Dimension theory and related things

1. Hilbert polynomials, Hilbert series, Poincare series

A graded ring is a ring A together with a family $(A_n)_{n_n}$ of subgroups of the additive group of A, such that

1.
$$A = \bigoplus_{n \neq 0} A_n$$

2.
$$A_m A_n \subseteq A_{m+n}$$

We see: each An is an Ao-module. Examples. 1. and Ao 4 a subtring.

A = k[x1.s...xr], An = set of homogeneous polynomials of degree n.

$$A = k[t^2, t^3] \subseteq k[t]$$

Pre-class Warm-up!!

Are you familiar with the formula for the dimension of the space of homogeneous polynomials in $k[x_1, ..., x_d]$ of degree n as

 $\binom{n+d-1}{d-1}$?

A Yes

B No

Definition.

Let A be a graded ring

A graded A-module is an A-module M together with a family $(M_n)_{n>0}$ of subgroups of M such that

1. $M = \bigoplus_{n \geq 0} M_n$

2. Am Mr

Mm+n

An element $u \in M_m$ is called numogenous of degree m.

The subgroups Mm are the homogeneous companants

is an ideal $A_{+} = \bigoplus_{n > 1} A_{n}$ of A.

More definitions:

Homogeneous elements, degree, homogeneous components, homomorphism of graded modules.

A honomorphism $\phi: L \rightarrow M$

is a hanon of graded modules if $\phi(L_m) \leq M_m$

Aw.

Proposition. TFAE for a graded ring A:

a. A is a Noetherian ring;

b. A_0 is Noetherian and A is finitely generated as an A_0-algebra.

Proof.

b => a is Hilbert's basis theorem. As a > b Noetheran, and A is an ingge of this. a > b $A_0 = A/A_+$ is Noetherian.

The ideal A_+ is finitely generated, say by $x_1, ..., x_s$. We may take these elements to be homogeneous.

Let A' be the subring of A generated by x_1, \dots, x_s . We show that $A_n = A'$ for all $n \ge 0$.

Induction on N

For n > 0 let y be in A_n. Because y is in A_+ we can write y as a linear combination of the x_i, say

$$y = \sum_{i=1}^{s} a_i \times i$$

Let k_i be the degree of the homogeneous element x_i .

Each $k_i > 0$ so by induction each a_i is a polynomial in the x's with coefficients in A_0. The same is true of y, therefore y is in A'. Hence A_n is contained in A', so A = A'.

Hilbert functions

Let $A = \bigwedge_{N=0}^{N} \bigwedge_{N=0}^{N} N$ be a Noetherian graded ring. Then A_{-0} is a Noetherian ring, and A is generated (as an A_{-0} -algebra) by elements

which we may choose to be homogeneous, of degrees k_1, \ldots, k_s

Let M be a finitely generated graded A-module, generated by homogeneous elements m_j , $1 \le j \le t$. Each graded component M_n is now finitely generated as an A_0 -module

because Mn is generated as an A - module by elements

g(x) m, where g(x) is a

monomial in the xi of total degree

n-deg m_j . Example $A = M = k[x_1, \dots x_n]$ $P(M,t) = \overline{(1-t)}n$

Let li fin gen A-modules -> Z Le an additive function, meaning V s.e.s. of Ao-modules O - L -1 M -1 N -1 O We have $\lambda(M) = \lambda(L) + \lambda(M)$ e.g. if to=k is a field x=dim is possible or x = composition length.

Definition. The Poincaré series of M (with respect to λ) is

$$P(M,t) = \sum_{n > 0} \lambda \left(M_n \right) t^n \quad \text{in } Z[[t]].$$

Pre-class Warm-up!

Is the following true/false, obvious/ not obvious?

Let M be an R-module where R is a commutative ring, and let r be an element of R.

There is an exact sequence

$$0 \to K \to M \to L \to 0$$

where the middle map is multiplication by r and both K and L are annihilated by r.

A false

B (probably) true and not obvious

Definition. The Poincaré series of M (with respect to λ) is

$$P(M,t) = \sum_{n > 0}^{t} \lambda(M_n) t^n \quad \text{in } Z[[t]].$$

Theorem (Hilbert, Serre)

Let A be a Noetherian graded ring, M a finitely generated graded A-module, λ a length function.

Then P(M,T) is a rational function in t of the form

$$P(M,t) = \frac{f(t)}{s(1-t^{k})}, \quad f \in \mathbb{Z}[t]$$

Most. Induction on S. When s = 0, A = Ao and M is only non-zero in finitely many

degrees. P(M,t) is a polynomial. Non suppose 5>0 and result, 11 me for matter values, Connder The exact sequence 0 - K -> M ~ M -- L -> O xs is supposed to be homogeneous so K and L are graded Amodules, finitely gen'd becomes

A is Noethenan. They are killed

by xs. As $A_{\delta}[X_{1},...,X_{S-1}]$ -moduly Here A = Ao[XIIIIX], deg Xi=kin me finitely generated, Thus P(K, E) and P(L,t)
have the started form

OHK - M - M - L - O.

Also for each degree n.

$$\lambda(K_n) - \lambda(M_n) + \lambda(M_{n+k_s}) - \lambda(L_{n+k_s})$$
= O.

Multiply by t^{n+k_s} and sum

 $\begin{pmatrix} \sum_{n \ge 0} \end{pmatrix}$ we get

 $t^{k_s} P(k,t) - t^{k_s} P(M,t) + P(M,t)$
 $- P(L,t) = g(t)$ for some

polynomial $g(t)$

Rearrange:

Is it obvious why we need the polynomial g?

Corollary. A is a Noetherian graded ring generated as an A_0 -algebra by homogeneous elements of degrees k_i . If each $k_i = 1$ then, for sufficiently large $n, \lambda(M_n)$ is a polynomial in n (with rational coefficients) of degree d-1, where d is the order of the pole of P(M,t) at t = 1.

Proof. Here
$$\lambda(M_n) = coefficient$$
of t^n in function $\frac{f(t)}{(1-t)^S}$

$$= \frac{f_i(t)}{(1-t)^d}$$
where f_i for an polynamials
$$f = f_i(1-t)^{s-d}$$
White $f_i(t) = \sum_{k=0}^{n} a_k t^k$

Also
$$\frac{1}{(1-t)^d} = \sum_{u=0}^{\infty} \frac{d+u-1}{d-1} t^u$$

$$\frac{f(t)}{(1-t)^d} = \left(\sum_{k=0}^{\infty} q_k t^k\right) \left(\sum_{k=0}^{\infty} \frac{d^2u-1}{d-1}\right) t^u$$
has, for $n > N$, we first the second in $\sum_{k=0}^{\infty} q_k t^k$.

This is a pulynomial in $\sum_{k=0}^{\infty} q_k t^k$.

This is a pulynomial in $\sum_{k=0}^{\infty} q_k t^k$.

The property of the second in $\sum_{k=0}^{\infty} q_k t^k$.

Discussion: Is anything about that at all remarkable?

Definition. The polynomial just described is the Hilbert function (or polynomial) of M.

Pre-class Warm-up!!

Let d be the order of the pole of

$$\frac{1+t+t^{2}}{(1-t)^{d}} = \sum_{n=0}^{\infty} a_{n} t^{n}$$

at t = 1. Which of the following correctly describes the degree of polynomial growth of the coefficients a_n as n increases?

Βd

$$C d + 1$$

D None of the above.

$$\frac{1}{1-t} = 1 + t + t^2 + \dots$$

$$a_n = 1 \quad \text{always}$$

$$polynamial of degree 0$$

$$(1-t)^2 = 1 + 2t + 3t^2 + \dots$$

$$= \sum_{n \neq 0} \binom{n+1}{n} t^n$$

$$a_n = n + 1 \quad \text{polynamial of degree 1}$$

$$\frac{1}{1-t^2} = 1 + t^2 + t^6 + \dots$$

$$\frac{1}{1-t^2} = 1 + t^2 + t^6 + \dots$$

$$a_n \quad \text{sequence is 1,0,1,0,1,0,...}$$

$$\text{not polynamial his is described by two polynamial fo(n) = 1, f(n) = 0}$$

$$\text{If } n = i \quad (\text{mod } 2) \quad \text{that } a_n = f_i(n)$$
These an are (almost) Polynamial on Residue Classes
$$= (\text{almost}) \quad \text{Pore}($$

Write d(M) for the order of the pole of P(M,t) at 1. Misa f g graded A-modele A is always Noetheriah. A is graded now Corollary. If a homogeneous element x in A is not a zero divisor on M then d(M/xM)= d(M) - 1.

Not a zero divisor means xm = 0 implies m = 0. This happens e.g. if A = M is a domain and $x \neq 0$.

We had an exact sequence 0-K-M-M-L-0 x 18 not a zero divisor (=> K=0, L = M/xM, also. S.e.s. On $M_n \rightarrow M_{n+r} \rightarrow L_{n+r} \rightarrow D$ There is a more subtle version of this the context of local rings where we remove the assumption that x is homogeneous.

$$P(L,t) = (1-t^{2})P(M,t) + g(L)$$

$$d(L) = d(M) - 1$$

There is a more subtle version of this in

Examples.

usual

$$P(A,t) = \frac{1}{(1-t)^s}.$$

$$1 + \frac{t^2}{1-t} = \frac{1-t+t^2}{1-t}$$

2. A = k[t^2, t^3] = k ⊕ O ⊕ k t²⊕ k t³⊕...

What is the Poincaré series of A (with respect to the k-dimension of terms)?

A.
$$\frac{1+t^2}{1-t}$$

B.
$$\frac{1-t+t^{2}}{1-t} = \frac{1+t^{3}}{1-t^{2}}$$

$$\frac{1+t+t^{2}}{1-t} = \frac{1-t^{3}}{(1-t)^{2}}$$

D None of the above

The Awslando-Reiten quiver of a finite group, Math. Z. 1982 Theorem k a field G a finite group. The tree class of the AR quiver of &G is an (extended) Dynkin diagram or one of 5 infinite Skotch. The AR quiver is ---- TTM M additive means f(m) + f(rM) = F(L)+f(N) DiM = kernel Ki_i in a minimal projective resolution of M.

dim sim is an almost PORC function of i. This comes about because H+ (G, k) is a finitely generated graded - commutative ring. (Evens-Venkov) H+ (G,M) is a finitely generated graded H*(G, R)-module. dim (SZ M) is determined by M => leading coefficient of the PORC polynomial. Is a penodic additive function on the AR-, quiver. Happel-Preiser-Ringel shared this => the tree class is so

Pre-class Warm-up!!

N etherian

Let J be an ideal of a commutative ring R. Consider the two statements:

- 1. If R/J is Artinian then J is primary for some maximal ideal of R.
- 2. If J is primary for some maximal ideal of R then R/J is Artinian.

Which are true?

A 1. is true.
$$\times$$
 $R = k \times k$ $\mathcal{J} = (0)$

B 2. is true.

- C Both 1. and 2. are true.
- D Neither are true.

Artinian: DCC on submodules => f.g. modules have composition Fin. dim algebrar ore a field are Artinan primary = one associated prime Fact: minimal primes containing

J'are associated primes.

Conclude (from J-primary, 111 marsh

Mis the unique minimal orime.

= /J so M' CJ for sme n => R/J is Artinian (it has

The graded ring associated to an ideal

Proposition. commutative

Let J be an ideal of a Noetherian ring A. Then the graded ring

$$G(A) = \bigoplus_{n=0}^{\infty} J^n / J^{n+1}$$

is Noetherian, generated by elements of degree 1. at an A/J-algebra.

If M is a finitely generated A-module then

$$G(M) = \bigoplus_{n=0}^{\infty} J^n M / J^{n+1} M$$

is a finitely generated G(A)-module.

Proof A is Noetheran so J is a finitely generated ideal $J = (x_1, \dots, x_s)$ Let $\bar{x}_i = image of x_i in J/J^2$ $= x_i + J^2$

Then G(A) = A/J[X1,..., X2]. Also A/J is Noetheran, so G(A) is Noetherian by Hilbert's basic theorem. If Misafig. A-module then m/JM is a f.g. A/J-module. and J^M/J^+M = (J/Jn+1). M/JM so M/JM generates G(M) as a G(A)-module. Un/Inti & right side Why: we show Un/Inti & right side Vn. If u E Jn thenut Jhis an A) J combination of products of the X, ---, Xs:

The same is true for G(M) if it is defined by a filtration that eventually is multiplication by J and has J M_i contained in M_{i+1}. Such is called J-stable.

We look for a situation where J is an ideal of A for which there is a suitable additive function on A/J-modules.

If J is primary for some maximal ideal of A then A/J is Artinian. Take composition length over A/J as our additive function Proposition.

Let J be an ideal of A so that A/J is Artinian, let M be a finitely generated A-module. Then

a. $M/J \land nM$ is of finite length for each $n \ge 0$. b. For all sufficiently large n this length is a polynomial g(n) of degree $\le s$ in n where s is the least number of generators of J.

Proof. We have seen: each Jn M/JM is finitely generated as om A/J-module so has finite length, given by a Hilbert polynomial for n >> 0.

The length of M/Jn m is

\[\sum_{t=0}^{n-1} \length \ = $\int_{t=0}^{t-1} H(t) + constant, n>>0$ where H is polynomial of degree Thus length (M) JnM) is polyrous of degree <5 for n>> 0. _

The Proposition works for a filtration of M that is J-stable. Part c. says the degree and leading coefficient of g(n) do not depend on the filtration chosen.

This because

M. = JiMN

M. = JiMN

where M: = JM, if INN.

Pre-class Warm-up!!

Let R be Noetherian

Let W be a maximal ideal and J some ideal of a ring R.

Which of the following are true:

- 1. If J contains some power of \(^\mathbb{W}\) then J is \(^\mathbb{W}\) -primary.
- 2. If J is \mathcal{W} -primary then J contains some power of \mathcal{W}

A Only 1. is true.

B Only 2. is true

C Both 1. and 2. are true \checkmark

D Neither is true.

Jun-primary (=) M = unique prime containing J. M = TJ > WS S J ⇒ M = IJ ⇒ M = unique associated prime for J.

Definition. A is a Moethenan ring Aliyah-Macdonald and Matsumura write the polynomial

$$g(n) = \chi_{+}^{M}(n) = length (M/J^{m}) n > 0$$
for a finitely generated A-module M. Matsumura calls it the Samuel function. When $M = A$, Aliyah-Macdonald call it the characteristic polynomial of the ideal J.

Corollary.

For large n, length (AJJ^n) is a polynomial of degree $\leq s$, where s = least number of

Proposition.

If J is 444-primary where 444 is a maximal ideal then

Proof. We know M=J=W^r
For some r. Thus

WⁿM = JⁿM = W^rM, so

X^m(n) < X^m(n) < X^m(rn) & n>0

X^m(n) < X^m(n) < deg X^m < deg X^m .

Definition. d(A) is

deg XM

e.g. din Z = 1

We have seen:

Dim A = 0 <=> A is Artinian. Dimension is preserved under integral

This is a consequence of going up and lying over

Goal: Let A be a Noetherian local ring with maximal ideal 444

Let $\partial(A)$ = least number of generators of an \mathcal{W} -primary ideal of A.

We indicate a proof that

 $\partial(A) = d(A) = dim(A)$

In general, Noetherian mgs
A need not have attrult dimension.

Do maximal chains of prime idealy
all have the same length,
in some circumstances.

Question:

Why should we even be interested in knowing about $\partial(A)$, d(A) or dim A?

Are we interested in knowing about $\partial(A)$, d(A) or dim A?

Goal: Let A be a Noetherian local ring with maximal ideal *W*

Let $\partial(A)$ = least number of generators of an \mathcal{W} -primary ideal of A.

We indicate a proof that

$$\partial(A) = d(A) = dim(A)$$

showing $\partial(A) \ge d(A) \ge \dim A \ge \partial(A)$

Proposition.

Proof. We saw! if J's Wtimary then # gens of J > d(A) Thus DA > dA. [] Proposition 11.8 of Atiyah and Macdonald. Notation as before.

Let M be a finitely-generated A-module, x in A a non-zero-divisor on M and M' = M/xM. Then

We did this before in a graded situation

Proof. Let N = xM, which is isomorphic to M. We have a s.e.s.

$$0 \rightarrow N/(N_{\Omega} J^{n}M) \rightarrow M/J^{n}M \rightarrow M'/J^{n}M' \rightarrow 0$$

Writing $g(n) = \text{length of } N/(N \cap J^nM) \text{ we have}$

$$g(n) - \chi_{J}^{M}(n) + \chi_{J}^{M'}(n) = 0 \quad \text{For } n >> 0$$

$$(N_{0}J^{n})$$

Artin-Rees implies that $(N \cap J \cap N)$ is a stable J-filtration of N, so g(n) and $\chi_J^M(n)$ have the same leading term. Hence the result.

Corollary 11.9 of A and M.

If A is a Noetherian local ring and x is a non-zero-divisor in A, then

$$d(A/(x)) \le d(A) - 1.$$

Proof Take
$$M = A$$
, so $M' = A(x)$.

Pre-class Warm-up

Can we remember what d(A), $\partial(A)$ and dim A are?

A Yes

B No

For $\delta(A)$, d(A), A is supposed to be a Northernon local ring. $d(A) = \text{degree of } \chi_i^2$ $\chi_i^2(i) = |\text{ength of } A | \text{min, } n >> 0$ $M = \max |\text{deal of } A$.

dim A = largest r suchthat I chain of prime dods Q0 < φ, < ··· < gr O(A) = least number of generators of an W-primary ideal of A 2(4) 3 q(4) We show d(A) >, dim A

Proposition 11.10 $d(A) \ge \dim A$

Can you remember what d(A) is? What did the last result say?

Proof. Induction on d = d(A).

If d = 0 then Length (AWW) is constant for all large n, so WW = WW' = 0 by Nakayama's lemma. Thus A is an Artinian ring and $\dim A = 0$ Each WW' = 0 is an Artinian fin gen. A/WW = 0 A how finite length,

Suppose d > 0 and the result for smaller values. Let $\psi_1 \subset \psi_1 \subset \cdots \subset \psi_r$

be any chain of prime ideals in A. Let $x \in \mathcal{Y}_1$, $x \notin \mathcal{Y}_0$, $A' = A/\psi_0$.

Let x' be the image of x in A'. Then $x' \neq 0$, and A' is an integral domain, so by 11.9

 $d(A' / (x')) \le d(A') - 1$

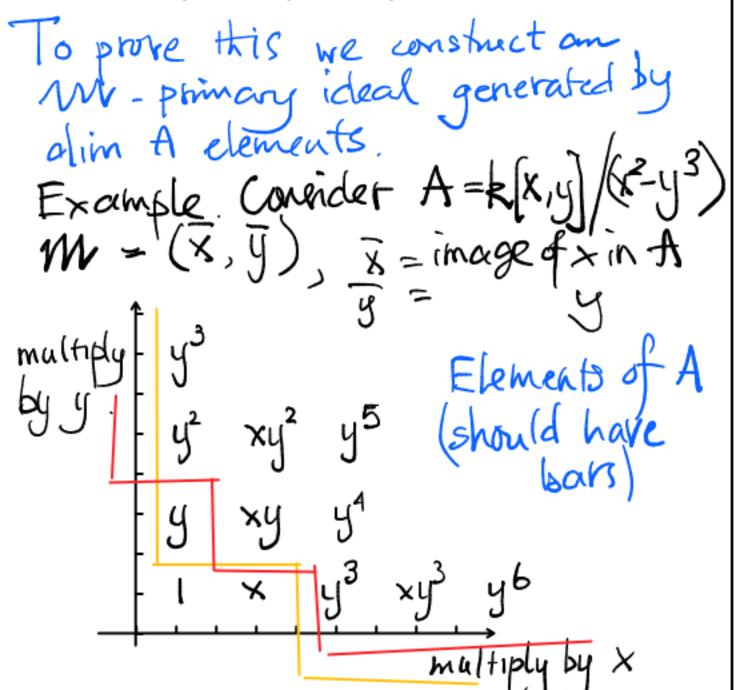
Also, if M' is the maximal ideal of A', A'/M' is a homomorphism image of A/M'' hence Length $(A/M'') \ge \text{Length } (A'/M'')$ and therefore $d(A) \ge d(A')$. Thus

 $d(A'/(x')) \le d(A) - 1 = d - 1$. By induction, the length of any chain of prime ideals in A'/(x') is $\le d - 1$. Why are these The images of $\mathcal{Y}_1, \ldots, \mathcal{Y}_r$ images prime? in A'/(x') form a chain of length r - 1, hence $r - 1 \le d - 1$ and consequently $r \le d$. Hence $\dim A \le d$.

The final step dim $A \ge \partial(A)$ in proving $\partial(A) = d(A) = dim A$ is a little technical and we are going to miss it out. The next corollaries do not depend on it.

The final step dim $A \ge \partial(A)$ in proving $\partial(A) = d(A) = dim A$ is a little technical and we are going to miss it out. The next corollaries do not depend on it.

However: `proof by example' that $\dim A \ge \partial(A)$!



Counder Am Picture Observe (y) yeur Mr-primary ideal because $m^2 \leq (\overline{4})$ Here (ij) how one generator We has two generators. $\partial(A_{m}) = 1 \leq dim A.$

Recall: $\partial(A) \ge d(A) \ge \dim A \ge \partial(A)$

Corollary 1.11 of A&M If A is Noetherian local ring then dim A is finite.

Definition.

The height of a prime ideal of is the largest such that I wain of prime ideals It equals dim A v

chain $y = y_0 C - C y_r of prime ideals. It equals dim A/y.$

Corollary 11.12 of A&M.

In a Noetherian ring every prime ideal has finite height. The set of prime ideals in a Noetherian ring satisfies DCC.

Corollary 11.15 of A&M In a Noetherian local ring with maximal Dim A \le dim \(\mathbb{W}\)/\(\mathbb{W}\) where \(\mathbb{E} = \mathbb{A}\)/\(\mathbb{W}\). we have Proof. # gens of W = # gens of W/W2 by Natayama (if W2) X generates W then X generated W

Definition. A Noetherian local ring A is regular

- generators of Mr = dim A.

Corollary 11.16 Let A be a Noetherian ring, and $x_1, ..., x_r$ in A. Then every prime ideal minimal over $(x_1, ..., x_r)$ has height $\leq r$.

The case r = 1 plus a little bit is known as Krull's

Corollary 11.19. Let A be a local Noetherian ring with maximal ideal \mathcal{W} Then dim A = dim A $\hat{\mathcal{W}}$