The Laurent Phenomenon FZ 2001](#)) For any cluster algebra defined by initial seed  $(\{x_1, x_2, \dots, x_m\}, B)$ , all cluster variables of  $\mathcal{A}(B)$  are *Laurent polynomials* in  $\{x_1, x_2, \dots, x_m\}$   
(with no coefficient  $x_{n+1}, \dots, x_m$  in the denominator).

Thus, any cluster variable  $x_\alpha = \frac{P_\alpha(x_1, \dots, x_m)}{x_1^{\alpha_1} \dots x_n^{\alpha_n}}$  where  $P_\alpha \in \mathbb{Z}[x_1, \dots, x_n]$ .

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**Conjecture.** (**Positivity Conjecture** FZ 2001) For any cluster variable  $x_\alpha$  the polynomial  $P_\alpha(x_1, \dots, x_n)$  has **nonnegative** integer coefficients.

# Some Prior Work on Positivity Conjecture

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Positivity also proven for those cluster variables for an acyclic seed [Caldero-Reineke 2006],

as well as for Cluster algebras arising from unpunctured surfaces [Schiffler-Thomas 2007, Schiffler 2008], generalizing Trails model of Carroll-Price.

# Cluster Algebras of Triangulated Surfaces

We follow (Fomin-Shapiro-Thurston) and have a surface  $(S, M)$ .

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- 4  $\gamma$  does not cut out a monogon or digon.

Seed  $\leftrightarrow$  Triangulation  $T = \{\tau_1, \tau_2, \dots, \tau_n\}$

Cluster Variable  $\leftrightarrow$  Arc  $\gamma$

$x_i \leftrightarrow \tau_i \in T$ .

For  $\gamma \notin T$  let  $e_i(T : \gamma)$  be the minimal intersection number of  $\tau_i$  and  $\gamma$ .



# A Graph Theoretic Approach

Recall from Ralf Schiffler's Talk:

**Theorem.** (M-Schiffler 2008) For every triangulation  $T$  (in a surface without punctures) and arc  $\gamma$ , we construct a **snake graph**  $G_{\gamma, T}$  such that

$$x_{\gamma} = \frac{\sum_{\text{perfect matching } M \text{ of } G_{\gamma, T}} x(M)y(M)}{x_1^{e_1(T, \gamma)} x_2^{e_2(T, \gamma)} \dots x_n^{e_n(T, \gamma)}}$$

where  $e_i(T, \gamma)$  is the crossing number of  $\tau_i$  and  $\gamma$ , and  $x(M)$ ,  $y(M)$  are each monomials. ( $x_{\gamma}$  is cluster variable with *principal coefficients*.)

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**Definition.** Given a simple undirected graph  $G = (V, E)$ , a **perfect matching**  $M \subseteq E$  is a set of distinguished edges so that every vertex of  $V$  is covered exactly once. (Each edge has weight  $x(e)$  where  $x(e)$  is allowed to be 1 (unweighted) or some variable  $x_i$ .)

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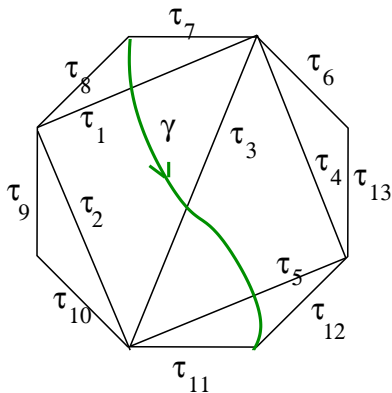
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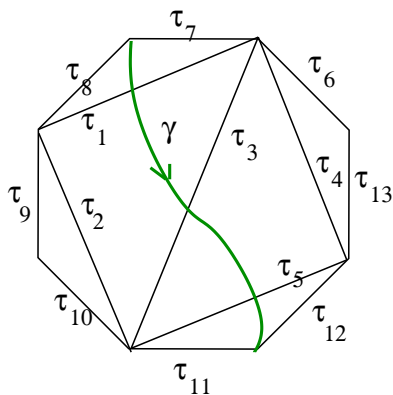
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The weight of a matching  $M$  is the product of the weights of the constituent edges, i.e.  $x(M) = \prod_{e \in M} x(e)$ .

# Example of Octagon



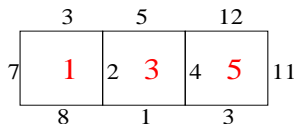
# Example of Octagon



Recall that there are 5 completed  $(T, \gamma)$ -paths of this octagon, with weights

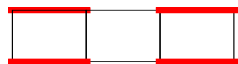
$$\frac{x_3^2 + x_3x_4 + x_2x_3 + x_2x_4 + x_1x_5}{x_1x_3x_5}.$$

# Example of Octagon (continued)



Consider the graph  $G_{T_0, \gamma} =$

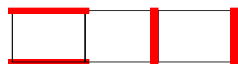
$G_{T_0, \gamma}$  has five perfect matchings ( $x_7, x_8, \dots, x_{13} = 1$ ):



$$x_3(x_8)x_3(x_{13}),$$



$$x_2(x_7)x_3(x_{13}),$$



$$x_3(x_8)x_4(x_{11}),$$

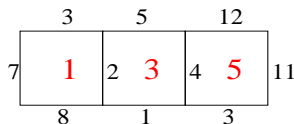


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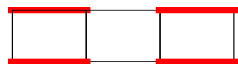
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$$(x_7)x_1x_5(x_{11}).$$

Dividing each monomial by  $x_1x_3x_5$ , we obtain weights of  $(T, \gamma)$ -paths.

# How to construct $G_{T,\gamma}$ 's (unpunctured surfaces)

**Definition.** For  $1 \leq i \leq n$  (i.e. all  $\tau_i \in T$ ), define Tile  $\overline{S}_i$  to be (weighted) triangulated quadrilateral homeomorphic to the quadrilateral bounding arc  $\tau_i$  in surface  $S$ . (Diagonal  $NW - SE$  and opposite sides still opposite)



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- 1 Now given arc  $\gamma$ : Pick orientation of  $\gamma : s \rightarrow t$ .
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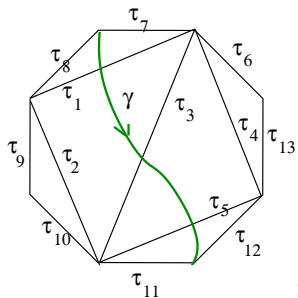
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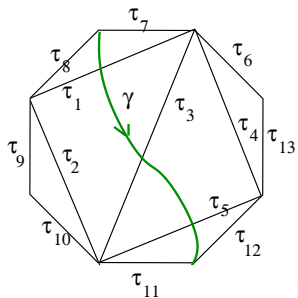
# Examples of $G_{T,\gamma}$



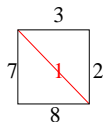
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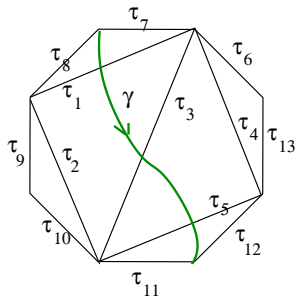
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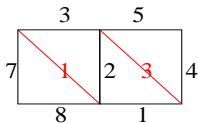
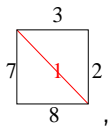
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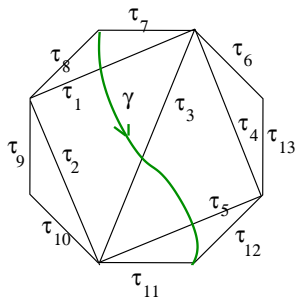
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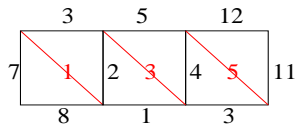
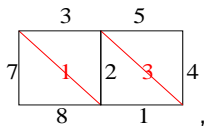
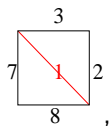
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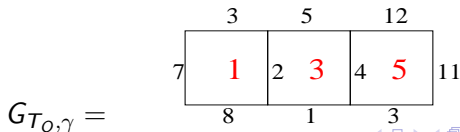
# Examples of $G_{T,\gamma}$



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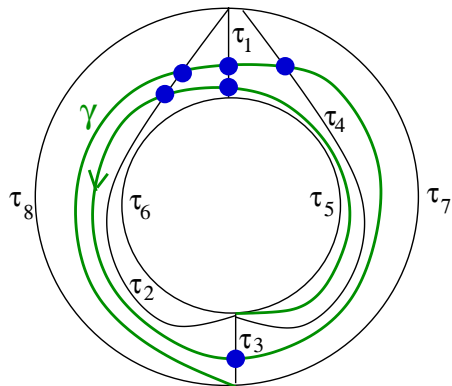


Thus



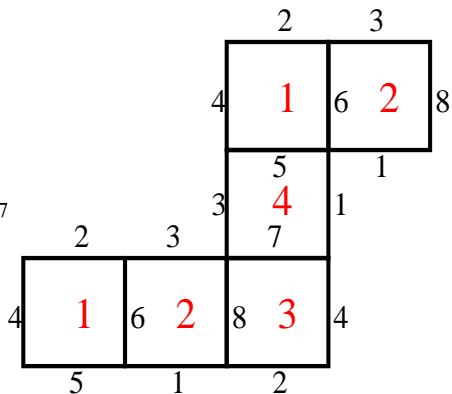
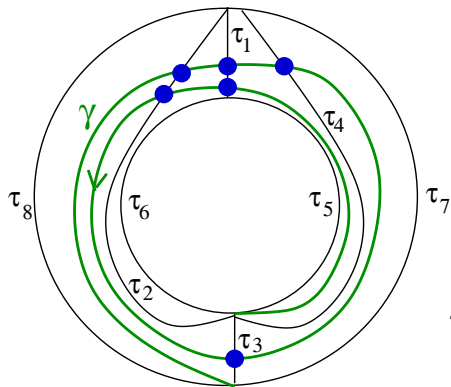
# Examples of $G_{T,\gamma}$ (continued)

**Example 2.** We now construct graph  $G_{T_A,\gamma}$ .



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Given any other matching  $M$ , let  $M \ominus M_-$  denote the **symmetric difference**.

The **height**  $h_M : \text{Faces}(G) \rightarrow \mathbb{Z}_{\geq 0}$  of matching  $M$  is a function recording which faces are enclosed by  $M \ominus M_-$ .



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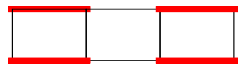
For snake graphs,  $h_M(F) \in \{0, 1\}$  and we obtain the formula

$$y(M) := \prod_i y_i^{\sum_{\text{Faces Labeled } i} h_M(F)}.$$

# Height Function Examples

Recall that  $G_{T_0, \gamma}$  has three faces, labeled 1, 3 and 5.

$G_{T_0, \gamma}$  has five perfect matchings ( $x_7, x_8, \dots, x_{13} = 1$ ):



$$x_3^2 y_1 y_3 y_5,$$



$$x_2 x_3 y_3 y_5,$$



$$x_3 x_4 y_1 y_3,$$



$$x_2 x_4 y_3,$$



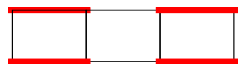
$$x_1 x_5 (1).$$

(← This matching is  $M_-$ .)

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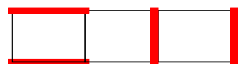
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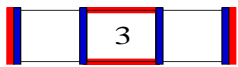
$$x_2 x_4 y_3,$$



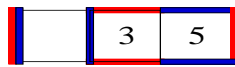
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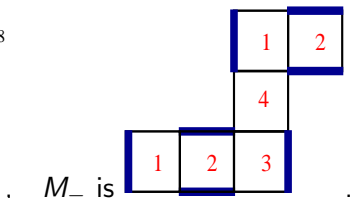
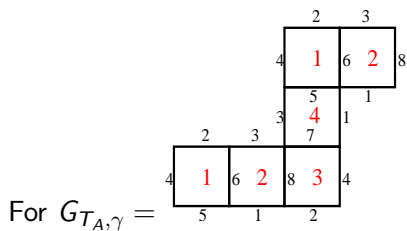
For example, we get heights  $y_1 y_3$ ,  $y_3$ , and  $y_3 y_5$  because of superpositions:



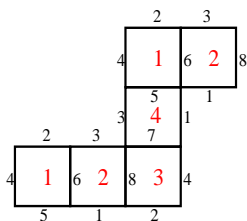
and



# Height Function Examples (continued)

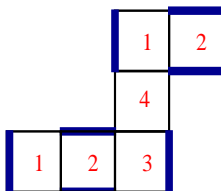


# Height Function Examples (continued)



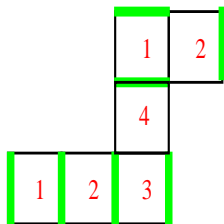
For  $G_{T_A, \gamma} =$

,  $M_-$  is



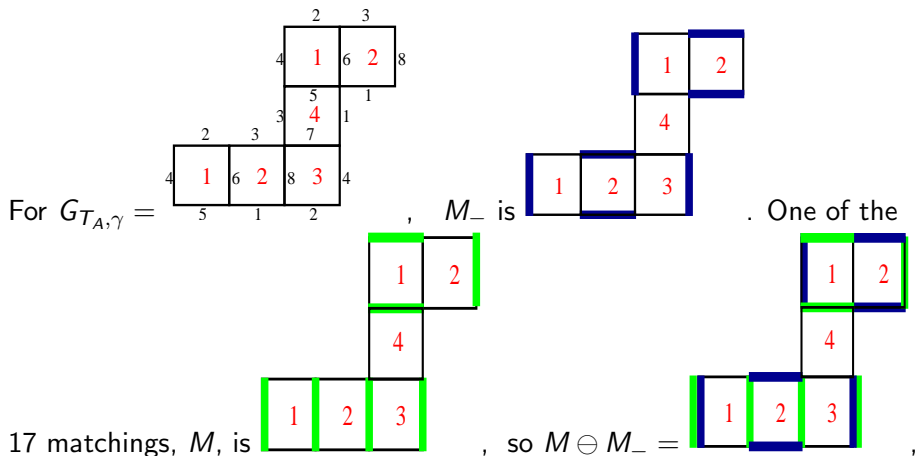
. One of the

17 matchings,  $M$ , is

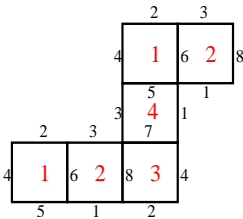
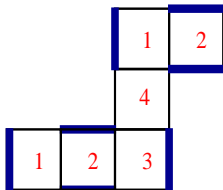
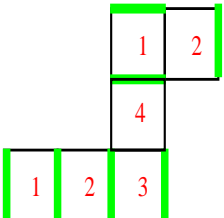
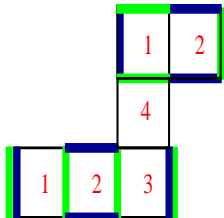


,

# Height Function Examples (continued)



# Height Function Examples (continued)

For  $G_{T_A, \gamma} =$   ,  $M_-$  is  . One of the 17 matchings,  $M$ , is  , so  $M \ominus M_- =$   ,

which has height  $y_1 y_2^2$ . So one of the 17 terms in the cluster expansion of

$$x_\gamma \text{ is } \frac{x_4^2 x_2}{x_1^2 x_2^2 x_3 x_4} (y_1 y_2^2).$$

# Summary

**Theorem.** (M-Schiffler 2008) For every triangulation  $T$  of unpunctured surface and arc  $\gamma$ , we construct a snake graph  $G_{\gamma, T}$  such that

$$x_{\gamma} = \frac{\sum_{\text{perfect matching } M \text{ of } G_{\gamma, T}} x(M)y(M)}{x_1^{e_1(T, \gamma)} x_2^{e_2(T, \gamma)} \dots x_n^{e_n(T, \gamma)}}$$

where  $e_i(T, \gamma)$  is the crossing number of  $\tau_i$  and  $\gamma$ ,  $x(M)$  is the edge-weight of perfect matching  $M$ , and  $y(M)$  is the height of perfect matching  $M$ . ( $x_{\gamma}$  is cluster variable with principal coefficients.)



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**Corollary.** The  $F$ -polynomial equals  $\sum_M y(M)$ , is positive, and has constant term 1.

The  $g$ -vector satisfies  $\mathbf{x}^g = x(M_-)$ .

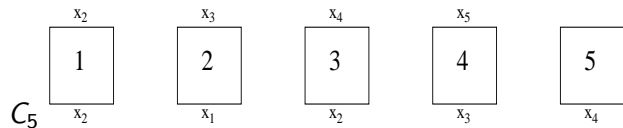
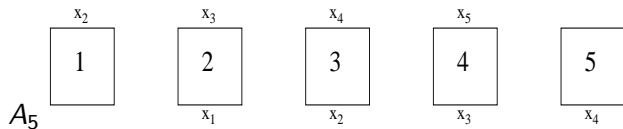
**Corollary.** The Laurent expansion of cluster variable  $x_{\gamma}$  is positive for any cluster algebra (of geometric type) arising from a triangulated surface without punctures.

**Theorem.** (M 2007) For every classical root system, let  $B_\Phi$  denote the corresponding bipartite seed (without coefficients). Then there exists a family of graphs  $\mathcal{G}_\Phi = \{G_\alpha\}_{\alpha \in \Phi_+}$  such that  $x_\alpha$ , the cluster variable of  $\mathcal{A}(B_\Phi)$  corresponding to  $\alpha \in \Phi_+$ , can be expressed as

$$x_\alpha = \frac{P_{G_\alpha}(x_1, \dots, x_n)}{x_1^{\alpha_1} \cdots x_n^{\alpha_n}}.$$

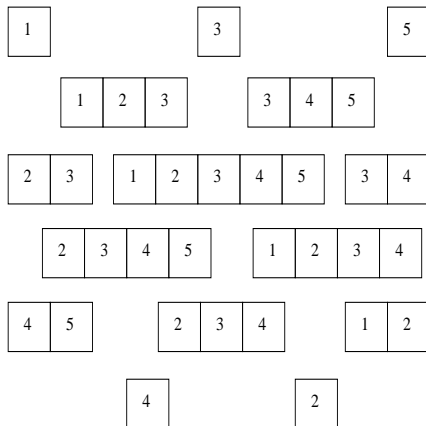
Further, we will construct the graphs in a very simple manner using the tiles  $T_k$ .

# Tiles for the four classical types



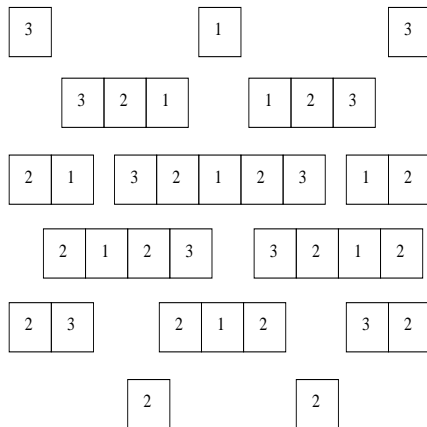
# Graphs for $A_n$ and $C_n$

$A_5$

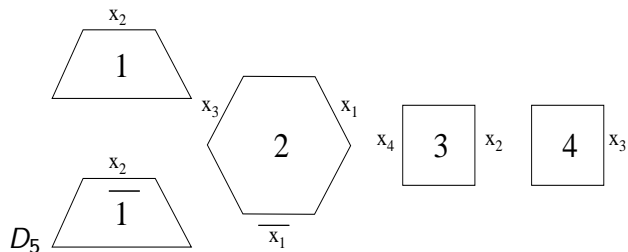
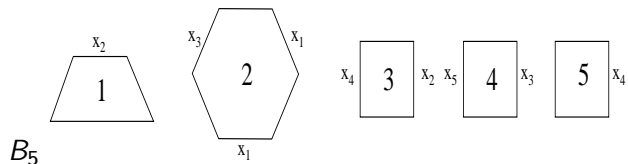


# Graphs for $A_n$ and $C_n$ (cont.)

$C_3$  folds onto  $A_5$  (Take right-half including middle)

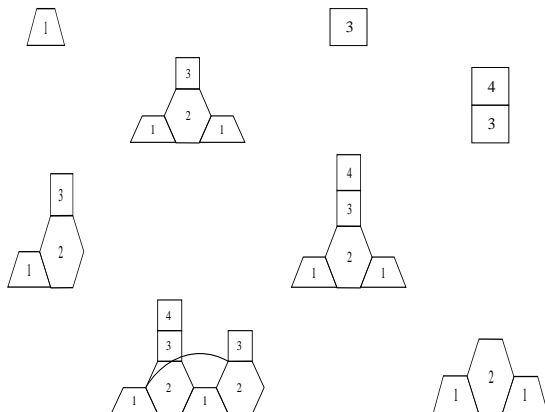


# Tiles for the four classical types (cont.)

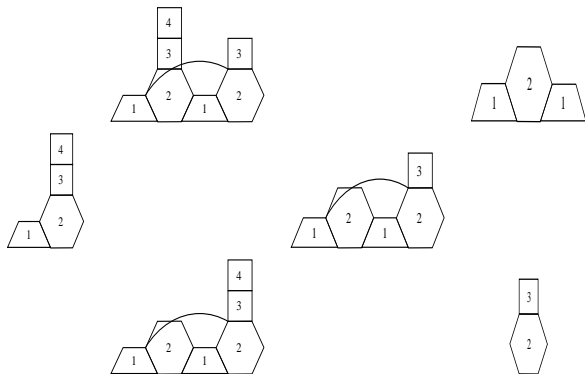


# The $B_n$ and $D_n$ cases

$B_4$  After mutating with respect to  $x_1$  and  $x_3$  ( $x_2$  and  $x_4$ ), we obtain

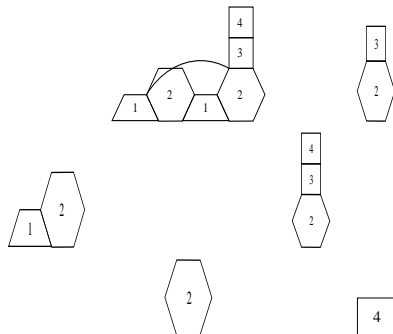


# The $B_n$ and $D_n$ cases (cont.)



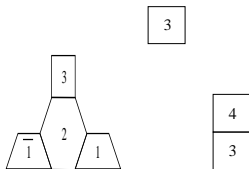


# The $B_n$ and $D_n$ cases (cont.)



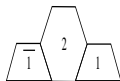
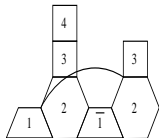
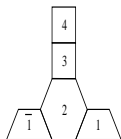
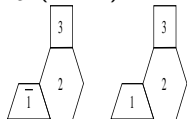
# The $B_n$ and $D_n$ cases (cont.)

$D_5$



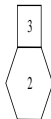
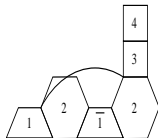
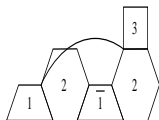
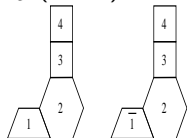
# The $B_n$ and $D_n$ cases (cont.)

$D_5$  (cont.)



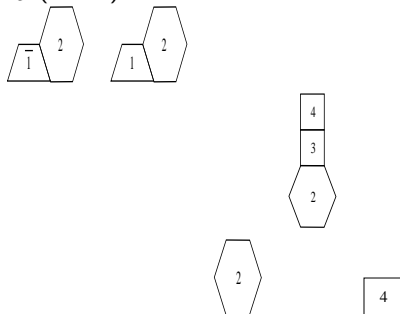
# The $B_n$ and $D_n$ cases (cont.)

$D_5$  (cont.)



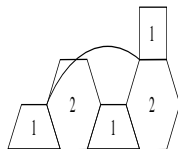
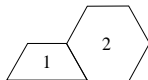
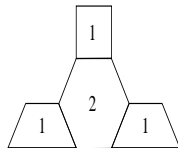
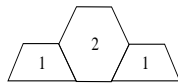
# The $B_n$ and $D_n$ cases (cont.)

$D_5$  (cont.)



Seed matrix is  $B = \begin{bmatrix} 0 & 1 \\ -3 & 0 \end{bmatrix}$

Hexagon has  $x_1$  on NW, NE, and S sides,  
Trapezoid has  $x_2$  on N side.



# Affine Rank 2

Joint work with Jim Propp.

$$\text{Let } B = \begin{bmatrix} 0 & -2 \\ 2 & 0 \end{bmatrix} \text{ or } \begin{bmatrix} 0 & -4 \\ 1 & 0 \end{bmatrix}.$$

Here we also exploit invariance of matrices  $B$  under mutation.

So we are considering  $(b, c)$ -sequence

$$x_n x_{n-2} = \begin{cases} x_{n-1}^b + 1 & \text{if } n \text{ odd} \\ x_{n-1}^c + 1 & \text{if } n \text{ even} \end{cases}$$

for  $(b, c) = (2, 2)$  or  $(1, 4)$ .

## Affine Rank 2 (cont.)

Since cluster algebra structure,  $(b, c)$  sequence consists of Laurent polynomials.

Work of Sherman and Zelevinsky verifies positive coefficients for  $(1, 4)$  and  $(2, 2)$  using Newton polytope, and Caldero-Zelevinsky give another proof of positivity for  $(2, 2)$  case via Quiver Grassmannians.

This cluster algebra also comes from an annulus with one marked point on each boundary (no punctures).

Equivalently, this is a cluster algebra of affine type  $\tilde{A}_{1,1}$ .

We give proof of positivity via graph theoretical interpretation similar to above.



## Affine Rank 2 (cont.)

(2, 2): all cluster variables have denominators  $x_1^d x_2^{d+1}$  (resp.  $x_1^{d+1} x_2^d$ )  
We string together corresponding number of squares

$$\begin{array}{c} x_2 \\ \boxed{1} \\ x_2 \end{array}$$

$$\begin{array}{c} x_1 \\ \boxed{2} \\ x_1 \end{array}$$

in an intertwining fashion.

# Affine Rank 2 (cont.)

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$$\begin{array}{c} x_2 \\ \boxed{1} \\ x_2 \end{array} \quad \begin{array}{c} x_1 \\ \boxed{2} \\ x_1 \end{array} \quad \text{in an intertwining fashion.}$$

Examples:

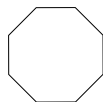
$$\frac{x_2^4 + 2x_2^2 + 1 + x_1^2}{x_1^2 x_2} \leftrightarrow \begin{array}{|c|c|c|} \hline 1 & 2 & 1 \\ \hline \end{array}$$

$$\frac{x_1^6 + 3x_1^4 + 3x_1^2 + 2x_2^2 x_1^2 + x_2^4 + 1 + 2x_2^2}{x_2^3 x_1^2} \leftrightarrow \begin{array}{|c|c|c|c|c|} \hline 2 & 1 & 2 & 1 & 2 \\ \hline \end{array}$$

# Affine Rank 2 (cont.)

(1, 4): Tiles are a square and an octagon:

$x_0$

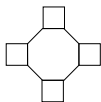


$x_3$

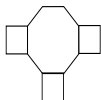


# Sequence Continues

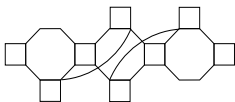
$x_4$  17 terms



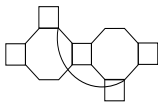
$x_5$  9 terms



$x_6$  386 terms

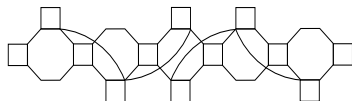


$x_7$  43 terms

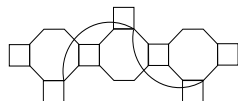


# Sequence Continues (cont.)

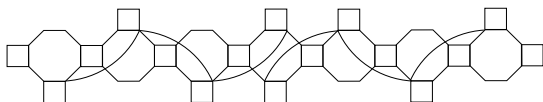
$x_8$  8857 terms



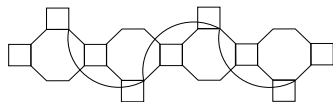
$x_9$  206 terms



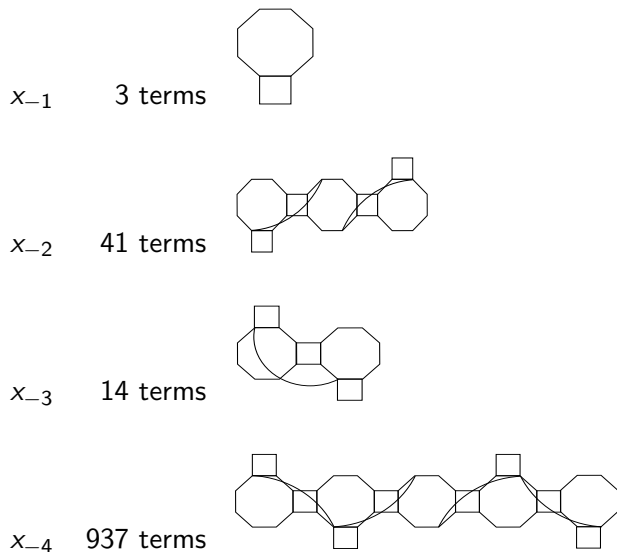
$x_{10}$  203321 terms



$x_{11}$  987 terms



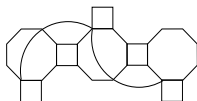
# Running the (1, 4) sequence backwards



# Running the (1, 4) sequence backwards (cont.)

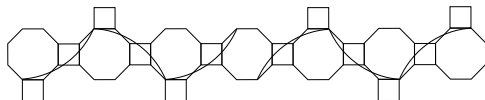
$x_{-5}$

67 terms



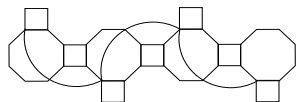
$x_{-6}$

21506 terms



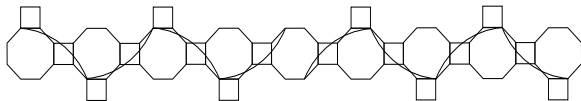
$x_{-7}$

321 terms



$x_{-8}$

493697 terms



# Markoff polynomials

Joint work by Carroll, Itsara, Le, M, Price, Thurston, and Viana under Propp in REACH program.

$$B = \begin{bmatrix} 0 & 2 & -2 \\ -2 & 0 & 2 \\ 2 & -2 & 0 \end{bmatrix}, \quad \text{Exchange graph is free ternary tree.}$$

$B$  invariant under mutation. All exchanges have form  $(x, y, z) \mapsto (x', y, z)$  where  $xx' = y^2 + z^2$ .

(Cluster algebra corresponds to once punctured torus.)



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These also have graph theoretic interpretation: Snake Graphs, .e.g

$$\frac{\text{Polynomial}(x,y,z)}{x^4 y^2 z^1} \longleftrightarrow \begin{array}{|c|c|c|} \hline x & y & x \\ \hline & z & \\ \hline x & y & x \\ \hline \end{array} \quad \text{with tiles} \quad \begin{array}{|c|} \hline y \\ \hline \mathbf{X} \\ \hline y \\ \hline \end{array} \begin{array}{|c|} \hline x \\ \hline \mathbf{y} \\ \hline x \\ \hline \end{array} \begin{array}{|c|} \hline y \\ \hline \mathbf{Z} \\ \hline y \\ \hline \end{array}$$

- **Theorem.** Formulas for  $F$ -polynomials and  $g$ -vectors for types  $A$ ,  $B$ ,  $C$ ,  $D$  with respect to any seed (not nec. acyclic).
- **In Progress.** Snake Graph Interpretations for Triangulated Surfaces (even in presence of punctures).

# Thank You For Listening

*Cluster Expansion Formulas and Perfect Matchings* (with Ralf Schiffler),  
arXiv:math.CO/0810.3638

*A Graph Theoretic Expansion Formula for Cluster Algebras of Classical Type*, <http://www-math.mit.edu/~musiker/Finite.pdf>,  
(To appear in the Annals of Combinatorics)

*Combinatorial Interpretations for Rank-Two Cluster Algebras of Affine Type* (with Jim Propp), Electronic Journal of Combinatorics. Vol. 14 (R15), 2007.

*The Combinatorics of Frieze Patterns and Markoff Numbers*  
(by Jim Propp), arXiv:math.CO/0511633

Slides Available at <http://math.mit.edu/~musiker/GraphTalk.pdf>