

Zeros of polynomial special functions

Kathy Driver
University of Cape Town

3 a.m.

Madison, Wisconsin, 6-7 December 2013

" Graphs as an aid to understanding special functions" R Askey 1989

Zeros of Jacobi polynomials $P_n^{(\alpha,\beta)}$ and $P_n^{(\alpha+t,\beta)}$

" Graphs as an aid to understanding special functions" R Askey 1989

Zeros of Jacobi polynomials $P_n^{(\alpha,\beta)}$ and $P_n^{(\alpha+t,\beta)}$

Askey: Interlacing of zeros holds for $0 < t \leq 1$

" Graphs as an aid to understanding special functions" R Askey 1989

Zeros of Jacobi polynomials $P_n^{(\alpha,\beta)}$ and $P_n^{(\alpha+t,\beta)}$

Askey: Interlacing of zeros holds for $0 < t \leq 1$

Conjecture that interlacing holds for $t = 2$

Interlacing of zeros of orthogonal polynomials from different families

Zeros of Laguerre polynomials L_n^α and $L_n^{\alpha+t}$, $\alpha > -1$

For what (continuous) values of t are the zeros interlacing?

Interlacing of zeros of orthogonal polynomials from different families

Zeros of Laguerre polynomials L_n^α and $L_n^{\alpha+t}$, $\alpha > -1$

For what (continuous) values of t are the zeros interlacing?

R Askey 2004 Irsee

Look at three term recurrence relations linking classical orthogonal polynomials from different sequences

Interlacing of zeros of orthogonal polynomials from different families

Zeros of Laguerre polynomials L_n^α and $L_n^{\alpha+t}$, $\alpha > -1$

For what (continuous) values of t are the zeros interlacing?

R Askey 2004 Irsee

Look at three term recurrence relations linking classical orthogonal polynomials from different sequences

Kerstin Jordaan, KD 2007

Zeros of Laguerre L_n^α and $L_n^{\alpha+t}$ interlace for $0 < t \leq 2$, $\alpha > -1$

Interlacing of zeros of Jacobi polynomials with varying parameters α, β

Kerstin Jordaan, Norbert Mbuyi, KD 2008

Zeros of Jacobi polynomials $P_n^{(\alpha, \beta)}$ and $P_n^{(\alpha+t, \beta-k)}$ interlace for all
 $0 < t, k \leq 2$

Interlacing of zeros of Jacobi polynomials with varying parameters α, β

Kerstin Jordaan, Norbert Mbuyi, KD 2008

Zeros of Jacobi polynomials $P_n^{(\alpha, \beta)}$ and $P_n^{(\alpha+t, \beta-k)}$ interlace for all $0 < t, k \leq 2$

Similar results on interlacing of zeros of $P_n^{(\alpha, \beta)}$ and $P_{n-1}^{(\alpha+t, \beta-k)}$

"Stieltjes" interlacing of zeros of OP's

Definition

Let p and q be two real polynomials with real, simple, distinct zeros, $\deg(p) > \deg(q)$. The zeros of p and q *interlace* if each zero of q lies between two successive zeros of p and there is at most one zero of q between any two successive zeros of p

"Stieltjes" interlacing of zeros of OP's

Definition

Let p and q be two real polynomials with real, simple, distinct zeros, $\deg(p) > \deg(q)$. The zeros of p and q *interlace* if each zero of q lies between two successive zeros of p and there is at most one zero of q between any two successive zeros of p

Stieltjes proved that within an orthogonal sequence, the zeros of p_n and p_{n-k} interlace for all $k \geq 1$, **provided** p_n and p_{n-k} have no common zeros

"Stieltjes" interlacing of zeros of OP's, different parameters

KD 2012 Stieltjes interlacing holds between the positive (negative) zeros of ultraspherical C_n^λ and $C_{n-2}^{\lambda+t}$ for $0 \leq t \leq 2$, $\lambda > -\frac{1}{2}$.

"Stieltjes" interlacing of zeros of OP's, different parameters

KD 2012 Stieltjes interlacing holds between the positive (negative) zeros of ultraspherical C_n^λ and $C_{n-2}^{\lambda+t}$ for $0 \leq t \leq 2$, $\lambda > -\frac{1}{2}$.

Fix $k \in 0, 1, 2, 3$. If C_n^λ and $C_{n-3}^{\lambda+k}$ have no common zeros, the zeros of $C_{n-3}^{\lambda+k}$ plus two (symmetric) identified points interlace with the zeros of C_n^λ

"Stieltjes" interlacing of zeros of OP's, different parameters

KD 2012 Stieltjes interlacing holds between the positive (negative) zeros of ultraspherical C_n^λ and $C_{n-2}^{\lambda+t}$ for $0 \leq t \leq 2$, $\lambda > -\frac{1}{2}$.

Fix $k \in 0, 1, 2, 3$. If C_n^λ and $C_{n-3}^{\lambda+k}$ have no common zeros, the zeros of $C_{n-3}^{\lambda+k}$ plus two (symmetric) identified points interlace with the zeros of C_n^λ

Fix $k \in 0, 1, 2, 3$. If C_n^λ and $C_{n-3}^{\lambda+k}$ have common zeros, these occur at the two (symmetric) identified points

"Stieltjes" interlacing of zeros of OP's, different parameters

KD 2012 Stieltjes interlacing holds between the positive (negative) zeros of ultraspherical C_n^λ and $C_{n-2}^{\lambda+t}$ for $0 \leq t \leq 2$, $\lambda > -\frac{1}{2}$.

Fix $k \in 0, 1, 2, 3$. If C_n^λ and $C_{n-3}^{\lambda+k}$ have no common zeros, the zeros of $C_{n-3}^{\lambda+k}$ plus two (symmetric) identified points interlace with the zeros of C_n^λ

Fix $k \in 0, 1, 2, 3$. If C_n^λ and $C_{n-3}^{\lambda+k}$ have common zeros, these occur at the two (symmetric) identified points

Since common zeros cannot occur at the largest zero of C_n^λ these "extra" points give good lower bounds for the largest zero of C_n^λ

Stieltjes interlacing and continuous variation in parameter(s)

2013 Martin Muldoon and KD
Laguerre polynomials

Graphs as an aid to understanding special functions Askey 1988

Stieltjes interlacing and continuous variation in parameter(s)

2013 Martin Muldoon and KD
Laguerre polynomials

Graphs as an aid to understanding special functions Askey 1988

Common zeros of $L_n^{(\alpha)}$ and $L_{n-k}^{(\alpha+t)}$ as functions of t

Stieltjes interlacing and continuous variation in parameter(s)

2013 Martin Muldoon and KD
Laguerre polynomials

Graphs as an aid to understanding special functions Askey 1988

Common zeros of $L_n^{(\alpha)}$ and $L_{n-k}^{(\alpha+t)}$ as functions of t

If $\alpha \geq 0$, k a positive integer with $1 \leq k \leq n - 2$, then for each t in the interval $0 \leq t \leq 2k$, excluding the values of t for which $L_n^{(\alpha)}$ and $L_{n-k}^{(\alpha+t)}$ have a common zero, the zeros of these two polynomials interlace.

Stieltjes interlacing and continuous variation in parameter(s)

2013 Martin Muldoon and KD
Laguerre polynomials

Graphs as an aid to understanding special functions Askey 1988

Common zeros of $L_n^{(\alpha)}$ and $L_{n-k}^{(\alpha+t)}$ as functions of t

If $\alpha \geq 0$, k a positive integer with $1 \leq k \leq n - 2$, then for each t in the interval $0 \leq t \leq 2k$, excluding the values of t for which $L_n^{(\alpha)}$ and $L_{n-k}^{(\alpha+t)}$ have a common zero, the zeros of these two polynomials interlace.

The interval $0 \leq t \leq 2k$ is largest possible such that interlacing holds for all n .

Asymptotic zero distribution of hypergeometric polynomials, Peter Duren and KD 1988

P Borwein and W Chen 1995

Asymptotic zero distribution as $n \rightarrow \infty$

$$\int_0^1 [t^k(1-t)^s f_z(t)]^n dt$$

where $f_z(t)$ is polynomial in t , analytic in z .

Asymptotic zero distribution of hypergeometric polynomials, Peter Duren and KD 1988

P Borwein and W Chen 1995

Asymptotic zero distribution as $n \rightarrow \infty$

$$\int_0^1 [t^k(1-t)^s f_z(t)]^n dt$$

where $f_z(t)$ is polynomial in t , analytic in z .

Euler integral representation of ${}_2F_1(-n, b; c; z)$, $\operatorname{Re}(c) > \operatorname{Re}(b) > 0$

$$\int_0^1 t^{b-1}(1-t)^{c-b-1}(1-zt)^n dt$$

Let $b = n + 1$, $c = 2n + 2$. As $n \rightarrow \infty$, zeros of ${}_2F_1(-n, n + 1; 2n + 2; z)$ cluster on the arc of the circle $|z - 1| = 1$, $\operatorname{Re}(z) > \frac{1}{2}$

Calculations of the zeros of ${}_2F_1(-n, n + 1; 2n + 2; z)$

For each $n \in \mathbb{N}$, zeros of ${}_2F_1(-n, n + 1; 2n + 2; z)$ lie on the circle

$$\{z : |z - 1| = 1, \operatorname{Re}(z) > \frac{1}{2}\}$$

Calculations of the zeros of ${}_2F_1(-n, n+1; 2n+2; z)$

For each $n \in \mathbb{N}$, zeros of ${}_2F_1(-n, n+1; 2n+2; z)$ lie on the circle

$$\{z : |z - 1| = 1, \operatorname{Re}(z) > \frac{1}{2}\}$$

For each $n \in \mathbb{N}$ and all $b > -\frac{1}{2}$, zeros of ${}_2F_1(-n, b; 2b; z)$ lie on the circle

$$\{z : |z - 1| = 1\}$$

Calculations of the zeros of ${}_2F_1(-n, n+1; 2n+2; z)$

For each $n \in \mathbb{N}$, zeros of ${}_2F_1(-n, n+1; 2n+2; z)$ lie on the circle

$$\{z : |z - 1| = 1, \operatorname{Re}(z) > \frac{1}{2}\}$$

For each $n \in \mathbb{N}$ and all $b > -\frac{1}{2}$, zeros of ${}_2F_1(-n, b; 2b; z)$ lie on the circle

$$\{z : |z - 1| = 1\}$$

R Askey

$${}_2F_1(-n, b; 2b; 1 - e^{2i\theta}) = \frac{n!e^{in\theta}}{(2b)_n} C_n^{(b)}(\cos\theta)$$

Ultraspherical polynomials $C_n^{(b)}(x)$, $b > -\frac{1}{2}$

$\{C_n^{(b)}\}_{n=0}^{\infty}$ is orthogonal on $(-1, 1)$, weight function $(1 - x^2)^{b-\frac{1}{2}}$, $b > -\frac{1}{2}$

$${}_2F_1(-n, b; 2b; 1 - e^{2i\theta}) = \frac{n!e^{in\theta}}{(2b)_n} C_n^{(b)}(\cos\theta)$$

Ultraspherical polynomials $C_n^{(b)}(x)$, $b > -\frac{1}{2}$

$\{C_n^{(b)}\}_{n=0}^{\infty}$ is orthogonal on $(-1, 1)$, weight function $(1 - x^2)^{b-\frac{1}{2}}$, $b > -\frac{1}{2}$

$${}_2F_1(-n, b; 2b; 1 - e^{2i\theta}) = \frac{n!e^{in\theta}}{(2b)_n} C_n^{(b)}(\cos\theta)$$

For $b > -\frac{1}{2}$, zeros of $C_n^{(b)}(x)$ lie in $(-1, 1) \Rightarrow$ zeros of ${}_2F_1(-n, b; 2b; z)$ lie on the circle $\{z : |z - 1| = 1\}$

Another identity linking $C_n^{(\lambda)}$ and ${}_2F_1(-n, b; 2b; -)$

$${}_2F_1(-n, b; 2b; z) = \frac{n!2^{-2n}z^n}{(b+\frac{1}{2})_n} C_n^{(\lambda)} \left(1 - \frac{2}{z}\right) \text{ where } \lambda = \frac{1}{2} - b - n$$

Another identity linking $C_n^{(\lambda)}$ and ${}_2F_1(-n, b; 2b; -)$

$${}_2F_1(-n, b; 2b; z) = \frac{n!2^{-2n}z^n}{(b+\frac{1}{2})_n} C_n^{(\lambda)} \left(1 - \frac{2}{z}\right) \text{ where } \lambda = \frac{1}{2} - b - n$$

$$b > -\frac{1}{2} \Leftrightarrow \lambda < 1 - n$$

Another identity linking $C_n^{(\lambda)}$ and ${}_2F_1(-n, b; 2b; -)$

$${}_2F_1(-n, b; 2b; z) = \frac{n!2^{-2n}z^n}{(b+\frac{1}{2})_n} C_n^{(\lambda)}(1 - \frac{2}{z}) \text{ where } \lambda = \frac{1}{2} - b - n$$

$$b > -\frac{1}{2} \Leftrightarrow \lambda < 1 - n$$

$${}_2F_1(-n, b; 2b; \frac{2}{1-w}) = \frac{n!2^{-n}(1-w)^{-n}}{(b+\frac{1}{2})_n} C_n^{(\lambda)}(w)$$

Another identity linking $C_n^{(\lambda)}$ and ${}_2F_1(-n, b; 2b; -)$

$${}_2F_1(-n, b; 2b; z) = \frac{n!2^{-2n}z^n}{(b+\frac{1}{2})_n} C_n^{(\lambda)}(1 - \frac{2}{z}) \text{ where } \lambda = \frac{1}{2} - b - n$$

$$b > -\frac{1}{2} \Leftrightarrow \lambda < 1 - n$$

$${}_2F_1(-n, b; 2b; \frac{2}{1-w}) = \frac{n!2^{-n}(1-w)^{-n}}{(b+\frac{1}{2})_n} C_n^{(\lambda)}(w)$$

For $b > -\frac{1}{2}$, zeros of ${}_2F_1(-n, b; 2b; z)$ on circle $|z - 1| = 1$

For $\lambda < 1 - n$, zeros of $C_n^{(\lambda)}(w)$ satisfy $|\frac{2}{1-w} - 1| = 1$

Another identity linking $C_n^{(\lambda)}$ and ${}_2F_1(-n, b; 2b; -)$

$${}_2F_1(-n, b; 2b; z) = \frac{n!2^{-2n}z^n}{(b+\frac{1}{2})_n} C_n^{(\lambda)}(1 - \frac{z}{2}) \text{ where } \lambda = \frac{1}{2} - b - n$$

$$b > -\frac{1}{2} \Leftrightarrow \lambda < 1 - n$$

$${}_2F_1(-n, b; 2b; \frac{2}{1-w}) = \frac{n!2^{-n}(1-w)^{-n}}{(b+\frac{1}{2})_n} C_n^{(\lambda)}(w)$$

For $b > -\frac{1}{2}$, zeros of ${}_2F_1(-n, b; 2b; z)$ on circle $|z - 1| = 1$

For $\lambda < 1 - n$, zeros of $C_n^{(\lambda)}(w)$ satisfy $|\frac{2}{1-w} - 1| = 1$

For $\lambda < 1 - n$, zeros of $C_n^{(\lambda)}$ lie on the imaginary axis

Define: $\mathcal{C}_n^{(\lambda)}(x) := (-i)^n C_n^{(\lambda)}(ix)$

For $\lambda < 1 - n$ all zeros of $\mathcal{C}_n^{(\lambda)}$ are real.

Define: $\mathcal{C}_n^{(\lambda)}(x) := (-i)^n C_n^{(\lambda)}(ix)$

For $\lambda < 1 - n$ all zeros of $\mathcal{C}_n^{(\lambda)}$ are real.

For $\lambda < -n$ the (finite) sequence $\{\mathcal{C}_n^{(\lambda)}\}_{n=1}^{-\lfloor \lambda+1 \rfloor}$ is orthogonal on the real line with respect to the weight function $(1+x^2)^{\lambda-\frac{1}{2}}$

Define: $C_n^{(\lambda)}(x) := (-i)^n C_n^{(\lambda)}(ix)$

For $\lambda < 1 - n$ all zeros of $C_n^{(\lambda)}$ are real.

For $\lambda < -n$ the (finite) sequence $\{C_n^{(\lambda)}\}_{n=1}^{-[\lambda+1]}$ is orthogonal on the real line with respect to the weight function $(1+x^2)^{\lambda-\frac{1}{2}}$

Askey 1988 "An integral of Ramanujan and orthogonal polynomials" J. Indian Math.Soc.

The (complex) orthogonality of Jacobi polynomials in the special case
$$\alpha = \beta = \lambda - \frac{1}{2}$$

Zeros of Pseudo ultraspherical polynomials $C_n^{(\lambda)}$

Martin Muldoon and KD 2013

Zeros of Pseudo ultraspherical polynomials $C_n^{(\lambda)}$

Martin Muldoon and KD 2013

Monotonicity properties of the real zeros

Zeros of Pseudo ultraspherical polynomials $C_n^{(\lambda)}$

Martin Muldoon and KD 2013

Monotonicity properties of the real zeros

Identification of sub-intervals of the real line that contain all the zeros
(depends on n and λ)

Suppose $\lambda \leq -(2 + \sqrt{2})n + \frac{1}{2}$. The zeros of $C_n^{(\lambda)}$ lie in $[-1, 1]$

Zeros of Pseudo ultraspherical polynomials $C_n^{(\lambda)}$

Martin Muldoon and KD 2013

Monotonicity properties of the real zeros

Identification of sub-intervals of the real line that contain all the zeros
(depends on n and λ)

Suppose $\lambda \leq -(2 + \sqrt{2})n + \frac{1}{2}$. The zeros of $C_n^{(\lambda)}$ lie in $[-1, 1]$

Interlacing (yes and no) across different families as λ varies continuously

Thank you Dick

The most enjoyable 3 of many Askey Moments