

# Equality and Saturated Newton Polytope Property for Postnikov-Stanley Polynomials

Serena An, Katherine Tung, and Yuchong Zhang

Mentor: Shiyun Wang

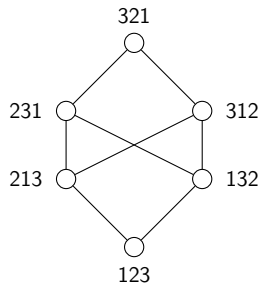
TA: Meagan Kenney

UMN Combinatorics and Algebra REU

July 31, 2024

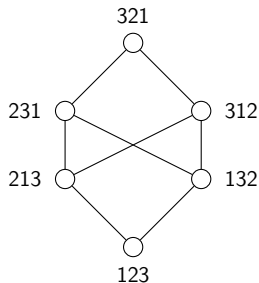
# The Symmetric Group $S_n$

- $S_n$ : permutations of  $\{1, \dots, n\}$
- Bottom element  $1\ 2 \cdots n$
- Top element  $n\ (n-1) \cdots 1$
- $\text{Inv}(u)$ : the set of all inversions  $(a, b)$  of  $u$  such that  $a < b$  and  $u(a) > u(b)$



# The (Strong) Bruhat Order of $S_n$

- $\ell(u)$ : count of inversions in  $u$
- $t_{ab}$  swaps the numbers in positions  $a, b$  (not values  $a, b$ )
- Covering relation:  $u \lessdot v$  if  $v = ut_{ab}$  and  $\ell(v) = \ell(u) + 1$
- Interval  $[u, w] : \{v \mid u \leq v \leq w\}$



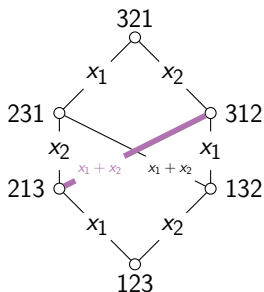
# Edge Weights

## Definition

For  $u \triangleleft v$  and  $v = ut_{ab}$ , the weight  $m(u \triangleleft v)$  is  $x_a + x_{a+1} + \cdots + x_{b-1}$ .

## Example

Since  $312 = 213t_{13}$ , we have  $m(213 \triangleleft 312) = x_1 + x_2$ .



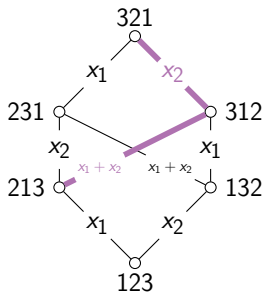
# Chain Weights

## Definition

Let  $u_0 \leq u_\ell$  and  $C = (u_0 \triangleleft u_1 \triangleleft \cdots \triangleleft u_\ell)$  be a saturated chain of  $[u_0, u_\ell]$ . Define the *weight*  $m_C(x)$  of the chain  $C$  by  $\prod_{i=1}^{\ell} m(u_{i-1} \triangleleft u_i)$ .

## Example

For  $[213, 321]$ , the weight of the saturated chain  $213 \triangleleft 312 \triangleleft 321$  is  $(x_1 + x_2) \cdot x_2$ .



# Skew Dual Schubert Polynomials

## Definition (Postnikov-Stanley '09)

For  $u \leq w$ , the skew dual Schubert polynomial or *Postnikov-Stanley polynomial*  $D_u^w$  is defined by

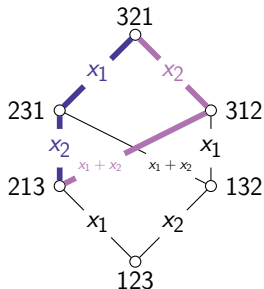
$$D_u^w = \frac{1}{(\ell(w) - \ell(u))!} \sum_{C: u = u_0 \triangleleft u_1 \triangleleft \dots \triangleleft u_\ell = w} m_C(x).$$

## Example

$$D_{213}^{321} = \frac{1}{2!} (x_1 x_2 + (x_1 + x_2) \cdot x_2)$$

## Definition (Bernstein et al '73)

When  $u = \text{id}$ ,  $D_u^w$  is called a *dual Schubert polynomial*.



# Saturated Newton Polytope (SNP)

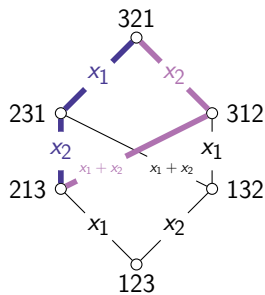
For a tuple  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{Z}_{\geq 0}^n$ , let  $x^\alpha := x_1^{\alpha_1} \cdots x_n^{\alpha_n}$ .

## Definition

The *Newton polytope*  $\text{Newton}(f)$  of  $f = \sum_{\alpha \in \mathbb{Z}_{\geq 0}^n} c_\alpha x^\alpha$  is the convex hull of its exponent vectors  $\alpha$  in  $\mathbb{R}^n$ .

## Example

$D_{213}^{321} = x_1 x_2 + \frac{1}{2} x_2^2 = x^{(1,1)} + \frac{1}{2} x^{(0,2)}$   
 $\text{Newton}(D_{213}^{321})$  is the segment from  $(1, 1)$  to  $(0, 2)$  in  $\mathbb{R}^2$



## Definition (Monical-Tokcan-Yong '19)

$f$  has *Saturated Newton Polytope (SNP)* if  $c_\alpha \neq 0$  for every integer point  $\alpha \in \text{Newton}(f)$ .

# SNP in Algebraic Combinatorics

## Theorem (Rado '52)

*Schur polynomials have SNP.*

## Theorem (Fink-Mézáros-St. Dizier '18)

*Key polynomials and Schubert polynomials have SNP.*

## Theorem (Monical-Tokcan-Yong '19)

*Cycle index polynomials, Reutenauer's symmetric polynomials, Stembridge's symmetric polynomials, and symmetric Macdonald polynomials have SNP.*

## Theorem (ATZ '24+)

*Dual Schubert polynomials have SNP.*

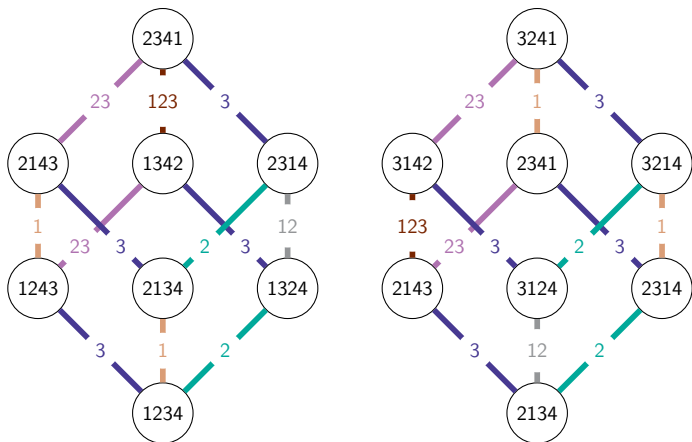


# Nontrivial Equalities

## Definition

An equality between two P-S polynomials is *nontrivial* if the two polynomials are obtained from distinct multisets of saturated chains.

The equality  $D_{1234}^{2341} = D_{2134}^{3241}$  is nontrivial.

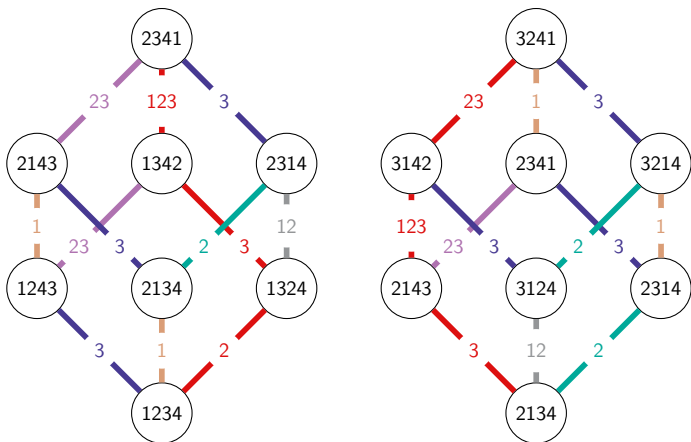


# Nontrivial Equalities, cont.

## Definition

An equality between two P-S polynomials is *nontrivial* if the two polynomials are obtained from distinct multisets of saturated chains.

The equality  $D_{1234}^{2341} = D_{2134}^{3241}$  is nontrivial.

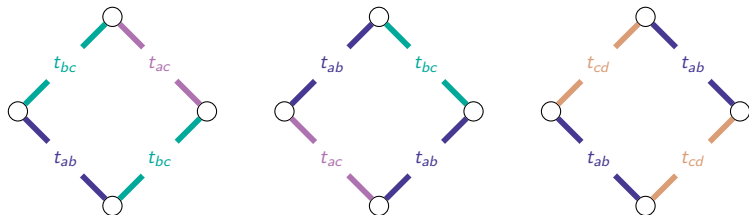


# The Rank 2 Case

## Lemma

*There are no nontrivial equalities between P-S polynomials of rank 2.*

All intervals of rank 2 are of one of the following forms (up to a rotation or reflection).

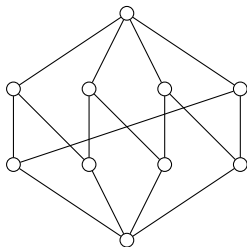
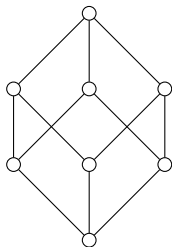
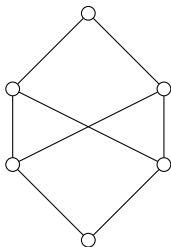


Note that rank 2 intervals contain two parallel sides with the same label  $u_1$ . The other two sides  $u_2, u_3$  are either equal or satisfy  $u_2 - u_3 = \pm u_1$ .

# The Rank 3 Case

Theorem (Jantzen '79)

*The only rank 3 Bruhat intervals are 2-crowns, 3-crowns, and 4-crowns.*



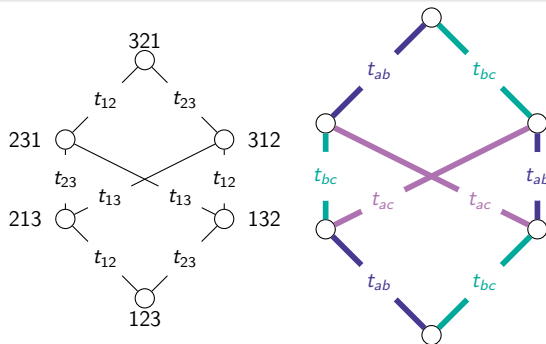
## The Rank 3 Case, cont.

### Proposition (ATZ '24+)

There are no nontrivial equalities between P-S polynomials of 2-crowns.

### Proposition (ATZ '24+)

There is never equality between P-S polynomials of a 2-crown and a cube.



$$3(x_a + \cdots + x_{b-1})(x_b + \cdots + x_{c-1})(x_a + \cdots + x_{c-1})$$

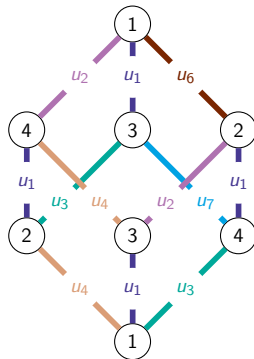
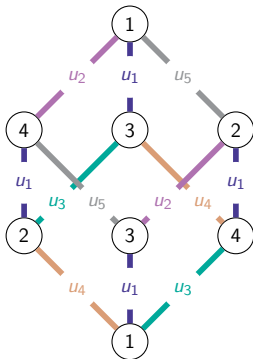
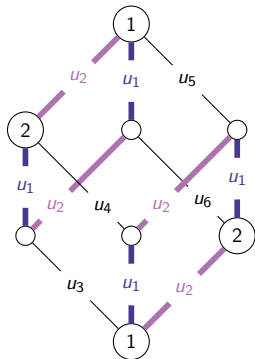
# Equalities from Cube Rotations

## Lemma (ATZ '24+)

*Every cube in the Bruhat order has four parallel edges with the same label.*

## Theorem (ATZ '24+)

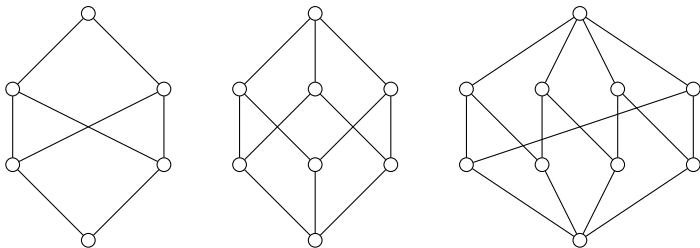
*All rotations of a given cube have equal P-S polynomials.*



# Equality Conjectures

## Conjecture (ATZ '24+)

Nontrivial equalities between two rank 3 P-S polynomials only occur between two cubes that are rotations of each other.



## Conjecture (ATZ '24+)

Nontrivial equalities between P-S polynomials of the same poset structure only occur when these posets have the same multisets of edge weights.

# Chain Weights have SNP

Definition (Postnikov-Stanley '09)

$$D_u^w = \frac{1}{(\ell(w) - \ell(u))!} \sum_{C: u=u_0 < u_1 < \dots < u_\ell = w} m_C(x).$$

Proposition (ATZ '24+)

Any product of linear factors in  $x_1, \dots, x_n$  with all coefficients nonnegative has SNP.



# Single Chain Newton Polytope (SCNP)

## Definition (ATZ '24+)

$D_u^w$  has *single-chain Newton polytope (SCNP)* if there exists a saturated chain  $C$  in the interval  $[u, w]$  such that

$$\text{Newton}(m_C) = \text{Newton}(D_u^w).$$

We call such a  $C$  a *dominant chain* of the interval  $[u, w]$ .

## Proposition (ATZ '24+)

If  $D_u^w$  has SCNP, then  $D_u^w$  has SNP.

# Examples and Nonexamples for SCNP

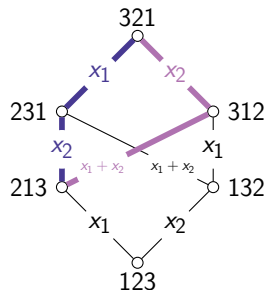
## Example

$D_{213}^{321} = \frac{1}{2!}(x_1x_2 + (x_1 + x_2) \cdot x_2)$  has SCNP

$$C := (213 \triangleleft 312 \triangleleft 321)$$

$$m_C = (x_1 + x_2) \cdot x_2$$

$$\text{Newton}(m_C) = \text{Newton}(D_{213}^{321})$$



## Example

$D_{1324}^{4231}$  does not have SCNP

# Dual Schubert Polynomials have SCNP

## Definition (ATZ '24+)

$$u = w_0 \triangleleft w_1 \triangleleft w_2 \triangleleft \cdots \triangleleft w_\ell = w$$

is called *greedy* in  $[u, w]$  if for all  $i \in [\ell]$ :

writing  $w_{i-1}t_{ab} = w_i$  for  $a < b$ , there does not exist  $w'_{i-1} \triangleleft w_i$  with  $w'_{i-1} \in [u, w]$  such that

$$w'_{i-1}t_{ab'} = w_i \text{ for } b' > b, \text{ or } w'_{i-1}t_{a'b} = w_i \text{ for } a' < a.$$

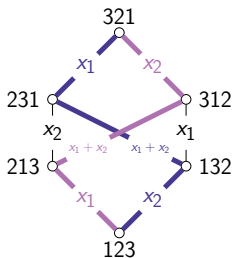
## Example

In  $[123, 321]$ ,

$123 \triangleleft 132 \triangleleft 231 \triangleleft 321$  is greedy

$123 \triangleleft 213 \triangleleft 312 \triangleleft 321$  is also greedy

$123 \triangleleft 213 \triangleleft 231 \triangleleft 321$  is not greedy



## Dual Schubert Polynomials have SCNP, cont.

### Definition (ATZ '24+)

The *global weight*  $\text{GW}(w)$  of  $w \in S_n$  is

$$\text{GW}(w) = \prod_{(a,b) \in \text{Inv}(w)} (x_a + x_{a+1} + \cdots + x_{b-1}).$$

### Example

$$\text{Inv}(231) = \{(1, 3), (2, 3)\}, \quad \text{GW}(231) = (x_1 + x_2) \cdot x_2$$

### Theorem (ATZ '24+)

For all  $w \in S_n$ , the dual Schubert polynomial  $D^w$  has SCNP. Moreover, every greedy chain of  $[\text{id}, w]$  is a dominant chain of  $D^w$ , and

$$\text{Newton}(D^w) = \text{Newton}(\text{GW}(w)).$$

# Newton Polytopes for Dual Schubert Polynomials

## Theorem (ATZ '24+)

The point  $\alpha \in \mathbb{Z}_{\geq 0}^n$  is a vertex of  $\text{Newton}(D^w)$  if and only if  $x^\alpha$  has a coefficient of 1 in  $\text{GW}(w)$ .

## Theorem (ATZ '24+)

Given a product  $q$  of linear factors in  $x_1, x_2, \dots, x_n$  with all coefficients 1, the point  $\alpha \in \mathbb{Z}_{\geq 0}^n$  is a vertex of  $\text{Newton}(q)$  if and only if  $x^\alpha$  has a coefficient of 1 in  $q$ .

## Example

$$\begin{aligned} q &= (x_1 + x_2)(x_2 + x_3)(x_1 + x_3)(x_1 + x_2 + x_3) \\ &= x_1^3 x_2 + x_1^3 x_3 + 2x_1^2 x_2^2 + 4x_1^2 x_2 x_3 + 2x_1^2 x_3^2 \\ &\quad + x_1 x_2^3 + 4x_1 x_2^2 x_3 + 4x_1 x_2 x_3^2 + x_1 x_3^3 + x_2^3 x_3 + 2x_2^2 x_3^2 + x_2 x_3^3 \end{aligned}$$

Vertices:  $\{(3, 1, 0), (3, 0, 1), (1, 3, 0), (1, 0, 3), (0, 3, 1), (0, 1, 3)\}$

## Newton Polytopes for Dual Schubert Polynomials, cont.

A *generalized permutahedron*  $P_n^z(\{z_I\})$ , parameterized by collections of real numbers  $\{z_I\}$  for  $I \subseteq [n]$ , is given by

$$P_n^z(\{z_I\}) = \left\{ t \in \mathbb{R}^n : \sum_{i \in I} t_i \geq z_I \text{ for } I \neq [n], \sum_{i=1}^n t_i = z_{[n]} \right\}.$$

### Theorem (ATZ '24+)

For  $w \in S_n$ ,  $\text{Newton}(D^w)$  is a generalized permutahedron with

$$z_I = \sum_{(a,b) \in \text{Inv}(w)} \mathbb{1}_{I \supseteq \{a, a+1, \dots, b-1\}}$$

for all  $I \subseteq [n]$ .

# Conjectures about SCNP and SNP

## Conjecture (Pylyavskyy '24+)

For an interval  $[u, w]$ , if the number of  $t_{ab}$  such that  $u < t_{ab}u \leq w$  equals  $\ell(w) - \ell(u)$ , then  $D_u^w$  has SCNP.

## Conjecture (ATZ '24+)

For  $u \in S_n$ , there exists  $w \in S_n$  such that  $D_u^w$  does not have SCNP if and only if  $u$  contains a 1324-pattern.

## Conjecture (ATZ '24+)

For all Bruhat intervals  $[u, w]$ ,  $D_u^w$  has SNP.

# Acknowledgments

We would like to thank

- Shiyun Wang, our mentor, and Meagan Kenney, our TA for their continuous support and guidance throughout the program
- Pavlo Pylyavskyy for his mentorship and regular check-ins with us
- Casey Appleton and Victor Reiner for helpful conversations
- Ayah Almousa and Victor Reiner for organizing this wonderful REU experience!