

# A brief discussion of complexity and P vs. NP vs. NP-complete

(not in our book; see Wikipedia page on P versus NP)

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As our graphs  $G = (V, E)$  get bigger, say with  $|V|, |E|$  growing large, most of the YES/NO questions (called decision problems) we have asked about  $G$  take longer to answer, e.g.

- **Planarity**: Is  $G$  planar?
- **connectivity**: Is  $G$  connected?
- **Eulerian-ness**: Does  $G$  have an Euler circuit?
- **Hamiltonian-ness**: Does  $G$  have an Hamilton circuit?
- **Graph isomorphism**: Do  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  have  $G_1 \cong G_2$ ?
- **Degree sequence-ness**: Is  $\underline{d} = (d_1, \dots, d_n) = \underline{d}(G)$  for some simple graph  $G$ ?

As large-scale computation became feasible in the mid 1900's, people started thinking carefully about how much longer it would take, called **computational complexity**, for various algorithms to answer these and other decision problems

# (Non graph theory) EXAMPLES:

- **Primality**: Is an integer  $n$  prime?

- **Boolean satisfiability**:

Does some given **Boolean formula**, like  $f(x_1, x_2, x_3, x_4, x_5, x_6) =$

$$(x_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_2 \vee x_4) \wedge (\bar{x}_1 \vee x_3 \vee \bar{x}_5 \vee x_6)$$

OR:

x	y	$x \vee y$
T	T	T
T	F	T
F	T	T
F	F	F

AND:

x	y	$x \wedge y$
T	T	T
T	F	F
F	T	F
F	F	F

NOT:

x	$\bar{x}$
T	F
F	T

have any assignments of  $(x_1, \dots, x_n) \in \{T, F\}^n$  that satisfy it, i.e. that make  $f(x_1, \dots, x_n) = T$ ?

- **Halting problem**: Given a computer program that takes certain inputs, and a particular instance of that input, decide whether the program will **run forever** versus **terminating** after finitely many steps.

# Some complexity HISTORY:

1936: Church shows the **Halting problem** is not even decidable - there is **no algorithm** to decide it!

1950s: People like **Nash, Von Neumann** start formalizing the notion of **input size  $N$**  for various problems,

e.g.  $N = |V|$  or  $|E|$  or  $\max\{|V|, |E|\}$

for various **graph decision problems**,

$N = \log_2(n) =$  **number of (binary) digits**

for number-theoretic problems

like primality of  $n$

$N =$  length of Boolean expression  $f(x_1, \dots, x_n)$

for **satisfiability**

And then they start asking about complexity bounds for # of steps to decide them,

e.g. **exponential**:  $\leq C \cdot b^N$  steps for some constant  $C$ , base  $b$

**P = polynomial**:  $\leq p(N) = a_0 + a_1 N + a_2 N^2 + \dots + a_d N^d$  steps.

Polynomial bounds generally mean the algorithms remain feasible for big  $N$ , as computing speeds improve.

## EXAMPLES in complexity class P:

- **Connectivity**: if  $G=(V,E)$ , one can check connectivity in  $\leq c \cdot N^2$  steps where  $N=|V|$ , via **breadth-first search**.
- **Eulerian-ness**: again letting  $N=|V|$ , can check **connectivity** in  $\leq cN^2$  steps and then check  **$\deg_G(x)$**  even  $\forall x \in V$  in  $\leq N$  steps. (a total of  $\leq cN^2 + N$  steps).
- **Planarity**: somewhat surprisingly, the **Koepfer-Tarjan Algorithm (1974)** can decide this in **linear (!)** time, i.e.  $\leq cN$  steps, where  $N=|V|$ .
- **Degree-sequence-ness**: any of the criteria by **Havel-Itakimi** or **Erdős-Gallai** or **Ruch-Gutman** give rise to algorithms that take  $\leq c \cdot N^2$  steps to check if  $\underline{d} = (d_1 \geq d_2 \geq \dots \geq d_N) = \underline{d}(G)$  for a simple graph  $G$ .
- **Primality**: Very surprisingly, the **Agrawal-Kayal-Saxena Test (2002)** decides primality of  $n$  in  $\leq c \cdot N^8$  steps, where  $N := \log_2(n)$

1971: Cook } introduce the complexity class  
1973: Levin }

**NP**: = } decision problems where an algorithm  
exists to check **purported certificates**  
for a YES answer in  $\leq p(N)$  steps,  
for some polynomial  $p(N)$  }  
"non-deterministic polynomial time"

One has  $P \subseteq NP$ , because any problem in  $P$  has an algorithm that produces a **valid** certificate and checks it in  $\leq p(N)$  steps for a YES, or similarly produces and checks a certificate for NO.

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But NP also contains ...

- **Hamiltonicity**: given  $G = (V, E)$ , letting  $N = |V|$ , if someone purports that a given ordering  $(x_1, x_2, \dots, x_N)$  of  $V$  gives a Hamilton cycle, you can verify its correctness in  $N$  steps.
- **Graph isomorphism**: given  $G_1 = (V_1, E_1), G_2 = (V_2, E_2)$  can check if  $f_1: V_1 \rightarrow V_2, f_2: E_1 \rightarrow E_2$  give an isomorphism, in  $\leq N$  steps, where  $N = |V_1| = |V_2|$ .
- **Boolean Satisfiability**: given a Boolean formula  $f(x_1, \dots, x_n)$  of length  $N$ , if someone purports that a particular  $(x_1, x_2, \dots, x_n) \in \{T, F\}^n$  makes  $f(x_1, \dots, x_n) = T$ , you can verify that in  $N$  steps.

More importantly, they showed...

**Cook-Levin Theorem:** Boolean satisfiability is actually (1971) (1973)

**NP-complete:** if one had a polynomial-time algorithm to solve it, one could convert that to an algorithm to solve **any other problem in NP** in polynomial time!

(Hence if **Boolean satisfiability** lies in P, then all of NP lies in P, so  $P = NP$ !)

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Cook even showed **NP-completeness** for the special case called **3-satisfiability**, where

$$f(x_1, \dots, x_n) = F_1 \wedge F_2 \wedge \dots \wedge F_N$$

and each  $F_i$  looks like  $x_a \vee x_b \vee x_c$   
or  $\bar{x}_a \vee x_b \vee x_c$   
or  $\bar{x}_a \vee \bar{x}_b \vee x_c$   
or  $\bar{x}_a \vee \bar{x}_b \vee \bar{x}_c$

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**Karp's List of 21 NP-complete problems (1972):**

showed many important problems in graph theory and elsewhere are also NP-complete, e.g. **Hamiltonicity**.

# \$1,000,000 Clay Math Prize QUESTION: Is $P=NP$ ?

When surveyed, many computer scientists think **NO**, i.e. the known NP-complete problems will probably never have polynomial-time algorithms, so they are **inherently harder** than those in P.

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Surprisingly, Babai's Theorem (2015) showed **Graph Isomorphism** is **quasi-polynomial**, i.e. it can be decided in  $\leq b (\log_2 N)^c$  steps for some base  $b$ , and constant  $c$ .

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