

# Polarizations of Strongly Stable Ideals

UMN Algebra and Combinatorics REU 2022

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# Polarizations

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# Polarizations

## Definition (Polarization)

A square-free monomial ideal  $J$  is a *polarization* of a monomial ideal  $I$  if  $I$  can be obtained from  $J$  by quotienting out by a sequence of non-zero-divisor variable differences.

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In general,

$$\mathbf{x}^{\mathbf{a}} = x_1^{a_1} x_2^{a_2} \cdots x_n^{a_n} \mapsto m_1(\mathbf{a}) m_2(\mathbf{a}) \cdots m_n(\mathbf{a}) = m(\mathbf{a})$$

where each  $m_i(\mathbf{a})$  is a squarefree monomial of degree  $a_i$  in the variables of the set  $\{x_{i1}, \dots, x_{i,d_i}\}$ .

# Strongly Stable Ideals

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## Definition

Let  $S = k[x_1, \dots, x_n]$  be a polynomial ring over a field  $k$ . A monomial ideal  $I \subset S$  is *strongly stable* if for any generator  $\mathbf{m}$  of  $I$ ,  $I$  contains every monomial that is reachable from  $\mathbf{m}$  by swapping  $x_j$ 's out for  $x_i$ 's when  $i < j$ .

## Example

Given the monomial  $x_1x_3^2 \in S = k[x_1, x_2, x_3]$ , we can construct a strongly stable ideal  $I$  generated by all monomials reachable from it:

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## Example

Notice that  $I = (x_2, x_3^2) \subset S$  is not strongly stable since we can swap  $x_2$  for  $x_1$ , but  $x_1 \notin I$ .



# Motivation

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- Polarization replaces a monomial ideal with a square-free monomial ideal sharing the same homological data, giving access to combinatorial tools such as Stanley-Reisner theory.
- Strongly stable ideals arise naturally in algebraic combinatorics; e.g. generic initial ideals are always strongly stable in characteristic 0.

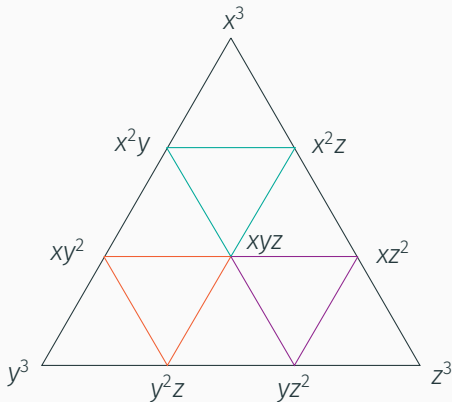
# Results

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# Graph of Linear Syzygies

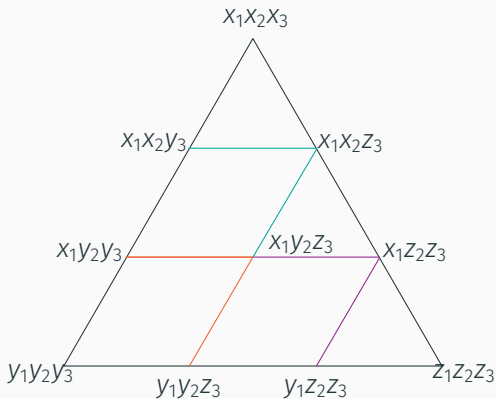
Suppose we have a strongly stable ideal  $I$  with a polarization  $\tilde{I}$ .

- Let  $\Delta^{\mathbb{Z}}(n, d)$  denote be the lattice simplex with vertices given by all  $\mathbf{a} = (a_1, \dots, a_n) \in \mathbb{N}_0^n$  with  $\sum a_i = d$ . Its vertices are in one-one correspondence with minimal generator of  $(x_1, \dots, x_n)^d$ .

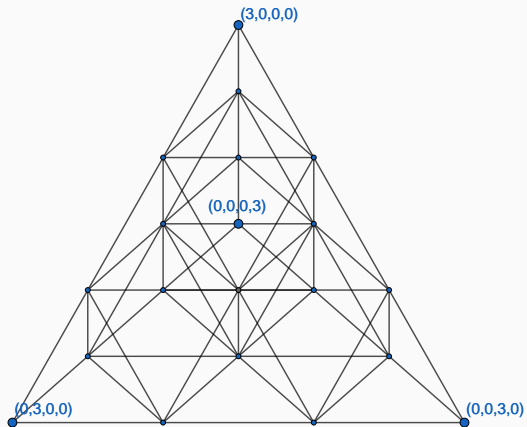


## Graph of Linear Syzygies (cont.)

- We form the linear syzygy graph  $G_I$  by taking vertices as generators of  $I$  and edges when there is a linear relation between two generators. This graph can be superimposed on  $\Delta^{\mathbb{Z}}(n, d)$ .
- Suppose  $\mathbf{c} \in \Delta^{\mathbb{Z}}(n, d + 1)$ . We refer to the induced subgraph on all vertices of the form  $\mathbf{c} - e_i$  as a down-graph  $D(\mathbf{c})$ .



# Graph of Linear Syzygies



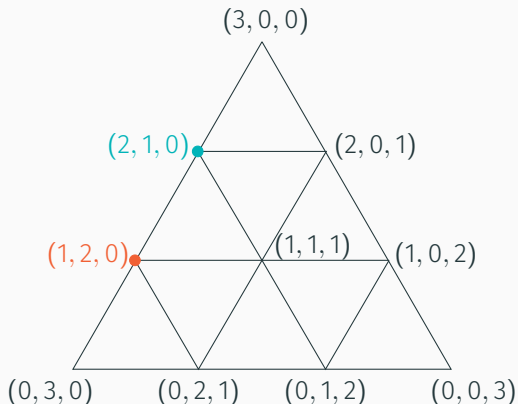


## Specifying the labeling

Put partial orders  $\geq_i$  on  $\Delta^{\mathbb{Z}}(n, d)$  defined by  $\mathbf{a} \geq_i \mathbf{b}$  if  $a_i \geq b_i$  and  $a_j \leq b_j$  for all  $j \neq i$ .

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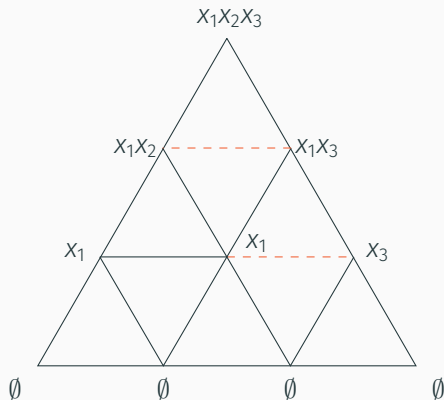
For example,  $(2, 1, 0) \geq_1 (1, 2, 0)$ , but  $(2, 1, 0) \leq_2 (1, 2, 0)$  and they are incomparable with respect to  $\geq_3$ .

## Lemma (AFL '22)

Let  $x^{\mathbf{a}}$  and  $x^{\mathbf{b}}$  be minimal generators of a monomial ideal  $I$  and  $m(\mathbf{a})$  and  $m(\mathbf{b})$  the corresponding generators in a polarization of  $I$ . Fix an index  $i$ . If  $\mathbf{a} \leq_i \mathbf{b}$ , then the  $i$ 'th part  $m_i(\mathbf{a})$  divides  $m_i(\mathbf{b})$ .

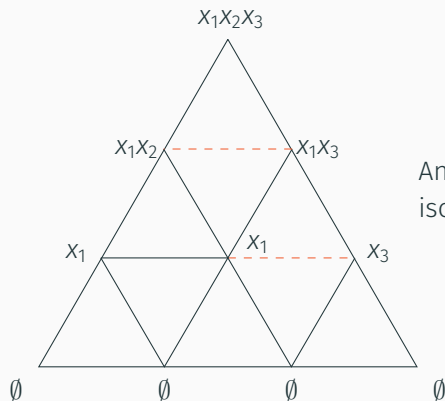
## Specifying the labeling

Let  $B(\check{X}_i)$  be the BOOLEAN POSET on  $\check{X}_i = \{x_{i1}, \dots, x_{id}\}$ . Polarizations give rise to isotone maps  $X_i : \Delta^{\mathbb{Z}}(n, d) \rightarrow B(\check{X}_i)$  with respect to  $\geq_i$ .



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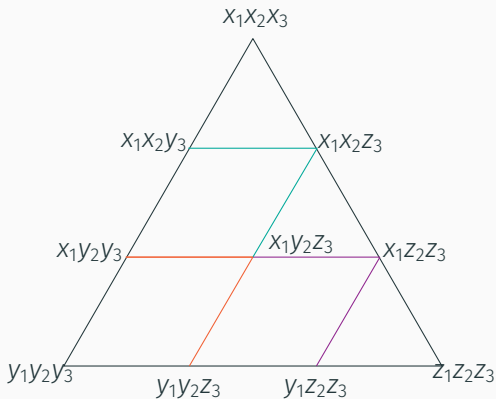


An example of the image of an isotone  $X_1$  map on  $\Delta^{\mathbb{Z}}(3, 3)$ .

# Polarizations of $(x_1, \dots, x_n)^d$

## Theorem (Almoussa–Fløystad–Lohne (2022))

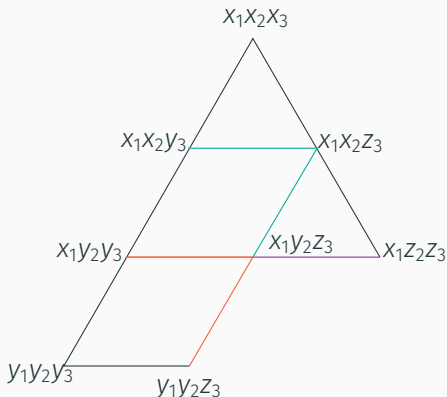
A set of isotone maps  $x_1, \dots, x_n$  determines a polarization of  $I = (x_1, \dots, x_n)^d$  if and only if for every  $\mathbf{c} \in \Delta^{\mathbb{Z}}(n, d+1)$ , the graph of linear syzygy edges contains a spanning tree for  $D(\mathbf{c})$ .



# Polarizations of Strongly Stable Ideals

## Theorem

A set of isotone maps  $X_1, \dots, X_n$  determines a polarization of a strongly stable ideal  $I$  if and only if for every  $\mathbf{c} \in \Delta^{\mathbb{Z}}(n, d+1)$ , the graph of linear syzygy edges contains a spanning tree for  $D(\mathbf{c}) \cap G_I$ .



# Applications

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## Definition (Associated prime)

Let  $R$  be a Noetherian ring and  $M$  a finitely generated  $R$ -module. A prime ideal  $P \subset R$  is an *associated prime ideal* of  $M$ , if there exists an element  $x \in M$  such that  $P = \mathbf{ann}(x)$ , where  $\mathbf{ann}(x) = \{a \in R : ax = 0\}$ . The set of all associated primes of  $I$  is denoted  $\mathbf{ass}(R/I)$ .

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**Remark 1:** For  $I$  a squarefree monomial ideal in a polynomial ring  $R$ :

- $I$  is an intersection of its associated primes.
- The associated prime ideals of  $I$  are a finite number of prime ideals generated by variables.

## Definition (Alexander Dual)

Let  $I$  be a square-free monomial ideal in a polynomial ring  $S$ . The Alexander dual ideal  $I^\vee$  of  $I$  is the monomial ideal in  $S$  whose monomials are precisely those that have a nontrivial common divisor with every generator of  $I$ .

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**Remark 2:** Given a square-free monomial ideal  $I$ , the minimal generators of the Alexander dual of  $I$  correspond to the product of the variables that generate the associated primes of  $I$ .

## Example

Let  $I = (X_1X_3, X_4X_5X_1, X_6)$

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Then by Remark 1, we know its associated primes are the following:

$$\text{ass}(R/I) = \{(x_1, x_6), (x_3, x_4, x_6), (x_3, x_5, x_6)\}.$$

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Then by Remark 2, we know that the Alexander dual of  $I$  is:

$$I^\vee = (x_1x_6, x_3x_4x_6, x_3x_5x_6).$$



## Definition (Color Classes, Rainbow Monomials)

We call the set of all variables sharing their first index  $\{x_{i,1}, \dots, x_{i,m}\}$  the  $i$ -color class. We call a monomial in degree  $d$  a *rainbow monomial* when it is of the form  $x_{1,j_1} \dots x_{d,j_d}$ , a product of *exactly one* variable from each color class.

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We say a monomial is *weakly-rainbow* if it is generated by *at most one* variable from each color class.

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$x_{1,1}x_{2,1}$  is a weakly-rainbow monomial

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## Alexander Duals and Associated Primes

Our main result for this section gives us a helpful fact regarding polarizations of *any* monomial ideal  $J \subset k[x_1, \dots, x_n]$ .

### Theorem

*If  $I \subset S = k[x_{1,1}, \dots, x_{1,d}, \dots, x_{n,1}, \dots, x_{n,d}]$  is a polarization of any monomial ideal  $J \subset k[x_1, \dots, x_n]$ , then the generators of  $I^\vee$  are weakly-rainbow.*

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Given  $J = (x^2, xy, xz, y^2)$ , we can check whether or not  $I = (x_1x_2, x_2y_1, x_1z_1, y_1y_2)$  is a polarization of  $J$ .



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Hence  $I^\vee = (x_1y_1, x_1x_2z_1, x_2y_1z_1, x_2y_2z_1)$ .

# Alexander Duals and Associated Primes

Our main result for this section gives us a helpful fact regarding polarizations of *any* monomial ideal  $J \subset k[x_1, \dots, x_n]$ .

## Theorem

If  $I \subset S = k[x_{1,1}, \dots, x_{1,d}, \dots, x_{n,1}, \dots, x_{n,d}]$  is a polarization of any monomial ideal  $J \subset k[x_1, \dots, x_n]$ , then the generators of  $I^\vee$  are weakly-rainbow.

## Example

Given  $J = (x^2, xy, xz, y^2)$ , we can check whether or not

$I = (x_1x_2, x_2y_1, x_1z_1, y_1y_2)$  is a polarization of  $J$ .

Notice that  $I = (x_1, y_1) \cap (x_1, x_2, z_1) \cap (x_2, y_1, z_1) \cap (x_2, y_2, z_1)$

Then  $\text{ass}(I) = \{(x_1, y_1), (x_1, x_2, z_1), (x_2, y_1, z_1), (x_2, y_2, z_1)\}$

Hence  $I^\vee = (x_1y_1, x_1x_2z_1, x_2y_1z_1, x_2y_2z_1)$ .

Then since  $x_1x_2z_1$  is not weakly-rainbow, by our theorem  $I$  is *not* a polarization of  $J$ .

# Stanley-Reisner Complex and Shellability

## Definition

For a squarefree monomial ideal  $I$ , the *Stanley-Reisner complex* of  $I$  is the simplicial complex consisting of the monomials not in  $I$ ,

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## Definition

An ordering  $F_1, \dots, F_t$  of the facets of a simplicial complex  $\Delta$  is a *shelling* if, for each  $j$  with  $1 < j \leq t$ , the intersection

$$\left( \bigcup_{i=1}^{j-1} F_i \right) \cap F_j$$

is a nonempty union of facets of  $\partial F_j$ . If there exists a shelling of  $\Delta$ , then  $\Delta$  is called *shellable*.

**Question.** For  $I = (x_1, \dots, x_n)^d$ , is  $\Delta_I$  shellable?



## Shellability when $I = (x_1, \dots, x_n)^d$

**Question.** For  $I = (x_1, \dots, x_n)^d$ , is  $\Delta_I$  shellable?

**Theorem (Almousa–Fløystad–Lohne (2022))**  
 $\Delta_I$  is shellable when  $n = 3$  or  $d = 2$ .

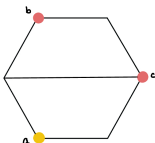
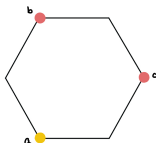
# Well-Connected Graphs

## Definition

A graph is *well-connected* if for any vertices  $a, b, c$ , there exists a shortest path from  $b$  to  $c$  such that the distance from  $a$  to anything on the path is  $\leq \max(d(a, b), d(a, c))$ .

## Example

The left graph is not well-connected, but the right graph is.



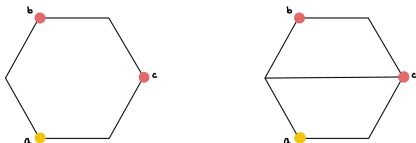
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**Question** Is the linear syzygy graph of  $\tilde{I}^\vee$  well-connected for any Artinian  $I$ ?

## Theorem

*Let  $\tilde{I}$  be a polarization of an Artinian  $I$ . If the linear syzygy graph  $G$  on the Alexander dual  $\tilde{I}^\vee$  is well-connected,  $\Delta_{\tilde{I}}$  is shellable.*

# Smooth Points on Hilbert Schemes

- The *Hilbert scheme*  $H = H_{\mathbb{P}^n}^{p(z)}$  is a space “parameterizing” closed subschemes (projective varieties) with Hilbert polynomial  $p(z)$  inside  $\mathbb{P}^n$ .
- It is not well understood (can have terrible singularities, don't know the number of irreducible components, etc.).

Overarching question: When are the points on  $H$  corresponding to the varieties cut out by polarizations of strongly stable ideals smooth?

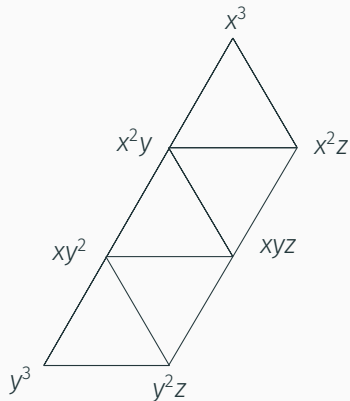
**Theorem (Lohne, 2013)**

*If  $J$  is either the standard or box polarization of  $(x_1, \dots, x_n)^d \subset k[x_1, \dots, x_n]$ , then the point on  $H$  corresponding to  $J$  is smooth.*

$I_{3,3}$ :

## Definition

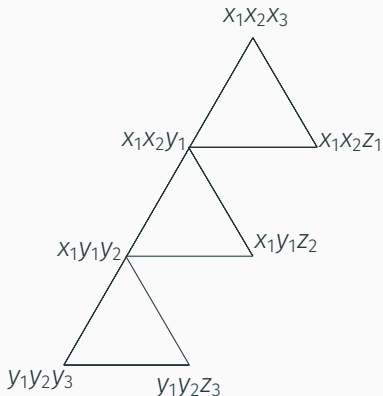
For each  $m, n \geq 2$ , define  $I_{m,n}$  to be the strongly stable closure of  $\{x_2^{n-1}x_m\}$ .



$J_{3,3}$ :

## Definition

Define the *pyramidal polarization*  $J_{m,n}$  of  $I_{m,n}$  as follows: take the standard polarization of  $I_{m,n}$  and separate the  $x_3, \dots, x_m$  variables as much as possible.





How to show a point on  $H$  is smooth? In general finding the dimension of its component is hard.

## A Case Study

Our strategy: the  $T^2$  functor.

Associated to any ideal  $J \subset S$  is the *cotangent complex* of  $S/J$ -modules

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The homology at position 2 (i.e. the cokernel of the second map) is  $T_J^2$ .

$T^2$  tells us how “obstructed” our ideal  $J$  is. It provides an “upper bound” on how bad a possible singularity at  $J$  can be.

### Theorem (FGA Explained, Chapter 6)

$T_J^2 = 0$  implies that the point on  $H$  corresponding to  $J$  is smooth (but not vice versa).

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## Theorem

$\dim_{k(x_{m,n})} T_{x_{m,n}} = n(n-1)(m^2 + m - 1)$ .

## One More Word on Tangent Spaces

**Question:** Let  $I'$  be a polarization of a strongly stable ideal  $I$ , and  $I''$  a further polarization of  $I'$ . Do the tangent spaces at the points corresponding to  $I'$  and  $I''$  (in the same Hilbert scheme  $H$ ) have the same dimension?



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For instance, this would imply that the tangent space of the standard polarization of  $I_{m,n}$  is also dimension  $n(n-1)(m^2+m-1)$ .

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