

Recent results in enumeration

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(joint work with J. Fulman, R. Guralnick, M. Ismail,
J. Kim, J. Lewis, K. O'Hara,
E. Rains, V. Reiner)

① integer partitions - Rogers-Ramanujan identities
(K. O'Hara)

② enumeration over finite fields
(J. Fulman, R. Guralnick, J. Lewis, V. Reiner)

③ integrals and posets
(J. Kim)

④ basic hypergeometric series and orthogonal polynomials
(M. Ismail, E. Rains)

I. Rogers-Ramanujan identities

$$1 + \frac{q^2}{1-q} + \frac{q^6}{(1-q)(1-q^2)} + \frac{q^{12}}{(1-q)(1-q^2)(1-q^3)} + \dots$$

$$= \frac{1}{(1-q^2)(1-q^3)(1-q^7)(1-q^8)\dots}$$

OR

$$\sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(1-q)\dots(1-q^n)} = \prod_{k \equiv 2, 3 \pmod 5} (1-q^k)^{-1}.$$

History : Rogers 1894

Ramanujan 1913 (no proof)

Schur 1917

Baxter 1980 (statistical mechanics)

Proofs : q -series : Rogers, Ramanujan, Watson, Andrews
Bressoud, Sylvester

rep theory : Lepowsky-Wilson

symm functions : Stembridge, Warnaar

"semi" - combinatorial : Garsia-Milne

Generalizations to all moduli : Andrews-Gordon
Bressoud

Theorem (MacMahon)

partitions of n into parts $\equiv 2, 3 \pmod 5$
 $=$ # partitions of n into parts with difference ≥ 2
 and no 1's.

EX $n=11$

83
 722
 3332
 32222

11
 9 2
 83
 7~~4~~

KEY IDEA

double
 staircase



$\frac{n^2+m}{2}$

$\leq m$

m parts

λ^* left justified

Suppose that a part of size 3 is marked

$$\frac{1}{(1-q^2)(1-\underline{tq^3})(1-q^7)(1-q^8)\dots} =$$

$$1 + \frac{q^2(1+tq+q^2)}{\underline{1-tq^3}} + \frac{q^6}{(1-q^2)} \frac{[3]_q}{\underline{1-tq^3}} +$$

$$\sum_{k=3}^{\infty} \frac{q^{k(k+1)}}{(1-q)(1-q^2)(\underline{1-tq^3})(1-q^4)\dots(1-q^k)}$$

(O'Hara-S, 2014)

Theorem # partitions of n into parts $\equiv 2, 3 \pmod{5}$,
with exactly k 3's

= # partitions λ of n with difference ≥ 2 , no 1's,

if λ has ≥ 3 parts, λ^* has k 3's

if λ has 2 parts, λ^* has $3k, 3k+1$, or $3k+2$ 1's

if λ has 1 part, then $n \pmod{5} = 3k+2, 3k+4, 3k$.

EX $n=11$

$k=0$ $722 \leftrightarrow 74$ $(\lambda^* = (32)^t = 221)$

$k=1$ $83 \leftrightarrow 83$ $(\lambda^* = (41)^t = 2111)$
 $32222 \leftrightarrow 92$ $(\lambda^* = (5)^t = 11111)$

$k=3$ $3332 \leftrightarrow 11$ $(11 = 3 \cdot 3 + 2)$

ANOTHER ONE

$$1 + q^2 \frac{1+tg}{\underline{1-q^2}} + q^6 \frac{(q+q^2+t^2)}{(1-q^2)(\underline{1-tq^3})}$$

$$+ \sum_{k=3}^{\infty} \frac{q^{k(k+1)}}{(1-q)(1-q^2)(\underline{1-tq^3})(1-q^4) \dots (1-q^k)}$$

$$= \frac{1}{(1-q^2)(\underline{1-tq^3})(1-q^2)(1-q^3) \dots}$$

Also

= # partitions λ of n with difference ≥ 2 , no 1's

if λ has ≥ 3 parts, λ^* has k 3's

if λ has 2 parts, λ^* has $3k+1, 3k+2$, or $3k-6$ 1's

if λ has 1 part, then $n = \text{ODD}$, $n \geq 3$, $k=1$.

Theorem 6. A t, w, v, x -refinement of the second Rogers-Ramanujan identity for part sizes 2, 3, 7 and 8 is

$$\begin{aligned}
& 1 + q^2 \frac{(t + wq)}{1 - tq^2} + q^6 \frac{(w^2 + vq + xq^2)}{(1 - tq^2)(1 - wq^3)} + q^{12} \frac{(1 + q + v^2q^2 + xwq^3 + x^2q^4 + q^5 + q^6)}{(1 - tq^2)(1 - wq^3)(1 - vq^7)} \\
& + q^{20} \frac{(x + xq + q^2 + q^3 + (1 + x^3)q^4 + (1 + x)q^5 + (1 + x)q^6 + q^7 + q^8 + q^9 + q^{10})}{(1 - tq^2)(1 - wq^3)(1 - xq^8)(1 - vq^7)} \\
& + q^{30} \frac{(1 + q + q^2 + q^3 + q^4 + q^5 + q^6)(1 + q^4)}{(1 - tq^2)(1 - wq^3)(1 - xq^8)(1 - q^5)(1 - vq^7)} \\
& + q^{42} \frac{(1 + q + q^2 + q^3 + q^4 + q^5 + q^6)(1 + q^4)}{(1 - tq^2)(1 - wq^3)(1 - xq^8)(1 - q^5)(1 - q^6)(1 - vq^7)} \\
& + q^{56} \frac{(1 + q^4)}{(1 - q)(1 - tq^2)(1 - wq^3)(1 - xq^8)(1 - q^5)(1 - q^6)(1 - vq^7)} + \\
& \sum_{m=8}^{\infty} \frac{q^{m(m+1)}}{(1 - q)(1 - tq^2)(1 - wq^3)(1 - q^4)(1 - q^5)(1 - q^6)(1 - vq^7)(1 - xq^8) \cdots (1 - q^m)} \\
& = \frac{1}{(1 - tq^2)(1 - wq^3)(1 - vq^7)(1 - xq^8)(1 - q^{12})(1 - q^{13}) \cdots}.
\end{aligned}$$

Theorem 7. A t, w, v, x -refinement of the first Rogers-Ramanujan identity for part sizes 1, 4, 6 and 9 is

$$\begin{aligned}
 & 1 + q \frac{t}{1-tq} + q^4 \frac{(w + vq^2)}{(1-tq)(1-wq^4)} + q^9 \frac{(x + q^2 + v^2q^3 + q^5)}{(1-tq)(1-wq^4)(1-vq^6)} \\
 & + q^{16} \frac{(1 + x^2q^2 + q^3 + xq^4 + q^5 + q^6 + xq^7 + q^8 + q^{10})}{(1-tq)(1-wq^4)(1-vq^6)(1-xq^9)} \\
 & + q^{25} \frac{(1 + q^2 + q^3 + q^4 + q^5 + q^6 + q^7 + q^8 + q^{10})}{(1-tq)(1-wq^4)(1-vq^6)(1-xq^9)(1-q^5)} \\
 & + q^{36} \frac{(1 + q^2 + q^3 + q^4 + q^5 + q^6 + q^7 + q^8 + q^{10})}{(1-tq)(1-wq^4)(1-vq^6)(1-xq^9)(1-q^5)(1-q^6)} \\
 & + q^{49} \frac{(1 + q^2 + q^3 + q^4 + q^5 + q^6 + q^7 + q^8 + q^{10})}{(1-tq)(1-wq^4)(1-vq^6)(1-xq^9)(1-q^5)(1-q^6)(1-q^7)} \\
 & + q^{64} \frac{(1 + q^2 + q^3 + q^4 + q^5 + q^6 + q^7 + q^8 + q^{10})}{(1-tq)(1-wq^4)(1-vq^6)(1-xq^9)(1-q^5)(1-q^6)(1-q^7)(1-q^8)} \\
 & + \sum_{m=9}^{\infty} \frac{q^{m^2}}{(1-tq^1)(1-q^2)(1-q^3)(1-wq^4)(1-q^5)(1-vq^6)(1-q^7)(1-q^8)(1-xq^9)} \\
 & = \frac{1}{(1-tq^1)(1-wq^4)(1-vq^6)(1-xq^9)(1-q^{11})(1-q^{14}) \dots}
 \end{aligned}$$

Rational function identity

$$\frac{1}{1-q^3} \left(1 + \frac{q^2(1+tg+q^2)}{1-tq^3} + \frac{q^6(1+g+q^2)}{(1-q^2)(1-tq^3)} \right)$$

$$= \frac{1}{1-tq^3} \left(1 + \frac{q^2}{1-q} + \frac{q^6}{(1-q)(1-q^2)} \right)$$

Two variable version

$$\frac{1}{(1-q^2)(1-q^3)} \left(1 + \frac{q^2(t+wq)}{1-tq^2} + \frac{q^6(w^2+q+q^2)}{(1-wq^3)(1-tq^2)} \right)$$

=

$$\frac{1}{(1-tq^2)(1-wq^3)} \left(1 + \frac{q^2}{1-q} + \frac{q^6}{(1-q)(1-q^2)} \right)$$

KNOWN : Positive expansions for

1, 4, 6, 9 (1, 4 mod 5)

2, 3, 7, 8 (2, 3 mod 5)

PROBLEM RR-1 Find an algorithm which produces positive numerator polynomials for any subset S .

SPECULATION RR-2

- ① Is there a commutative algebra explanation for these rational function identities?
- ② Is there a polytope decomposition with enhanced Ehrhart functions here?

Non-uniqueness of decomposition $\approx$

Non-uniqueness of identity

? RED HERRING?

q -Fibonacci numbers

$$F_n(q) = \sum_{\substack{\lambda \\ \lambda \text{ diff } 2 \\ \text{largest part of } \lambda \leq n}} q^{|\lambda|}$$

$$F_n(q) = F_{n-1}(q) + q^n F_{n-2}(q)$$

$F_n(1)$ golden ratio, $\sqrt{5}$, powers of 5

Is this 5 the 5 of R-R?

II. S_n enumeration $\rightarrow GL_n(\mathbb{F}_q)$ enumeration

Three questions

(A) $(12 \dots n) = t_1 t_2 \dots t_{n-1}$ transpositions
n-cycle

(B) $Z_n(\pi) = \# \text{ fixed pts. of } \pi$
 $\lim_{n \rightarrow \infty} Z_n = ?$

(C) $I_n = \# \text{ involutions in } S_n$

Asymptotics, + Gen. Fct for I_n known

Factorizations

THM (Hurwitz 1891) # factorizations of an n -cycle in S_n into $n-1$ transpositions is

$$h^{n-2}.$$

THM (Deligne) W finite Coxeter group
 c Coxeter element

factorizations of c as a minimal product of N reflections is

$$\frac{N! h^N}{|W|}$$

$h = \text{order of } c$

W - Catalan numbers

DEFN W real reflection group, Coxeter system
 (W, S) , Coxeter element c .

$$NC(W) = [e, c] \quad \text{all possible partial products of reflections to get to } c$$

THM (Bessis 2003)

$$Cat(W) = |NC(W)| = \prod_{i=1}^n \frac{h + d_i}{d_i}$$

$d_1, \dots, d_n$ degrees of the basic invariants

q -version of PROBLEM

n -cycle $\rightarrow$ Singer cycle $c \in \text{GL}_n(\mathbb{F}_q)$

transposition $\rightarrow$ reflection

Singer cycle c

Let $c \in \mathbb{F}_{q^n}^\times$ generate. Multiplication

by c in $\mathbb{F}_{q^n} \cong \mathbb{F}_q^n$ gives a

linear transformation

FACT c acts transitively on 1-dimensional subspaces

Reflection t

t fixes a hyperplane

$\left\{ \begin{array}{l} \text{transvections} \\ \text{semi-simple} \end{array} \right. \begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 2 & 0 \\ 0 & 1 \end{bmatrix}$

THM (LRS 2014) # factorizations of the Singer cycle c into n reflections is

$$(q^n - 1)^{n-1}.$$

THM (LRS 2014) # factorizations of the Singer cycle $c = t_1 t_2 \dots t_\ell$ with exactly m transvections ($\dim(t_i) = 1$) is, with $(\det(t_1), \dots, \det(t_\ell))$ fixed,

$$[n]_q^{\ell-1} \sum_{i=0}^{\min(m, \ell-n)} (-1)^i \binom{m}{i} \begin{bmatrix} \ell-i-1 \\ n-1 \end{bmatrix}_q$$

($\ell = n$ independent of det's)

THM (LRS 2014) The # of factorizations of the Singer cycle $c \in GL_n(\mathbb{F}_q)$ into l reflections is

$$[n]_q^{l-1} \sum_{k=0}^{l-n} (-1)^k (q-1)^{l-k-1} \binom{l}{k} \begin{bmatrix} l-k-1 \\ n-1 \end{bmatrix}_q.$$

THM (Jackson 1988) The generating function for factorization of an n -cycle into ℓ transpositions is

$$n^{n-2} x^{n-1} \prod_{k=0}^{n-1} \left(1 - nx \left(\frac{n-1}{2} - k \right) \right)^{-1}.$$

THM (LRS, 2014) The generating function for factorization of the Singer cycle c into ℓ reflections is

$$(q^n - 1)^{n-1} x^n (1 + x [n]_q)^{-1} \prod_{k=0}^{n-1} \left(1 + [n]_q x (1 - q^k - q^{k+1}) \right)^{-1}$$

PROBLEM SINGER-1 Is there an a priori
reason for this simple rational function
generating function?

The S_n -case is closely related to geometry
Lyashko-Looigenga morphism (T. Douvropoulos thesis)

③ Limits of fixed pt random variables

Theorem (Diaconis-Shahshahani 1994)

For $\pi \in S_n$, let $Z_n(\pi) = \# \text{ fixed pts. of } \pi$

$$\lim_{n \rightarrow \infty} Z_n = P = \text{Poisson parameter } a=1$$

Recall Poisson

$$\text{Prob}(P=x) = e^{-a} \frac{a^x}{x!} \quad x=0,1,2,\dots$$

The orthogonal polynomial(s) for this measure are
Charlier $C_n(x,a)$.

$$q\text{-world} \quad k!_q \leftarrow k!$$

$$\sum_{k=0}^{\infty} \frac{a^k}{k!_q} \leftarrow e^a \rightarrow \sum_{j=0}^{\infty} \frac{a^j q^{\binom{j}{2}}}{j!_q}$$

$$\prod_{j=0}^{\infty} (1 - (1-q)q^j a)^{-1} = ((1-q)a; q)_{\infty}^{-1} \quad \text{INFINITE PRODUCT}$$

q -Charlier polynomials

$$C_n(x; a; q) = \frac{a^x q^{\binom{x}{2}}}{(1-q) \cdots (1-q^x)}$$

$$x = 0, 1, 2, \dots$$

Thm (Fulman-S, 2015)

For $g \in GL_n(\mathbb{F}_q)$, $Z_n(g) = \#$ Fixed vectors of g

$$\lim_{n \rightarrow \infty} Z_n = q\text{-Poisson from}$$

Al-Salam Carlitz polynomials

$$\bigcup_n^{(a)} (x; p)$$

measure

$$\frac{(apip)_\infty p^{k^2} a^k}{(pip)_k (apip)_k}$$

$$p = \gamma_q, \quad a = 1$$

Moments

permutations

$$E(Z_n^j) = \sum_{k=1}^j S(j, k) = B_j$$

general linear
group

if $n \geq j$

$$E(Z_n^j) = \sum_{k=0}^j \left[\begin{matrix} j \\ k \end{matrix} \right]_q$$

rescaling

if $n \geq j$

$$\cong \sum_{k=0}^j S_q(j, k) q^k$$

FP problem I Arratia-Tavare 1992

"The cycle structure of random permutations"
have a general result for Poisson RV.

Find the analogue for $GL_n(\mathbb{F}_q)$, $SO_n(q)$, etc.
using the q -cycle index. Make these above
results clear, with different q -Poisson.

© $I_n = \# \text{ involutions } \pi \in S_n.$

KNOWN: $\sum_{n=0}^{\infty} I_n \frac{x^n}{n!} = e^{x + x^2/2}$

$$\frac{I_n}{n!} = \frac{e^{-1/4} n^{-1/2}}{2\sqrt{\pi n}} e^{n/2 + \sqrt{n}} \left(1 + O\left(\frac{1}{n^{1/5}}\right) \right)$$

? from Pasechnik: $\# GL_n(\mathbb{F}_q)$ involutions

Robinson: n even, close to $2^{n^2/2}$.

(2015)

$$q=2: \frac{3}{4}.$$

$$n \rightarrow \infty$$

$$\leq \frac{\# \text{invol}}{2^{n^2/2}} \leq 2 + \epsilon$$

Theorem (Fulman - Guralnick - S. 2016)

If q is even,

$$\lim_{\substack{n \rightarrow \infty \\ n \text{ even}}}$$

$$\frac{\text{inv}_{GL}(n, q)}{q^{n^2/2}}$$

$$= \frac{1}{2} \left[\left(-\frac{1}{\sqrt{q}} i \frac{1}{q} \right)_{\infty} + \left(\frac{1}{\sqrt{q}} i \frac{1}{q} \right)_{\infty} \right]$$

$$= \prod_{i=1}^{\infty} \frac{(1+q^{5-8i})(1+q^{3-8i})(1-q^{-8i})}{(1-q^{-2i})}$$

$$\approx 1.6793 \text{ if } q=2.$$

Also generating functions are infinite products

$$\sum_{n=0}^{\infty} \frac{u^n q^{\frac{n}{2}} \binom{n}{2}}{|GL_n(\mathbb{F}_q)|} \text{inv}_{GL}(n, q) =$$

$$\frac{1}{1-u^2} \frac{\left(-\frac{u}{\sqrt{q}}; \frac{1}{q}\right)_{\infty}^2}{\left(\frac{u^2}{q}; \frac{1}{q}\right)_{\infty}}.$$

Three other results, parity n, q .

Results for

$$U_n(q)$$

$$Sp_{2n}(q)$$

$$O_{2n}^+(q)$$

$$O_{2n}^-(q)$$

asymptotics + generating
function

PROBLEM INV-I Show these results are immediate from a q -cycle index generating function.

$$S_n : \exp\left(\sum_{i=1}^{\infty} \frac{t_i}{i} x^i\right)$$

Related work of Tayler-Vinroot 2017.

③ Posets

P poset on $\{x_1, x_2, \dots, x_n\}$ (labelled)

DEFN The order polytope of P

$$\mathcal{O}(P) = \left\{ (x_1, \dots, x_n) : 0 \leq x_i \leq 1, \begin{array}{l} x_i \leq x_j \text{ if} \\ x_i \leq_P x_j \end{array} \right\}$$

FACT $\text{Vol}(\mathcal{O}(P)) = \frac{\# \text{linear extensions of } P}{n!}$

$$\int_{\mathcal{O}(P)} 1 \, dx_1 dx_2 \dots dx_n$$

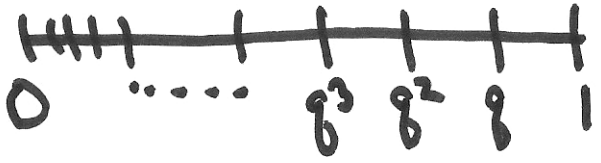
q-version (Kim-S. 2016)

Theorem $\text{Vol}_q(\mathcal{O}(P)) = \sum_{\pi \in \mathcal{L}(P)} q^{\text{maj}(\pi)} / n!_q.$

$$\int_{\mathcal{O}(P)}^{\parallel} 4 \, d_q x_1 \, d_q x_2 \cdots d_q x_n$$

DEFN $\int_a^b f(x) \, d_q x = (1-q) \sum_{k=0}^{\infty} \left[f(bq^k) bq^k - f(aq^k) aq^k \right]$

$a=0 \quad b=1$



Beta function

$$\int_0^1 x^n (1-x)^m dx = \frac{n! m!}{(n+m+1)!}$$

q-beta function

$$\int_0^1 x^n (xq;q)_m d_q x = \frac{n!_q m!_q}{(n+m+1)!_q}$$

chain with $n+m+1$ points

$$(xq;q)_m = (1-xq)(1-xq^2) \cdots (1-xq^m)$$

Selberg's integral 1944

$$\int_0^1 \int_0^1 \dots \int_0^1 \prod_{i=1}^n x_i^{\alpha-1} (1-x_i)^{\beta-1} \prod_{1 \leq i < j \leq n} |x_i - x_j|^{2\gamma} dx_1 \dots dx_n$$

$$= \prod_{j=1}^n \frac{\Gamma(\alpha + (j-1)\gamma) \Gamma(\beta + (j-1)\gamma) \Gamma(1 + j\gamma)}{\Gamma(\alpha + \beta + (n+j-2)\gamma) \Gamma(1 + \gamma)}$$

Diaconis, Stanley

Askey-Selberg integral 1980

$$\int_0^1 \cdots \int_0^1 \prod_{i=1}^n x_i^{\alpha-1} (q x_i; q)_{\beta-1} \prod_{1 \leq i < j \leq n} x_j^{2m-1} (q^{1/m} x_i/x_j; q)_{2m-1}$$

$$\Delta(x_1, \dots, x_n) d_q x_1 \cdots d_q x_n$$

$$= q^{\alpha m \binom{n}{2} + 2m^2 \binom{n}{2}} \times$$

$$\prod_{j=1}^n \frac{\Gamma_q(\alpha + (j-1)m) \Gamma_q(\beta + (j-1)m) \Gamma_q(1+jm)}{\Gamma_q(\alpha + \beta + (n+j-2)m) \Gamma_q(1+m)}$$

Theorem There is an explicit poset $P(\alpha, \beta, m, n)$ for α, β non-negative integers such that

$\text{Vol}_q(P(\alpha, \beta, m, n))$ is given by the Askey-Selberg integral.

Poset Prob I Combinatorially find the predicted # linear ext.
(q -case!)

NOTE: There is a q -Ehrhart function which is a polynomial in $[n]_q$ whose leading coefficient is $\text{Vol}_q(\mathcal{O}(P))$.

Another q -beta function

Askey-Wilson integral

$$\frac{(q; q)_{\infty}}{2\pi} \int_0^{\pi} \frac{(e^{2i\theta}, e^{-2i\theta}; q)_{\infty}}{(ae^{i\theta}, ae^{-i\theta}, be^{i\theta}, be^{-i\theta}, ce^{i\theta}, ce^{-i\theta}, de^{i\theta}, de^{-i\theta}; q)_{\infty}} d\theta$$

$$= \frac{(abcd; q)_{\infty}}{(ab, ac, ad, bc, bd, cd; q)_{\infty}} .$$

POSET Prob II

Is there a Vol_q

$$\int_0^1 \dots \int_0^1 \mathbb{1}_P dx_1 \dots dx_n \quad \text{or}$$

$$\sum_{P \in \mathcal{O}(P)} \mathbb{1}_P dx_1 \dots dx_n .$$

④ Orthogonal polynomials

$$\int_{-\infty}^{\infty} p_n(x) p_m(x) d\mu(x) = 0 \quad n \neq m \quad \deg p_n = n$$

FACT $\{p_n(x)\}_{n=0}^{\infty}$ OP for a positive measure iff

$$p_{n+1}(x) = (x - b_n) p_n(x) - \lambda_n p_{n-1}(x)$$

b_n real, $\lambda_n > 0$

Viennot - Flajolet : combinatorial model for OP, moments
weighted Favard paths, Motzkin paths

Classical OP

Jacobi $P_n^{(\alpha, \beta)}(x)$

$$(1-x)^\alpha (1+x)^\beta \quad (2)$$

Laguerre $L_n^\alpha(x)$
 $x^\alpha e^{-x} dx$

ultraspherical $C_n^\alpha(x)$
 $(1-x^2)^\alpha dx \quad (7)$

Hermite $H_n(x)$
 $e^{-x^2} dx$

(0)

q-cases

(4) Askey-Wilson
 $P_n(x; a, b, c, d | q)$

(3) many

(2) many

(1) many

(0)

Basic hypergeometric series

$$P_n(x; a, b, c, d | q) = {}_4\phi_3 \left(\begin{matrix} q^{-n}, abcdq^{n-1}, ae^{i\theta}, a\bar{e}^{i\theta} \\ ab, ac, ad \end{matrix} ; q \right)$$

$$x = \cos \theta$$

Includes Macdonald symmetric functions in 2 variables.

$$= \sum_{k=0}^n \frac{(q^{-n}, abcdq^{n-1}, ae^{i\theta}, a\bar{e}^{i\theta}; q)_k}{(q, ab, ac, ad; q)_k} q^k$$

measure in θ is on $[0, \pi]$

$$(e^{2i\theta}, e^{-2i\theta}; q)_\infty d\theta$$

$$(ae^{i\theta}, a\bar{e}^{i\theta}, be^{i\theta}, b\bar{e}^{i\theta}, ce^{i\theta}, c\bar{e}^{i\theta}, de^{i\theta}, d\bar{e}^{i\theta}; q)_\infty$$

Very well poised series

DEFN ${}_8W_7 \left(A; a_0, a_1, a_2, a_3, a_4; q, z \right) =$

$$\sum_{k=0}^{\infty} \frac{1 - Aq^{2k}}{1 - A} \frac{(A, a_0, a_1, a_2, a_3, a_4; q)_k}{(q, \frac{A}{a_0}, \frac{A}{a_1}, \frac{A}{a_2}, \frac{A}{a_3}, \frac{A}{a_4}; q)_k} z^k$$

The Askey-Wilson polynomials are a special case

$$\phi_3 = \phi_7 \text{ transformation.}$$

Theorem (Ismail-Rains-S. 2012?) Let

$$P_n(x, \vec{a}, \vec{b}) = \frac{z^n}{(1/z^2 q)_n} \frac{\prod_{i=1}^{m+4} (a_i/z q)_n}{\prod_{i=1}^m (b_i/z q)_n}$$

$${}_{2m+8}W_{2m+7} \left(q^{-n} z^2, q^{-n}, a_1 z, \dots, a_{m+4} z, \frac{q^{1-n} z}{b_1}, \dots, \frac{q^{1-n} z}{b_m} \middle| q, z \right)$$

$$z = \frac{b_1 \cdots b_m}{a_1 \cdots a_{m+4}} q^{2-n}$$

($m=0$ is Askey-Wilson)

then

$$\int_{-1}^1 p_n(x, \vec{a}, \vec{b}) \pi(x) w(x, \vec{a}, \vec{b}) dx = 0$$

for any polynomial $\pi(x)$ of degree $\leq n-1$,

$$w(x, \vec{a}, \vec{b}) = \left(e^{2i\theta}, e^{-2i\theta}; b \right)_\infty \prod_{i=1}^m (b_i e^{i\theta}, b_i e^{-i\theta}; q)_\infty$$

$$x = \cos \theta$$

$$\prod_{i=1}^{m+4} (a_i e^{i\theta}, a_i e^{-i\theta}; q)_\infty$$

SPECIAL CASE : $U_n(x) = p_n(x, (t_1, \dots, t_5), q^{n-1} t_1, \dots, t_5)$
 $(m=1)$ $\cdot (t_1, \dots, t_5, z q^{n-1}, t_1, \dots, t_5 q^{n-1/2}; q)_n$
 poly of degree n

Christoph Koutschan (2013) Explicit 3-term relation

$$U_{n+1} = A_{n+1} \left(1 - \frac{z^{n-2}}{q} T_{1/2}\right) \left(1 - \frac{z^{n-2}}{q} T_z\right) \left(1 - \frac{z^{n-3}}{q} T_z\right) \left(1 - \frac{z^{n-3}}{q} T_z\right) \\
\times U_{n-1} + \\
(B_n (1 - t_{1/2})(1 - t_z) + C_n) U_n$$

Proposition 9.1. The polynomial $U_n(x) = U_n(x_n; \mathbf{t}|q)$ satisfies the following 3-term recurrence relation

$$(9.1) \quad U_{n+1} - A_{n+1}(1 - q^{2n-2}Tz)(1 - q^{2n-2}T/z)(1 - q^{2n-3}Tz)(1 - q^{2n-3}T/z)U_{n-1} \\ - (B_n(1 - t_1/z)(1 - t_1z) + C_n))U_n = 0,$$

where

$$A_n = \prod_{i=1}^4 \prod_{j=i+1}^5 (1 - t_i t_j q^{n-2})(1 - q^{n-1})(-q^8) / \prod_{i=1}^5 (1 - e_5 q^{n-2}/t_i) \frac{N}{D}, \text{ where} \\ N = (q^{10} - e_5^4 q^{8n} + e_5^2(q^{3n+5} - q^{5n+5}) - e_4 q^{2n+8} + e_5^2 e_4 (q^{6n+3} - q^{5n+3}) + e_5 e_3 q^{3n+6} \\ + e_5^3 e_1 q^{6n+2} - e_5^2 e_2 q^{5n+4} + e_5 e_1 (q^{3n+7} - q^{2n+7})) \\ D = (q^{18} - e_5^4 q^{8n} + e_5^2(q^{3n+10} - q^{5n+8}) - e_4 q^{2n+14} + e_5^2 e_4 (q^{6n+5} - q^{5n+6}) \\ + e_5 e_3 q^{3n+11} + e_5^3 e_1 q^{6n+4} - e_5^2 e_2 q^{5n+7} + e_5 e_1 (q^{3n+12} - q^{2n+13})); \\ C_n = \prod_{j=2}^5 (1 - q^n t_1 t_j) \frac{(1 - q^{2n-1} t_2 t_3 t_4 t_5)(1 - q^{2n} t_2 t_3 t_4 t_5)}{t_1(1 - q^{n-1} t_2 t_3 t_4 t_5)} \\ - A_{n+1} \frac{(1 - t_1^2 t_2 t_3 t_4 t_5 q^{2n-2})(1 - t_1^2 t_2 t_3 t_4 t_5 q^{2n-3})(1 - t_2 t_3 t_4 t_5 q^{n-2})t_1}{\prod_{j=2}^5 (1 - q^{n-1} t_1 t_j)}. \\ B_n = ((1 - t_1 t_2 q^n) \prod_{j=3}^5 (1 - q^n t_2 t_j) \frac{(1 - q^{2n-1} t_1 t_3 t_4 t_5)(1 - q^{2n} t_1 t_3 t_4 t_5)}{(1 - q^{n-1} t_1 t_3 t_4 t_5)t_2} \\ - A_{n+1} \frac{(1 - t_1 t_2^2 t_3 t_4 t_5 q^{2n-2})(1 - t_1 t_2^2 t_3 t_4 t_5 q^{2n-3})(1 - t_1 t_3 t_4 t_5 q^{n-2})t_2}{\prod_{j=3}^5 (1 - q^{n-1} t_2 t_j)(1 - t_1 t_2 q^{n-1})} \\ - C_n) / (1 - t_1/t_2)(1 - t_1 t_2).$$

and e_i is the elementary symmetric function of t_1, t_2, t_3, t_4, t_5 of degree i .

Type R_{II} polynomials (Ismail-Massen 1995)

$$P_n(x) = (x - c_n) P_{n-1}'(x) - \lambda_n (x - a_n)(x - b_n) P_{n-2}(x)$$

OP prob I Find a Viennot-Flajolet theory for
 R_{II} polynomials, continued fractions.

! THANK YOU !