

**Math 5251 Error-correcting codes and finite fields**  
**Fall 2021, Vic Reiner**  
**Midterm exam 1**  
**Due Wednesday Oct. 13 by 11:59pm, via Canvas**

**Instructions:** This is an open book, open library, open notes, open web, take-home exam, but you are *not* allowed to collaborate. The instructor is the only human source you are allowed to consult.

1. (30 points total; 5 points each) True or False. Your answers must be justified either by counterexamples or proofs to receive full credit.

(a) There exists a source  $W$  with  $\#W = 5$  and word probabilities having a binary Huffman code with codewords of lengths  $(2, 2, 2, 3, 4)$ .

(b) There exists a prefix binary encoding  $f : W \rightarrow \{0, 1\}^*$  for some source  $W = \{w_1, w_2, w_3, w_4, w_5\}$  with codewords of length  $(2, 2, 2, 3, 4)$ .

(c) If one has a uniquely decipherable  $n$ -ary encoding  $f : W \rightarrow \Sigma^*$  of a source  $W$ , with  $\#\Sigma = n$  and in which every codeword  $f(w_i)$  has length at most  $\ell$ , then  $\#W \leq n^\ell$ .

(d) Any source  $W$  of cardinality  $\#W = n^\ell$  with  $n \geq 2$  and  $\ell \geq 1$  has at least one uniquely decipherable encoding  $f : W \rightarrow \Sigma^*$  in which all codewords  $f(w)$  have length at most  $\ell$ .

(e) Assume that source  $W = \{w_1, \dots, w_m\}$  with word probabilities  $\{p_1, \dots, p_m\}$  has some  $p_{i_0} \geq \frac{1}{2}$ . Then in any binary Huffman encoding of  $W$ , the length of the word encoding  $w_{i_0}$  will be 1.

(f) A source  $W = \{w_1, \dots, w_m\}$  with word probabilities  $\{p_1, \dots, p_m\}$  that has a word of length 1 in one of its binary Huffman encodings must have some  $p_{i_0} \geq \frac{1}{2}$ .

2. (15 points) Assume one is encoding long binary words using a CRC with polynomial  $g(x) = x^4 + x^3 + 1$  in  $\mathbb{F}_2[x]$ , that is, tacking on four 4 extra bits that represent the remainder upon division by  $g(x)$ . Prove that 2-bit errors that occur exactly 15 positions apart will go undetected.

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3. Let source  $W = \{w_1, w_2, \dots, w_8\}$  have word probabilities

$$\left\{ \frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{16}, \frac{1}{32}, \frac{1}{64}, \frac{1}{128}, \frac{1}{128} \right\}$$

(a) (5 points) Compute the (binary) entropy  $H(W)$ . An approximate decimal final answer is fine, but must be explained.

(b) (10 points) Compute the minimum among all uniquely decipherable binary encodings  $f : W \rightarrow \{0, 1\}^*$  of the average length of the code words  $f(w_i)$ .

4. Suppose that we are sending length 4 binary words  $w = b_1b_2b_3b_4$  with  $b_i \in \{0, 1\} = \mathbb{F}_2$  through a noisy binary symmetric channel (BSC) having error probability  $p$  for each bit sent.

(a) (5 points) Compute the probability of at least one error during transmission, as a function of  $p$ .

Now we choose to send  $w$  with two extra parity check bits as follows:

$$f(w) = b_1b_2b_3b_4b_5b_6$$

where

$$b_5 := b_1 + b_2,$$

$$b_6 := b_3 + b_4.$$

(b) (10 points) Compute the probability of at least one undetected error when  $w$  is sent as  $f(w)$ , again as a function of  $p$ .

(c) (5 points) Considering the image of  $f$  as a set of codewords  $\mathcal{C}$  inside  $\{0, 1\}^*$  of length 6, what is the (binary) rate of the code  $\mathcal{C}$ ?

5. (20 points) Prove by induction on  $m$  that, for any binary Huffman encoding of a source  $W$  of size  $m$ , the word lengths  $(\ell_1, \dots, \ell_m)$  achieve equality in the Kraft-McMillan inequality, that is,  $\sum_{i=1}^m \frac{1}{2^{\ell_i}} = 1$ .