

## Category Theory

Eisenbud's Appendix 5 has the right topics but is brief with a shortage of examples.

Definition. A category  $C$  is the specification of

1. A class of things called 'objects'  $x \in \text{Ob}(C)$  means  $x$  is an object of  $C$ .
2. For each pair  $x, y \in \text{Ob}(C)$  we have a set  $\text{Hom}_C(x, y)$  of things called morphisms.

3. A rule of composition

$$\text{Hom}(y, z) \times \text{Hom}(x, y) \rightarrow \text{Hom}(x, z)$$

so that  $(g, f) \mapsto gf$  (or  $g \circ f$ )

- a.  $(hg)f = h(gf)$  always, whenever it is defined.

- i. For all  $x \in \text{Ob}(C)$ , there exists a morphism  $1_x : x \rightarrow x$  so that  $f1_x = f \quad \forall f : x \rightarrow y, 1_x j = j \quad \forall j : w \rightarrow x$

Morphism notation If  $f \in \text{Hom}_C(x, y)$  we write  $f : x \rightarrow y$  to denote this.  $x$  is the 'domain' of  $f$ ,  $y$  is the 'codomain' or 'target' of  $f$ .

Examples

1. Set = category with objects = sets  
morphisms = maps of sets.

Top = category of topological spaces  
morphisms = continuous maps

Group: morphisms = group homomorphisms

$R$ -mod: Objects are  $R$ -modules  
Morphisms are  $R$ -module homomorphisms

2. A poset  $P$  may be regarded as a category  $P$  with  $\text{Ob}(P) = \text{elements of } P$ ,  $\exists$  unique morphism  $x \rightarrow y \Rightarrow x \leq y$  in  $P$ .

# Pre-class Warm-up!!!

Suppose  $f : M \rightarrow N$  is a homomorphism of abelian groups. Which of the following conditions necessarily implies that  $f$  is one-to-one?

- A. For all pairs of homomorphisms  $g, h : L \rightarrow M$ , if  $fg = fh$  then  $g = h$ .
- B. For all pairs of homomorphisms  $g, h : N \rightarrow Q$ , if  $gf = hf$  then  $g = h$ .
- C. Neither of the above.

$B \Leftrightarrow f$  is onto.

$$A. \quad L \begin{array}{c} \xrightarrow{g} \\ \xrightarrow{h} \end{array} M \xrightarrow{f} N \quad fg = fh \Rightarrow g = h$$

Proposition  $A \Leftrightarrow f$  is 1-1.

Proof "A  $\Rightarrow$  1-1" If  $f$  is not 1-1 then  $\ker f \neq 0$ . Take

$L = \ker f$ ,  $g : L \rightarrow M$  is inclusion,  $h : L \rightarrow M$  is zero

Then  $fg = fh = 0$  but  $g \neq h$  so A fails.

"1-1  $\Rightarrow$  A" 1-1  $\Leftrightarrow \ker f = 0$

If  $fg = fh$  then  $\forall x \in L$ ,  $fg(x) = fh(x)$ . Thus  $g(x) = h(x)$  b/c  $f$  is 1-1, so  $g = h$ .

Definition.

$\Leftrightarrow \exists$  a morphism  $g: y \rightarrow x$   
so that  $gf = 1_x$  and  $fg = 1_y$ .

The following is not equivalent to  $f$   
being an isomorphism.  
or  $\Leftrightarrow f$  is 1-1 and onto?  
 $\Downarrow$   
A, say  $f$  is a monomorphism  
 $\Uparrow$   
B, say  $f$  is an epimorphism

I just suggested  
it for the purposes  
of discussion.

More examples: a group, a monoid

Given a group  $G$  we may  
construct a category  $\mathcal{G}$   
with only one object  $*$   
and where  $\text{Hom}(*, *) = G$   
composition: = multiplication  
in  $G$ !

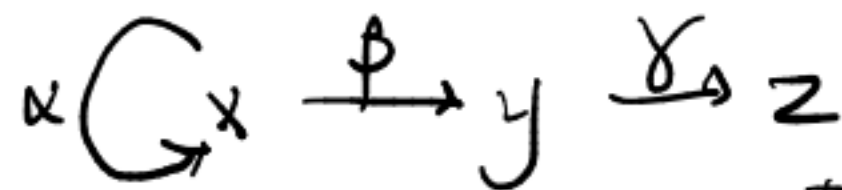
If  $M$  is a monoid we  
construct a category  $\mathcal{M}$   
with one object  $*$   
 $\text{Hom}(*, *) = M$ .

Question. Why do we take this definition of

More examples: weird categories.

Free categories

Let  $Q$  be a directed graph (quiver)



Construct a category  $F(Q)$  where  
 $Ob(F(Q)) = \text{vertices of } Q$

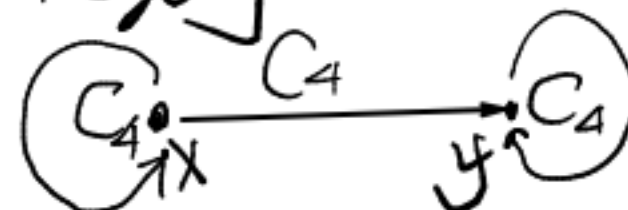
Morphisms = all possible words  
 in the edges of  $Q$  where  
 the end of a symbol = start of next.

Example  $Ob = \{x, y, z\}$

Morphisms =  $\{1_x, 1_y, 1_z, \alpha, \beta, \gamma, \alpha^2, \beta\alpha, \gamma\beta, \alpha^3, \beta\alpha^2, \gamma\beta\alpha, \dots\}$

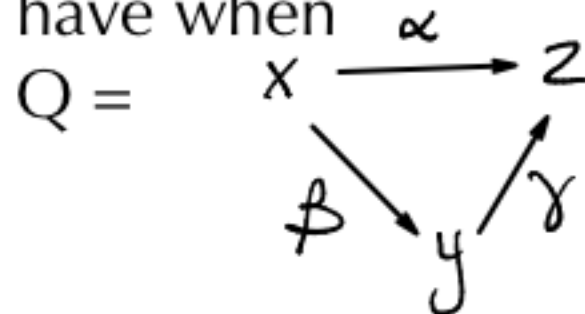
FI = the category with Objects = Finite sets,

Schematic description of  
 a category with  $Ob(e) = \{x, y\}$



4 morphisms  $x \rightarrow y$ ,  $End_x(x) = C_4$   
 $End_y(y) = C_4$   
 Question:  $Hom_C(x, x)$

How many morphisms does  $F(Q)$   
 have when



- A 3
- B 4
- C 5
- D 6
- E 7
- F 8
- G Infinitely many.



$$C_A = \{1_x, a_x, a_x^2, a_x^3\}$$

$$\{1, a, a^2, a^3\}$$

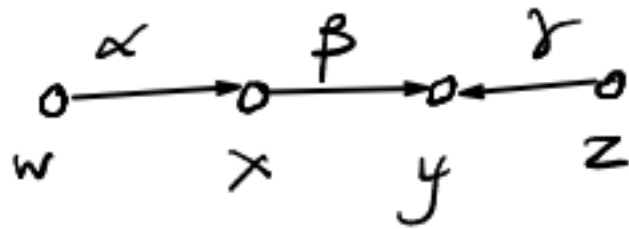
Composition

$$a^2 \circ a_x = a^3$$

$$a_x^2 \circ a_x = a_x^3$$

# Pre-class Warm-up!

How many morphisms are there in the free category generated by the quiver



A 3

B 4

C 7

D 8

## Constructions.

= The product of two categories  $\mathcal{C}, \mathcal{D}$  is the category

$\mathcal{C} \times \mathcal{D}$  with objects  $(c, d)$

$c \in \text{Ob}(\mathcal{C}), d \in \text{Ob}(\mathcal{D})$

morphisms  $(c, d) \xrightarrow{(f, g)} (c', d')$

where  $f: c \rightarrow c'$  in  $\mathcal{C}, g: d \rightarrow d'$  in  $\mathcal{D}$ .

= If  $\mathcal{C}$  is a category, the opposite category is  $\mathcal{C}^{\text{op}}$  with  $\text{Ob}(\mathcal{C}^{\text{op}}) = \text{Ob}(\mathcal{C})$

and morphisms  $\bar{\alpha}$  where  $\alpha$  is a morphism in  $\mathcal{C}$ . If  $\alpha: x \rightarrow y$  then

$\bar{\alpha}: y \rightarrow x$ .  $\bar{\beta}: z \rightarrow y, \beta: y \rightarrow z$

$$\bar{\alpha} \bar{\beta} := \overline{\beta \alpha}$$

Question: Let  $I$  be the poset  $0 \xrightarrow{\alpha} 1$

How many morphisms does  $I \times I$  have?

A 1

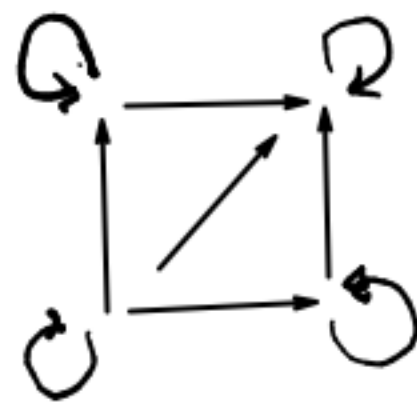
B 2

C 4

D 6

E 8

F 9 ✓



## Functors

Definition.  $\mathcal{C}, \mathcal{D}$  are categories.

the specification of  
-  $\forall x \in \text{Ob}(\mathcal{C})$ , an object  $T(x) \in \text{Ob}(\mathcal{D})$

-  $\forall$  morphisms  $f: x \rightarrow y$  in  $\mathcal{C}$ ,  
a morphism  $T(f): T(x) \rightarrow T(y)$  in  $\mathcal{D}$   
so that

$$1. \quad T(fg) = T(f)T(g)$$

$$2. \quad T(1_x) = 1_{T(x)} \quad \forall x \in \text{Ob}(\mathcal{C})$$

Question: did we need to put in  $T(1) = 1$  always, or did it follow from the other axioms

Examples  $F: \text{AbGroups} \rightarrow \text{Groups}$

Inclusion  $F(A) = A, F(F) = F$

Forgetful functor like  $F: \text{Groups} \rightarrow \text{Set}$   
 $F(G) = G$  regarded as a set  
or  $R[x]\text{-mod} \rightarrow R\text{-mod} = \text{vector spaces over } R$ .

If  $G$  and  $H$  are groups

we get categories  $\mathcal{G}, \mathcal{H}$ . A functor  $F: \mathcal{G} \rightarrow \mathcal{H}$  is 'the same thing as' a group homomorphism  $G \rightarrow H$ .

If  $P$  and  $Q$  are posets a functor  $F: P \rightarrow Q$  is 'the same thing as' an order preserving map  $P \rightarrow Q$ .

If  $X$  is a set let  $R(X) =$  free  $R$ -module.  
 $R$  is a commutative ring with  $X$  as a basis.  
 $R(-)$  is a functor  $\text{Set} \rightarrow R\text{-mod}$

$F(-): \text{Set} \rightarrow \text{Group}$  is a functor.  
free group generated by  $X$



If  $M$  is a right  $R$ -module,  $L$  is a left  $R$ -module, we have functors

$$M \otimes - : R\text{-mod} \rightarrow \text{Ab Group}$$

$$\text{Hom}_R(L, -) : R\text{-mod} \rightarrow \text{Ab Group}$$

$R\text{-mod}$  = category of left  $R$ -modules  
 $\text{mod-}R$  = right

These are covariant functors. The functor

$$\text{Hom}_R(-, L) : R\text{-mod} \rightarrow \text{Abelian groups}$$

$F$  is covariant means  $F(\alpha\beta) = F(\alpha)F(\beta)$

A contravariant functor  $L \rightarrow \mathcal{D}$  has the same definition except  $F(\alpha\beta) = F(\beta)F(\alpha)$

It is the same thing as a (covariant)

$$\text{functor } L^{\text{op}} \rightarrow \mathcal{D}$$

If  $G$  is a group (or a monoid) a functor  $F : G \rightarrow R\text{-mod}$

A repr of  $G$  over  $R$  is a homomorphism  $G \rightarrow GL(V)$  for some  $R$ -module  $V$ .

$F : G \rightarrow R\text{-mod}$  is

$$F(*) = V$$

$\forall g : * \rightarrow *$ ,  $F(g) : V \rightarrow V$   
is an  $R$ -module homomorphism.

$$F(gg^{-1}) = F(1_*) = 1_V : V \rightarrow V \\ = F(g)F(g^{-1})$$

$$F(g^{-1}) = F(g)^{-1}$$

Definition. A category  $C$  is small if  $\text{Ob}(C)$  is a set.

Example:

$\text{SCat}$  is the category of small categories, whose objects are small categories, and whose morphisms are functors.

$$\rho: G \rightarrow \text{GL}(V) \quad \sigma: G \rightarrow \text{GL}(W)$$

$$\rho \rightarrow \sigma$$

Morphisms in the category  $\text{Reps of } G$

are  $R$ -linear maps  $\theta: V \rightarrow W$

$$\text{so that } \theta(\rho(g)(v)) = \sigma(g)(\theta(v))$$

i.e. the square

$$\begin{array}{ccc} V & \xrightarrow{\rho(g)} & V \\ \theta \downarrow & & \downarrow \theta \\ W & \xrightarrow{\sigma(g)} & W \end{array}$$

commutes

$$\forall g \in G.$$

The functors between the category of representations of a group  $G$  and the category of  $RG$ -modules.

Reps of  $G$  are the same thing as  $RG$ -modules

$RG =$  group ring of  $G$   
 $=$  free  $R$ -module with elts of  $G$  as basis

Multn in  $RG$  is determined by group multiplication.

We get functors

$$\text{Reps of } G \rightleftarrows RG\text{-mod}$$

Given  $\rho: G \rightarrow \text{GL}(V)$

get an  $RG$ -module  $V$   
 $(\sum_{g \in G} a_g g) \cdot v := \sum_{g \in G} a_g \rho(g)(v)$

If  $Q$  is a directed graph (= a quiver), a representation of  $Q$  over  $R$  is

It is the same thing as a functor  $F(Q) \rightarrow R\text{-mod}$

A homomorphism of quiver representations

## Natural transformations

These are morphisms between functors, comparable to the notion of homotopy between maps of topological spaces.

Definition. Let  $F, G : C \rightarrow D$  be functors.  
A natural transformation

