

Pre-class Warm-up!!!

Consider the following:

Every subset of $\mathbb{R}$ that is bounded above has a least upper bound.

Do you think this is true? Yes / No

Do you think you have seen it before? Yes / No

Do you think this is something you can prove?
Yes / No

What if we replace $\mathbb{R}$ be the rational numbers $\mathbb{Q}$?
Does every subset of $\mathbb{Q}$ bounded above have a least upper bound? *in $\mathbb{Q}$*
Yes / No

$\mathbb{R}$ has an open cover consisting of $\{(-\infty, 5), (2, \infty), (n, n+3) \mid n \in \mathbb{Z}\}$. This infinite open cover has a finite sub cover. Does this show that $\mathbb{R}$ is compact?

Yes / No

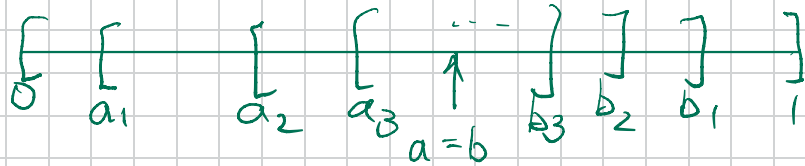
The open cover $\{(n, n+3) \mid n \in \mathbb{Z}\}$ of $\mathbb{R}$ has no finite subcover so $\mathbb{R}$ is not compact.

A rather important result that motivates the theory, but will be subsumed as part of the Heine-Borel theorem.

Theorem 7.7 The unit interval $[0,1]$ in $\mathbb{R}$ is compact.

Proof. We argue by contradiction.
Let $[0,1] = \bigcup_{j \in J} U_j$ be an open cover and suppose it has no finite subcover.

Idea: We construct nested closed intervals
 $0 \leq a_1 \leq a_2 \leq a_3 \leq \dots \leq b_3 \leq b_2 \leq b_1 \leq 1$
 with $b_i - a_i = \frac{1}{2^i}$



Divide $[0,1]$ in two: $[0,1] = [0, \frac{1}{2}] \cup [\frac{1}{2}, 1]$
 One of $[0, \frac{1}{2}]$, $[\frac{1}{2}, 1]$ has no finite subcover

by the U_j . Let $[a_1, b_1]$ be that interval.

Divide $[a_1, b_1]$ in two. One subinterval has no finite subcover. Let $[a_2, b_2]$ be this interval.

Let $a = b$ be the least upper bound of the a_i = greatest lower bound of b_i .

$$a = b \quad \text{b/c} \quad b - a \leq \frac{1}{2^n} \quad \forall i$$

$a \in U_j$ for some j . There exists

$\epsilon > 0$ so that $B_\epsilon(a) \subseteq U_j$ b/c it's open.

Choose N so that $\frac{1}{2^N} < \epsilon$.

Then $[a_N, b_N] \subseteq B_\epsilon(a) \subseteq U_j$

so $\{U_j\}$ covers $[a_N, b_N]$.

Contradiction to $[a_N, b_N]$ has no finite subcover. $\square$

Some take-away results

Theorem

- a. (7.8) A continuous image of a compact set is compact.
- b. (7.10) A closed subset of a compact set is compact.
- c. (7.11) The product of two compact sets is compact.

Theorem 7.8 Let $f: X \rightarrow Y$ be a continuous mapping between topological spaces. If X is compact, so is $f(X)$.

Proof. Let $f(X) \subseteq \bigcup_{j \in J} V_j$ be an open cover of $f(X)$.

Then $X = \bigcup_{j \in J} f^{-1}(V_j)$ is an open cover of X , so $X = f^{-1}(V_{j_1}) \cup \dots \cup f^{-1}(V_{j_n})$ b/c X is compact.

Now $f(X) \subseteq V_{j_1} \cup \dots \cup V_{j_n}$ is a finite subcover. $\square$

Applications:

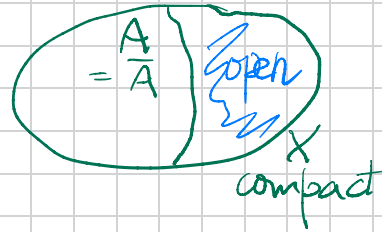
Corollary 7.9 Every identification space $X/\sim$ is compact if X is compact. $[a, b]$ is compact.

If $X \cong Y$ and X is compact, so is Y .

Proof. The surjective map $f: X \rightarrow X/\sim$ is continuous. $\square$

Example. $S^1 = [0, 1]/\sim$ is compact.

Theorem 7.10 A closed subset of a compact set is compact.



Proof. Let $A \subseteq \bigcup_{j \in J} U_j$ be an open cover of A .

Now $(X-A) \cup \bigcup_{j \in J} U_j$ is an open cover of X , which is compact.

We can find $U_{j_1}, \dots, U_{j_n}$ so that $(X-A) \cup U_{j_1} \cup \dots \cup U_{j_n}$ is a cover of X . Hence $A \subseteq U_{j_1} \cup \dots \cup U_{j_n}$ is a finite subcover of A . $\square$

Theorem 7.11 Let X and Y be topological spaces. Then X and Y are compact $\iff X \times Y$ is compact.

One direction is easier than the other. Which do you think it is?

" $\implies$ "

" $\impliedby$ " The projection $\pi_X: X \times Y \rightarrow X$ is continuous and surjective. A continuous image of a compact set is compact. Therefore if $X \times Y$ is compact so is X .

Which bit of the proof was hardest to understand?

Theorem 7.11 Let X and Y be topological spaces. Then X and Y are compact $\Leftrightarrow X \times Y$ is compact.

Proof $\Rightarrow$ Suppose X, Y are compact, let $X \times Y = \bigcup_{j \in J} W_j$ be an open cover.

Write each $W_j = \bigcup_{k \in K} U_{jk} \times V_{jk}$ where U_{jk} is open in X , V_{jk} is open in Y . $X \times Y = \bigcup_{j,k} U_{jk} \times V_{jk}$

We find finitely many $U_{jk} \times V_{jk}$ that cover $X \times Y$, each contained in a W_j . These W_j cover $X \times Y$.

For each $x \in X$, $\{x\} \times Y \cong Y$, so is compact, so is covered by finitely many $U_{jk} \times V_{jk}$, so

$$\{x\} \times Y \subseteq \bigcup \{U_r(x) \times V_r(x) \mid r = 1, \dots, n(x)\}$$

Put $U'(x) = \bigcap_r U_r(x)$ so that

$$\{U'(x) \times V_r(x) \mid r = 1, \dots, n(x)\} \text{ covers } \{x\} \times Y$$

The $U'(x)$ covers X so finitely many suffice.

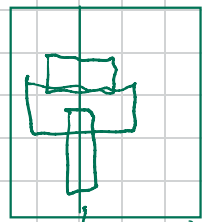
$$X = \bigcup \{U'(x_i) \mid i = 1, \dots, m\}$$

$$\text{Now } \{U'(x_i) \times V_{r_i}(x_i) \mid i = 1, \dots, m, r_i = 1, \dots, n(x_i)\}$$

covers $X \times Y$. $\square$

Theorem (Heine-Borel) A closed and bounded subset of $\mathbb{R}^n$ is compact.

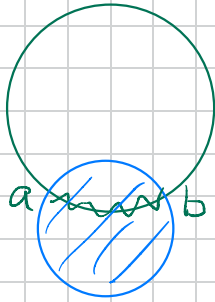
Proof. If $S \subseteq \mathbb{R}^n$ is closed and bounded $S \subseteq [-N, N]^n$ for some N , which is compact. A closed subset of a compact set is compact. S is compact. $\square$



To show: the topology on $S^1 = \{v \in \mathbb{R}^2 \mid \|v\| = 1\}$ as an identification space $\mathbb{R}/\sim$ is the same as the subspace topology induced from $\mathbb{R}^2$.

For points $a, b \in S^1$ let $\text{arc}(a, b)$ be the subset of S^1 obtained by starting at a and going clockwise to b , omitting a and b .

1. These arcs are the intersections of disks in $\mathbb{R}^2$ with S^1 , so are open subsets of S^1 .



2. They form a basis for the induced topology

3. They are open in the quotient topology

4. Every open set in the quotient topology can be expressed as a union of these.

They are also a basis in the quotient topology

5. The two topologies are the same.