

# PATTERN FORMATION IN MESOPHASES

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# KINETIC EQUATION

$$\tau_0 \frac{\partial \psi(\mathbf{r}, t)}{\partial t} = \epsilon \psi - \frac{\xi_0^2}{4k_0^2} (q_0^2 + \nabla^2)^2 \psi + g_2 \psi^2 - \psi^3$$

## Stationary solution $g_2 = 0$

- $\epsilon < 0$ :  $\psi = 0$
- $\epsilon > 0$ :  $\psi(\vec{r}, t) = \epsilon^{1/2} A_0 \sin(\vec{q}_0 \cdot \vec{r}) + \mathcal{O}(\epsilon^{3/2})$ .

Stripe pattern oriented along an arbitrary  $\vec{q}_0$ .  
*Smectic or lamellar phase (1D crystal).*

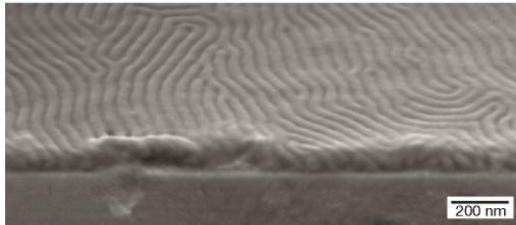
## Stationary solution $g_2 \neq 0$

- $-|\epsilon_m(g_2)| < \epsilon < \epsilon_M(g_2)$ :  $\psi(\vec{r}, t) = \sum_{n=1}^6 A_n e^{i\vec{q}_n \cdot \vec{x}} + \text{c.c.}$ .

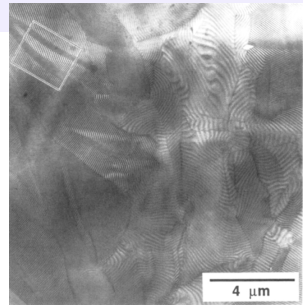
Hexagonal pattern. *Columnar phase (2D crystal).*

# ORDER AT FINITE WAVEVECTOR

## Block Copolymers

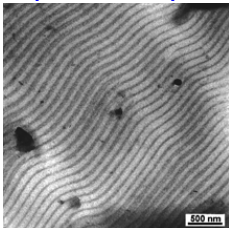


[Kim, ..., de Pablo, Nealy, 2003]



[Gido and Thomas, 1994]

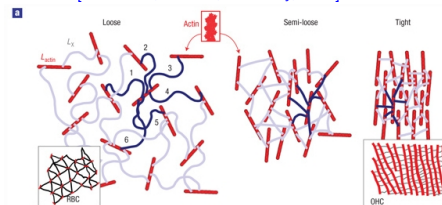
[Forster et al. 2001]



## Amphiphilic systems

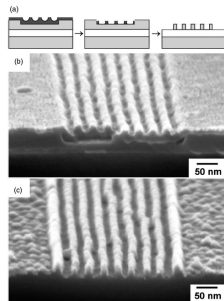
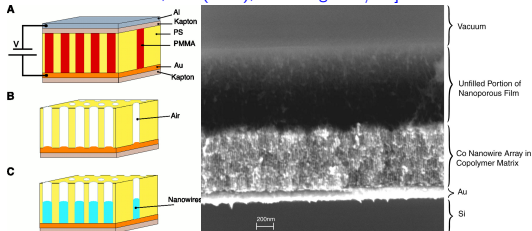
Liquid crystalline elastomers

[Dalhaimer, Discher, Lubensky, 2007]



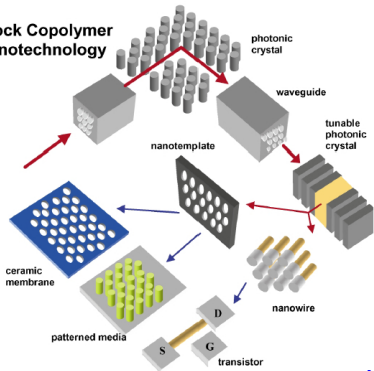
# APPLICATIONS IN NANOTECHNOLOGY

[T. Thurn-Albrecht *et al.*, *Science* **290**, 2126 (2000); B. Stipe *et al.* *Nature Photonics* **4**, 484 (2010), exceeding 1TB/in<sup>2</sup>]



[C.T. Black, *APL* 2005: PS-PMMA]

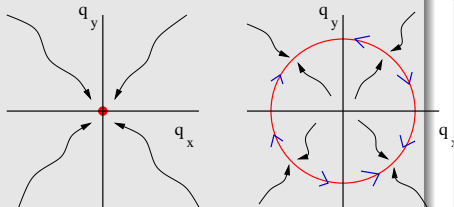
## Block Copolymer Nanotechnology



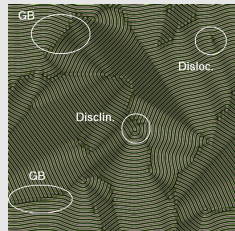
[Park, Yoon, and Thomas, *Polymer* 2003]

# DIFFERENCES WITH $q=0$ SYSTEMS

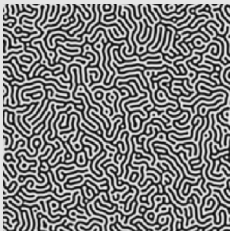
## Domain coarsening



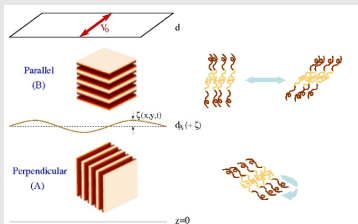
## Topological defects



## Pinning and structural glass



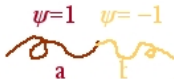
## Anisotropic rheology



# ENERGETICS

## Order parameter

$$\psi \propto \rho_a - \rho_b$$



## Free energy

### ■ Ohta-Kawasaki

$$F[\psi] = \int dr \left( \frac{-\tau}{2} \psi^2 + \frac{u}{4} \psi^4 + \frac{K}{2} (\nabla \psi)^2 \right) + \frac{B}{2} \int dr dr' \psi(r) G(r-r') \psi(r')$$

with  $\nabla^2 G(r-r') = -\delta(r-r')$ .

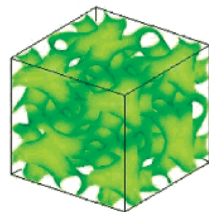
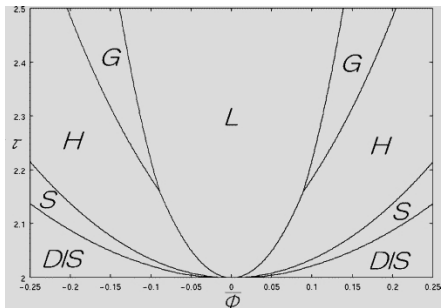
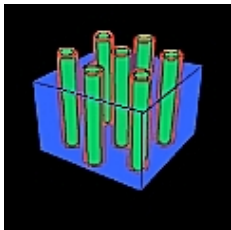
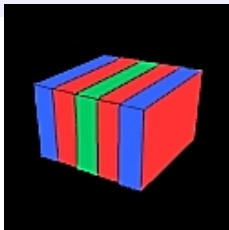
### ■ Leibler/Swift-Hohenberg (weak segregation limit)

$$F[\psi] = \int dr \left( \frac{-\tau}{2} \psi^2 + \frac{u}{4} \psi^4 + \frac{K}{2} [(\nabla^2 + q_0^2) \psi]^2 \right)$$

$\tau$  is temperature difference with microphase separation

temperature,  $q_0$  is the characteristic wavenumber. UNIVERSITY OF MINNESOTA

# PHASE DIAGRAM

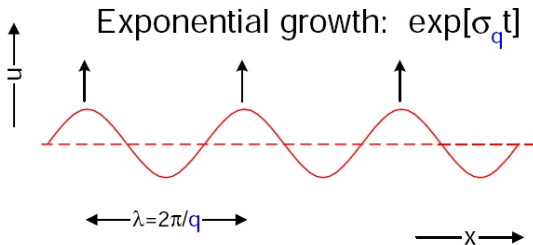


[K. Yamada, M. Nonomura, and T. Ohta,  
*Macromolecules* **37**, 5762 (2004)].

UNIVERSITY OF MINNESOTA

# LINEAR STABILITY ANALYSIS

Study the evolution of a perturbation of  $\psi_0$ :



$$\delta\psi_{\mathbf{q}}(\mathbf{x}, t) = \psi_{\mathbf{q}} e^{i\mathbf{q}\cdot\mathbf{x}} e^{\sigma_{\mathbf{q}} t}$$

Re  $\sigma_{\mathbf{q}}$  gives exponential growth or decay. Im  $\sigma_{\mathbf{q}} = -\omega_{\mathbf{q}}$  gives oscillations, waves  $e^{i(\mathbf{q}\cdot\mathbf{x} - \omega_{\mathbf{q}} t)}$

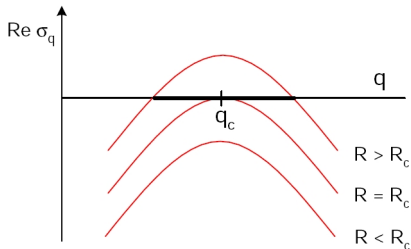
Im  $\sigma_{\mathbf{q}} = 0 \rightarrow$  Stationary Instability

Im  $\sigma_{\mathbf{q}} \neq 0 \rightarrow$  Oscillatory Instability



# NONLINEARITY

Parabolic approximation:



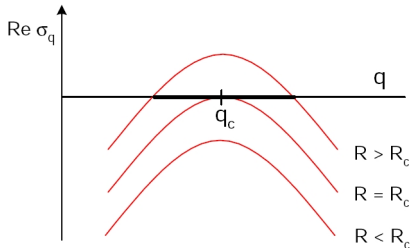
For  $R$  near  $R_c$  and  $q$  near  $q_c$ ,

$$\text{Re } \sigma_q = \frac{1}{\tau_0} [\epsilon - \xi_0^2 (q - q_c)^2]$$

$$\epsilon = \frac{R - R_c}{R_c}$$

# NONLINEARITY

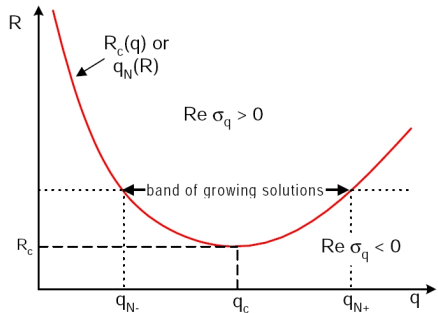
Parabolic approximation:



For  $R$  near  $R_c$  and  $q$  near  $q_c$ ,

$$\text{Re } \sigma_q = \frac{1}{\tau_0} [\epsilon - \xi_0^2 (q - q_c)^2]$$

$$\epsilon = \frac{R - R_c}{R_c}$$



Near threshold **separation of scales:**

1.  $|q_{N-} - q_{N+}| \sim \sqrt{\epsilon}$ . Slow modulations around periodic solutions with  $q_c$ .
2. Modulations are slow: grow in a time scale  $\epsilon$

# NONLINEARITY

Slightly above threshold ( $\varepsilon \ll 1$ ):

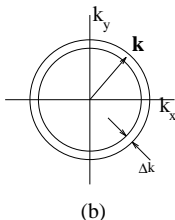
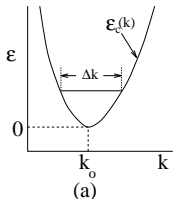
- Continuum of modes around  $k_0$ .
- Other symmetries: rotational invariance ...

- Linear evolution.

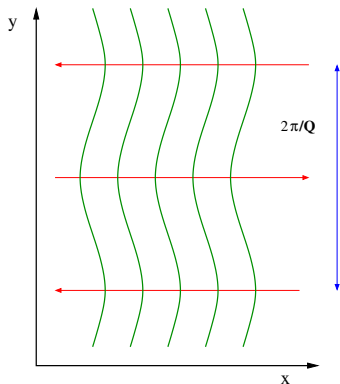
$$\frac{\partial \hat{\psi}(k)}{\partial t} = [\varepsilon - \xi_0^2 (k - k_0)^2] \hat{\psi}(k) + \dots$$

$$\xi_0^2 = \frac{1}{2} \left( \frac{\partial^2 \varepsilon_c(k)}{\partial k^2} \right)_{k_0}.$$

$\xi_0$  is the coherence length that determines the “rigidity” of the pattern (mean field correlation length).



# AMPLITUDE EQUATIONS



- Assume weak distortion,

$$\psi = A(X, Y, T)e^{ik_0x} + \text{c.c.}$$

with

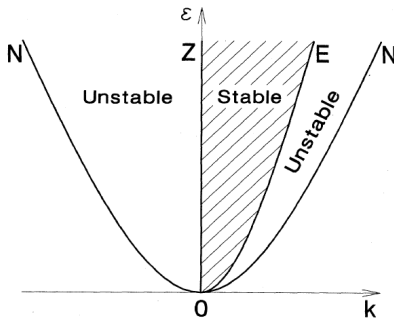
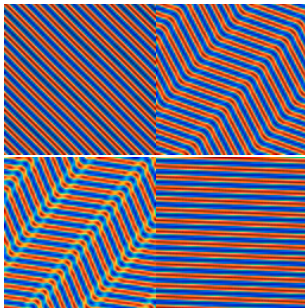
$$A(X, Y, T) = A(\epsilon^{1/2}x, \epsilon^{1/4}y, \epsilon t).$$

- Ginzburg-Landau equation (in original variables),

$$\frac{\partial A}{\partial t} = \epsilon A + \xi_0^2 \left( \partial_x - \frac{i}{2k_0} \partial_y^2 \right)^2 A - 3|A|^2 A$$

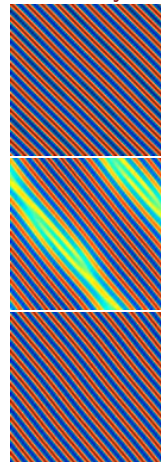
# SECONDARY INSTABILITIES

Zig-zag (transverse)  
instability



“Wavelength selection”  
problem

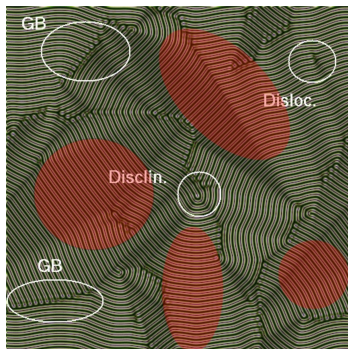
Eckhaus  
(longitudinal)  
instability



# PATTERN SELECTION - LARGE DOMAIN

- Boundary conditions. At small  $\epsilon$ , they reduce the band of allowable solutions. No sharp selection.
- Dynamical: front propagation. Studied in one dimension.
- Set by defects (targets, grain boundaries select wavelength).
- Statistical: initial conditions and domain coarsening.

# DOMAIN COARSENING



- A single time dependent length  $l(t)$  emerges, the linear scale of the structure.
- In the self-similar range,  $t \rightarrow \infty$ ,  $l(t) \rightarrow \infty$ , and all other scales of microscopic origin become irrelevant (cf. correlation length divergence near a critical point).

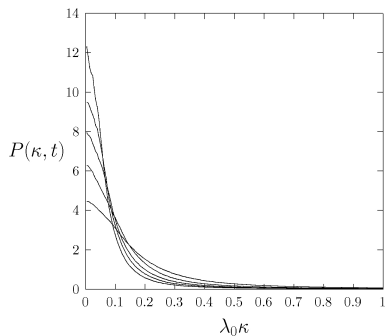
- Scaling functions are introduced. For example for the domain size distribution ( $R$  the linear size of a domain),

$$p(R, t) = \mathcal{G} \left( \frac{R}{t^x} \right) \quad l(t) \sim t^x.$$

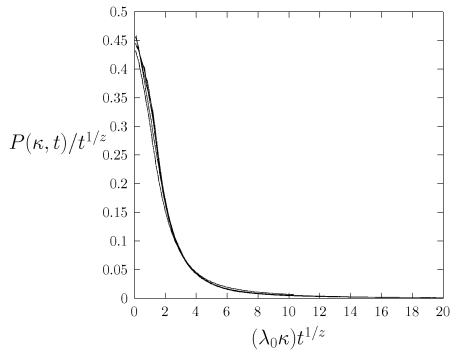
- Universality classes have been introduced according to the value of  $x$ 
  - Purely relaxational dynamics,  $x = 1/2$ .
  - Relaxational dynamics with global conservation law,  $x = 1/3$ .
  - Binary fluids (non-variational modes),  $x = 1$ .

# CURVATURE SCALING FUNCTION

Curvature distribution function



Scaled curvature distribution function

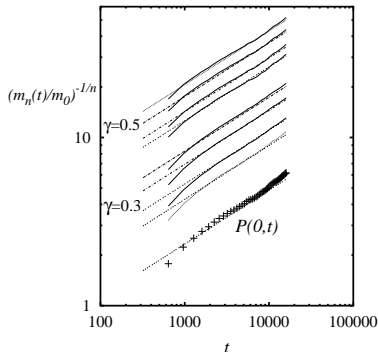




# MOMENTS OF CURVATURE DISTRIBUTION

Moments of the distribution of domain curvatures,

$$m_n(t) = \int_0^{\kappa_c(t)} d\kappa \kappa^n P(\kappa, t) \quad P(\kappa, t) = t^x f(\kappa t^x)$$



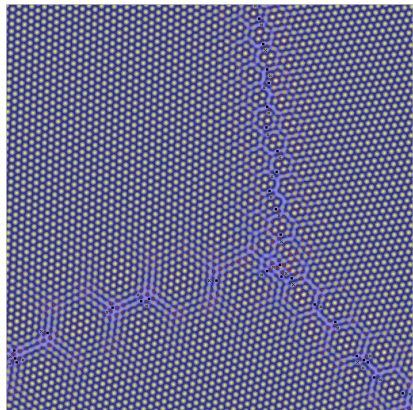
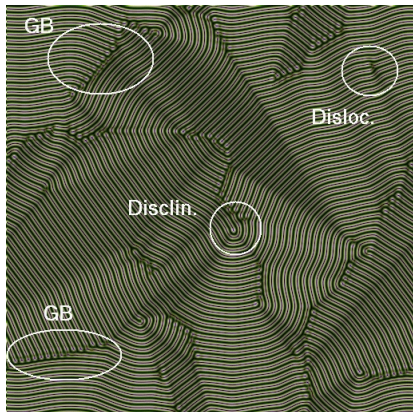
$$x \simeq 1/3$$

## Coarsening mechanism

- Experiments in thin films (hexagonal)  $x = 1/4$
- Domain wall relaxation,  $x = 1/2$  or  $x = 1/4$ .
- Numerically: no fluctuations  $x = 1/5$ , fluctuations  $x = 1/4$ .
- Dislocation or disclination motion  $x = 1/2$ .

What is the mechanism ?

# THE ROLE OF DEFECTS



- Large gradients (locally) - not close to equilibrium, but very slow on a microscopic scale.
- Large numbers of interacting defects: microstructure.
- Defects control slow relaxation.

# ENVELOPE DESCRIPTION OF DEFECT MOTION

[Siggia and Zippelius, 1981]

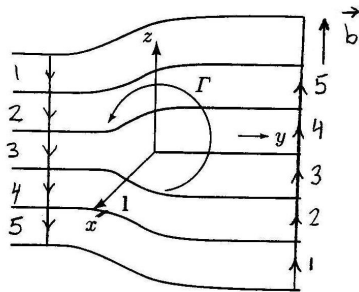
Point defect in the envelope field,

$$\psi = Ae^{i\vec{k}\cdot\vec{x}} = \rho(\vec{x})e^{i\theta(\vec{x})}e^{i\vec{k}\cdot\vec{x}}.$$

$$\oint \nabla\theta \cdot d\vec{l} = \pm 2\pi.$$

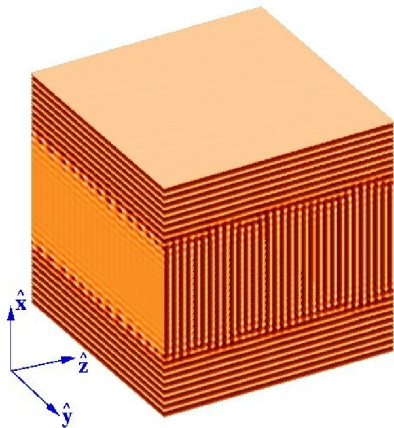
Climb velocity is found,

$$v \propto (k - k_0)^{3/2}.$$



Phase  $\theta$  plays the role of the displacement field  $u$ .

# ENVELOPE DESCRIPTION OF GRAIN BOUNDARY



Order parameter expanded in slowly varying amplitudes:

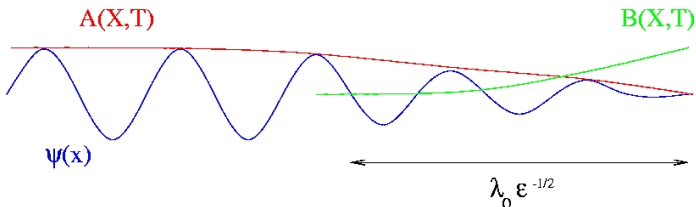
$$A \quad \psi = A e^{ik_0 x} + B e^{ik_0 z} + \text{c.c.}$$

B where

$$A = A(\epsilon^{1/2} x, \epsilon^{1/4} y, \epsilon^{1/4} z, \epsilon t)$$

$$B = B(\epsilon^{1/4} x, \epsilon^{1/4} y, \epsilon^{1/2} z, \epsilon t)$$

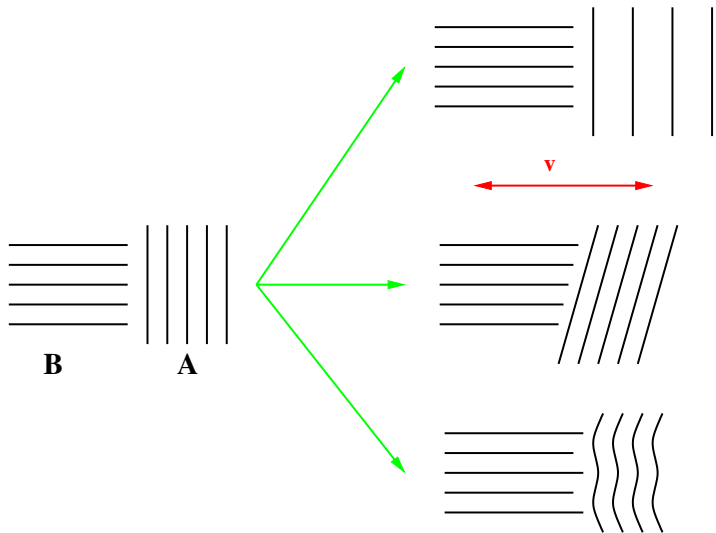
# ENVELOPE DESCRIPTION OF GRAIN BOUNDARY



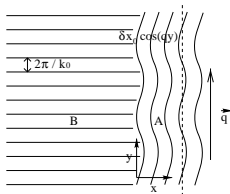
$$\frac{\partial A}{\partial t} = \epsilon A + \xi_0^2 \left( \partial_x - \frac{i}{2k_0} \partial_y^2 \right)^2 A - 3|A|^2 A - 6|B|^2 A,$$

$$\frac{\partial B}{\partial t} = \epsilon B + \xi_0^2 \left( \partial_y - \frac{i}{2k_0} \partial_x^2 \right)^2 B - 3|B|^2 B - 6|A|^2 B.$$

# WHY DOES A BOUNDARY MOVE?



# GRAIN BOUNDARY MOTION



- Linear relaxation rate  $\sigma \propto q^4$ .
- Nonlinear uniform translation mode,

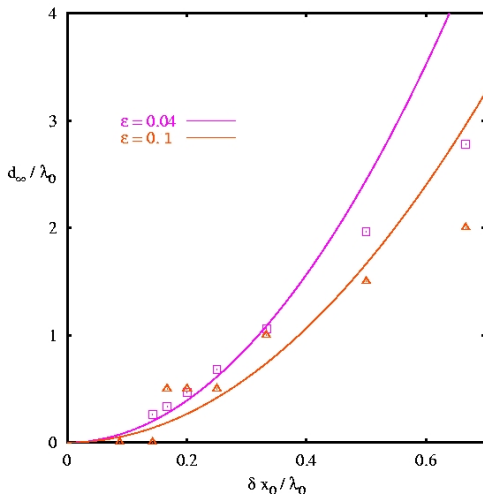
$$v_{gb}(t) = \left( \frac{\xi_0^2}{4k_0^2} q^4 \right) \frac{(\epsilon/4)[k_0 \delta x(t)]^2}{\int_{-\infty}^{\infty} dx [(\partial_x A_0)^2 + (\partial_x B_0)^2]} \sim \frac{\delta x(t)^2 q^4}{\sqrt{\epsilon}} \propto \frac{\kappa^2}{\sqrt{\epsilon}}$$

$$v_{gb}(t) = \text{Mobility} \times \text{Time dependent driving force}$$

If this motion dominates asymptotically,  $x = 1/3$ .

# NON ADIABATIC COUPLING

For small  $\epsilon \sim 0.1$ , the decoupling between slowly varying amplitudes and the phase of the lamellae already breaks down.





# NON ADIABATIC EFFECTS

- Seek dominant, non-perturbative corrections to

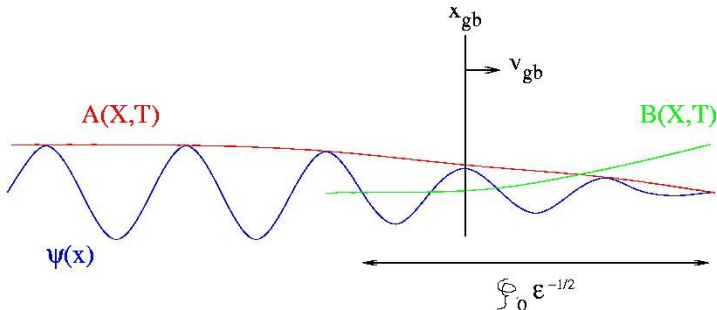
$$\begin{aligned}\frac{\partial A}{\partial t} &= \epsilon A + \xi_0^2 \left( \partial_x - \frac{i}{2k_0} \partial_y^2 \right)^2 A - 3|A|^2 A - 6|B|^2 A \\ &- \int_x^{x+\lambda_0} \frac{dx'}{\lambda_0} \left( A^3 e^{2ik_0 x'} + A^{*3} e^{-4ik_0 x'} \right),\end{aligned}$$

$$\begin{aligned}\frac{\partial B}{\partial t} &= \epsilon B + \xi_0^2 \left( \partial_y - \frac{i}{2k_0} \partial_x^2 \right)^2 B - 3|B|^2 B - 6|A|^2 B \\ &- 3 \int_x^{x+\lambda_0} \frac{dx'}{\lambda_0} \left( A^2 B e^{2ik_0 x'} + A^{*2} B e^{-2ik_0 x'} \right).\end{aligned}$$

- Assume that  $A$  and  $B$  may change over a wavelength,

$$\int_x^{x+\lambda_0} dx' A^3 e^{i2k_0 x'} \approx -i e^{2ix/\sqrt{\epsilon}} A^2 \frac{\partial A}{\partial X}$$

# NON ADIABATIC EFFECTS



- As  $\epsilon \rightarrow 0$ , interface width  $\xi_0/\epsilon^{1/2} \gg \lambda_0$ .
- Grain boundary velocity contains a dependence on both  $x$  and  $X$ :

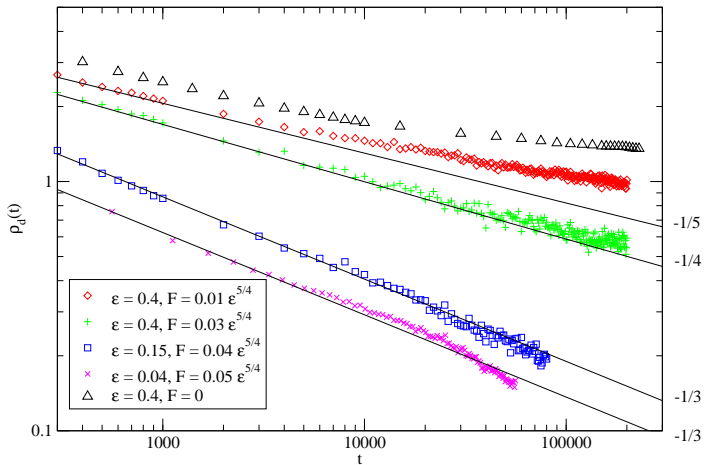
$$v_{gb} \int dX (A_0'^2 + B_0'^2) = T_1 + T_2 + \dots + e^{-1/\sqrt{\epsilon}} \sin(2k_0 x) (N_1 + N_2 + \dots).$$

$$v_{gb} = \frac{\epsilon}{3k_0^2 D(\epsilon)} \kappa^2 - \frac{p(\epsilon)}{D(\epsilon)} \cos(2k_0 x_{gb} + \phi) \quad p(\epsilon) \sim \epsilon^2 e^{-\alpha/\sqrt{\epsilon}}$$

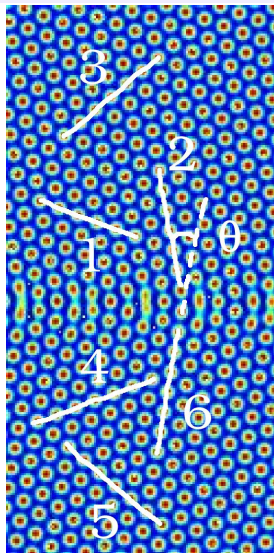
# CONSEQUENCES OF NON ADIABATICITY

- Grain boundary located at potential minima - decoupling between grain boundary location and lamellar phase lost.
- Continuous motion in  $X, T$  only in the limit  $\epsilon \rightarrow 0$ .
- Pinning forces with periodicity  $1/k_0$ , even in the absence of any external fields or impurities.
- Glassy states as  $\epsilon$  is increased.
- Domain coarsening affected: effective exponents may depend on  $\epsilon$  and on the existence of random forces.

# EFFECTIVE COARSENING EXPONENTS



# PINNING AND BIFURCATION CHARACTER



$$Dv_{gb} = -p_{hex} \sin [2k_0 x_{gb} \sin(\theta/2)],$$

with (Peierls force),

$$p_{hex} \sim A_0^4 e^{-2ak_0 \sin(\theta/2)\xi}$$

Supercritical bifurcation (e.g., lamellar phase)

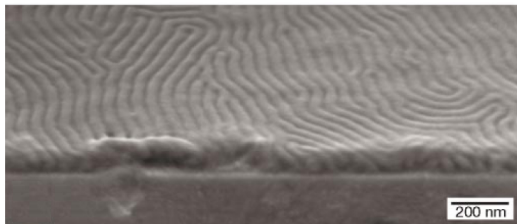
$$\xi \sim 1/\sqrt{\epsilon} \quad p_{lam} \sim e^{-1/\sqrt{\epsilon}} \rightarrow 0.$$

Subcritical bifurcation (e.g., hexagonal phase)

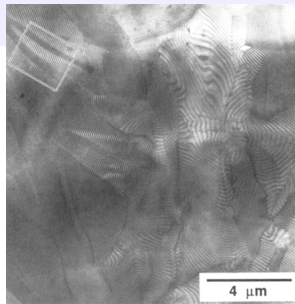
$$\xi \rightarrow \xi_0 = \frac{15\lambda_0}{8\sqrt{6}\pi g_2}, \quad p_{hex} \text{ finite.}$$

# REVERSIBLE/HAMILTONIAN MODES

## Block Copolymers

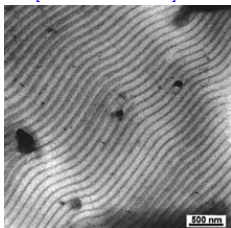


[Kim, ..., de Pablo, Nealy, 2003]



[Gido and Thomas, 1994]

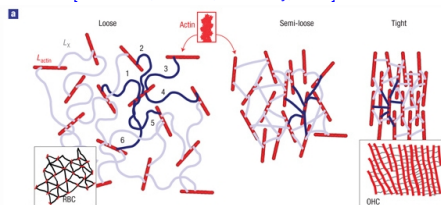
[Forster et al. 2001]



Amphiphilic  
systems

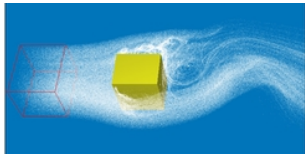
Liquid  
crystalline  
elastomers

[Dalhaimer, Discher, Lubensky, 2007]



# MESOSCOPIC REVERSIBLE STRESSES

## NORMAL FLUID



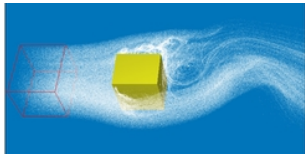
- Local equilibrium in the rest frame,  
 $s = s(u, \rho) \quad T \frac{ds}{dt} = \frac{du}{dt} + p \frac{d1/\rho}{dt}$
- Conservation laws (e.g., momentum density  
 $\mathbf{g} = \rho \mathbf{v}$ )

$$\partial_t \mathbf{g}_i = -\partial_j \sigma_{ij}$$

- Reversible stress:  $\sigma_{ij}^R = \rho v_i v_j + p \delta_{ij}$

# MESOSCOPIC REVERSIBLE STRESSES

## NORMAL FLUID



- Local equilibrium in the rest frame,  
 $s = s(u, \rho) \quad T \frac{ds}{dt} = \frac{du}{dt} + p \frac{d1/\rho}{dt}$
- Conservation laws (e.g., momentum density  
 $\mathbf{g} = \rho \mathbf{v}$ )

$$\partial_t \mathbf{g}_i = -\partial_j \sigma_{ij}$$

- Reversible stress:  $\sigma_{ij}^R = \rho v_i v_j + p \delta_{ij}$

## CAHN-HILLIARD FLUID

[M. Gurtin, D. Polignone, JV, Math. Models and Meth. Appl. Sci. 6, 816 (1996)]

$$s = s(u, \rho, \psi, \partial_i \psi)$$

$$\sigma_{ij}^R = \rho v_i v_j + p \delta_{ij} - \frac{\partial s}{\partial(\partial_i \psi)} \partial_j \psi$$

needed so that advection of  $\psi$  does not cause entropy production.

## GENERAL CASE

Reversible motion requires (Maxwell type relation)

$$\frac{\partial \dot{\psi}}{\partial v_i} = \frac{\partial \dot{g}_i}{\partial(\partial_j \xi_j)} \quad \xi_j = \frac{\partial s}{\partial(\partial_j \psi)}$$

If  $\psi$  has a reversible current

$\partial_t \psi + \mathbf{v} \cdot \nabla \psi = \dots \frac{\partial \dot{\psi}}{\partial v_i} = -\partial_i \psi$  and non classical stresses result.



# MESOSCOPIC DISSIPATIVE STRESSES

$$T\dot{S}_{prod} = \int d\vec{r} \left\{ - \left( J_i^\psi - v_i \psi \right) \partial_i \mu + \left( \sigma_{ij} - \sigma_{ij}^R \right) \partial_i v_j \right\}$$

Broken Symmetry incorporated in dissipative fluxes.

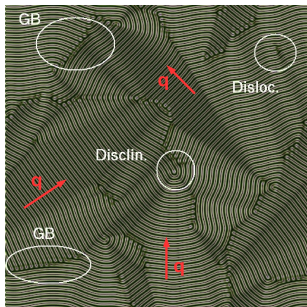
cf. [P. Martin, O. Parodi, P. Pershan, Phys. Rev. A 6, 2401 (1972)]

# MESOSCOPIC DISSIPATIVE STRESSES

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## Lamellar phase: uniaxial fluid

$$J_i^{\psi D} = - [\Lambda_L q_i q_j + \Lambda_T (\delta_{ij} - q_i q_j)] \partial_j \mu$$

$$\sigma_{ij}^D = \alpha_1 q_i q_j q_k q_l D_{kl} + \alpha_4 D_{ij} + \alpha_{56} (q_i D_{kj} + q_j D_{ki}) q_k$$

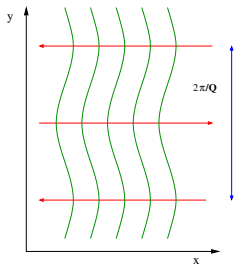
$$D_{ij} = \partial_i v_j + \partial_j v_i$$

## Cahn-Hilliard (isotropic) fluid

$$J_i^{\psi D} = -\Lambda q^2 \partial_i \mu$$

$$\sigma_{ij}^D = \alpha_4 D_{ij}$$

# REVERSIBLE MODES AND LINEAR RESPONSE



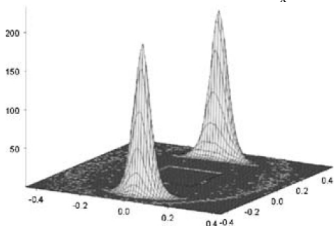
Characteristic decay times determined by decay of transverse perturbations.

Transverse scattering intensity,

$$S(Q) = \frac{k_B T / \xi}{Q^4 + 2(Q/\lambda)^2}$$

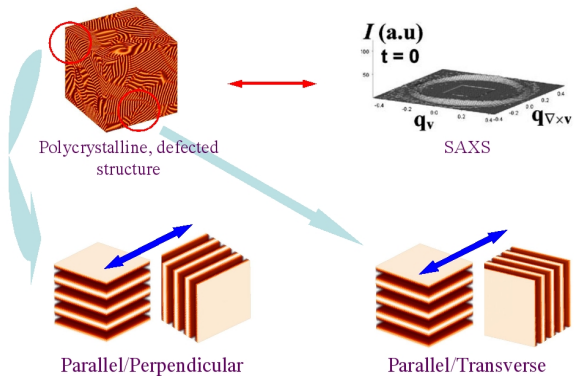
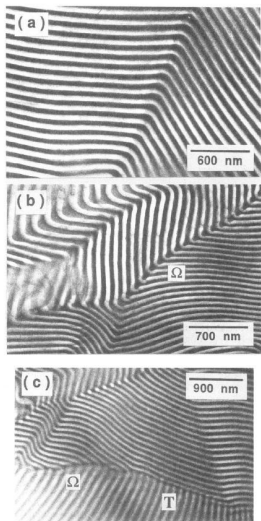
For a block copolymer in weak segregation,

$$\lambda \sim \frac{R_g N^{1/4}}{\sqrt{\epsilon}} \gg k_0^{-1}$$



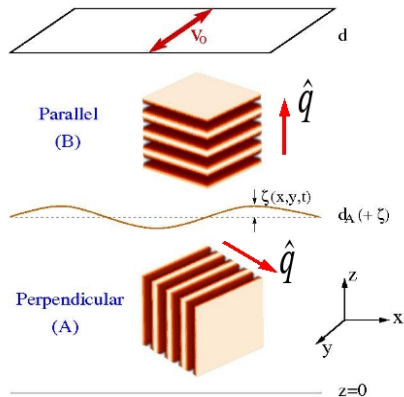
[L. Wu, T. Lodge, F. Bates, J. Rheol. 49, 1231 (2005)]

# ORIENTATION SELECTION UNDER SHEAR



[S. Gido, E.L. Thomas, *Macromolecules* 27, 6137 (1994).]

# RHEOLOGY AND ORIENTATION SELECTION



- In this low frequency formulation, both orientations are degenerate.

- Uniaxial form of dissipative stress leads to viscosity contrast. Hydrodynamic instability under shear.

- Extended to uniaxial viscoelasticity. Hydrodynamic instability under shear when viscoelastic contrast is appreciable.

# SUMMARY

Modulated phases (mesophases) share some of the phenomenology of pattern formation and phase transition kinetics, but:

- Continuum of degenerate phases (wavelength and orientation) - wavelength and orientation selection.
- Specific classes of topological defects and motion - beyond phase boundary motion.
- Pinning, structural glasses, and non universal growth.
- New hydrodynamic models, and rich rheology (complex fluids and biological materials).