

# Topology of Augmented Bergman complexes

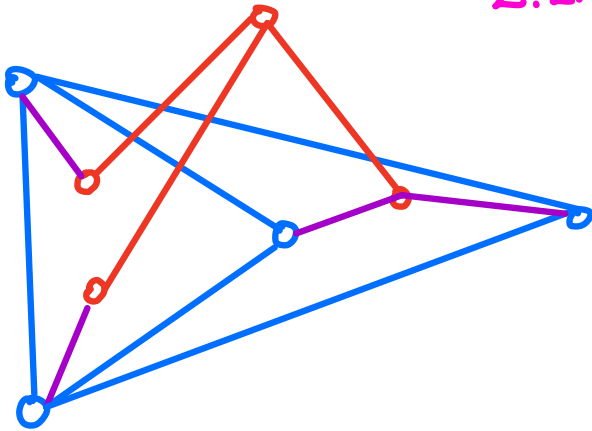
arXiv:  
2108.13394

E. Bullock  
A. Kelley  
K. Ren  
G. Shemy  
D. Shen  
B. Sun  
A. Tao  
Z. Zhang

UMN  
Summer  
2021  
REU  
students

+ V. Reiner (REU mentor)  
+ T. Karn  
S. Pevzner } REUTAs

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Topological Methods in Discrete Math  
Nov. 20, 2021 (Virtual Alabama)



1. Review **matroids**  $M$ 
  - independent sets  $I(M)$
  - flats  $F(M)$
2. **Shellability**
3. Augmented Bergman complex  $\Delta_M$
4. Two kinds of shellings of  $\Delta_M$   
and **corollaries**

# 1. Review matroids $M$

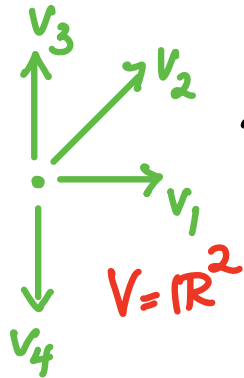
A matroid  $M$  of rank  $r$  on ground set  $E = \{1, 2, \dots, n\}$  abstracts vectors  $v_1, v_2, \dots, v_n$  spanning an  $r$ -dimensional vector space  $V$  over some field  $k$

## EXAMPLE

$$n=4$$

$$k=\mathbb{R}$$

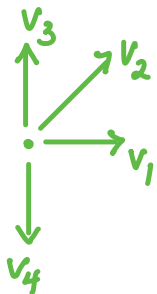
$$r=2$$



$\rightsquigarrow$  a (representable) matroid  $M$ ,  
specified either by its  
independent sets  $\mathcal{I}(M)$   
or  
flats  $\mathcal{F}(M)$

independent sets

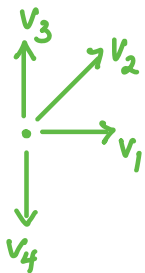
$$\mathcal{I}(M) \stackrel{\text{DEF'N}}{:=} \left\{ I \subseteq \{1, 2, \dots, n\} : \{v_i\}_{i \in I} \text{ are linearly independent} \right\}$$



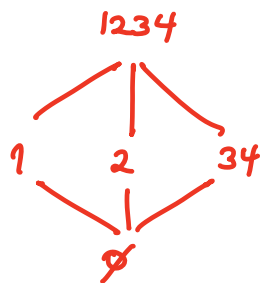
$$\rightsquigarrow \mathcal{I}(M) = \left\{ \emptyset, \begin{array}{l} 1, \\ 2, \\ 3, \\ 4 \end{array}, \begin{array}{l} 12, \\ 13, \\ 14, \\ 23, \\ 24 \end{array} \right\}$$

flats

$$\mathcal{F}(M) := \left\{ F \subseteq \{1, 2, \dots, n\} : \{v_i\}_{i \in F} = W \cap \{v_1, v_2, \dots, v_n\} \text{ for some subspace } W \text{ of } V \right\}$$



$$\rightsquigarrow \mathcal{F}(M) = \{ \emptyset, 1, 2, 34, 1234 \}$$



the poset  $\mathcal{F}(M)$  ordered via inclusion

$\mathcal{I}(M)$  satisfies these independent set axioms:

$$(I0) \quad \emptyset \in \mathcal{I}(M)$$

$$(I1) \quad I \subseteq J \text{ and } J \in \mathcal{I}(M) \Rightarrow I \in \mathcal{I}(M)$$

$$(I2) \quad I, J \in \mathcal{I}(M) \text{ and } \#I < \#J \\ \Rightarrow \exists j \in J \setminus I \text{ with } I \cup \{j\} \in \mathcal{I}(M)$$

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$\mathcal{F}(M)$  satisfies these flat axioms

$$(F0) \quad E = \{1, 2, \dots, n\} \in \mathcal{F}(M)$$

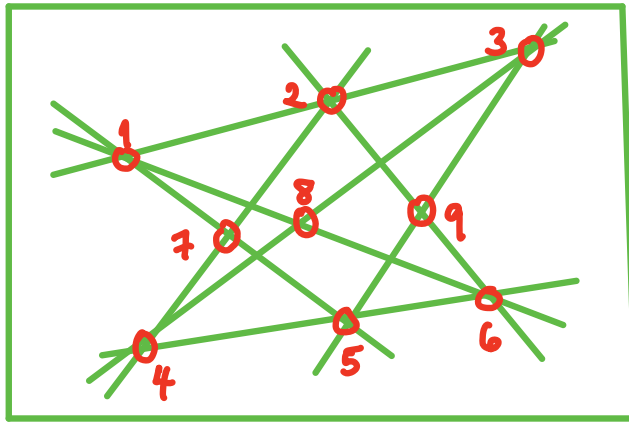
$$(F1) \quad F, G \in \mathcal{F}(M) \Rightarrow F \cap G \in \mathcal{F}(M)$$

$$(F2) \quad F \in \mathcal{F}(M) \text{ and } i \in E \setminus F \Rightarrow \\ \exists! G \in \mathcal{F}(M) \text{ covering } F \text{ with } i \in G.$$

Not all matroids  $M$  are representable by vectors  $v_1, v_2, \dots, v_n$

**EXAMPLE** The non-Pappus matroid  $M$  on  $E = \{1, 2, \dots, 9\}$  of rank 3 has

$\mathcal{I}(M) = \{ \text{all } I \subset \{1, 2, \dots, 9\} \text{ with } \#I \leq 3, \text{ except the collinear triples shown} \}$



$\{7, 8, 9\} \in \mathcal{I}(M)$  violates Pappus's Theorem

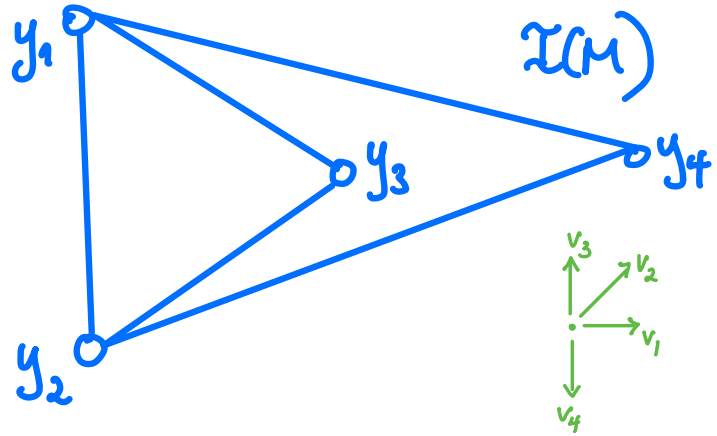
(I0)  $\emptyset \in \mathcal{I}(M)$

(I1)  $I \subseteq J$  and  $J \in \mathcal{I}(M) \Rightarrow I \in \mathcal{I}(M)$

(I2)  $I, J \in \mathcal{I}(M)$  and  $\#I < \#J$   
 $\Rightarrow \exists j \in J \setminus I$  with  $I \cup \{j\} \in \mathcal{I}(M)$

Axiom (I2) implies that all inclusion-maximal independent sets, called the **bases**  $\mathcal{B}(M)$ , have same cardinality  $r$ , called the **rank**  $r(M)$ .

Axioms (I0), (I1) say  $\mathcal{I}(M)$  is an **abstract simplicial complex** on vertices  $\{y_1, y_2, \dots, y_n\}$

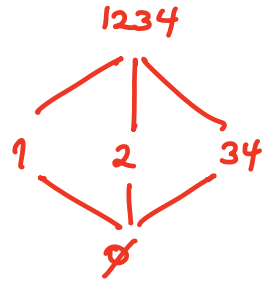


In other words,  $\mathcal{I}(M)$  is a **pure** simplicial complex of dimension  $r(M) - 1$ .

(F0)  $E = \{1, 2, \dots, n\} \in \mathcal{F}(M)$

(F1)  $F, G \in \mathcal{F}(M) \Rightarrow F \cap G \in \mathcal{F}(M)$

(F2)  $F \in \mathcal{F}(M)$  and  $i \in E \setminus F \Rightarrow$   
 $\exists! G \in \mathcal{F}(M)$  covering  $F$  with  $i \in G$ .



(F0), (F1)  $\Rightarrow$  the poset  $\mathcal{F}(M)$  is a **lattice**, with  $F \wedge G = F \cap G$ .

(F2)  $\Rightarrow$   $\mathcal{F}(M)$  is actually a **geometric lattice**.

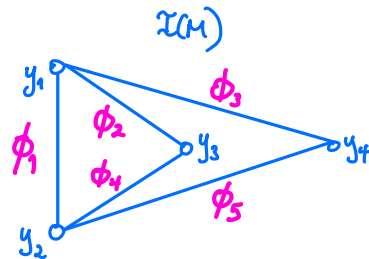
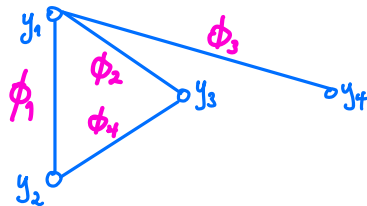
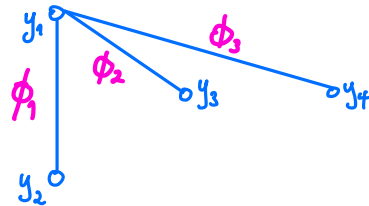
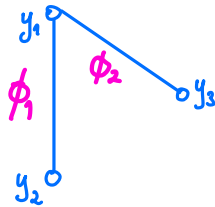
$\nearrow$   
atomic + upper semimodular



## 2. Shellability

**DEFIN:** A pure  $(r-1)$ -dimensional simplicial complex  $\Delta$  is **shellable** if we can order its **facets**  $\phi_1, \phi_2, \dots, \phi_t$  in a **shelling order**:

$\forall j \geq 2$ ,  $\phi_j$  intersects the subcomplex generated by  $\phi_1, \phi_2, \dots, \phi_{j-1}$  in a pure  $(r-2)$ -dim'l subcomplex



# Shelling determines the homotopy type of $\Delta$

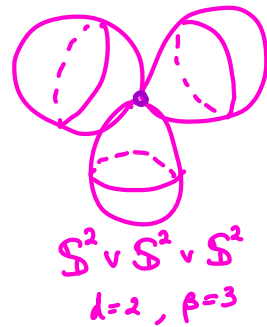
**DEF'N:** Call  $\phi_j$  a **homology facet** in the shelling  $\phi_1, \phi_2, \dots, \phi_t$  if  $\phi_j$  intersects the subcomplex gen'd by  $\phi_1, \phi_2, \dots, \phi_{j-1}$  in the entire boundary  $\text{Bd } \phi_j$

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**PROPOSITION:** When  $\Delta$  is pure  $d$ -dimensional and shellable,

then  $\|\Delta\| \approx \underbrace{\mathbb{S}^d \vee \mathbb{S}^d \vee \dots \vee \mathbb{S}^d}_{\beta\text{-fold 1-point wedge of } d\text{-spheres } \mathbb{S}^d}$

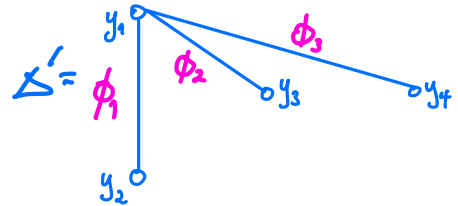
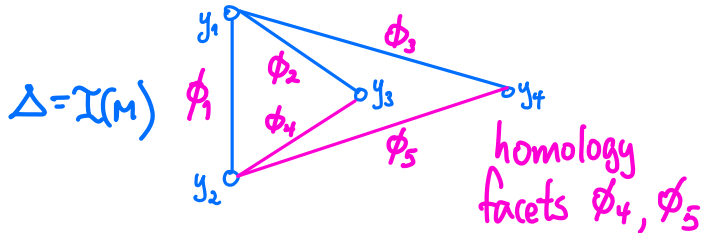
geometric realization of  $\Delta$       homotopy equivalent



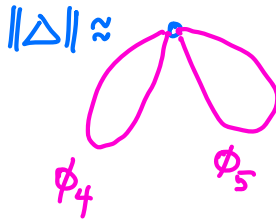
where  $\beta := \#$  of **homology facets**  $\phi_j$  in **any** shelling order

In fact, whenever  $\Delta$  is **shellable**,

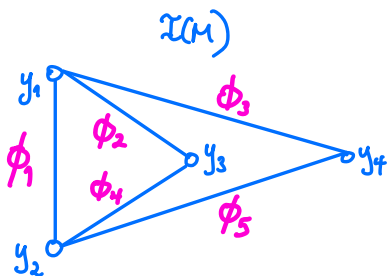
then  $\Delta' := \Delta - \{ \text{homology facets } \phi_j \}$  is **contractible**:



contract  $\Delta'$  to a point



**THEOREM** (Provan-Billera 1980) For a matroid  $M$ , the independent set complex  $\mathcal{I}(M)$  is shellable, via lexicographic order on the bases  $\mathcal{B}(M)$ .



$\phi_1$        $\phi_2$        $\phi_3$        $\phi_4$        $\phi_5$   
 $12 <_{\text{lex}} 13 <_{\text{lex}} 14 <_{\text{lex}} 23 <_{\text{lex}} 24$

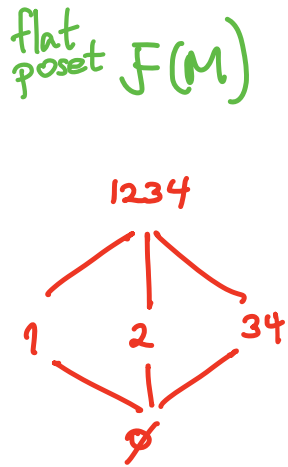
Furthermore, the number of homology facets is

$$\beta = T_M(0,1) = \text{Tutte polynomial } T_M(x,y) \text{ evaluated at } x=0, y=1$$

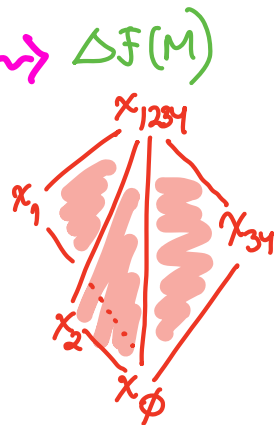
$$= \# \text{ bases } B \in \mathcal{B}(M) \text{ of internal activity zero}$$

**COROLLARY:**  $\|\mathcal{I}(M)\| \approx \underbrace{\mathbb{S}^{r(n)-1} \vee \dots \vee \mathbb{S}^{r(n)-1}}_{T_M(0,1)\text{-fold wedge}}$

The flats  $F(M)$  as a poset  $P$  gives us another simplicial complex, the **order complex**  $\Delta P :=$  simplicial complex with **vertex set**  $\{x_p\}_{p \in P}$  and **simplices/faces** the **totally ordered subsets**  $\{x_{p_1}, x_{p_2}, \dots, x_{p_k}\}$  if  $p_1 < p_2 < \dots < p_k$  in  $P$

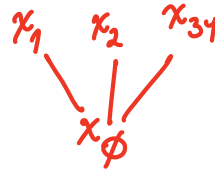


order complex



contractible

$\text{Cone}(\Delta_M) \stackrel{\text{DEF}}{=} \Delta(F(M) - \{E\})$



contractible

Bergman complex  $\Delta_M \stackrel{\text{DEF}}{=} \Delta(F(M) - \{\emptyset, E\})$



$S^0 \vee S^0$

a 2-fold wedge of 0-spheres

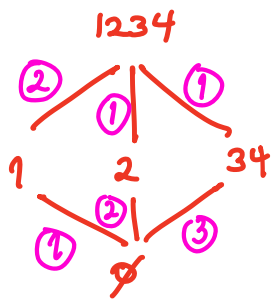
**THEOREM**  
(Garsia 1980)

For a matroid  $M$ , all three of  $\begin{cases} \Delta F(M) \\ \Delta(F(M) - \{E\}) \\ \Delta(F(M) - \{\emptyset, E\}) \end{cases} =: \underline{\Delta}_M$

are shellable, via **lexicographic order** on the edge-label sequences on maximal chains  $\emptyset \subset F_1 \subset F_2 \subset F_3 \subset \dots \subset F_{r(M)-1} \subset E$  in  $\mathcal{F}(M)$

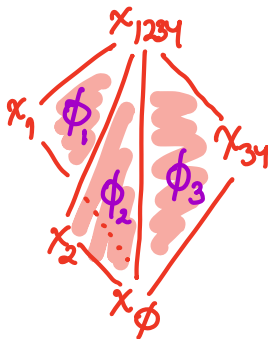
edge-labels:  $(\min F_1, \min(F_2 - F_1), \min(F_3 - F_2), \dots, \min(E - F_{r(M)-1}))$

$\mathcal{F}(M)$

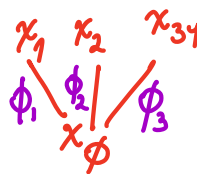


$(1,2) <_{\text{lex}} (2,1) <_{\text{lex}} (3,1)$   
 $\phi_1 \quad \phi_2 \quad \phi_3$

$\Delta F(M)$



$\Delta(F(M) - \{E\})$



$\Delta(F(M) - \{\emptyset, E\})$



Furthermore, the number of homology facets is

$$\beta = T_M(1, 0) = \text{Tutte polynomial } T_M(x, y) \text{ evaluated at } x=1, y=0$$

$$= \# \text{ bases } B \in \mathcal{B}(M) \text{ of external activity zero}$$

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COROLLARY:  $\|\underline{\Delta}(M)\| \approx \underbrace{\$^{r(n)-2} \vee \dots \vee \$^{r(n)-2}}_{T_M(1,0)\text{-fold wedge}}$

Bergman complex

$$\Delta_n := \Delta(F(M) - \{\bar{\phi}, \epsilon\})$$

### 3. Augmented Bergman complex $\Delta_M$

In a monumental pair of 2020 papers,  
Braden-Huh-Matherne-Prandfoot-Wang introduced a hybrid.

DEF'N: The augmented Bergman complex  $\Delta_M$

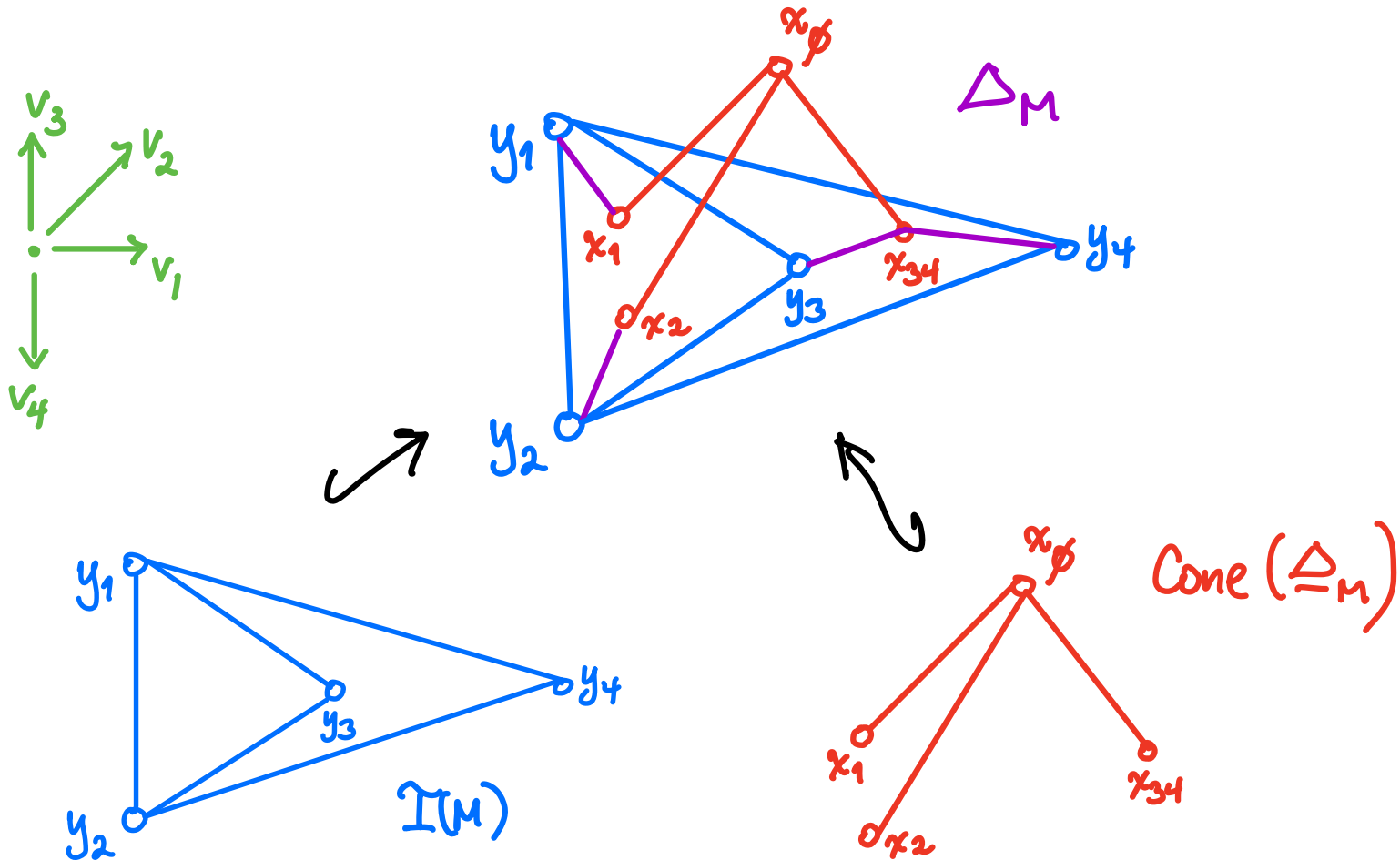
has vertex set  $\{y_1, y_2, \dots, y_n\} \cup \{x_F\}_{\substack{\emptyset \subsetneq F \subsetneq E \\ \text{proper flats } F \in \mathcal{F}(M)}}$

with simplices/faces  $\{y_i\}_{i \in I} \cup \{x_{F_1}, x_{F_2}, \dots, x_{F_\ell}\}$

- when
- $I \in \mathcal{I}(M)$  is independent
  - $F_1, F_2, \dots, F_\ell$  are proper flats
  - $I \subseteq F_1 \subsetneq F_2 \subsetneq \dots \subsetneq F_\ell (\neq E)$



$\Delta_M$  is pure of dimension  $r(M)-1$ , containing both  $I(M)$  and  $\text{Cone}(\Delta_M)$  as subcomplexes:



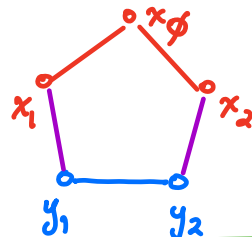
# SPECIAL CASE: Boolean matroid $M$ of rank $n$

$I(M)$   
 =  $(n-1)$ -simplex  
 $2^{\{1,2,\dots,n\}}$

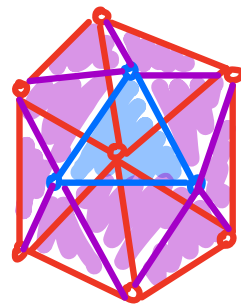
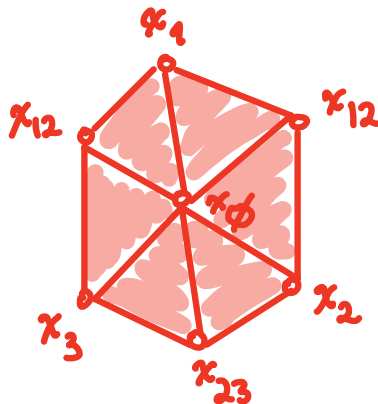
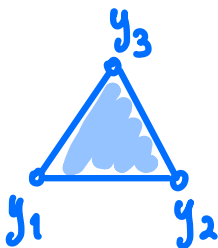
$\text{Cone}(\Delta_M)$   
 = barycentric  
 subdivision of  
 $(n-1)$ -simplex

$\Delta_M$   
 = boundary of  
 = stellatedron

$n=2$



$n=3$



Why did BHMPW introduce  $\Delta_n$  ?

Its Stanley-Reisner ring has an amazing Artinian quotient by certain linear forms

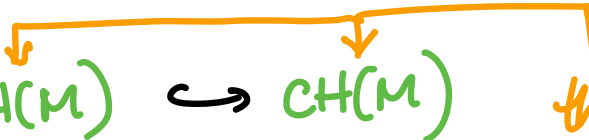
$$CH(M) = \mathbb{R}[y_1, \dots, y_n, x_F]_{\substack{\text{Plats} \\ F \subseteq E}}$$

= augmented Chow ring of  $M$

$$\left( \begin{array}{l} x_F x_G, F \not\subseteq G, G \not\subseteq F \\ y_i x_F, i \notin F \\ y_i - \sum_{i \notin F} x_F, i=1,2,\dots,n \end{array} \right)$$

in which the  $y_1, \dots, y_n$  generate a subalgebra  $H(M)$   
= graded Möbius algebra of  $M$

with a crucial  $H(M)$ -submodule  $IH(M)$   
= intersection cohomology of  $M$   
and remarkable properties...

- $H(M) \leftrightarrow IH(M) \leftrightarrow CH(M)$ 

 these satisfy Kähler package
- Hilbert series for  $H(M)$  interprets rank sizes  $k_k$  of  $F(M)$   
 and Kähler package for  $IH(M) \Rightarrow$  Dowling-Wilson's Top Heavy Conj. (1974)
- Hilbert series for  $IH(M)$  interprets  $Z$ -polynomial for  $M$   
 and Kähler package for  $IH(M) \Rightarrow$  unimodality for  $Z$
- Hilbert series for  $IH(M)/(y_1, \dots, y_n)IH(M)$   
 interprets Kazhdan-Lusztig polynomial for  $M$   
 $\Rightarrow$  nonnegativity of K-L polynomial!

They used this *weaker* property of  $\Delta_M$  than shellability:

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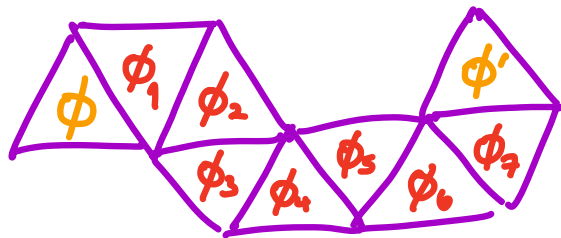
PROPOSITION: For any matroid  $M$ ,

(BHMPW)  
2020

$\Delta_M$  is gallery-connected,

that is, any two facets  $\phi, \phi'$  are connected by a gallery of facets  $\phi = \phi_0, \phi_1, \phi_2, \dots, \phi_{t-1}, \phi_t = \phi'$

with each  $\phi_i \cap \phi_{i+1}$  of dimension  $r(M) - 2$   
(= codimension 1)



## 4. Two kinds of shellings of $\Delta_M$ and **corollaries**

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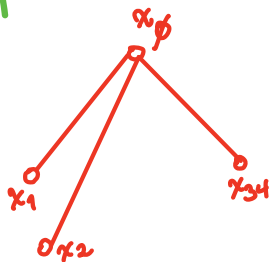
**THEOREM** (UMN REU 2021) For any matroid  $M$ ,  
the augmented Bergman complex has

**two families of shellings**:

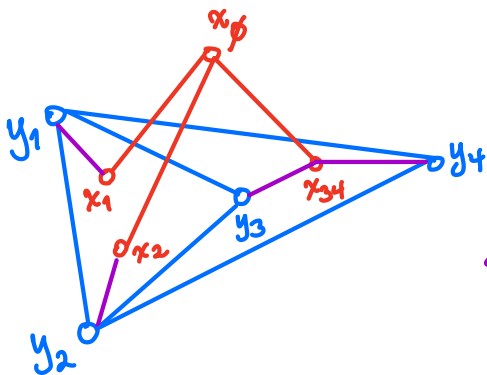
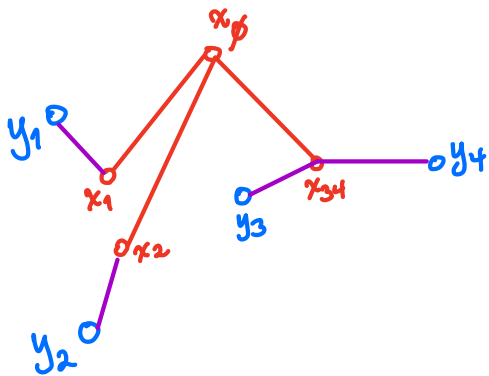
(i) some that shell the facets of  $\text{Cone}(\Delta_M)$  first,  
and facets of  $\mathcal{I}(M)$  last.

(ii) some that shell the facets of  $\mathcal{I}(M)$  first,  
and facets of  $\text{Cone}(\Delta_M)$  last.

# Type (i) shellings

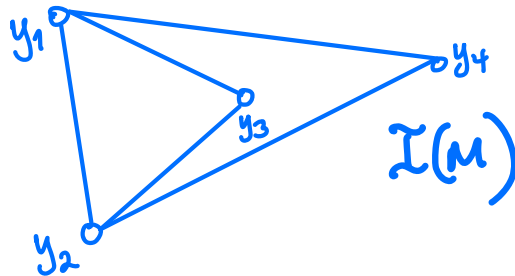


$\text{Cone}(\Delta_M)$

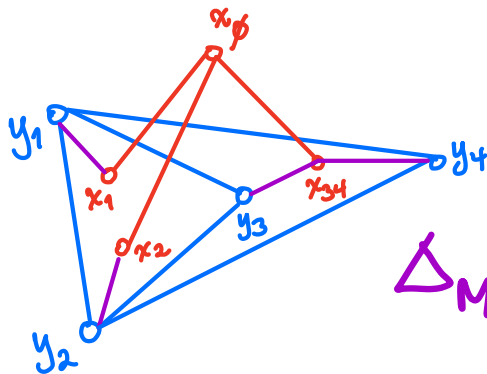
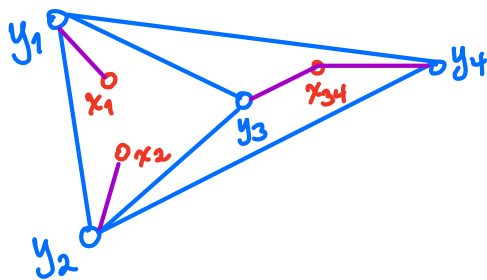


$\Delta_M$

# Type (ii) shellings



$I(M)$



$\Delta_M$

**COROLLARY:** The augmented Bergman complex  $\Delta_M$   
 (UMN REU 2021) has  $\|\Delta_M\| \approx \underbrace{\mathbb{S}^{r(M)-1} \vee \dots \vee \mathbb{S}^{r(M)-1}}_{\beta\text{-fold wedge}}$

where  $\beta$  has two expressions:

(i)  $\beta = T_M(1,1) = \#\mathcal{B}(M)$   
 because the homology facets in type (i)  
 shellings are  $\{y_i\}_{i \in \mathcal{B}}$  indexed by bases  $B$  of  $M$ .

(ii)  $\beta = \sum_{\text{flats } F \in \mathcal{F}(M)} T_{M/F}(0,1) T_{M/F}(1,0)$   
 counting type (ii) shelling homology facets.



REMARK: The equality

$$T_M(1,1) = \sum_{\text{flats } F} T_{M|_F}(0,1) T_{M/F}(1,0)$$

appeared in work of Étienne-Las Vergnas 1998,  
rediscovered in Kook-R.-Stanton 2000,

and is a specialization of a convolution formula

$$T_M(x,y) = \sum_{\text{flats } F} T_{M|_F}(0,y) T_{M/F}(x,0)$$

for Tutte polynomials.

The type (i) shellings show **contractibility** of  
 $\Delta' = \Delta_M - \{\text{facets } \{y_i\}_{i \in B} : \text{bases } B \in \mathcal{B}(M)\}$


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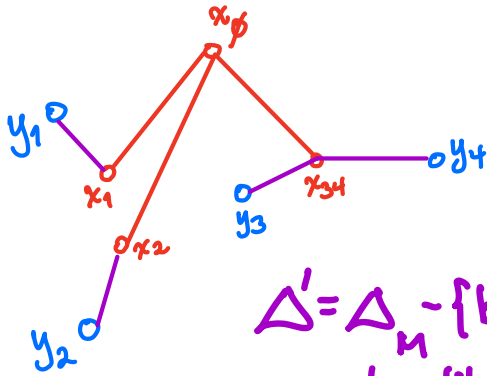
Since **matroid automorphisms** set-wise stabilize the collection of basis facets, one can conclude:

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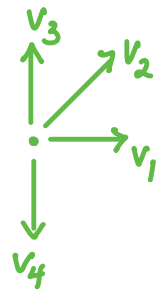
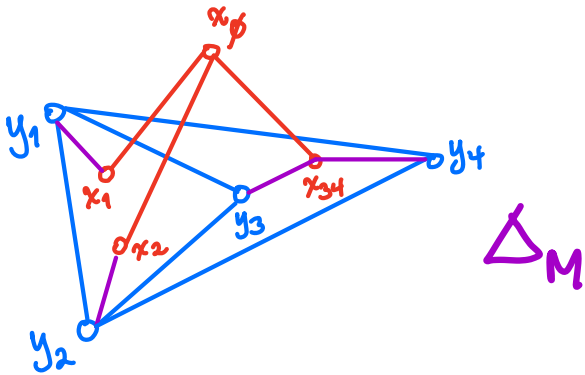
**COROLLARY**: The group  $\text{Aut}(M)$  acts on  
 $H_{r(M)-1}(\Delta_M, \mathbb{Z})$  as a **signed permutation representation**,  
same as on  $C_{r(M)-1}(\mathcal{L}(M), \mathbb{Z})$ :

$$\sigma([b_1, b_2, \dots, b_r]) = [b_{\sigma(1)}, \dots, b_{\sigma(r)}] \text{ for bases } B = \{b_1, \dots, b_r\} \in \mathcal{B}(M)$$

 **oriented simplex**

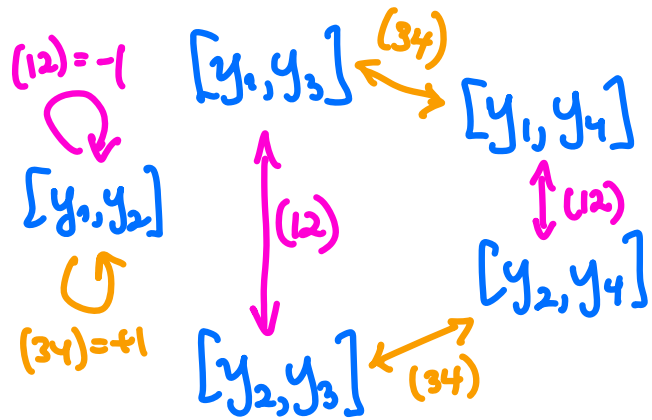


$\Delta' = \Delta_M - \{\text{bases}\}$   
is contractible



$$\text{Aut}(M) = \{e, (12), (34), (12)(34)\}$$

$$H_1(\Delta_M) = \mathbb{Z}^5$$



REMARK: Neither  $\mathcal{I}_M$  nor  $\underline{\Delta}_M$  have simple descriptions for their homology representations in general.

Notable special cases:

matroid $M$	$H_{r(M)-1}(\mathcal{I}_M)$	$H_{r(M)-2}(\underline{\Delta}_M)$
Boolean	trivial rep of $S_n$	sign rep of $S_n$
$q$ -Boolean = $\mathbb{F}_q$ -vector space	known virtually, not so explicit	Steinberg rep of $GL_n(\mathbb{F}_q)$
braid arrangement = complete graphic	an $S_n$ -rep that restricts nicely to $S_{n-1}$ (Kook 1996)	Lie rep of $S_n$

Thanks  
for  
your  
attention!

(Extra pages)

REMARK: Can generalize  $\text{Aut}(M)$ -rep description to

arbitrary closure operators  $2^E \xrightarrow{f} 2^E$

defining indep. sets  $I : f(I - \{i\}) \neq f(I) \forall i \in I$

bases  $B : B$  indep. and  $f(B) = E$

flats  $F : f(F) = F$

and augmented Bergman complex  $\Delta_f$

with vertices  $\{y_1, \dots, y_n\} \cup \{x_F\}$  proper flats  $F \neq E$

simplices  $\{y_i\}_{i \in I} \cup \{x_{F_1}, \dots, x_{F_\ell}\}$

- with
- $I$  indep.
  - $F_1, \dots, F_\ell$  flats
  - $I \subseteq F_1 \subseteq \dots \subseteq F_\ell$

$\mathcal{L}(f)$ ,  $\underline{\Delta}_f$ ,  $\Delta_f$  are not shellable in general.

Nevertheless:

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**THEOREM**  
(UMN REU 2021)  $\|\Delta_f\| \approx \bigvee_{\text{bases } B} \$^{\#B-1}$

and  $\text{Aut}(M)$  acts on  $H_*(\Delta_f)$  as a signed permutation rep on oriented chains  $[b_1, b_2, \dots, b_r]$  indexed by bases  $B = \{b_1, \dots, b_r\}$ .

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Again  $\Delta' := \Delta_f - \left\{ \{y_i\}_{i \in B} : B \text{ a basis} \right\}$   
is contractible.