

## Category Theory

Eisenbud's Appendix 5 has the right topics but is brief with a shortage of examples.

Definition. A category  $C$  is the specification of

1. A class of things called 'objects'  $x \in \text{Ob}(C)$  means  $x$  is an object of  $C$ .
2. For each pair  $x, y \in \text{Ob}(C)$  we have a set  $\text{Hom}_C(x, y)$  of things called morphisms.

3. A rule of composition

$$\text{Hom}(y, z) \times \text{Hom}(x, y) \longrightarrow \text{Hom}(x, z)$$

so that  $(g, f) \longmapsto gf$  (or  $g \circ f$ )

- a.  $(hg)f = h(gf)$  always, whenever it is defined.

- i. For all  $x \in \text{Ob}(C)$ , there exists a morphism  $1_x : x \rightarrow x$  so that  $f1_x = f \quad \forall f : x \rightarrow y, 1_x j = j \quad \forall j : w \rightarrow x$

Morphism notation If  $f \in \text{Hom}_C(x, y)$  we write  $f : x \rightarrow y$  to denote this.  $x$  is the 'domain' of  $f$ ,  $y$  is the 'codomain' or 'target' of  $f$ .

Examples

1. Set = category with objects = sets  
morphisms = maps of sets.

Top = category of topological spaces  
morphisms = continuous maps

Group: morphisms = group homomorphisms

$R$ -mod: Objects are  $R$ -modules  
Morphisms are  $R$ -module homomorphisms

2. A poset  $P$  may be regarded as a category  $P$  with  $\text{Ob}(P) = \text{elements of } P$ ,  $\exists$  unique morphism  $x \rightarrow y \Rightarrow x \leq y$  in  $P$ .

# Pre-class Warm-up!!!

Suppose  $f : M \rightarrow N$  is a homomorphism of abelian groups. Which of the following conditions necessarily implies that  $f$  is one-to-one?

- A. For all pairs of homomorphisms  $g, h : L \rightarrow M$ , if  $fg = fh$  then  $g = h$ .
- B. For all pairs of homomorphisms  $g, h : N \rightarrow Q$ , if  $gf = hf$  then  $g = h$ .
- C. Neither of the above.

$B \Leftrightarrow f$  is onto.

$$A. \quad L \begin{array}{c} \xrightarrow{g} \\ \xrightarrow{h} \end{array} M \xrightarrow{f} N \quad fg = fh \Rightarrow g = h$$

Proposition  $A \Leftrightarrow f$  is 1-1.

Proof "A  $\Rightarrow$  1-1" If  $f$  is not 1-1 then  $\ker f \neq 0$ . Take

$L = \ker f$ ,  $g : L \rightarrow M$  is inclusion,  $h : L \rightarrow M$  is zero

Then  $fg = fh = 0$  but  $g \neq h$  so A fails.

"1-1  $\Rightarrow$  A" 1-1  $\Leftrightarrow \ker f = 0$

If  $fg = fh$  then  $\forall x \in L$ ,  $fg(x) = fh(x)$ . Thus  $g(x) = h(x)$  b/c  $f$  is 1-1, so  $g = h$ .

Definition.

$\Leftrightarrow \exists$  a morphism  $g: y \rightarrow x$   
so that  $gf = 1_x$  and  $fg = 1_y$ .

The following is not equivalent to  $f$   
being an isomorphism.  
or  $\Leftrightarrow f$  is 1-1 and onto?  
 $\Downarrow$   
A, say  $f$  is a monomorphism  
 $\Uparrow$   
B, say  $f$  is an epimorphism

I just suggested  
it for the purposes  
of discussion.

More examples: a group, a monoid

Given a group  $G$  we may  
construct a category  $\mathcal{G}$   
with only one object  $*$   
and where  $\text{Hom}(*, *) = G$   
composition: = multiplication  
in  $G$ !

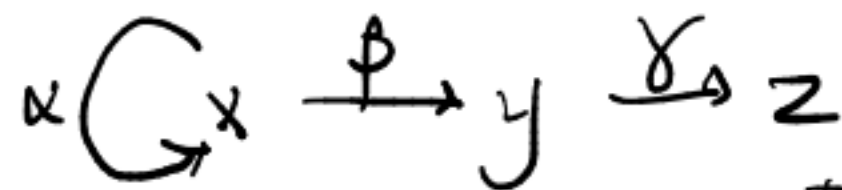
If  $M$  is a monoid we  
construct a category  $\mathcal{M}$   
with one object  $*$   
 $\text{Hom}(*, *) = M$ .

Question. Why do we take this definition of

More examples: weird categories.

Free categories

Let  $Q$  be a directed graph (quiver)



Construct a category  $F(Q)$  where  
 $Ob(F(Q)) = \text{vertices of } Q$

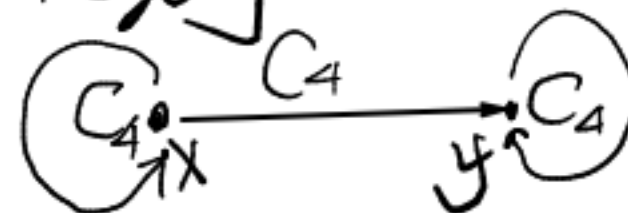
Morphisms = all possible words  
 in the edges of  $Q$  where  
 the end of a symbol = start of next.

Example  $Ob = \{x, y, z\}$

Morphisms =  $\{1_x, 1_y, 1_z, \alpha, \beta, \gamma, \alpha^2, \beta\alpha, \gamma\beta, \alpha^3, \beta\alpha^2, \gamma\beta\alpha, \dots\}$

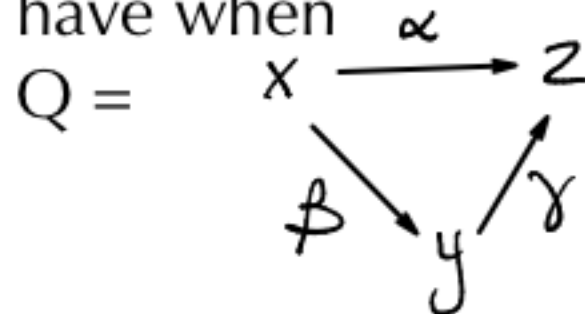
FI = the category with Objects = Finite sets,

Schematic description of  
 a category with  $Ob(e) = \{x, y\}$



4 morphisms  $x \rightarrow y$ ,  $End_x(x) = C_4$   
 $End_y(y) = C_4$   
 Question:  $Hom_x(x, x)$

How many morphisms does  $F(Q)$   
 have when



- A 3
- B 4
- C 5
- D 6
- E 7
- F 8
- G Infinitely many.



$$C_A = \{1_x, a_x, a_x^2, a_x^3\}$$

$$\{1, a, a^2, a^3\}$$

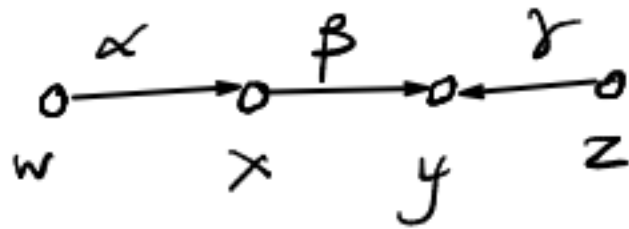
Composition

$$a^2 \circ a_x = a^3$$

$$a_x^2 \circ a_x = a_x^3$$

# Pre-class Warm-up!

How many morphisms are there in the free category generated by the quiver



- A 3
- B 4
- C 7
- D 8

## Constructions.

= The product of two categories  $\mathcal{C}, \mathcal{D}$  is the category

$\mathcal{C} \times \mathcal{D}$  with objects  $(c, d)$

$c \in \text{Ob}(\mathcal{C}), d \in \text{Ob}(\mathcal{D})$

morphisms  $(c, d) \xrightarrow{(f, g)} (c', d')$

where  $f: c \rightarrow c'$  in  $\mathcal{C}, g: d \rightarrow d'$  in  $\mathcal{D}$ .

= If  $\mathcal{C}$  is a category, the opposite category is  $\mathcal{C}^{\text{op}}$  with  $\text{Ob}(\mathcal{C}^{\text{op}}) = \text{Ob}(\mathcal{C})$

and morphisms  $\bar{\alpha}$  where  $\alpha$  is a morphism in  $\mathcal{C}$ . If  $\alpha: x \rightarrow y$  then

$\bar{\alpha}: y \rightarrow x$ .  $\bar{\beta}: z \rightarrow y, \beta: y \rightarrow z$

$$\bar{\alpha} \bar{\beta} := \overline{\beta \alpha}$$

Question: Let  $I$  be the poset  $0 \xrightarrow{\alpha} 1$

How many morphisms does  $I \times I$  have?

A 1

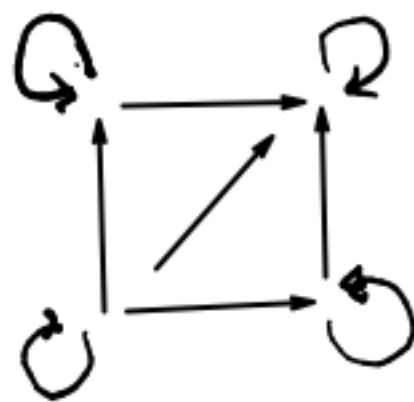
B 2

C 4

D 6

E 8

F 9 ✓



## Functors

Definition.  $\mathcal{C}, \mathcal{D}$  are categories.  
the specification

- $\forall x \in \text{Ob}(\mathcal{C})$ , an object  $T(x) \in \text{Ob}(\mathcal{D})$
- $\forall$  morphisms  $f: x \rightarrow y$  in  $\mathcal{C}$ ,  
a morphism  $T(f): T(x) \rightarrow T(y)$  in  $\mathcal{D}$   
so that

1.  $T(fg) = T(f)T(g)$   
2.  $T(1_x) = 1_{T(x)} \quad \forall x \in \text{Ob}(\mathcal{C})$

Question: did we need to put in  $T(1) = 1$  always, or did it follow from the other axioms

Examples  $F: \text{AbGroups} \rightarrow \text{Groups}$   
Inclusion  $F(A) = A, F(F) = F$

Forgetful functor like  $F: \text{Groups} \rightarrow \text{Set}$   
 $F(G) = G$  regarded as a set  
or  $R[x]\text{-mod} \rightarrow R\text{-mod} = \text{vector spaces over } R$ .

If  $G$  and  $H$  are groups  
we get categories  $\mathcal{G}, \mathcal{H}$ . A functor  
 $F: \mathcal{G} \rightarrow \mathcal{H}$  is 'the same thing as' a  
group homomorphism  $G \rightarrow H$ .

If  $P$  and  $Q$  are posets a functor  
 $F: P \rightarrow Q$  is 'the same thing as'  
an order preserving map  $P \rightarrow Q$ .

If  $X$  is a set let  $R(X) =$  free  $R$ -module  
 $R$  is a commutative ring with  $X$  as a basis.  
 $R(-)$  is a functor  $\text{Set} \rightarrow R\text{-mod}$

$F(-): \text{Set} \rightarrow \text{Group}$  is a functor.  
free group generated by  $X$



If  $M$  is a right  $R$ -module,  $L$  is a left  $R$ -module, we have functors

$$M \otimes - : R\text{-mod} \rightarrow \text{Ab Group}$$

$$\text{Hom}_R(L, -) : R\text{-mod} \rightarrow \text{Ab Group}$$

$R\text{-mod}$  = category of left  $R$ -modules  
 $\text{mod-}R$  = right

These are covariant functors. The functor

$$\text{Hom}_R(-, L) : R\text{-mod} \rightarrow \text{Abelian groups}$$

$F$  is covariant means  $F(\alpha\beta) = F(\alpha)F(\beta)$

A contravariant functor  $L \rightarrow \mathcal{D}$  has the same definition except  $F(\alpha\beta) = F(\beta)F(\alpha)$

It is the same thing as a (covariant) functor  $L^{\text{op}} \rightarrow \mathcal{D}$ .

If  $G$  is a group (or a monoid) a functor  $F : G \rightarrow R\text{-mod}$

A repr of  $G$  over  $R$  is a homomorphism  $G \rightarrow GL(V)$  for some  $R$ -module  $V$ .

$F : G \rightarrow R\text{-mod}$  is

$$F(*) = V$$

$\forall g : * \rightarrow *$ ,  $F(g) : V \rightarrow V$   
 is an  $R$ -module homomorphism.

$$F(gg^{-1}) = F(1_*) = 1_V : V \rightarrow V \\ = F(g)F(g^{-1})$$

$$F(g^{-1}) = F(g)^{-1}$$

Definition. A category  $C$  is small if  $\text{Ob}(C)$  is a set.

Example:

$\text{SCat}$  is the category of small categories, whose objects are small categories, and whose morphisms are functors.

$$\rho: G \rightarrow \text{GL}(V) \quad \sigma: G \rightarrow \text{GL}(W)$$

$$\rho \rightarrow \sigma$$

Morphisms in the category  $\text{Reps of } G$

are  $R$ -linear maps  $\theta: V \rightarrow W$

$$\text{so that } \theta(\rho(g)(v)) = \sigma(g)(\theta(v))$$

i.e. the square

$$\begin{array}{ccc} V & \xrightarrow{\rho(g)} & V \\ \theta \downarrow & & \downarrow \theta \\ W & \xrightarrow{\sigma(g)} & W \end{array} \quad \text{commutes} \quad \forall g \in G.$$

This defines a homomorphism of reps  $\rho \rightarrow \sigma$

The functors between the category of representations of a group  $G$  and the category of  $RG$ -modules.

Reps of  $G$  are the same thing as  $RG$ -modules

$RG =$  group ring of  $G$   
 $=$  free  $R$ -module with elts of  $G$  as basis

Multn in  $RG$  is determined by group multiplication.

We get functors

$$\text{Reps of } G \quad \rightleftarrows \quad RG\text{-mod}$$

Given  $\rho: G \rightarrow \text{GL}(V)$

get an  $RG$ -module  $V$   
 $(\sum_{g \in G} a_g g) \cdot v := \sum_{g \in G} a_g \rho(g)(v)$

# Pre-class Warm-up!

Let  $F : C \rightarrow D$  be a functor. Which of the following do you think means that  $F$  is an isomorphism of categories?

- A For all objects  $x$  of  $C$ ,  $x$  is isomorphic to  $F(x)$ .
- B For all pairs of objects  $x, y$  of  $C$ ,  $F$  induces an isomorphism  $\text{Hom}_C(x, y) \approx \text{Hom}_D(F(x), F(y))$
- C There is a functor  $G : D \rightarrow C$  so that  $FG = 1_D$  and  $GF = 1_C$ . ✓
- D None of the above.

Example. The categories

Reps of  $G$  over  $R$   $\begin{array}{c} \xrightarrow{F} \\ \xleftarrow{G} \end{array}$   $RG\text{-mod}$

are isomorphic.

Given  $\rho : G \rightarrow GL(V)$  we get an  $RG$ -module  $F(\rho) = V := \sum a_g g \cdot v := \sum a_g \rho(g)(v)$

Given an  $RG$ -module  $W$  we get a homom.  $G(W) : G \rightarrow GL(W)$   
 $g \mapsto (w \mapsto g \cdot w)$ .

$$GF(\rho) = \rho$$

$$FG(V) = F(G \rightarrow GL(V)) = V \text{ with original module action of } RG.$$

If  $Q$  is a directed graph (= a quiver), a representation of  $Q$  over  $R$  is the specification of

- for each vertex  $x$  of  $Q$ , an  $R$ -module  $M_x$
- for each arrow  $x \xrightarrow{\alpha} y$  in  $Q$  an  $R$ -module homomorphism  $M_x \xrightarrow{M(\alpha)} M_y$

Example:  $Q = \alpha \circlearrowleft x$  a repr  $M$  is an  $R$ -module  $M_x$  with an  $R$ -linear map  $M(\alpha): M_x \rightarrow M_x$ .  
 This is the same thing as an  $R[t]$ -module where  $t$  acts via  $\alpha$ .

It is the same thing as a functor  $F(Q) \rightarrow R\text{-mod}$   
 A homomorphism of quiver representations

of, for each vertex  $x$  of  $Q = x \in \text{Ob } F(Q)$  the specification an  $R$ -module homomorphism

$$\begin{array}{ccc} F_1(x) & \xrightarrow{\mu_x} & F_2(x) \\ \parallel & & \parallel \\ M_{1,x} & & M_{2,x} \end{array}$$

so that every diagram commutes

$$\begin{array}{ccc} F_1(x) & \xrightarrow{\mu_x} & F_2(x) \\ F_1(\alpha) \downarrow & & \downarrow F_2(\alpha) \\ F_1(y) & \xrightarrow{\mu_y} & F_2(y) \end{array}$$

( $\forall$  morphisms  $\alpha$  in  $F(Q)$ )

## Natural transformations

These are morphisms between functors, comparable to the notion of homotopy between maps of topological spaces.

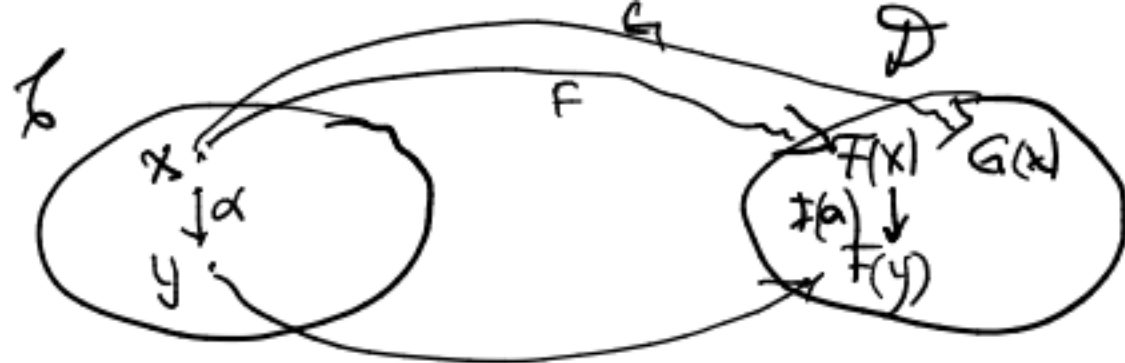
Definition. Let  $F, G : C \rightarrow D$  be functors.

A natural transformation

$\mu : F \rightarrow G$  is the specification of, for each object  $x \in \text{Ob}(C)$  a morphism  $\mu_x : F(x) \rightarrow G(x)$  in  $D$  so that,  $\forall$  morphisms  $\alpha : x \rightarrow y$  in  $C$  the square

$$\begin{array}{ccc} F(x) & \xrightarrow{\mu_x} & G(x) \\ F(\alpha) \downarrow & & G(\alpha) \downarrow \\ F(y) & \xrightarrow{\mu_y} & G(y) \end{array}$$

commutes.



Examples: Homomorphism of group representations and of quiver

Regarding reps of  $G$  as functors  $\rho : G \rightarrow R\text{-mod}$  a homomorphism  $\rho \rightarrow \sigma$  is a natural transformation.

Regarding reps of a quiver  $Q$  as functors  $F(Q)$ , a homomorphism of reps  $F_1 \rightarrow F_2$  is a natural transformation.

Examples: Homomorphism of group representations and of quiver

Done.

$SCat$  has

- Objects: categories
- Morphisms: functors

$Fun$

- Objects: functors
- Morphisms: nat transfs

In fact  $SCat$  is a 2-category.

Example:  $Hom(C, D)$  where  $C$  and  $D$  are categories.

Let  $\mathcal{C}, \mathcal{D}$  be categories. We define a category  $Hom_{SCat}(\mathcal{C}, \mathcal{D}) = Fun(\mathcal{C}, \mathcal{D})$  with objects: = functors  $\mathcal{C} \rightarrow \mathcal{D}$

morphisms  $F \rightarrow G$   
:= natural transformations  $F \rightarrow G$ .

Implicit: we can compose natural transfs  $F \xrightarrow{\mu} G \xrightarrow{\lambda} H$

then  $(\lambda\mu)_x := \lambda_x \mu_x : F(x) \rightarrow H(x)$   
in  $\mathcal{D}$

There is a  $1_F : F \rightarrow F$  for each functor  $F$ .

## The double dual.

Let  $V$  be a finite dimensional vector space over a field  $k$ . Let  $V^{\wedge*} = \text{Hom}(V, k)$  be the vector space dual.

Question: Is the operation that sends  $V$  to  $V^{\wedge*}$

A a functor?

B a natural transformation?

C Neither.

Natural isomorphism, equivalence of categories.