## Sets with a Category Action Peter Webb

## 1. C-Sets

Let  $\mathcal{C}$  be a small category and Set the category of sets. We define a  $\mathcal{C}$ -set to be a functor  $\Omega : \mathcal{C} \to \text{Set}$ . Thus  $\Omega$  is simply a diagram of sets, the diagram having the same shape as  $\mathcal{C}$ : for each object x of  $\mathcal{C}$  there is specified a set  $\Omega(x)$  and for each morphism  $\alpha : x \to y$  there is a mapping of sets  $\Omega(\alpha) : \Omega(x) \to \Omega(y)$ . If  $\mathcal{C}$ happens to be a group (a category with one object and morphism set G) then a  $\mathcal{C}$ -set is the same thing as a G-set, since the  $\mathcal{C}$ -set singles out a set and sends each morphism of  $\mathcal{C}$  to a permutation of the set. We see that  $\mathcal{C}$ -sets form a category, the morphisms being natural transformations between the functors. Thus we have a notion of isomorphism of  $\mathcal{C}$ -sets.

Given two C-sets  $\Omega_1$  and  $\Omega_2$  we define their disjoint union  $\Omega_1 \sqcup \Omega_2$  to be the C-set defined at each object x of C by  $(\Omega_1 \sqcup \Omega_2)(x) := \Omega_1(x) \sqcup \Omega_2(x)$  with the expected definition of  $\Omega_1 \sqcup \Omega_2$  on morphisms. Let us call a C-set  $\Omega$  a single orbit C-set or transitive if it cannot be expressed properly as a disjoint union. A C-set  $\Omega$  may happen to be the disjoint union of two C-sets, or not; if it can be broken up as a disjoint union we can ask if either of the factors is a disjoint union, and by repeating this we end up with a disjoint union of C-sets each of which is transitive.

**Proposition 1.1.** Every finite C-set  $\Omega$  has a unique decomposition

$$\Omega = \Omega_1 \sqcup \Omega_2 \sqcup \cdots \sqcup \Omega_n$$

where each  $\Omega_i$  is transitive. In the diagram

$$\Omega(\mathcal{C}) \xrightarrow{p} \lim \Omega = \{1, \dots, n\}$$

we may take  $\Omega_i(\mathcal{C}) = p^{-1}(i)$ .

We present an example. Let  $\mathcal{C}$  be the category

$$\mathcal{C} = \underset{x}{\bullet} \xrightarrow{\alpha} \underset{y}{\bullet}$$

which has two objects x and y, a single morphism  $\alpha$  from x to y, and the identity morphisms at x and y. We readily see that the transitive (non-empty) C-sets have the form

$$\Omega_n := \underline{n} \to \underline{1}, \quad n \ge 0$$

where  $\underline{n} = \{1, \ldots, n\}$  is a set with n elements, the mapping between the two sets sending every element onto a single element. We see various things from this example, such as that a finite category may have infinitely non-isomorphic transitive sets, and also that transitive sets need not be generated by any single element.

We have available another operation on  $\mathcal{C}$ -sets, namely  $\times$ . Given two  $\mathcal{C}$ -sets  $\Omega$  and  $\Psi$  we define  $(\Omega \times \Psi)(x) = \Omega(x) \times \Psi(x)$ , with the expected definition on morphisms of  $\mathcal{C}$ . In the above example we see that  $\Omega_m \times \Omega_n \cong \Omega_{mn}$ .

We are now ready to define the *Burnside ring* of the category C as

 $B(\mathcal{C}) :=$  Grothendieck group of finite  $\mathcal{C}$ -sets with respect to  $\sqcup$ .

Thus  $B(\mathcal{C})$  is the free abelian group with the (isomorphism classes of) transitive  $\mathcal{C}$ -sets as a basis. The multiplication on  $B(\mathcal{C})$  is given by  $\times$  on the basis elements. Note that this definition of the Burnside ring of a category appears to be quite different to the definitions given by Yoshida in [10] and May in [5].

As an example take  $\mathcal{C}$  to be the category which we have seen before. From our calculations we have

$$B(\mathcal{C}) = \mathbb{Z}\{\Omega_0, \Omega_1, \Omega_2, \ldots\}$$
  
=  $\mathbb{Z}\mathbb{N}_{\geq 0}^{\times}$   
 $\cong \mathbb{Z}\Omega_0 \oplus \mathbb{Z}\{\Omega_1 - \Omega_0, \Omega_2 - \Omega_0, \ldots\}$   
 $\cong \mathbb{Z} \oplus \mathbb{Z}\mathbb{N}_{\geq 0}^{\times}$ 

as rings, where  $\mathbb{ZN}_{>0}^{\times}$  (for example) denotes the monoid algebra over  $\mathbb{Z}$  of the multiplicative monoid of non-zero natural numbers. This is the complete decomposition of  $B(\mathcal{C})$  as a direct sum of rings. The ring  $\mathbb{ZN}_{>0}^{\times}$  is not finitely generated, and hence neither is  $B(\mathcal{C})$ .

We illustrate the kind of situation where these constructions may be applied. Quite regularly we consider diagrams of one thing or another, be it sets, or perhaps spaces. By a space we mean a simplicial set, in which case a diagram of spaces  $\Omega : \mathcal{C} \to \text{Spaces}$  is the same thing as a simplicial  $\mathcal{C}$ -set. Given such  $\Omega$ , in each dimension *i* the *i*-simplices  $\Omega_i$  form a  $\mathcal{C}$ -set. We may form a Lefschetz invariant  $\sum_{i\geq 0} (-1)^i \Omega_i$  and this is an element of the Burnside ring  $B(\mathcal{C})$ . It depends only on the  $\mathcal{C}$ -homotopy type of  $\Omega$ . As an example of how this might arise, let G be a finite group and take  $\mathcal{C}$  to be the orbit category of G with stablizers in some family of subgroups. Thus the objects of  $\mathcal{C}$  are G-sets G/H with H in the specified family, and the morphisms are the equivariant maps. Given a G-space  $\Delta$  we may obtain a  $\mathcal{C}^{\text{op}}$ -space  $\hat{\Delta}$  by  $\hat{\Delta}(G/H) = \Delta^H$ , the fixed points, and hence we get a Lefschetz invariant  $L(\hat{\Delta})$  in the Burnside ring of the opposite of the orbit category. This invariant carries more information than a similar invariant in the Burnside ring of G considered in [6], [7, p. 358] and [4, Def. 1.6], since the latter invariant is the evaluation of  $L(\hat{\Delta})$  at G/1.

## 2. Bisets for categories

Given categories  $\mathcal{C}$  and  $\mathcal{D}$  we define a  $(\mathcal{C}, \mathcal{D})$ -biset to be the same thing as a  $\mathcal{C} \times \mathcal{D}^{\text{op}}$ -set. Such a biset  $\Omega$  is a functor  $\mathcal{C} \times \mathcal{D}^{\text{op}} \to$  Set, so given objects  $x \in \mathcal{C}$  and  $y \in \mathcal{D}$  and morphisms  $\alpha : x \to x_1$  in  $\mathcal{C}$  and  $\beta : y_1 \to y$  in  $\mathcal{D}$ , and an element  $u \in \Omega(x, y)$  we get elements  $\alpha u := \Omega(\alpha \times 1_y)(u) \in \Omega(x_1, y)$  and  $u\beta := \Omega(1_x \times \beta)(u) \in \Omega(x, y_1)$ . In this sense we have an action of  $\mathcal{C}$  from the left and  $\mathcal{D}$  from the right on  $\Omega$ .

As an example, we define  ${}_{\mathcal{C}}\mathcal{C}_{\mathcal{C}}$  to be the  $(\mathcal{C}, \mathcal{C})$ -biset with  ${}_{\mathcal{C}}\mathcal{C}_{\mathcal{C}}(x, y) = \operatorname{Hom}_{\mathcal{C}}(y, x)$ , where we reverse the order of x and y because morphisms are composed on the left. In the case of a group this is the regular representation with the group acting by multiplication from the left and from the right.

Given a  $(\mathcal{C}, \mathcal{D})$ -biset  $_{\mathcal{C}}\Omega_{\mathcal{D}}$  and a  $(\mathcal{D}, \mathcal{E})$ -biset  $_{\mathcal{D}}\Psi_{\mathcal{E}}$  we construct a  $(\mathcal{C}, \mathcal{E})$ -biset  $\Omega \circ \Psi$  by the formula

$$\Omega\circ\Psi(x,z)=\bigsqcup_{y\in\mathcal{D}}\Omega(x,y)\times\Psi(y,z)/\sim$$

where  $\sim$  is the equivalence relation generated by  $(u\beta, v) \sim (u, \beta v)$  whenever  $u \in \Omega(x, y_1), v \in \Psi(y_2, z)$  and  $\beta : y_2 \to y_1$  in  $\mathcal{D}$ .

Proving the following result is a very good test of one's understanding of this construction:

**Proposition 2.1.** The operation  $\circ$  is an associative product, with identity the biset  ${}_{\mathcal{CC}}$ .

We now define  $A(\mathcal{C}, \mathcal{D})$  to be the Grothendieck group of finite  $(\mathcal{C}, \mathcal{D})$ -bisets with respect to  $\sqcup$ , thus extending the notion of the *double Burnside ring* for groups. If R is a commutative ring with 1 we put  $A_R(\mathcal{C}, \mathcal{D}) := R \otimes_{\mathbb{Z}} A(\mathcal{C}, \mathcal{D})$  Using this construction we now define an analog  $\mathbb{B}_{Cat}$  of the *Burnside category* of [1] (see also [2] and [8], for example). The category  $\mathbb{B}_{Cat}$  has as objects all (finite) categories, with homomorphisms given by  $\operatorname{Hom}_{\mathbb{B}_{Cat}}(\mathcal{C}, \mathcal{D}) = A_R(\mathcal{D}, \mathcal{C})$ . We define a *biset functor* over R to be an R-linear functor  $\mathbb{B}_{Cat} \to R$ -mod. This notion evidently extends the usual notion of biset functors defined on groups, which are R-linear functors defined on the full subcategory  $\mathbb{B}_{Group}$  of  $\mathbb{B}_{Cat}$  whose objects are finite groups.

The Burnside ring functor  $B_R(\mathcal{C}) := R \otimes_{\mathbb{Z}} B(\mathcal{C})$  is in fact an example of a biset functor defined on categories. Let **1** denote the category with one object and one morphism – in other words, the identity group. We see that if  $\mathcal{C}$  is any category,  $\mathcal{C}$ -sets may be identified as the same thing as  $(\mathcal{C}, \mathbf{1})$ -bisets, so that  $B_R(\mathcal{C}) = A_R(\mathcal{C}, \mathbf{1}) = \text{Hom}_{\mathbb{B}_{\text{Cat}}}(\mathbf{1}, \mathcal{C})$ . Thus  $B_R$  is a representable biset functor over R, and hence it is projective. It is indecomposable since its endomorphism ring is  $\text{End}(B_R) \cong A_R(\mathbf{1}, \mathbf{1}) \cong R$  by Yoneda's lemma (assuming R is indecomposable).

All this is similar to what happens with biset functors defined on groups, as described in [2], and the story continues. Supposing that the ring R we work over is a field or complete discrete valuation ring, for formal reasons the simple biset functors may be parametrized by pairs  $(\mathcal{C}, V)$  consisting of a category  $\mathcal{C}$  and a simple  $\operatorname{End}_{\mathbb{B}_{\operatorname{Cat}}}(\mathcal{C})$ -module V, subject to a certain equivalence relation described in a slightly different context in [9, Cor. 4.2]. Each simple functor  $S_{\mathcal{C},V}^{\operatorname{Cat}}$  has a projective cover  $P_{\mathcal{C},V}^{\operatorname{Cat}}$ : an indecomposable projective with  $S_{\mathcal{C},V}^{\operatorname{Cat}}$  as its unique simple quotient. Because the category of groups is a full subcategory of the category of small categories the relationship between functors defined on  $\mathbb{B}_{\operatorname{Cat}}$  and  $\mathbb{B}_{\operatorname{Group}}$ is similar to that of representations of an algebra  $\Lambda$  and of  $e\Lambda e$  where  $e \in \Lambda$  is idempotent. This kind of relationship was described by Green in [3] is described in a context close to the present one in sections 3 and 4 of [9]. Some of this relationship goes as follows. **Proposition 2.2.** Let *S* be a simple biset functor defined on categories. Then its restriction to groups is either zero or a simple functor and establishes a bijection  $S_{G,V}^{\text{Cat}} \leftrightarrow S_{G,V}^{\text{Group}}$  between isomorphism types of simple biset functors defined on categories which are non-zero on groups, and simple biset functors defined on groups *G*. Furthermore  $P_{G,V}^{\text{Cat}} \downarrow_{\text{Group}}^{\text{Cat}} \cong P_{G,V}^{\text{Group}}$ , and  $P_{G,V}^{\text{Group}} \uparrow_{\text{Group}}^{\text{Cat}} \cong P_{G,V}^{\text{Cat}}$  where  $\uparrow_{\text{Group}}^{\text{Cat}}$  denotes the left adjoint to the restriction  $\downarrow_{\text{Group}}^{\text{Cat}}$ .

Thus every simple biset functor defined on groups extends uniquely to a simple biset functor defined on categories, and the same holds for indecomposable projective biset functors. We see, when R is a field, that the Burnside ring functor  $B_R$  is in fact the indecomposable projective  $P_{\mathbf{1},R}^{\text{Cat}}$  with unique simple quotient  $S_{\mathbf{1},R}^{\text{Cat}}$ .

We conclude by mentioning that the values of this simple functor may be identified in terms of a certain bilinear pairing between the Burnside ring of a category and of its opposite, generalizing a bilinear form introduced in [2]. The Burnside ring  $B_R(\mathcal{C})$  has as basis the transitive  $(\mathcal{C}, \mathbf{1})$ -bisets  $_{\mathcal{C}}\Omega_{\mathbf{1}}$ , and  $B_R(\mathcal{C}^{\mathrm{op}})$ has as basis the transitive  $(\mathbf{1}, \mathcal{C})$ -bisets  $_{\mathbf{1}}\Psi_{\mathcal{C}}$ . We define a bilinear map  $\langle , \rangle :$  $B_R(\mathcal{C}^{\mathrm{op}}) \times B_R(\mathcal{C}) \to R$  by  $\langle_{\mathbf{1}}\Psi_{\mathcal{C}}, _{\mathcal{C}}\Omega_{\mathbf{1}}\rangle = |_{\mathbf{1}}\Psi_{\mathcal{C}} \circ _{\mathcal{C}}\Omega_{\mathbf{1}}|$ , the size of this set.

**Proposition 2.3.** If R is a field then the dimension of the simple biset functor  $S_{1,R}$  is the rank of the above bilinear pairing.

The observations here are just the start of a development of theory on which the author is currently working.

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