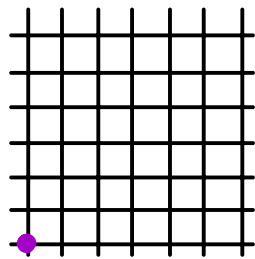
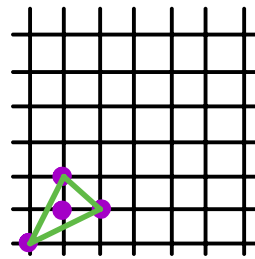


Ehrhart theory and a q -analogue

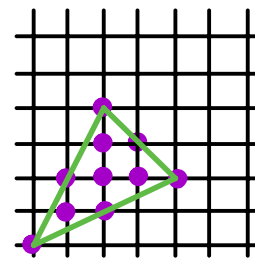
Vic Reiner - U. Minnesota
Brendon Rhoades - UC San Diego
(arXiv: 2407.06511)



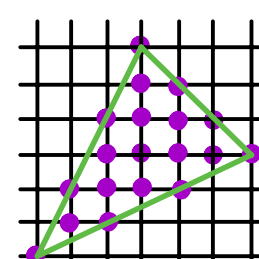
$0 \cdot P$



$1 \cdot P$



$2 \cdot P$



$3 \cdot P$

Texas A&M Math Colloquium April 11, 2025

OUTLINE

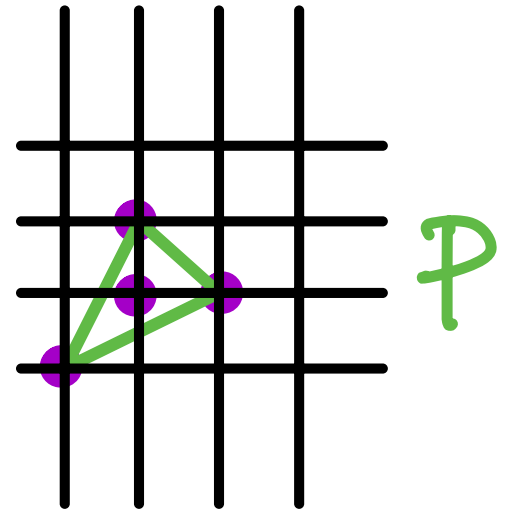
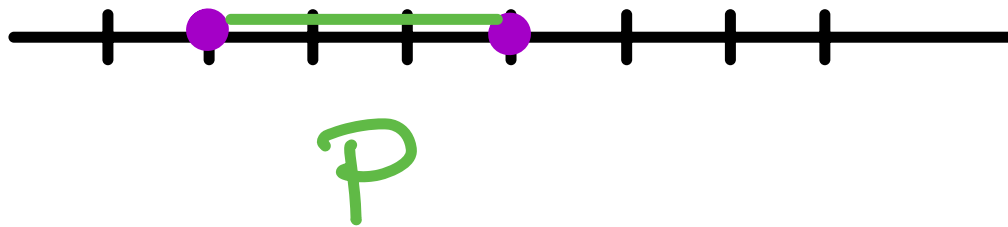
1. Ehrhart theory review,
including Greatest Hits
2. q -analogues and CONJECTURE
3. Harmonic algebra CONJECTURE

↓
If time!

4. Ehrhart tidbits \rightsquigarrow q -tidbits

1. Ehrhart theory review

$P \subset \mathbb{R}^n$ a lattice polytope ↖ vertices in \mathbb{Z}^n



~> Ehrhart function

$$i_P(m) := \# \mathbb{Z}^n \cap mP$$

for $m=0,1,2,\dots$

EXAMPLE

0. P



$$i_p(0) = 1$$

1. P



$$i_p(1) = 4$$

2. P



$$i_p(2) = 7$$

3. P

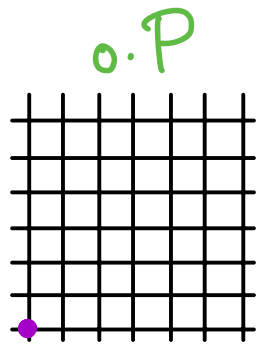


$$i_p(3) = 10$$

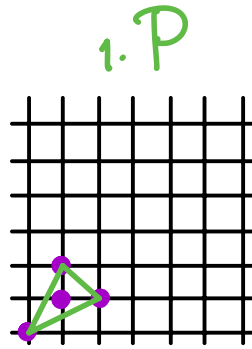
$$i_p(m) = 1 + 3m$$

↖ 1-dimensional
(Lebesgue) volume of P

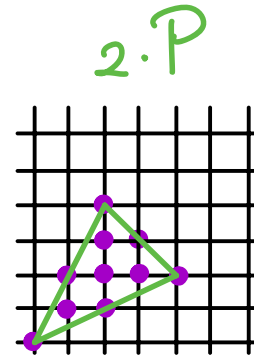
EXAMPLE



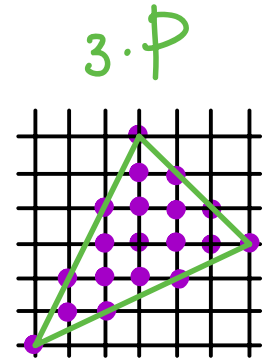
1
 $i_p(0)$



4
 $i_p(1)$



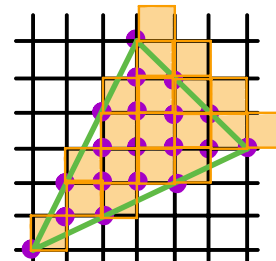
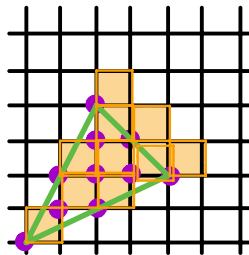
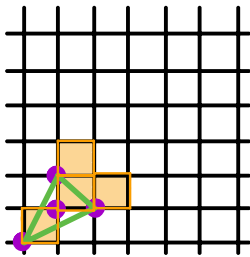
10
 $i_p(2)$



19
 $i_p(3)$

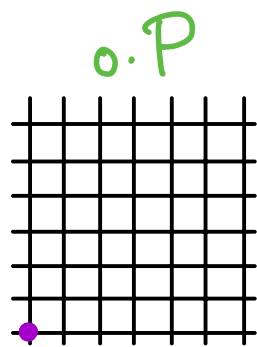
Guess $i_p(m) \approx \text{area of } mP = m^2 \cdot (\text{area of } P)$

\approx quadratic in m



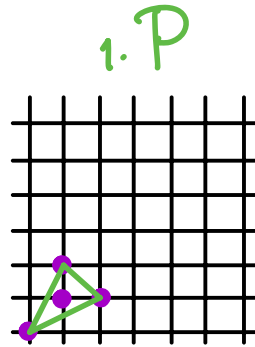
SURPRISE :

It's on the nose quadratic for polygons.



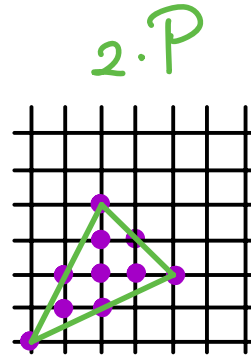
1

$i_p(0)$



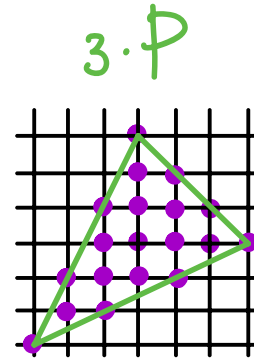
4

$i_p(1)$



10

$i_p(2)$



19

$i_p(3)$

$$i_p(m) = 1 + \frac{3}{2}m + \frac{3}{2}m^2$$

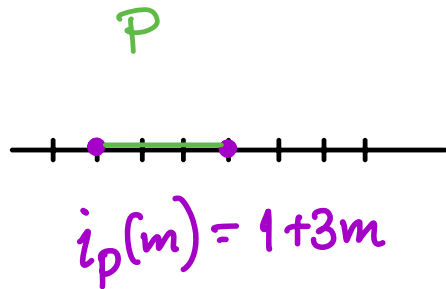
In general, $i_p(m) = 1 + am + Am^2$

\swarrow area of P

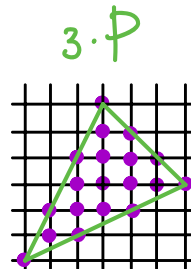
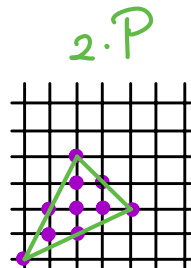
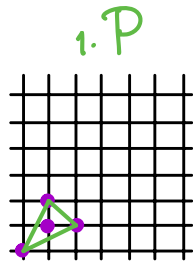
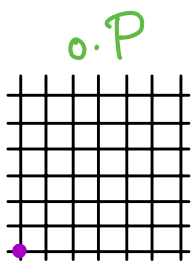
It is also convenient to encode $i_P(m)$ via the Ehrhart series

$$E_P(t) := \sum_{m=0}^{\infty} t^m \cdot i_P(m) = \sum_{m=0}^{\infty} t^m \cdot \#(\mathbb{Z}_{\geq 0}^n \cap mP)$$

EXAMPLES



$$E_P(t) = \sum_{m=0}^{\infty} t^m (1 + 3m) = \frac{1 + 3t}{(1-t)^2}$$



$$E_P(t) = 1 + 4t^1 + 10t^2 + 19t^3 + \dots = \sum_{m=0}^{\infty} t^m \cdot \left(1 + \frac{3}{2}m + \frac{3}{2}m^2\right) = \frac{1 + t + t^2}{(1-t)^3}$$

Classical Ehrhart Theory's Greatest Hits:

THEOREM
(Ehrhart 1962)

$i_P(m) = \# \mathbb{Z}^n \cap mP$ is a polynomial in m ,
of degree $d := \dim(P)$

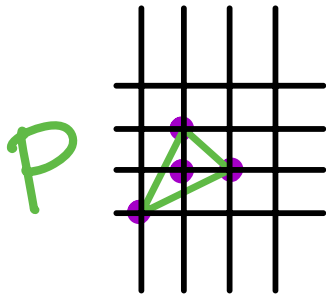


EH
1959

or equivalently,

$$E_P(t) = \sum_{m=0}^{\infty} t^m i_P(m) = \frac{h_0^* + h_1^* t + \dots + h_d^* t^d}{(1-t)^{d+1}}$$

EXAMPLE



$d=2$

$$i_P(m) = 1 + \frac{3}{2}m + \frac{3}{2}m^2$$

$$E_P(t) = \frac{1+t+t^2}{(1-t)^3}$$

THEOREM (Stanley 1980)



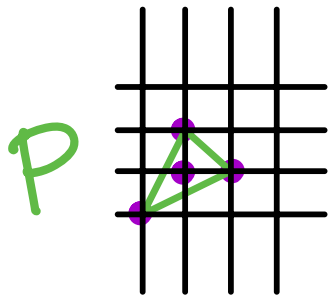
In the numerator of

$$E_p(t) = \sum_{m=0}^{\infty} t^m i_p(m) = \frac{h_0^* + h_1^* t + \dots + h_d^* t^d}{(1-t)^{d+1}},$$

the h^* -vector entries $(h_0^*, h_1^*, \dots, h_d^*)$

are nonnegative.

EXAMPLE



$$E_p(t) = \frac{1+t+t^2}{(1-t)^3}$$

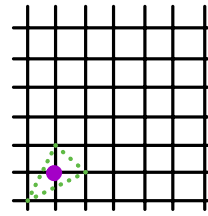
$$(h_0^*, h_1^*, h_2^*) = (1, 1, 1)$$

One can also count interior lattice points ...

$$\bar{i}_P(m) := \# \mathbb{Z}^n \cap \text{interior}(mP)$$

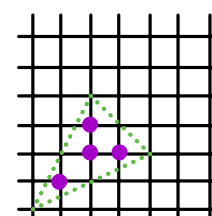
$$\bar{E}_P(t) := \sum_{m=1}^{\infty} t^m \cdot \bar{i}_P(m)$$

interior(1 · P)



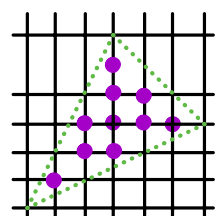
1

interior(2 · P)



4

interior(3 · P)



10

THEOREM

^{CONJECTURE} (Ehrhart-Macdonald reciprocity) ^{PROOF}
1959 1971



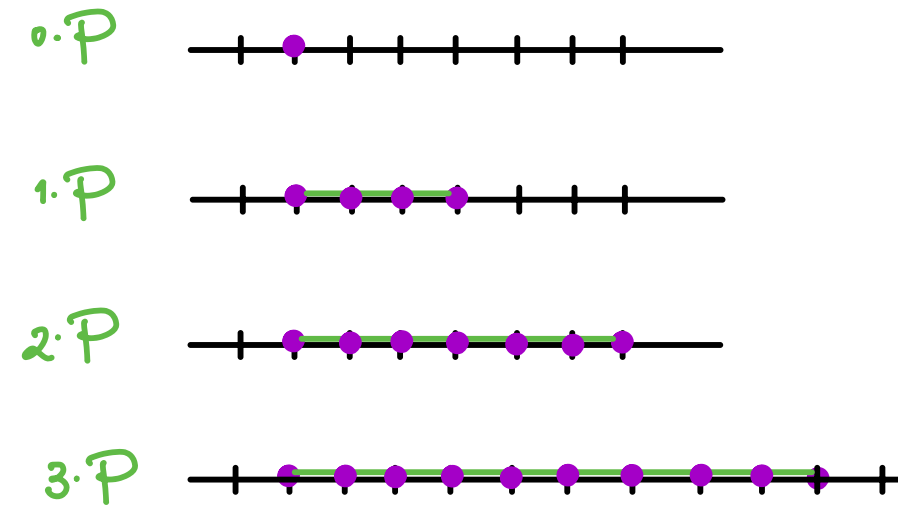
I.G. Macdonald
1928-2023

$$\bar{i}_P(m) = (-1)^d i_P(-m) \quad \text{for } m=1,2,3,\dots$$

or equivalently,

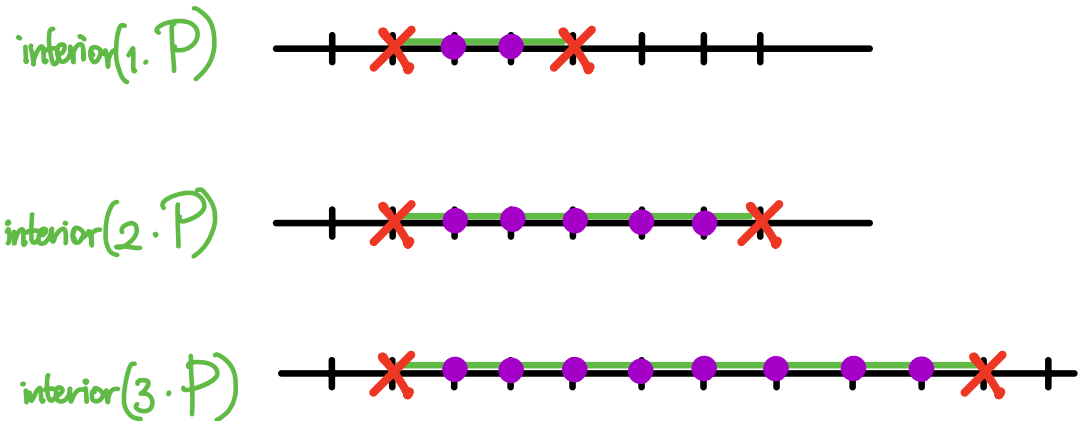
$$\bar{E}_P(t) = (-1)^{d+1} E_P\left(\frac{1}{t}\right)$$

EXAMPLE



$$i_P(m) = 1 + 3m$$

$$E_P(t) = \frac{1+3t}{(1-t)^2}$$

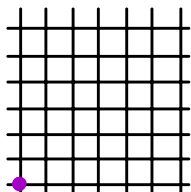


$$\begin{aligned} \bar{i}_P(m) &= -1 + 3m \\ &= (-1)^1 (1 - 3m) = (-1)^1 i_P(-m) \end{aligned}$$

$$\bar{E}_P(t) = \frac{3t + t^2}{(1-t)^2} = (-1)^2 E_P(1/t)$$

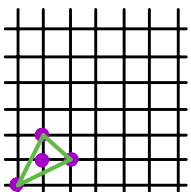
EXAMPLE

$$i_p(0) = 1$$



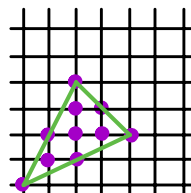
0.P

$$i_p(1) = 4$$



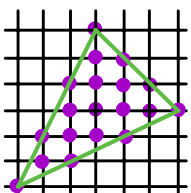
1.P

$$i_p(2) = 10$$



2.P

$$i_p(3) = 19$$

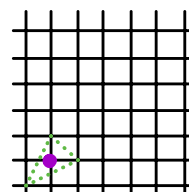


3.P

$$i_p(m) = 1 + \frac{3}{2}m + \frac{3}{2}m^2$$

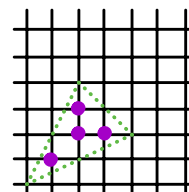
$$E_p(t) = \frac{1+t+t^2}{(1-t)^3}$$

interior(1.P)



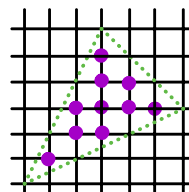
$$\bar{i}_p(1) = 1$$

interior(2.P)



$$\bar{i}_p(2) = 4$$

interior(3.P)

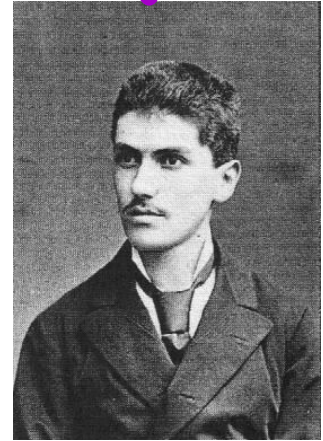


$$\bar{i}_p(3) = 10$$

$$\bar{i}_p(m) = 1 - \frac{3}{2}m + \frac{3}{2}m^2 = (-1)^2 i_p(-m)$$

$$\bar{E}_p(t) = \frac{t+t^2+t^3}{(1-t)^3} = (-1)^3 E_p(1/t)$$

Georg Pick

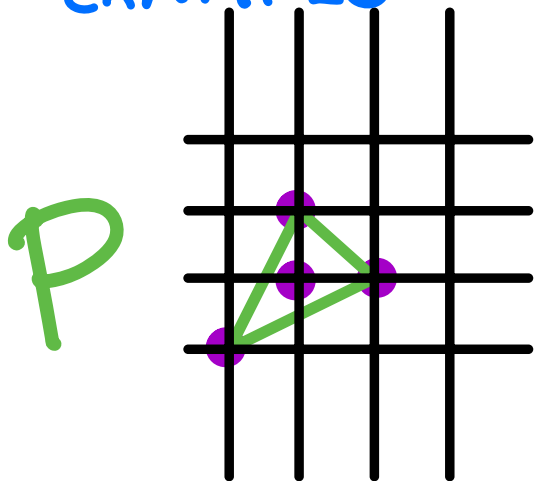


(A traditional ...) COROLLARY
(Pick's Theorem 1899)

Any lattice ^(2-dimensional) polygon P has

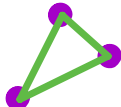

$$\text{area } A = \# \text{interior points} + \frac{\# \text{boundary points}}{2} - 1$$

EXAMPLE



$$\frac{3}{2} = 1 + \frac{3}{2} - 1$$

A



PROOF:

Want

area
 A

?
= Pick

#interior
points
 $i_p(1)$

+ #boundary
points
 $i_p(1) - \bar{i}_p(1)$
/ 2 - 1

Ehrhart-
Macdonald
reciprocity

$$i_p(m) = 1 + am + Am^2$$

$$\bar{i}_p(m) = (-1)^m i_p(-m) = 1 - am + Am^2$$

set
 $m=1$

$$\begin{cases} i_p(1) = 1 + a + A \\ \bar{i}_p(1) = 1 - a + A \end{cases}$$

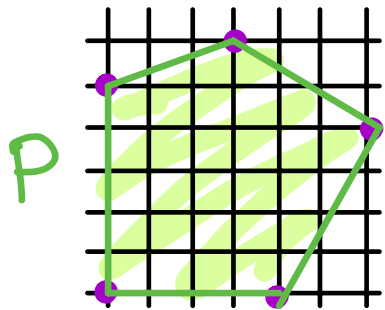
solve for
 a, A

$$\begin{cases} 2A = i_p(1) + \bar{i}_p(1) - 2 \\ 2a = i_p(1) - \bar{i}_p(1) \end{cases}$$

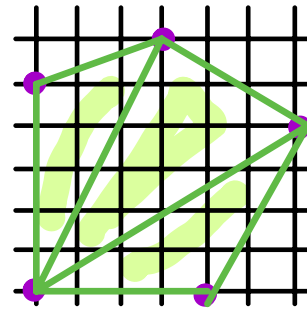
Pick's formula,
re-written

Two proof methods (for the Greatest Hits)

Method 1 (Ehrhart Macdonald Stanley): Reduce to **simplices** via **triangulations**

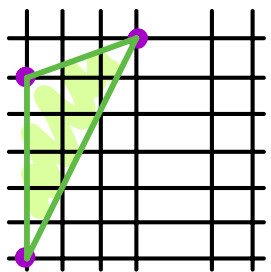


triangulate
~~~~~>

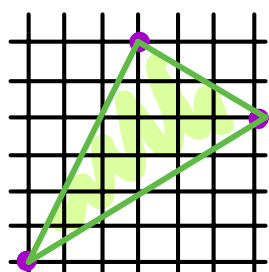


$E_P(t)$  is a **valuative function** of  $P$ :

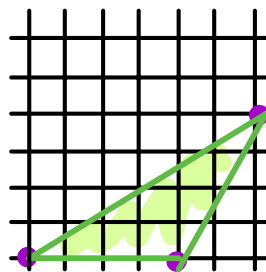
$$E_P(t) = E_{P_1}(t) + E_{P_2}(t) + E_{P_3}(t) - E_{P_1 \cap P_2}(t) - E_{P_2 \cap P_3}(t)$$



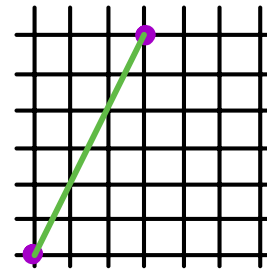
$P_1$



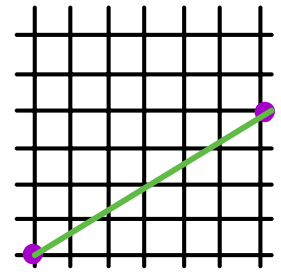
$P_2$



$P_3$



$P_1 \cap P_2$



$P_2 \cap P_3$

... and simplices have very explicit formulas:

**PROPOSITION:** For a lattice  $d$ -simplex  $P \subset \mathbb{R}^n$  with vertices  $v^{(1)}, v^{(2)}, \dots, v^{(d+1)}$

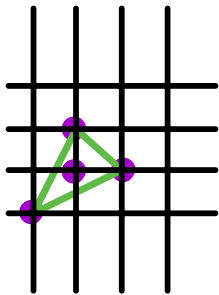
$$E_P(t) = \frac{\sum_{i=0}^d h_i^* t^i}{(1-t)^{d+1}}$$

where  $h_i^* = \#(\mathbb{Z}^n \times t^i) \cap \Pi$

semi-open parallelepiped  
 $\Pi := \sum_j [0, 1) \cdot \begin{bmatrix} v^{(j)} \\ 1 \end{bmatrix}$  in  $\mathbb{R}^{n+1}$

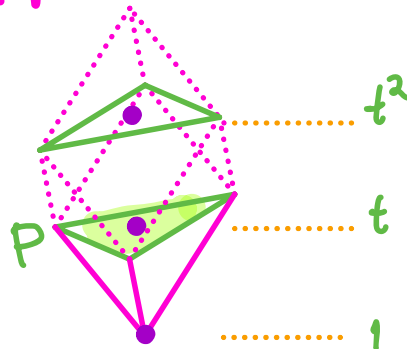
**EXAMPLE**

$P \subset \mathbb{R}^2$



$\rightsquigarrow$

$\Pi \subset \mathbb{R}^3$



$\rightsquigarrow$

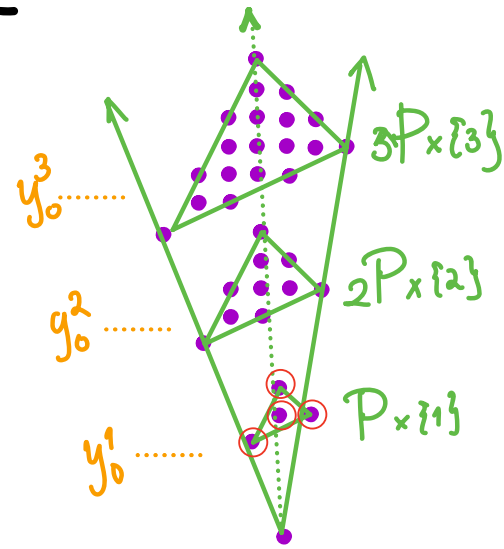
$E_P(t) =$

$$\frac{1+t+t^2}{(1-t)^3}$$



Method 2 (Stanley): Commutative algebra

of the affine semigroup ring



$$\mathbb{k}[\Lambda_P] := \text{span}_{\mathbb{k}} \left\{ y_0^m y_1^{a_1} \cdots y_n^{a_n} : \underline{a} \in \mathbb{Z}^n \cap mP, m=0,1,2,\dots \right\}$$

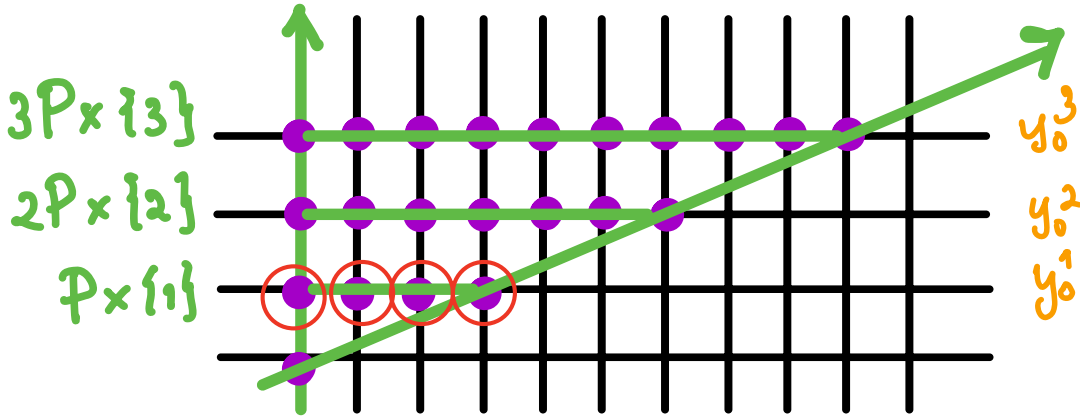
$$\subset \mathbb{k}[y_0^{\pm 1}, y_1^{\pm 1}, \dots, y_n^{\pm 1}]$$

which has Hilbert series equal to the Ehrhart series:

$$\text{Hilb}(\mathbb{k}[\Lambda_P], t) := \sum_{m=0}^{\infty} t^m \cdot \dim_{\mathbb{k}} \mathbb{k}[\Lambda_P]_m = E_P(t)$$

# EXAMPLES

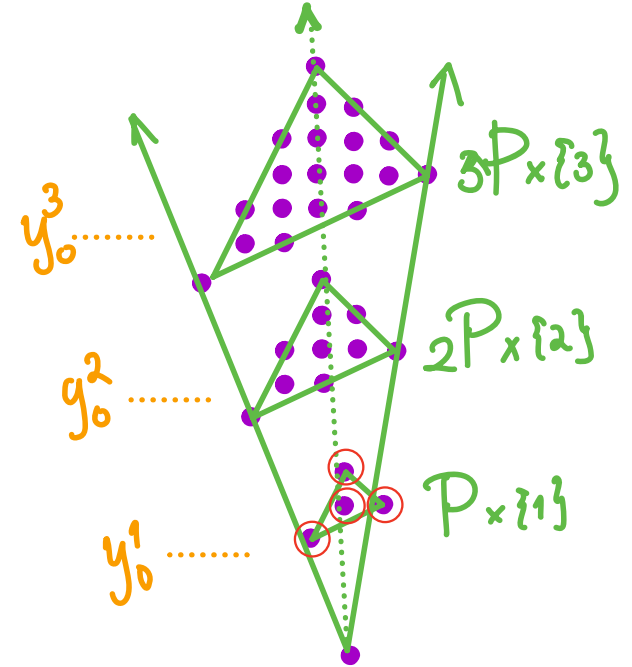
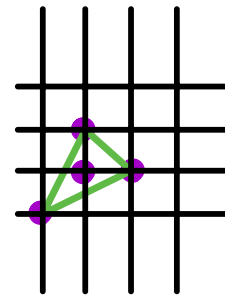
$\mathcal{P}$



$$k[\Lambda_{\mathcal{P}}] = k[y_0, y_0 y_1, y_0 y_1^2, y_0 y_1^3]$$

$$\subset k[y_0, y_1^{\pm 1}]$$

$\mathcal{P}$



$$k[\Lambda_{\mathcal{P}}] = k[y_0, y_0 y_1 y_2, y_0 y_1^2 y_2, y_0 y_1 y_2^2]$$

$$\subset k[y_0, y_1^{\pm 1}, y_2^{\pm 1}]$$

- $k[\Lambda_p]$  is **Noetherian**  
(Gordan 1873)



- $k[\Lambda_p]$  has a **linear system of parameters**  
(Noether 1926)



$$\Rightarrow E_p(t) = \frac{\sum_{i=0}^d h_i^* t^i}{(1-t)^{d+1}}$$

- $k[\Lambda_p]$  is **Cohen-Macaulay**  
(Hochster 1972)



$$\Rightarrow h_i^* \geq 0 \text{ for } i=1,2,\dots,d$$

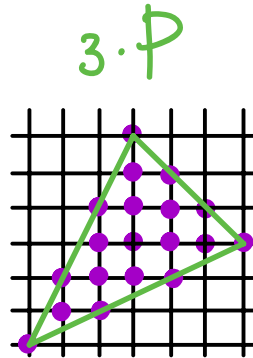
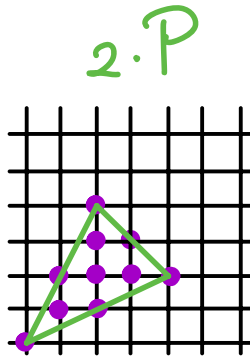
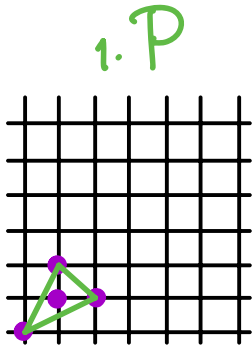
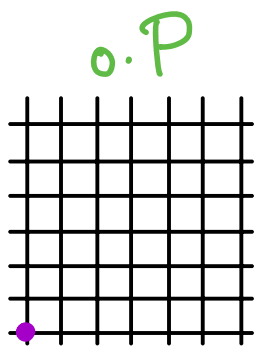
- $\Omega k[\Lambda_p] \cong k[\Lambda_{\text{interior}(p)}]$   
canonical module

(Danilov 1978)



$$\Rightarrow \bar{E}_p(t) = (-1)^{d+1} E_p(1/t)$$

## 2. q-analogues

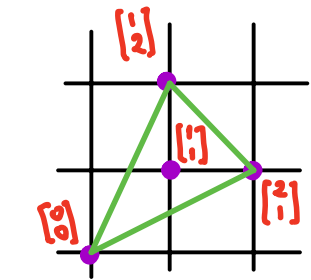


$$1 + 4t^1 + 10t^2 + 19t^3 + \dots = E_P(t) = \frac{1+t+t^2}{(1-t)^3}$$

q-analogue

$$1 + (1+2q+q^2)t^1 + \begin{pmatrix} 1+2q+3q^2 \\ +3q^3+q^4 \end{pmatrix} t^2 + \begin{pmatrix} 1+2q+3q^2+4q^3 \\ +5q^4+3q^5+q^6 \end{pmatrix} t^3 + \dots = E_P(t, q) = \frac{(1+tq+t^2q^2)(1+tq)}{(1-t)(1-tq^2)(1-tq^3)}$$

Those  $q$ -analogues come from using the **point orbit method** to **deform** the coordinate ring of  $Z := Z^n \cap mP \subset \mathbb{R}^n$



$$Z := Z^n \cap mP$$

$$\#Z = 4$$

affine coordinate ring of  $Z$  as 0-dimensional variety

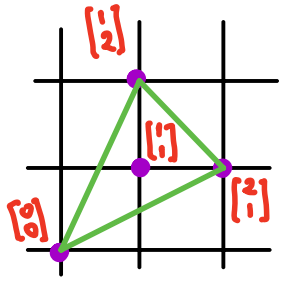
$$\rightsquigarrow \mathbb{R}[Z] = \mathbb{R}[x,y] / \underbrace{I(Z)}_{\text{polynomials } f(x,y) \text{ vanishing on } Z}$$

$$= \mathbb{R}[x,y] / (x-0, y-0) \cap (x-1, y-1) \cap (x-1, y-2) \cap (x-2, y-1)$$

$\begin{smallmatrix} [0] \\ [0] \end{smallmatrix}$ 
 $\begin{smallmatrix} [1] \\ [1] \end{smallmatrix}$ 
 $\begin{smallmatrix} [2] \\ [2] \end{smallmatrix}$ 
 $\begin{smallmatrix} [2] \\ [1] \end{smallmatrix}$

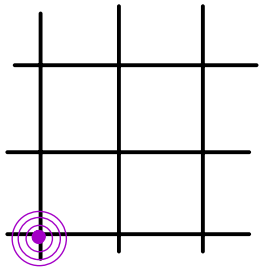
$$= \mathbb{R}[x,y] / (2xy - y^2 - 2x + y, \quad x^2 - y^2 - 3x + y, \quad y^3 - 3y^2 + 2y)$$

Macaulay2 computation



$$Z := Z^n_{n \times m} P$$

$$\#Z = 4$$



fat point at  $[0]$   
of multiplicity 4

affine coordinate ring

$$\mathbb{R}[Z] = \mathbb{R}[x, y] / I(Z)$$

$$= \mathbb{R}[x, y] / (\underline{2xy - y^2} - 2x + y, \underline{x^2 - y^2} - 3x + y, \underline{y^3} - 3y^2 + 2y)$$



DEFORM!

(= by taking top degree components of all  $f(x, y)$  in  $I(Z)$ )

associated graded ring

$$\text{gr} \mathbb{R}[Z] = \mathbb{R}[x, y] / \text{gr} I(Z)$$

$$= (\underline{2xy - y^2}, \underline{x^2 - y^2}, \underline{y^3})$$

---


$$\text{Hilb}(\text{gr} \mathbb{R}[Z], g) = 1 + 2g + g^2 \quad \xrightarrow{g=1} \quad 4 = \#Z$$

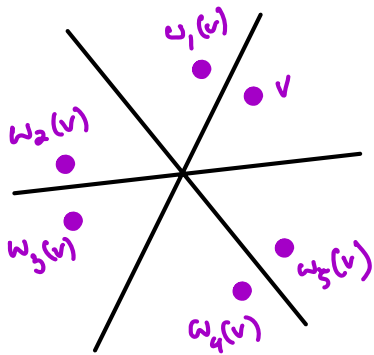
= the  $g$ -analogue of  $\#Z$

# MOTIVATING EXAMPLE (Kostant 1963):

$Z :=$  regular orbit of a vector  $v$  under a Weyl group  $W$

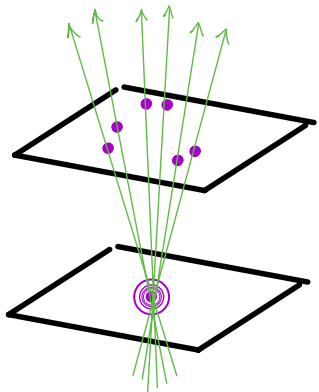
$$\mathbb{R}[Z] = \mathbb{R}[x_1, \dots, x_n] / (f_1(x) - f_1(v), \dots, f_n(x) - f_n(v))$$

where  $\mathbb{R}[x_1, \dots, x_n]^W = \mathbb{R}[f_1(x), \dots, f_n(x)]$   
 degrees:  $d_1, \dots, d_n$



deform

$$q_2 \mathbb{R}[Z] = \mathbb{R}[x_1, \dots, x_n] / (f_1(x), \dots, f_n(x)) \cong H^*(G/B) \quad \text{cohomology of the flag manifold}$$



$$\text{Hilb}(q_2 \mathbb{R}[Z], q) = [d_1]_q [d_2]_q \dots [d_n]_q, \quad \text{a } q\text{-analogue of } \#W = d_1 d_2 \dots d_n$$

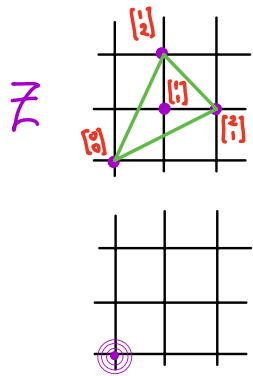
where  $[d]_q := 1 + q + q^2 + \dots + q^{d-1}$

**REMARK:** Instead of Hilbert series for the fat point coordinate ring  $\mathbb{R}[x_1, \dots, x_n]/\mathfrak{q}_r I(Z)$ , could have used its **Macaulay inverse system**:

$$V_Z := \left\{ g(\underline{y}) \in \mathbb{R}[y_1, \dots, y_n] : f\left(\frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial y_n}\right)g(\underline{y}) = 0 \quad \forall f(\underline{z}) \in \mathfrak{q}_r I(Z) \right\}$$

since  $\text{Hilb}(V_Z, \mathfrak{q}) = \text{Hilb}\left(\mathbb{R}[x_1, \dots, x_n]/\mathfrak{q}_r I(Z), \mathfrak{q}\right)$

**EXAMPLE**



$$\mathbb{R}[Z] = \mathbb{R}[x_1, x_2] / \left( \underline{2x_1x_2 - x_2^2 - 2x_1 + y_1}, \underline{x_1^2 - x_2^2 - 3x_1 + x_2}, \underline{x_2^3 - 3x_2^2 + 2x_2} \right)$$

$$\mathfrak{q}_r \mathbb{R}[Z] = \mathbb{R}[x_1, x_2] / \left( 2x_1x_2 - x_2^2, x_1^2 - x_2^2, x_2^3 \right)$$

$$V_Z = \text{span}_{\mathbb{R}} \left\{ 1, y_1, y_2, y_1^2 + y_1y_2 + y_2^2 \right\} \subset \mathbb{R}[y_1, y_2]$$

$$\text{Hilb}(V_Z, \mathfrak{q}) = 1 + 2q + q^2 \quad \xrightarrow{q=1} \quad 4 = \#Z$$



# MAIN DEFINITION:

For a lattice polytope  $P \subset \mathbb{R}^n$ , define its  $q$ -Ehrhart function

$$i_P(m; q) = \text{Hilb}(q\mathbb{R}[Z^{nmP}], q) \\ = \text{Hilb}(V_{Z^{nmP}}, q)$$

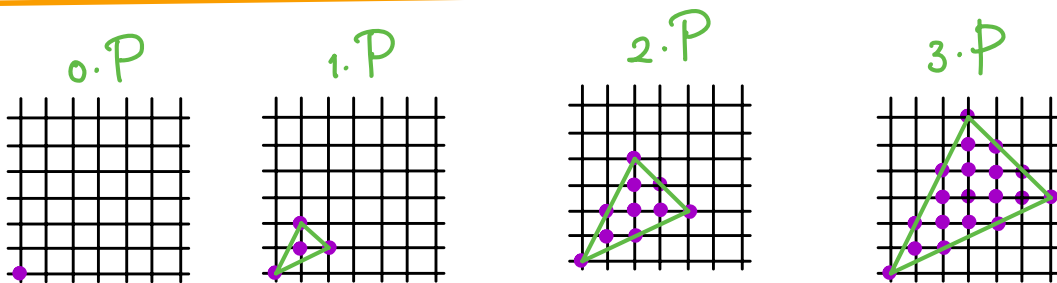
$$\xrightarrow{q=1} i_P(m) = \#Z^{nmP}$$

and then its  $q$ -Ehrhart series in  $\mathbb{R}[q][[t]]$

$$E_P(t, q) := \sum_{m=0}^{\infty} t^m \cdot i_P(m; q)$$

$$\xrightarrow{q=1} E_P(t) = \sum_{m=0}^{\infty} t^m i_P(m)$$

## EXAMPLE



$$1 + 4t^1 + 10t^2 + 19t^3 + \dots = E_P(t) = \frac{1+t+t^2}{(1-t)^3}$$

$$1 + (1+2q+q^2)t^1 + \begin{pmatrix} 1+2q+3q^2 \\ +3q^3+q^4 \end{pmatrix} t^2 + \begin{pmatrix} 1+2q+3q^2+4q^3 \\ +5q^4+3q^5+q^6 \end{pmatrix} t^3 + \dots = E_P(t, q) = \frac{(1+tq+t^2q^2)(1+tq)}{(1-t)(1-tq^2)(1-t^2q^3)}$$

# MORE EXAMPLES of $E_P(t, q)$ for lattice polygons

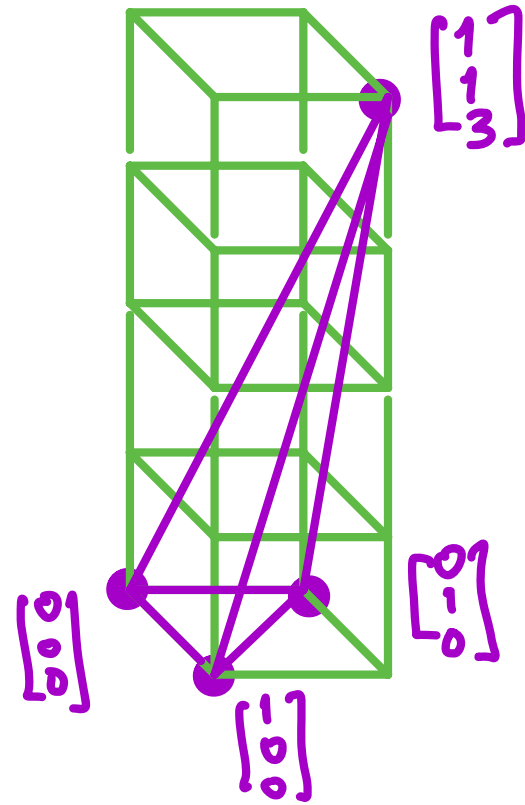
| normalized area of $P$ | vertices of $P$                   | $h_P^*(t) = 1 + h_1^*t + h_2^*t^2$ | $E_P(t, q)$                                                          |
|------------------------|-----------------------------------|------------------------------------|----------------------------------------------------------------------|
| 1                      | $(0, 0), (1, 0), (0, 1)$          | 1                                  | $\frac{1}{(1-t)(1-qt)^2}$                                            |
| 2                      | $(0, 0), (1, 0), (1, 2)$          | $1 + t$                            | $\frac{1+qt}{(1-t)(1-qt)(1-q^2t)}$                                   |
| 2                      | $(0, 0), (1, 0), (0, 1), (1, 1)$  | $1 + t$                            | $\frac{1+qt}{(1-t)(1-qt)(1-q^2t)}$                                   |
| 3                      | $(0, 0), (1, 0), (1, 3)$          | $1 + 2t$                           | $\frac{1+qt+q^2t}{(1-t)(1-qt)(1-q^3t)}$                              |
| 3                      | $(0, 0), (1, 0), (2, 3)$          | $1 + t + t^2$                      | $\frac{(1+qt)(1+qt+q^2t^2)}{(1-t)(1-q^2t)(1-q^3t^2)}$                |
| 3                      | $(0, 0), (1, 0), (0, 1), (-2, 1)$ | $1 + 2t$                           | $\frac{1+qt-q^2t^2-q^3t^2}{(1-t)(1-qt)(1-q^2t^2)}$                   |
| 4                      | $(0, 0), (1, 0), (1, 4)$          | $1 + 3t$                           | $\frac{1+t(q+q^2+q^3)}{(1-t)(1-qt)(1-q^4t)}$                         |
| 4                      | $(0, 0), (1, 0), (3, 4)$          | $(1+t)^2$                          | $\frac{(1+qt)^2}{(1-t)(1-q^2t)^2}$                                   |
| 4                      | $(0, 0), (2, 0), (0, 2)$          | $1 + 3t$                           | $\frac{1+2qt+q^2t}{(1-t)(1-q^2t)^2}$                                 |
| 4                      | $(0, 0), (1, 0), (0, 1), (-3, 1)$ | $1 + 3t$                           | $\frac{1+qt+q^2t-q^2t^2-q^3t^2-q^4t^2}{(1-t)(1-qt)(1-q^2t)(1-q^3t)}$ |
| 4                      | $(0, 0), (1, 0), (0, 2), (1, 2)$  | $1 + 3t$                           | $\frac{1+qt+q^2t-q^2t^2-q^3t^2-q^4t^2}{(1-t)(1-qt)(1-q^2t)(1-q^3t)}$ |
| 4                      | $(0, 0), (2, 0), (0, 1), (1, -1)$ | $(1+t)^2$                          | $\frac{(1+qt)^2}{(1-t)(1-q^2t)^2}$                                   |
| 4                      | $(0, 0), (1, 0), (1, 2), (2, 2)$  | $(1+t)^2$                          | $\frac{(1+qt)^2}{(1-t)(1-q^2t)^2}$                                   |

| vertices of $P$                           | $h_P^*(t) = 1 + h_1^*t + h_2^*t^2$ | $E_P(t, q)$                                                                      |
|-------------------------------------------|------------------------------------|----------------------------------------------------------------------------------|
| $(0, 0), (1, 0), (1, 5)$                  | $1 + 4t$                           | $\frac{1+t(q+q^2+q^3+q^4)}{(1-t)(1-qt)(1-q^5t)}$                                 |
| $(0, 0), (1, 0), (2, 5)$                  | $1 + 2t + 2t^2$                    | $\frac{(1+qt)(1+t(q+q^2)+t^2(q^3+q^4))}{(1-t)(1-q^2t)(1-q^5t^2)}$                |
| $(0, 0), (1, 0), (0, 1), (-4, 1)$         | $1 + 4t$                           | $\frac{1+qt+q^2t+q^3t-q^2t^2-q^3t^2-q^4t^2-q^5t^2}{(1-t)(1-qt)(1-q^2t)(1-q^4t)}$ |
| $(0, 0), (2, 0), (0, 1), (-3, 1)$         | $1 + 4t$                           | $\frac{1+qt+2q^2t-q^2t^2-q^3t^2-q^4t^2-q^5t^2}{(1-t)(1-qt)(1-q^3t^2)}$           |
| $(0, 0), (1, 0), (2, 3), (2, 1)$          | $1 + 3t + t^2$                     | $\frac{1+2qt+2q^2t+2q^3t^2+2q^4t^2+q^5t^3}{(1-t)(1-q^2t)(1-q^5t^2)}$             |
| $(0, 0), (1, 0), (1, 2), (2, 2), (0, -1)$ | $1 + 3t + t^2$                     | $\frac{1+2qt+2q^2t+2q^3t^2+2q^4t^2+q^5t^3}{(1-t)(1-q^2t)(1-q^5t^2)}$             |

Since  $E_P(t, q)$  is an  $\text{Aff}(\mathbb{Z}^n)$ -invariant of  $P$ ,  
 can use **database** of lattice  
 polytopes by **Balletti 2021**

# EXAMPLE (V. Kurylenko)

Reeve tetrahedron  $\mathcal{P}$   
 of volume 3  
 seems to have



$$E_{\mathcal{P}}(t, q) \stackrel{?}{=} \frac{(1 - q^5 t^4) \cdot (1 + qt) (1 + qt + (2q^2 + q^3)t^2 + 2q^4 t^3 + (q^5 + q^6)t^4)}{(1 - t)(1 - qt)(1 - q^3 t^2)(1 - q^5 t^3)(1 - q^6 t^4)}$$

# q-Ehrhart theory CONJECTURE

First, recall the

CLASSICAL Ehrhart Theorems: For  $d$ -dimensional lattice polytopes  $P$ ,

- $$E_P(t) = \frac{\sum_{i=0}^d h_i^* t^i}{(1-t)^{d+1}} \quad (\text{RATIONALITY})$$

- $$E_P\left(\frac{1}{t}\right) = (-1)^{d+1} \bar{E}_P(t) \quad (\text{RECIPROCITY})$$

- For lattice simplices,

$$h_i^* = \# \left( \mathbb{Z}^n \times \{i\} \cap \Pi \right)$$

(SIMPLEX  
NONNEGATIVITY)

- $$h_i^* \geq 0 \quad \text{for } i=1,2,\dots,d$$

(GENERAL  
NONNEGATIVITY)

# Classical Ehrhart THEOREMS

- $$E_P(t) = \frac{\sum_{i=0}^d h_i^* t^i}{(1-t)^{d+1}}$$

RATIONALITY

- $$E_P\left(\frac{1}{t}\right) = (-1)^{d+1} \bar{E}_P(t)$$

RECIPROCALITY

- For lattice simplices,

$$h_i^* = \#(\mathbb{Z}^n \times \{i\} \cap \Pi)$$

SIMPLEX  
NONNEGATIVITY

- $h_i^* \geq 0$  for  $i=1,2,\dots,d$

GENERAL  
NONNEGATIVITY

# CONJECTURES (R. Rhoades 2024)

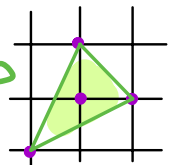
- $$E_P(t,q) = \frac{N_P(t,q)}{\prod_{i=1}^d (1 - q^{a_i} t^{b_i})}$$
 with  $N_P(t,q)$  in  $\mathbb{Z}[t,q]$  and  $\nu \geq d+1$

- $$E_P\left(\frac{1}{t}, \frac{1}{q}\right) = (-1)^{d+1} q^d \bar{E}_P(t,q)$$

- For lattice  $d$ -simplices with  $\nu = d+1$ ,

$N_P(t,q)$  lies in  $\mathbb{N}[t,q]$

EXAMPLE P



$$E_P(t,q) = \frac{(1+tq+t^2q^2)(1+tq)}{(1-t)(1-tq^2)(1-tq^3)}$$

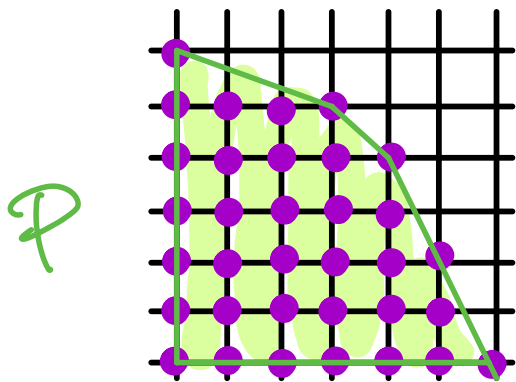
??

EXAMPLES: These conjectures are proven for

antiblocking polytopes

$:=$  polytopes  $P$  inside  $(\mathbb{R}_{\geq 0})^n$   
with  $0 \leq z \leq z'$  and  $z' \in P \Rightarrow z \in P$

↑ componentwise comparison



PROPOSITION: For  $P$  antiblocking, every ideal  $\mathfrak{a}_P I(Z)$  for  $Z := \mathbb{Z}_{\geq 0}^n \cap mP$  is a monomial ideal  $\mathfrak{a}_P I(Z) = \text{span}_{\mathbb{R}} \{ x^a : a \notin Z \}$ ,

and 
$$E_P(t, q) = \sum_{m=0}^{\infty} t^m \sum_{a \in \mathbb{Z}_{\geq 0}^n \cap mP} q^{a_1 + \dots + a_n}$$

$$= \left[ \text{Hilb} \left( \mathbb{k}[\Lambda_P], y_0, y_1, \dots, y_n \right) \right]$$

↑ affine semigroup ring for  $P$

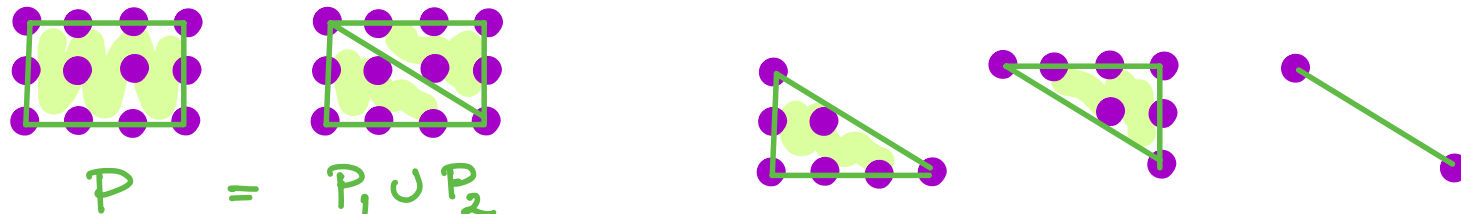
$y_0 = t$   
 $y_1 = y_2 = \dots = y_n = q$

### 3. Harmonic algebra CONJECTURE

Method 1 of Ehrhart theory (= reduce to simplices via triangulation) seems elusive, because

$i_P(m; q) = \text{Hilb}(\text{gr}_q R[\mathbb{Z}^n_{\text{int}} P], q)$  is not valutive as a function of  $P$ .

#### EXAMPLE



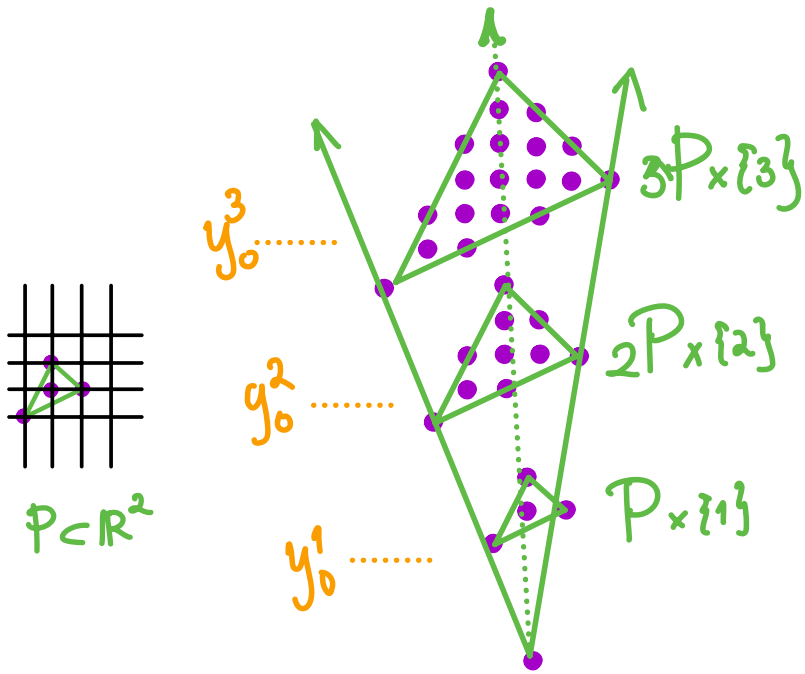
$P = P_1 \cup P_2$

$$i_P(1) = i_{P_1}(1) + i_{P_2}(1) - i_{P_1 \cap P_2}(1)$$
$$12 = 7 + 7 - 2$$

But

$$i_P(1; q) \neq i_{P_1}(1; q) + i_{P_2}(1; q) - i_{P_1 \cap P_2}(1; q)$$
$$= (1+q+q^2) \cdot (1+q+q^2+q^3)$$
$$= 1+2q+3q^2+3q^3+2q^4+q^5$$
$$= \begin{matrix} 1+2q \\ +3q^2+q^3 \end{matrix} + \begin{matrix} 1+2q \\ +3q^2+q^3 \end{matrix} - 1+q$$

Method 2 (= commutative algebra) looks promising ...



Recall

$$k[\Lambda_P] := \bigoplus_{m=0}^{\infty} \text{span}_{k} \{ y_0^m y^a \}_{a \in \mathbb{Z}^n \cap mP}$$

affine  
semigroup  
ring

$$\subset k[y_0, y_1, \dots, y_n]$$

DEFINITION:

$$\mathcal{H}_P := \bigoplus_{m=0}^{\infty} y_0^m V_{\mathbb{Z}^n \cap mP} \subset \mathbb{R}[y_0, y_1, \dots, y_n]$$

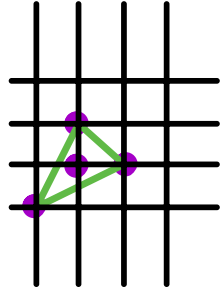
Harmonic algebra

where  $V_{\mathbb{Z}} =$  harmonic space/Macaulay inverse system  
for  $\mathbb{R}[y_1, \dots, y_n] / \text{op}_2 I(\mathbb{Z})$



EXAMPLE

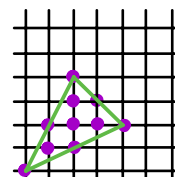
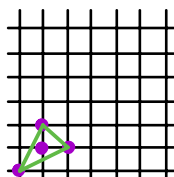
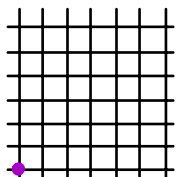
$P \subset \mathbb{R}^2$



has harmonic algebra

$$\mathcal{H}_P := \bigoplus_{m=0}^{\infty} y_0^m \bigvee_{Z_{n,m}^n} P \subset \mathbb{R}[y_0, y_1, y_2]$$

$$= \mathbb{R} \cdot 1 \oplus y_0 \bigvee_{Z_{n,1}^n} P \oplus y_0^2 \bigvee_{Z_{n,2}^n} P \oplus \dots$$



$$= \text{span}_{\mathbb{R}} \left\{ 1, \dots \right\}$$

$$y_0, \\ y_0 y_1, y_0 y_2, \\ y_0 (y_1^2 + y_1 y_2 + y_2^2)$$

$$y_0^2, \\ y_0^2 y_1, y_0^2 y_2, \\ y_0^2 y_1^2, y_0^2 y_1 y_2, y_0^2 y_2^2, \\ y_0^2 y_1^3, y_0^2 (y_1^2 y_2 + y_1 y_2^2), y_0^2 y_2^3, \\ y_0^2 (y_1^4 + 2y_1^3 y_2 + 3y_1^2 y_2^2 + 2y_1 y_2^3 + y_2^4)$$

... }

Why is  $\mathcal{H}_P := \bigoplus_{m=0}^{\infty} y_0^m \bigvee_{Z^n \cap mP} P$  even an algebra ?!

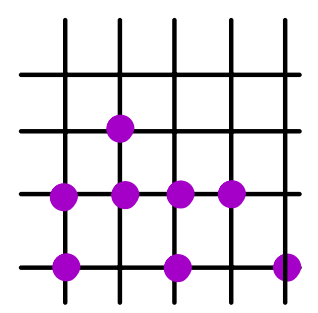
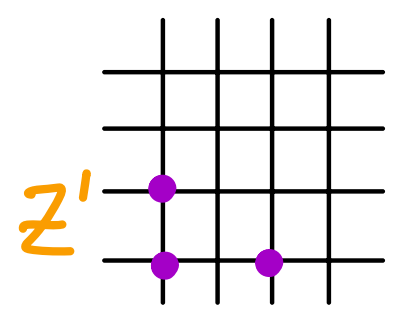
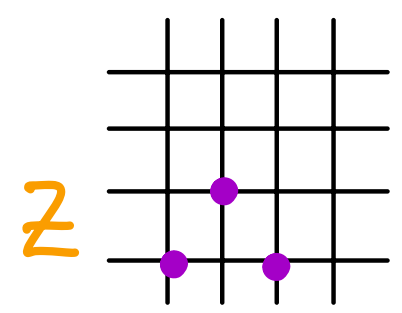
For  $k[\Lambda_P] := \bigoplus_{m=0}^{\infty} \text{span}_{k} \{ y_0^m y^a \}_{a \in Z^n \cap mP}$  it came from

$$Z^n \cap mP + Z^n \cap m'P \subset Z^n \cap (m+m')P$$

(Surprising!)  
**THEOREM**  
 (R. Rhoades)  
 2024

For finite point sets  $Z$  and  $Z' \subset k^n$ ,

$$V_Z \cdot V_{Z'} = V_{Z+Z'}$$



$Z+Z'$   
 = Minkowski  
 sum

By construction,  $\mathcal{H}_P := \bigoplus_{m=0}^{\infty} \mathfrak{y}_0^m \bigvee_{\mathbb{Z}^n} \mathfrak{m}^m P$

$$\text{has } \text{Hilb}(\mathcal{H}_P, t, \mathfrak{g}) = \sum_{m=0}^{\infty} t^m i_P(m; \mathfrak{g}) = E_P(t, \mathfrak{g})$$

## (Classical) THEOREMS

- $k[\Lambda_P]$  is Noetherian  
(Gordan 1873)

- $k[\Lambda_P]$  is Cohen-Macaulay  
(Hochster 1972)

- $\Omega k[\Lambda_P] \cong k[\Lambda_{\text{interior}(P)}]$   
(Danilov 1978)

## CONJECTURES (R. Rhoades 2024)

- $\mathcal{H}_P$  is Noetherian

- $\mathcal{H}_P$  is Cohen-Macaulay

- $\Omega \mathcal{H}_P \cong \mathcal{H}_{\text{interior}(P)}$

# CONJECTURES on $\mathcal{H}_P$ $\Rightarrow$ CONJECTURES on $E_P(t, q)$

---

- $\mathcal{H}_P$  is Noetherian  $\Rightarrow$  (RATIONALITY)  $E_P(t, q) = \frac{N_P(t, q)}{\prod_{i=1}^{\nu} (1 - q^{a_i} t^{b_i})}$  with  $N_P(t, q)$  in  $\mathbb{Z}[t, q]$  and  $\nu \geq d+1$
  - $\mathcal{H}_P$  is Cohen-Macaulay (almost)  $\Rightarrow$  (SIMPLEX NONNEGATIVITY) For lattice simplices with  $\nu = d+1$ ,  $N_P(t, q)$  lies in  $\mathbb{N}[t, q]$
  - $\Omega \mathcal{H}_P \cong \mathcal{H}_{\text{interior}(P)}$   $\Rightarrow$  (RECIPROCITY)  $E_P\left(\frac{1}{t}, \frac{1}{q}\right) = (-1)^{d+1} q^d \bar{E}_P(t, q)$
- 

Even without CONJECTURES on  $\mathcal{H}_P$ , various classical Ehrhart theorems have  $q$ -analogues that are explained by  $\mathcal{H}_P$ .

# 4. Ehrhart tidbits $\rightsquigarrow$ $q$ -tidbits

**THEOREM (Stanley 1986)** For a poset  $\mathcal{Q}$  on  $\{1, 2, \dots, n\}$

its order polytope  $\mathcal{O}_{\mathcal{Q}} := \{ \underline{x} \in [0, 1]^n : x_i < x_j \text{ if } i <_{\mathcal{P}} j \}$

chain polytope  $\mathcal{C}_{\mathcal{Q}} := \{ \underline{x} \in [0, 1]^n : \sum_{i \in C} x_i \leq 1 \ \forall \text{ chains } C < \mathcal{Q} \}$

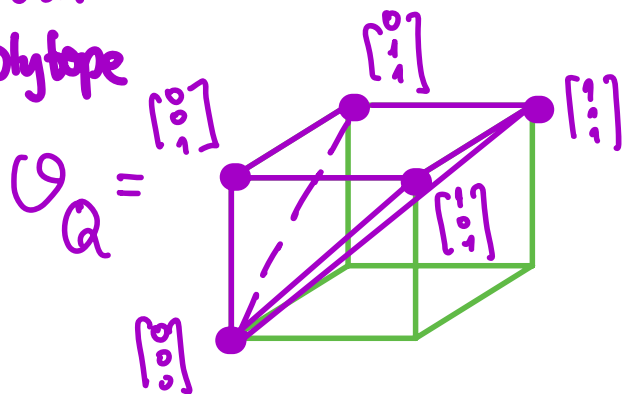
have the same Ehrhart function / series:

$$i_{\mathcal{O}_{\mathcal{Q}}}(m) = i_{\mathcal{C}_{\mathcal{Q}}}(m) \quad \text{or} \quad E_{\mathcal{O}_{\mathcal{Q}}}(t) = E_{\mathcal{C}_{\mathcal{Q}}}(t)$$

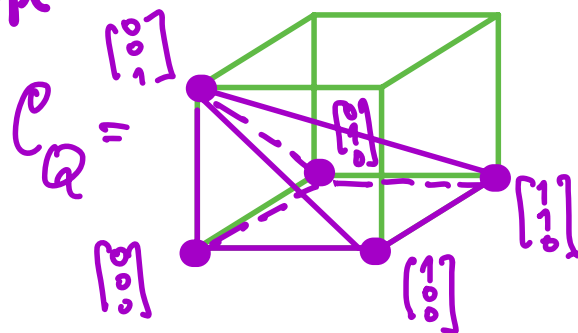
EXAMPLE



order polytope



chain polytope



$$E_{\mathcal{O}_{\mathcal{Q}}}(t) = E_{\mathcal{C}_{\mathcal{Q}}}(t) = \frac{1+t}{(1-t)^2}$$



THEOREM (Rhoades-R. 24) For a poset  $\mathcal{Q}$  on  $\{1, 2, \dots, n\}$

its order polytope  $\mathcal{O}_{\mathcal{Q}}$   
and chain polytope  $\mathcal{C}_{\mathcal{Q}}$

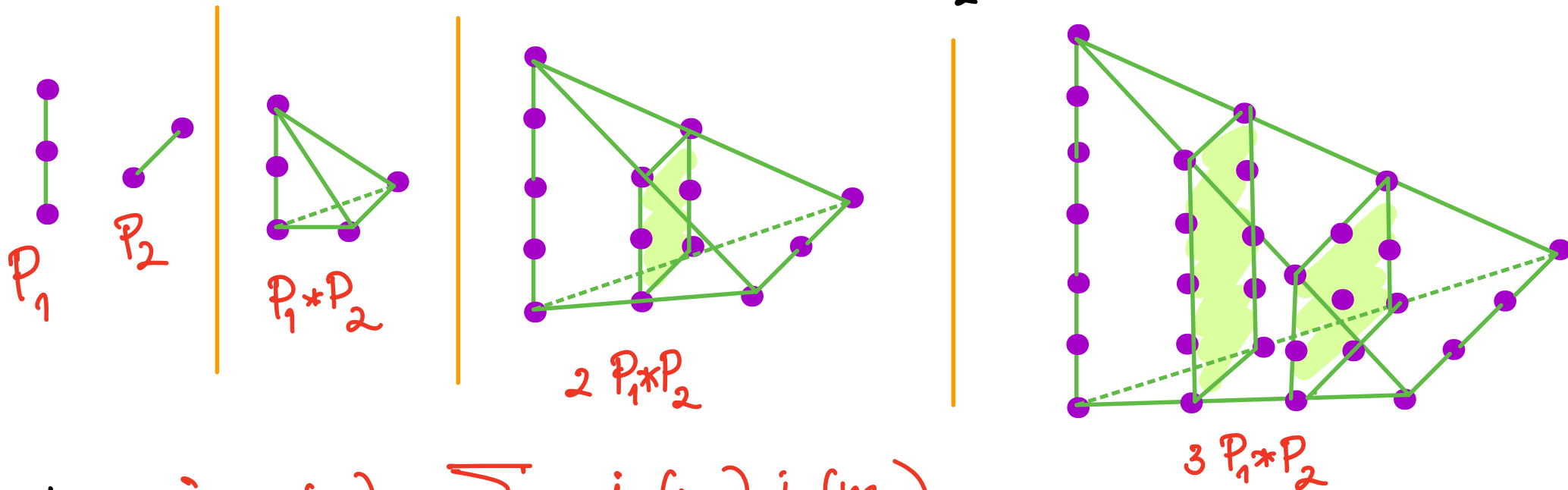
have the same  $q$ -Ehrhart function/series

$$i_{\mathcal{O}_{\mathcal{Q}}}(m, q) = i_{\mathcal{C}_{\mathcal{Q}}}(m, q) \quad \text{or} \quad E_{\mathcal{O}_{\mathcal{Q}}}(t, q) = E_{\mathcal{C}_{\mathcal{Q}}}(t, q)$$

and, in fact, same harmonic algebras:

$$\mathcal{H}_{\mathcal{O}_{\mathcal{Q}}} = \mathcal{H}_{\mathcal{C}_{\mathcal{Q}}} \text{ inside } \mathbb{R}[y_0, y_1, \dots, y_n].$$

**PROPOSITION:** For lattices polytopes  $P_1, P_2 \subset \mathbb{R}^n, \mathbb{R}^{n_2}$   
 their (free) join  $P_1 * P_2 := \text{conv hull of}$   
 $(P_1 \times \{0_{n_2}\} \times \{0\}) \cup (\{0_{n_1}\} \times P_2 \times \{1\})$



has 
$$i_{P_1 * P_2}(m) = \sum_{\substack{(m_1, m_2): \\ m_1 + m_2 = m}} i_{P_1}(m_1) \cdot i_{P_2}(m_2)$$

or equivalently

$$E_{P_1 * P_2}(t) = E_{P_1}(t) E_{P_2}(t)$$

# THEOREM

(Rhoades - R. 24)

For lattices polytopes  $P_1, P_2$

$$E_{P_1 * P_2}(t, q) = \frac{1-t}{1-qt} E_{P_1}(t, q) E_{P_2}(t, q)$$

$$\left( \begin{array}{c} q=1 \\ \implies \end{array} \boxed{E_{P_1 * P_2}(t) = E_{P_1}(t) E_{P_2}(t)} \right)$$

proof  
idea:

$$(1-qt) \cdot E_{P_1 * P_2}(t, q) = (1-t) \cdot E_{P_1}(t, q) \cdot E_{P_2}(t, q)$$

follows from a ring isomorphism

$$\mathcal{H}_{P_1 * P_2} / \underbrace{\left( \underbrace{y_{P_1 * P_2}}_{\text{a nonzero-divisor}} \cdot y_0 \right)}_{\text{tracked by } qt} \cong \mathcal{H}_{P_1} \otimes \mathcal{H}_{P_2} / \underbrace{\left( \underbrace{y_{P_1} \otimes 1 - 1 \otimes y_{P_2}}_{\text{a nonzero-divisor}} \right)}_{\text{tracked by } t}$$





Thanks

for your

attention !