

Linear Systems on Tropical Curves

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Combinatexas 2011

April 16, 2011

Outline

- 1 Chip-firing, G -parking functions, and Riemann-Roch for graphs
- 2 Introduction to Tropical Arithmetic and Tropical Functions
- 3 Abstract Tropical Curves (Think Metric Graph)
- 4 Tropical Riemann-Roch and Linear Systems
- 5 Examples

The Laplacian Matrix and the Matrix-Tree Theorem

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We let d_{ij} denote the number of edges in E of the form (v_i, v_j) and $\text{val}(v_i) = \sum_{j=1}^n d_{ij}$, i.e. the number of edges incident to v_i .

The Laplacian Matrix and the Matrix-Tree Theorem

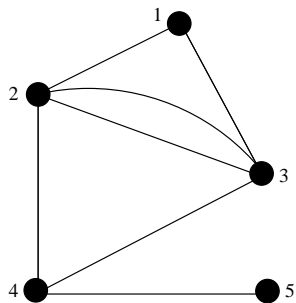
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Define $L(G)$ to be the matrix whose diagonal entries are $\text{val}(v_i)$ and whose off-diagonal entries are $-d_{ij}$.

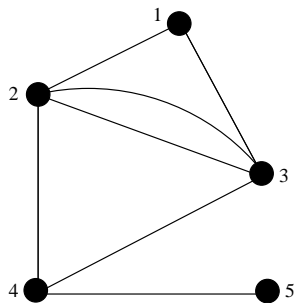
Example of a Laplacian Matrix



$$L(G) = \begin{bmatrix} 2 & -1 & -1 & 0 & 0 \\ -1 & 4 & -2 & -1 & 0 \\ -1 & -2 & 4 & -1 & 0 \\ 0 & -1 & -1 & 3 & -1 \\ 0 & 0 & 0 & -1 & 1 \end{bmatrix}.$$

The **Reduced Laplacian** matrix $L_0(G)$ is defined by deleting a row and column from $L(G)$. It is a theorem (the **Matrix-Tree Theorem**) that $\det L_0(G)$ does not depend on the choice of row and column deleted (as long as they are of the same index).

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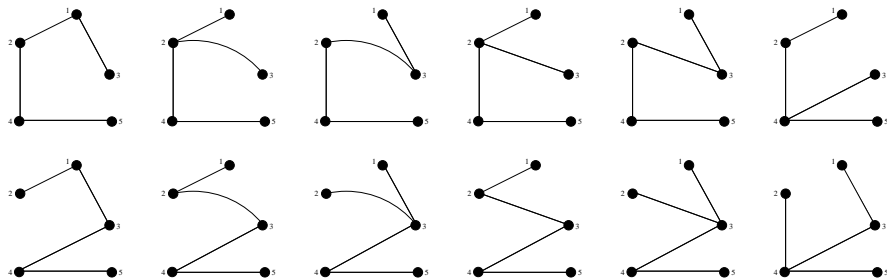
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For example, in the above, $\det L_0(G) = 12$.

The Matrix-Tree Theorem

Theorem (The Matrix-Tree Theorem or Kirchoff's Theorem)

The determinant of the reduced Laplacian matrix $L_0(G)$ of a graph G is equal to the number of spanning trees of G .



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Sandpiles, Chip-firing, and G -parking functions

We can get other families of objects in bijection with the set of spanning trees.

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We say that two chip-configurations are **equivalent** if one can be reached from the other by a sequence of **chip-firing moves**.

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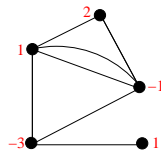
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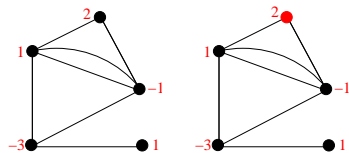
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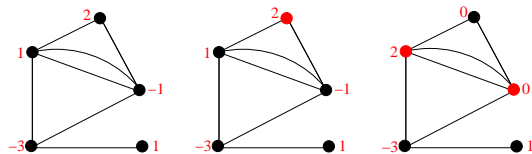
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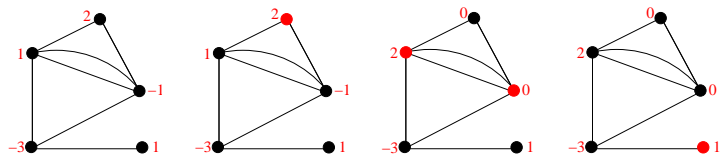
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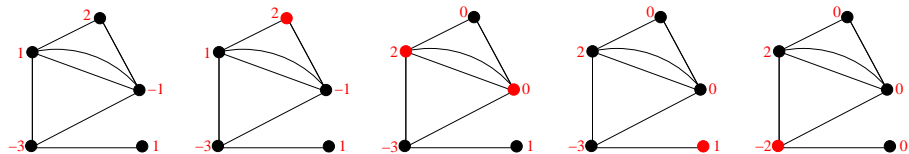
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Reduced Configurations or G -parking functions

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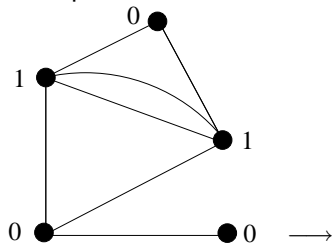
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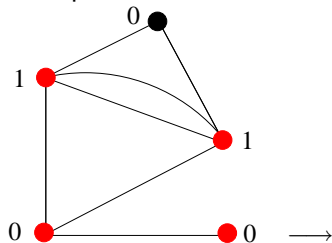
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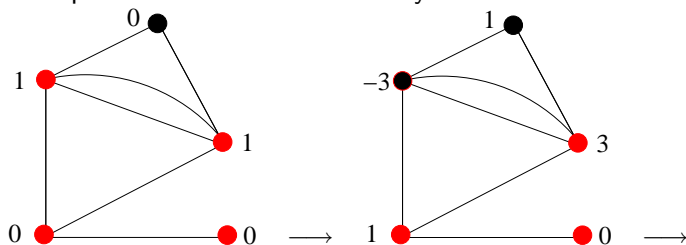
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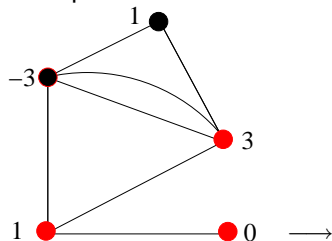
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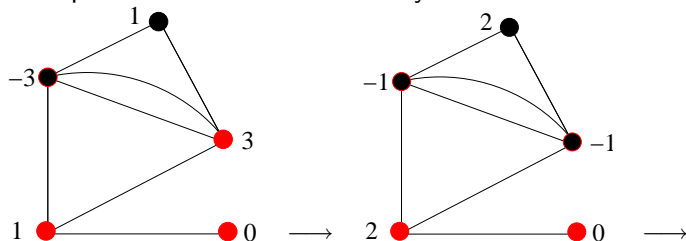
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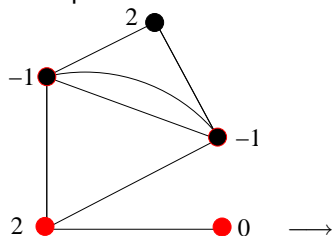
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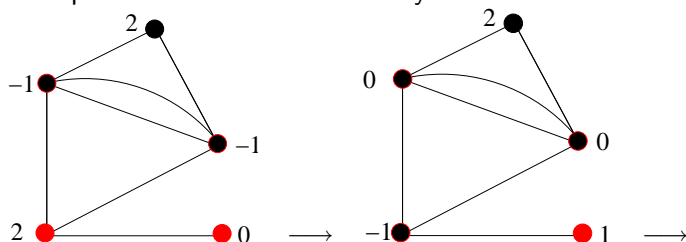
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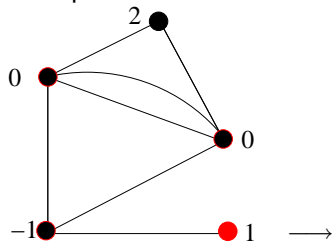
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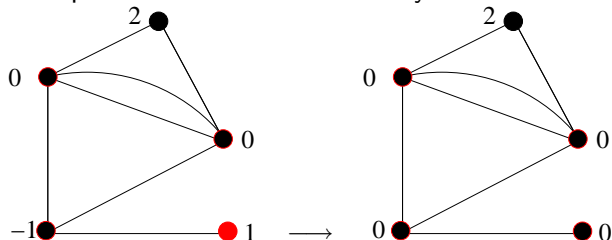
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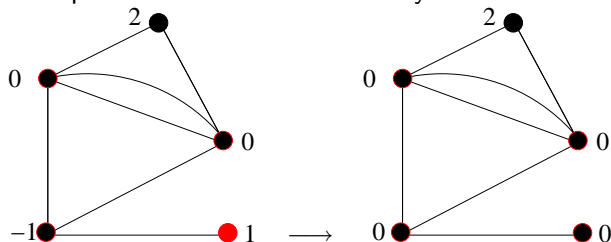
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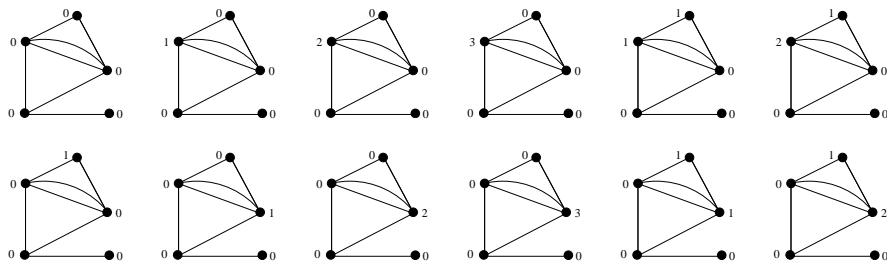


We call a configuration **super-stable** (with respect to v_0) if no subset of vertices $S \subseteq V \setminus \{v_0\}$ can fire.

These are also known as **G -parking functions** or **v_0 -reduced divisors**.

Example of Super-stables/ G -Parking Functions

In this example, we have 12 super-stable configurations (with respect to vertex v_5), which are also counted by $\det L_0(G)$.



We designate one vertex to be a **sink** and allow arbitrary addition or subtraction of chips to that vertex. Then up to equivalence by chip-firing moves, there is a **unique super-stable** configuration in each orbit.

Linear Systems on Graphs

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We define a chip configuration (equivalently a divisor D on graph G) to be **effective** if the number of chips on v is nonnegative for each $v \in V$.

Two divisors are **linearly equivalent** if their chip-configurations differ by a sequence of chip-firing moves.

Given a divisor D , the **linear system** of D , denoted as $|D|$, is the set of all **effective** divisors that are **linearly equivalent** to D .

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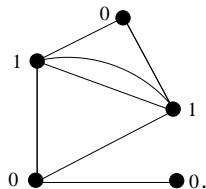
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In other words, the set $\mathbb{Z}_{\geq 0}^{|V|}$ breaks up into **equivalence classes** via chip-firing. The **linear systems** are the orbits and each orbit has a representative which is of the form $S + d v_0$ where S is a **super-stable configuration** (with respect to sink v_0) and $d \in \mathbb{Z}_{\geq 0}$.

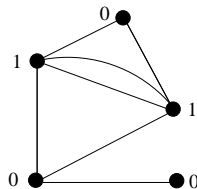
Example of a Linear System

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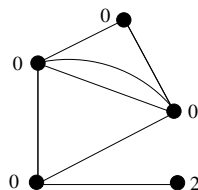
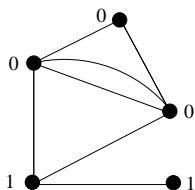
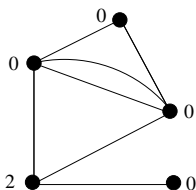
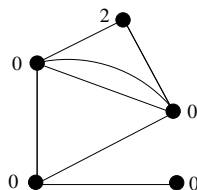


Example of a Linear System

Let G be as above and D be the divisor:



Then the linear system $|D|$ consists of D and the following four divisors



Riemann-Roch Theorem for Graphs

Define the **degree** of a divisor to be the total number of chips in the configuration.

Let K_G (the **canonical divisor**) be the chip-configuration such that there are $\text{val}(v) - 2$ chips on each vertex v .

The **genus** $g(G)$ of the graph is $|E| - |V| + 1 = b_1(G)$.

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We also have to define a **rank function** $r(D) = r(|D|)$ defined as follows:

- 1) If D is not effective nor linearly equivalent to an effective divisor, then $r(D) = -1$.
- 2) If D is linearly equivalent to an effective divisor, i.e. $|D| \neq \emptyset$, then $r(D) \geq 0$.
- 3) If $|D - E| \neq \emptyset$ for any effective divisor E of degree k , then $r(D) \geq k$.

Riemann-Roch Theorem for Graphs

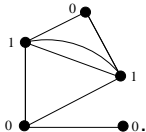
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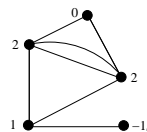
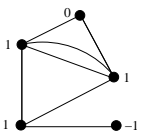
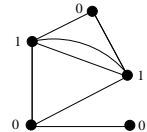
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Theorem (Baker-Norine 2007) We have the following equality for any graph G and any divisor D .

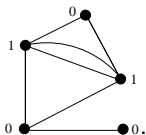
$$r(D) - r(K_G - D) = \deg(D) - g(G) + 1.$$

Example of Riemann-Roch

Example: Let D and G be as follows: . Then the **canonical divisor** for this graph is

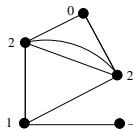
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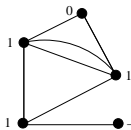


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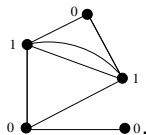


K_G is 1



and $K_G - D$ is 1

\sim



0

Then $g(G) = 3$, $\deg(D) = 2$, $r(D) = r(K - D) = 1$, and the **Riemann-Roch** equality $1 - 1 = 2 - 3 + 1$ is **satisfied**.

(To see that $r(D) = 1$, note that we can **subtract** a chip from any vertex and we are still linearly equivalent to an **effective** divisor.)

However, it is possible to **subtract two chips** and get a **non-effective**.)

And now for something completely different . . .

Tropical Arithmetic

We work over the **tropical semi-ring**

$$(\mathbb{R} \cup \{-\infty\}, \oplus, \odot)$$

where $a \oplus b = \max(a, b)$ and $a \odot b = a + b$.

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Notice that $a + \max(b, c) = \max(a + b, a + c)$, so we have the **tropical distributive law**

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We also have the **tropical commutative and associative laws**. Also,

$$a \oplus (-\infty) = a \quad \text{and} \quad b \odot 0 = b$$

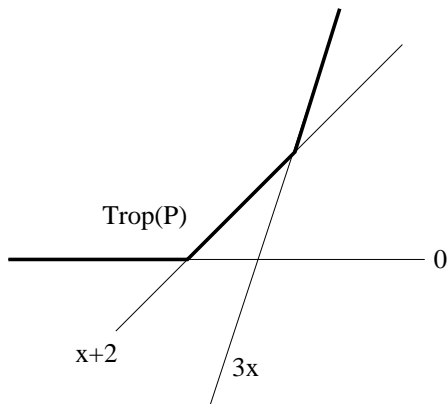
for any a and b , so we have **additive and multiplicative identities**.

Lastly, we have **multiplicative inverses**, but we **do not have additive inverses**.

Tropical Polynomials

We can form **Tropical Polynomials** such as

$$P = x^{\odot 3} \oplus 2 \odot x \oplus 0 = \max(3x, 2 + x, 0).$$

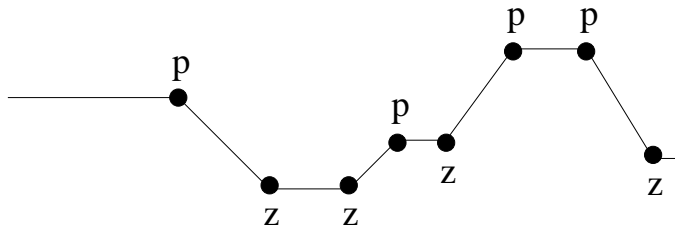


A tropical polynomial is a piecewise linear function with integer slopes, whose image is **convex**, and a finite number of linear pieces.

Tropical Rational Functions

A **Tropical Rational Function** is also a piecewise linear function of the same form, but the requirement of **convexity is dropped**.

The image of a Tropical Rational Function:



A **zero** of the Tropical Rational Function is a point where the slope increases, and a **pole** is a point where the slope decreases.

Notice that the image is **convex** at zeros, but is **concave** at poles.

Tropical Curves

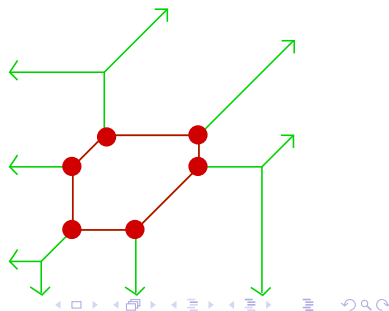
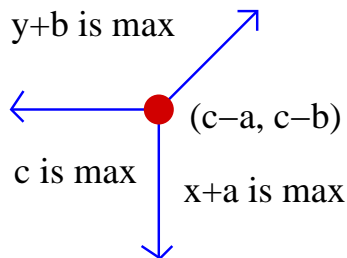
The **Corner Locus** of a Tropical Function is the set of all points where the slope changes (i.e. the maximum is achieved twice.)

1 – D : the corner locus would be the set of **zeros** and **poles**.

2 – D : The corner locus looks like a **Metric Graph** (plus unbounded rays).

Tropical Line: $a \odot x \oplus b \odot y \oplus c$ and **Tropical Cubic**: $\bigoplus_{i+j \leq 3} x^i y^j$.

The **Degree** of the polynomial equals the # of rays in each direction.



Tropical Riemann-Roch

An **Abstract Tropical Curve** Γ is simply a Metric Graph, where we allow leaf edges to be of infinite length. The **genus** of Γ is $g(\Gamma) = |E| - |V| + 1$.

Examples (Finite portions of Genus 2):



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Examples (Finite portions of Genus 2):



A **Chip Configuration** C of Γ is a formal linear combination of points of Γ :

$$C = \sum_P c_P P \quad (\text{only finitely many } c_P \text{'s are nonzero}).$$

The **Canonical Chip Configuration** $K = K(\Gamma) = \sum_{V \in \Gamma} (\text{val}(V) - 2)V$.

(Gathmann-Kerber, Mikhalkin-Zharkov): The Baker-Norine **rank function** $r(C)$ satisfies **Riemann-Roch** for Tropical Curves

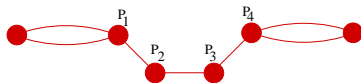
$$r(C) - r(K - C) = \deg C + 1 - g(\Gamma).$$

Tropical Linear Systems

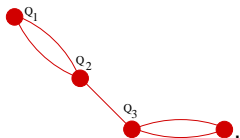
Given a tropical rational function f , we let $\text{ord}_P(f)$ denote the **sum of the outgoing slopes** locally at point P with respect to the function f .

The **Chip Configuration of f** is defined as $(f) = \sum_{P \in \Gamma} \text{ord}_P(f)P$.

Examples: $g_1 =$



, $g_2 =$

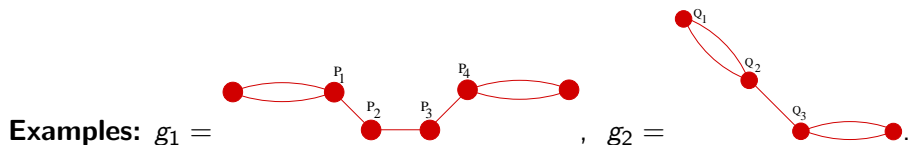


Then $(g_1) = -P_1 + P_2 + P_3 - P_4$. and $(g_2) = -2Q_1 + Q_2 + Q_3$.

Tropical Linear Systems

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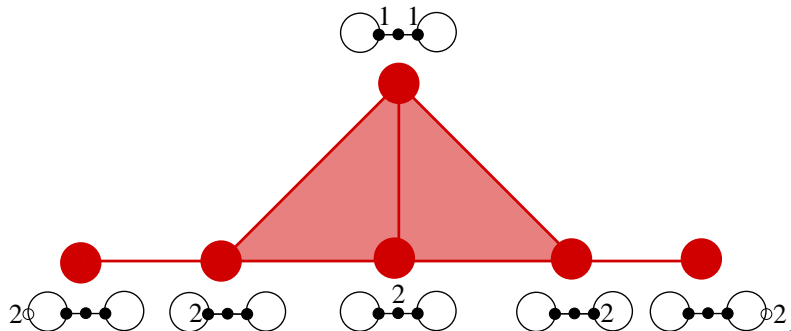
Can also think of these transformations as **weighted chip-firing moves**.
(We can **fire** a **subgraph** of Γ in place of a **subset** of vertices.)

The **Tropical Linear System of C** (following Gathmann-Kerber):

$$|C| = \{C' \geq 0 : C' = C + (f) \text{ for some tropical rational function } f\}.$$

Tropical Linear Systems (Example Continued)

For $\Gamma =$  with C as specified, we have $|C|$ is



The **Linear System $|C|$** contains six 0-cells, seven 1-cells and two 2-cells.

$|C|$ and $R(C)$ as polyhedral cell complexes

Recall $|C| = \{C' \geq 0 : C' = C + (f) \text{ for some tropical rational function } f\}$.

Let $R(C) = \{f : C + (f) \geq 0\}$. This is a **tropical semi-module of functions**.

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First observation: $R(C)$ is naturally **embedded** in \mathbb{R}^Γ and $|C|$ is a subset of the **d th symmetric product** of Γ , where $d = \deg C$.

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First observation: $R(C)$ is naturally **embedded** in \mathbb{R}^Γ and $|C|$ is a subset of the **d th symmetric product** of Γ , where $d = \deg C$.

Let $\mathbb{1}$ denote the set of constant functions on Γ . (Note that if f is constant, then the chip configuration $(f) = 0$.)

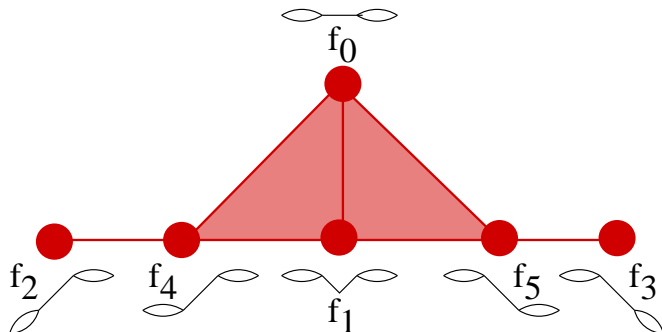
In fact, there is the **natural homeomorphism**:

$$\begin{aligned} R(C)/\mathbb{1} &\longrightarrow |C| \\ f &\longmapsto C + (f). \end{aligned}$$

So a **linear system** can be described also by tropical rational functions **modulo tropical multiplication** (i.e. translation by adding a constant function). **Only local slope changes matter, not the function values.**

Back To Barbell Example

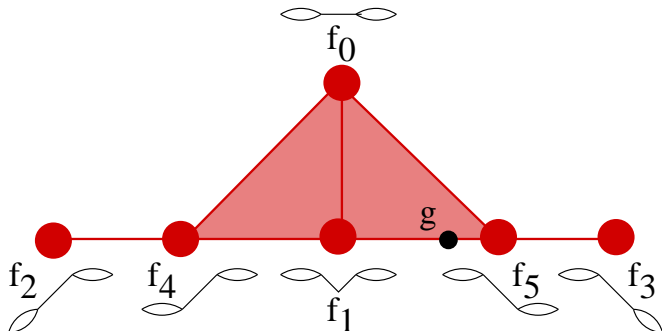
In terms of tropical rational functions, we obtain the following labeling of the polyhedral complex's vertices instead:



Each of the 1-cells and 2-cells are **tropically convex**.

Back To Barbell Example

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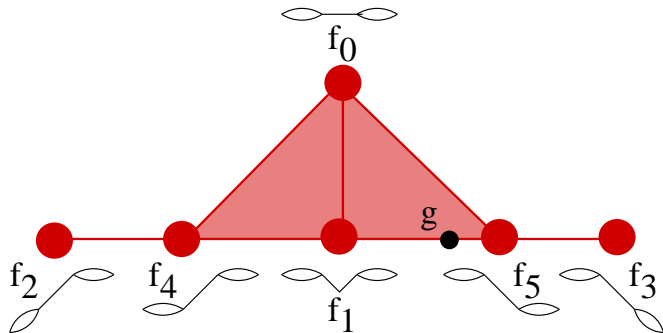
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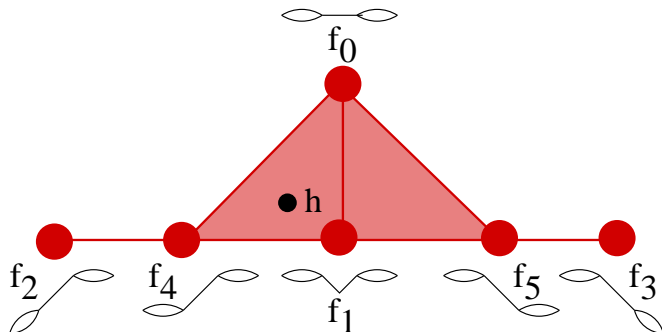
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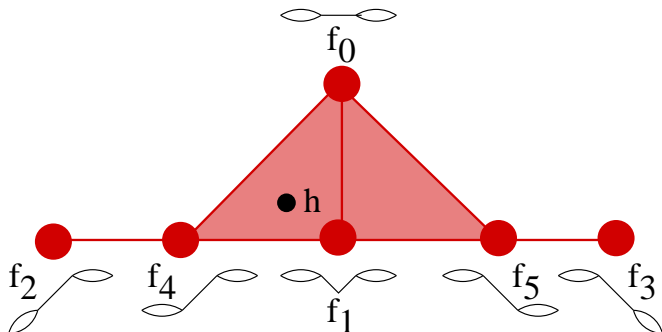
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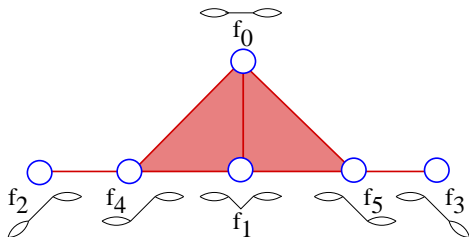


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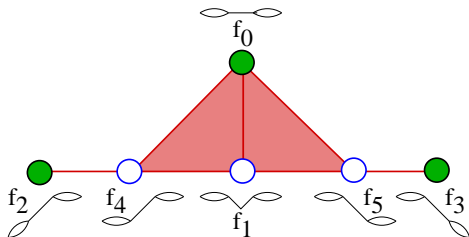
The diagram shows a barbell graph with a point h (black dot) on the right oval. A green dashed line represents the tropical convex hull of h and the left oval. A red dashed line represents the tropical convex hull of h and the right oval. The intersection of these two hulls is the point h .

Back To Barbell Example (Continued)



In particular, **every tropical rational function** on Γ is the **tropical convex hull** of the 0-cells $\{f_0, f_1, \dots, f_5\}$.

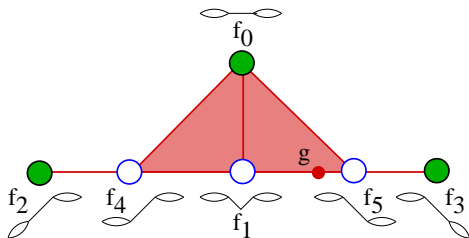
Back To Barbell Example (Continued)



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Back To Barbell Example (Continued)



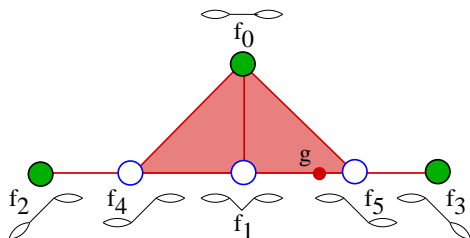
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Theorem (HMY 2009) $R(C)$ is a **finitely generated** tropical semimodule.

If $C' \in |C|$, with $C' = C + (f)$, is in the cell with vertices C_1, C_2, \dots, C_k (with corresponding f_1, f_2, \dots, f_k), then

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Main Results

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i.e. the cells of $|C|$ are **tropically convex**.

In particular, $R(C)/\mathbb{1} \cong |C|$ is finitely generated by the **0-cells** of $|C|$.

Theorem (HMY 2009) The 0-cells of $|C|$, as well as all other d -cells, can be **described explicitly**.

Dimension of a cell

Definition. A point $P \in \Gamma$ is **smooth** if it has valence two and is not a vertex (i.e. the interior of an edge).

Definition. The **support** of a chip configuration C is the set of points of Γ with nonzero coefficients in C .

Let $I(\Gamma, C') = \Gamma \setminus (\text{Supp } C' \cap \{\text{Smooth points}\})$.

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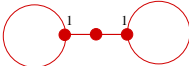
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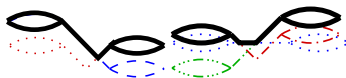
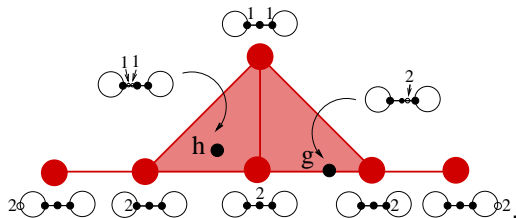
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Corollary (HMY 2009) The **0-cells**, i.e. a set of **generators** for $R(C)/\mathbb{1}$, correspond to the C' 's whose **smooth support does not disconnect** Γ .

The **extremals** lie inside this set: They are the functions f precisely such that **no two proper subgraphs** Γ_1 and Γ_2 of Γ covering Γ (i.e. $\Gamma_1 \cup \Gamma_2 = \Gamma$) can **both fire** on the chip configuration $C + (f)$.

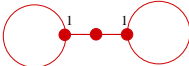
Another return to the barbell

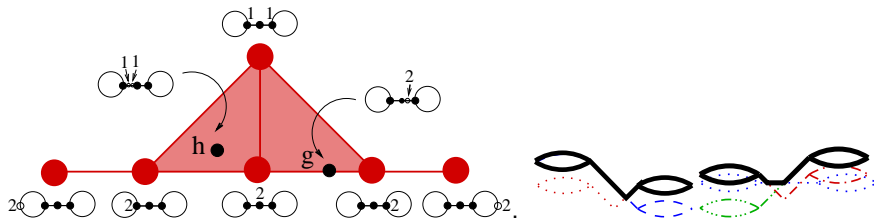
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Notice that **removal** of the **smooth support** of C' (for C' a 0-cell) does **not disconnect** the graph Γ .

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Chip configurations corresponding to **tropical rational functions** g and h correspond to the interiors of 1-cells and 2-cells.

Removal of their breakpoints **disconnects** the graph into 2 and 3 pieces.

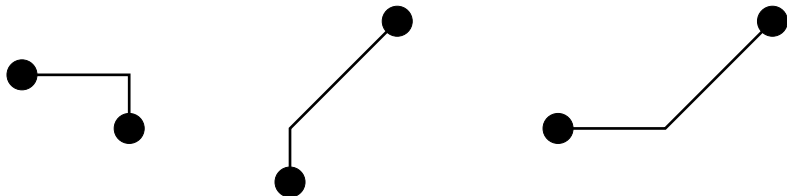
Other Results

Theorem (HMY) If $R(D) = tconv(f_0, f_1, \dots, f_r)$, then

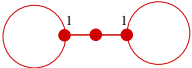
$$\begin{aligned}\phi : \Gamma &\rightarrow \mathbb{TP}^r \\ x &\mapsto (f_0(x), \dots, f_r(x))\end{aligned}$$

satisfies $|D| \cong tconv(\phi(\Gamma))$.

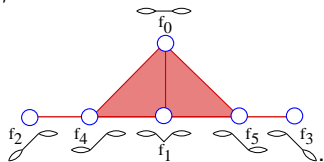
Recall that the **tropical convex hull** of two points is the **tropical line segment** between them.



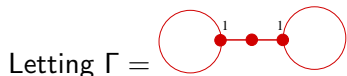
Embedding the Barbell

Letting $\Gamma =$  with D as specified, we note that the

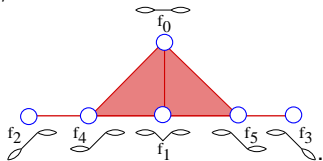
extremals of $|D|$ are f_0 , f_2 , and f_3 in the picture



Embedding the Barbell

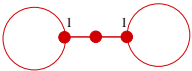


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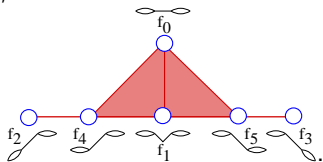


Letting P be the leftmost point of Γ , up to **vertical translation** (i.e. tropical projective scaling), we can assume that $f_0(P) = f_2(P) = f_3(P) = 0$.

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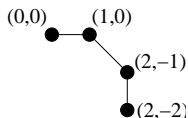
Graphing f_0 , f_2 , and f_3 along Γ , we get an **infinite matrix** with three rows and **columns indexed by points** of Γ .

$$\begin{bmatrix} 0 & \dots & 0 & \dots & 0 & \dots & 0 & \dots & 0 \\ 0 & \dots & 1 & \dots & 3/2 & \dots & 2 & \dots & 2 \\ 0 & \dots & 0 & \dots & -1/2 & \dots & -1 & \dots & -2 \end{bmatrix}$$

Embedding the Barbell

We then **plot the columns as projective points** (ignoring the zeroes in the first row)

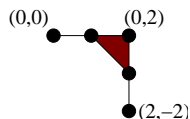
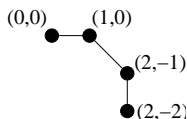
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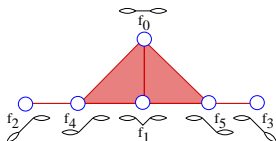
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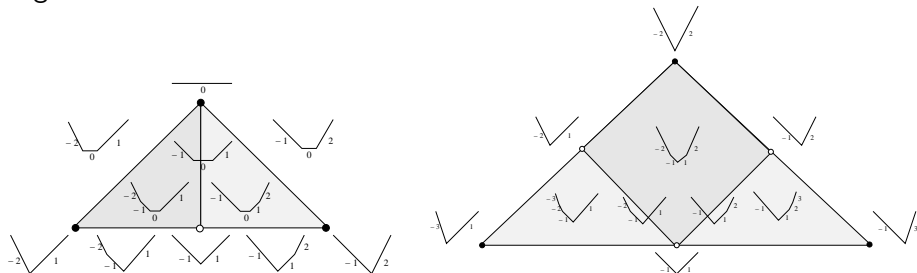


The second plot is the tropical convex hull of the points in the first. Observe that $tconv(f_0, f_2, f_3)$ in $\mathbb{TP}^2 \cong$ the linear system $|D|$.



Final Examples: Genus One Circle Graph

Take the circle $\Gamma = S^1$ on **one** vertex and a chip configuration of degree d .
E.g. $d = 3$ or 4 :

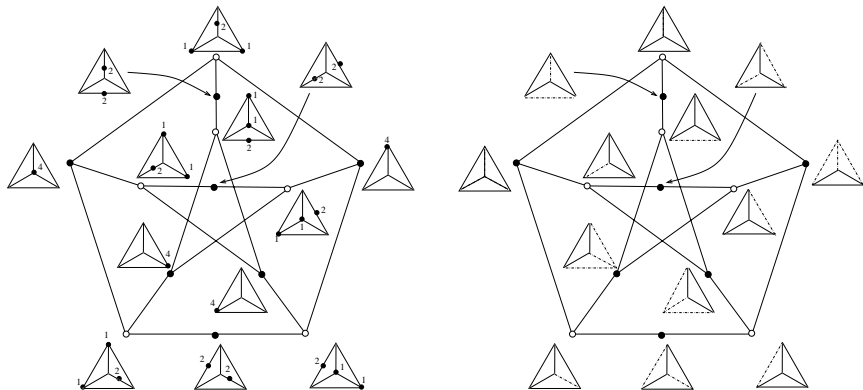


Black Vertices correspond to **Extremals**. $|C|$ is a **subdivision** of a $(d - 1)$ -simplex.

In the case of $d = 4$, $|C|$ is a cone over the triangle that is shown. The **cone point** is the constant function, and is another extremal.

Final Examples: Complete Graph on 4 Vertices

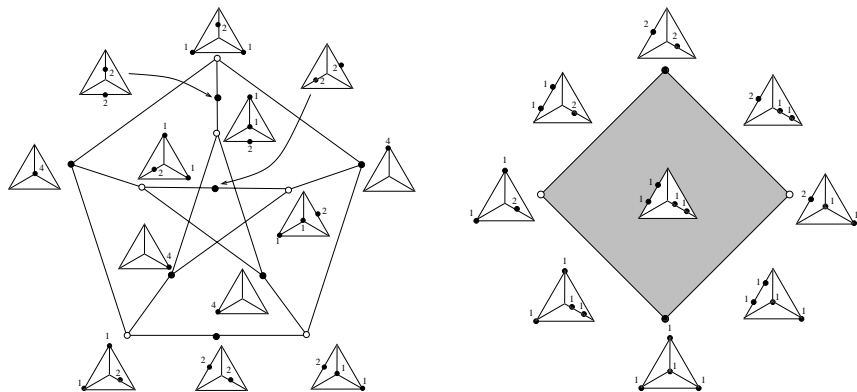
For $\Gamma = K_4$ with edges of equal length and K the canonical chip configuration with 1 at all four vertices: $|K|$ is a cone over the Petersen graph from point K .



Theorem (HMY) For any Γ , the fine subdivision of $\text{link}(K, |K|)$ contains the fine subdivision of the Bergman complex $B(M^*(\Gamma))$ as a subcomplex.

Final Examples: Complete Graph on 4 Vertices (Continued)

Fourteen 0-cells, seven (black vertices) of which (not K) are **extremal**.



This is a 2-dimensional cell complex: including K (at the bottom), here is a **close-up of a quadrilateral cell**. In particular, $|K|$ is **not simplicial**.

Open Questions

Question: Is there a relationship between **geometric properties** of the polyhedral cell complex $|C|$ and the Baker-Norine **rank function** satisfying Tropical Riemann-Roch?

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Thanks for Listening!

Linear Systems on Tropical Curves (with Christian Haase and Josephine Yu), arXiv:math.AG/0909.3685. To appear in Math. Zeitschrift

Slides at <http://www.math.umn.edu/~musiker/TropTalk.pdf>.